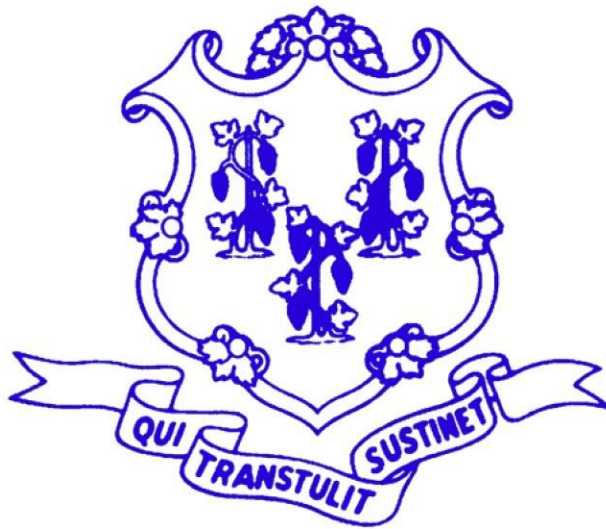


CONNECTICUT STATE BUDGET

FY 16 & FY 17 Budget



OFFICE OF FISCAL ANALYSIS
CONNECTICUT GENERAL ASSEMBLY

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I. OVERVIEW

General Fund Budget Development

In November 2014, OFA projected a General Fund deficit of \$89.1 million in the current fiscal year (FY 15) but more ominously projected a significant deficit of \$1,321.4 million in FY 16 and \$1,439.1 million in FY 17 based on a Current Services methodology.

By February 2015, based on new calculations by Governor Malloy, these General Fund gaps had shrunk to \$1,144.7 million in FY 16 and \$1,105.2 million in FY 17. The recommended FY 16 - FY 17 biennial budget that the Governor proposed to the General Assembly closed these gaps, as is required by law, by increasing revenues by \$557.6 million in FY 16 and by \$356.8 million in FY 17 while reducing appropriations by \$590.3 million in FY 16 and by \$753.5 million in FY 17. When combined, the Governor's budget resulted in a balance of \$3.2 million in FY 16 and \$5.0 million in FY 17.

FY 16 and FY 17 Budget

The budget (PA 15-244) passed both houses of the General Assembly on June 3, 2015, however there was not enough time to pass the accompanying implementing legislation, and a special session was required and called for the during the month of June. Eventually, implementer bills were finalized and passed in special session on June 29, 2015.

The FY 16 and FY 17 General Fund budget grows by a 3.9% when comparing FY 16 appropriations to FY 15 estimated expenditures. Similarly, the FY 17 budget grows by 3.0% when compared to the FY 16 budget. This equates to \$681.1 million in additional appropriations in FY 16 and an additional \$549.6 million in FY 17 over FY 16.

The budget¹ appropriates \$19,807.2 million in FY 16 and \$20,438.3 million in FY 17. The appropriations are supported by estimated revenues of \$19,873.3 million in FY 16 and \$20,555.9 million in FY 17.

¹PA 15-244, the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Developments passed by the House and Senate as adjusted by PA 15-5, JSS, An Act Implementing the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State.

**Comparison of FY 16 and FY 17 Appropriations to Revenue Estimates
(in millions)**

Fund	FY 16 \$			FY 17 \$		
	Approp.	Revenue	Surplus / (Deficit)	Approp.	Revenue	Surplus / (Deficit)
General	18,161.6	18,162.4	0.8	18,711.2	18,713.6	2.5
Special Transportation	1,416.1	1,468.1	52.0	1,496.1	1,596.9	100.8
Other Appropriated	229.6	242.6	13.0	231.0	245.4	14.4
TOTAL	19,807.2	19,873.0	65.8	20,438.3	20,555.9	117.6

The budget includes appropriations totaling \$19,807.2 million in FY 16 and \$20,438.3 million in FY 17.

Fund Summary of FY 16 and FY 17 Appropriations (in millions)

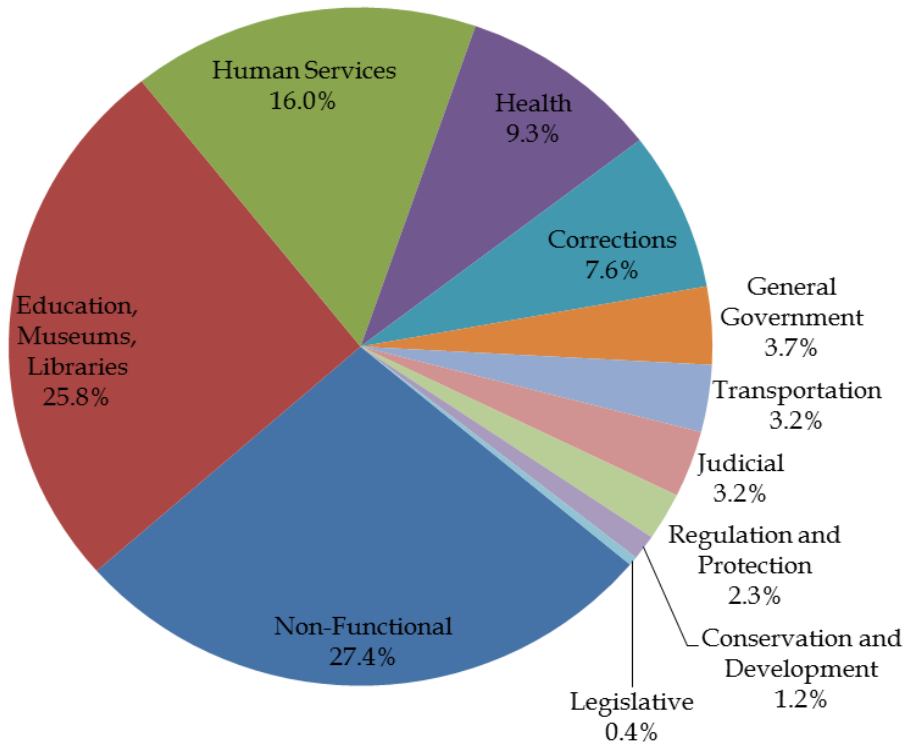
Fund Summary	FY 16 \$	FY 17 \$
Gross Appropriations by Fund		
General Fund	18,362,169,386	18,916,880,389
Special Transportation Fund	1,428,073,382	1,508,138,933
Banking Fund	29,636,246	29,889,297
Insurance Fund	79,933,789	81,351,940
Consumer Counsel and Public Utility Control Fund	26,990,146	26,953,593
Workers' Compensation Fund	27,312,126	26,982,874
Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907
Regional Market Operation Fund	1,061,237	1,067,306
Criminal Injuries Compensation Fund	2,851,675	2,934,088
Total Gross Appropriations	20,019,807,894	20,655,978,327
General Fund Lapses		
Unallocated Lapse	(93,076,192)	(94,476,192)
Unallocated Lapse - Legislative	(5,028,105)	(3,028,105)
Unallocated Lapse - Judicial	(7,400,672)	(7,400,672)
General Employee Lapse	(7,110,616)	(12,816,745)
General Lapse - Legislative	(39,492)	(39,492)
General Lapse - Judicial	(282,192)	(282,192)
General Lapse - Executive	(9,678,316)	(9,678,316)
Municipal Opportunities and Regional Efficiencies Program	(20,000,000)	(20,000,000)
Overtime Savings	(10,500,000)	(10,500,000)
Statewide Hiring Reduction - Executive	(30,920,000)	(30,920,000)
Statewide Hiring Reduction - Judicial	(3,310,000)	(3,310,000)
Statewide Hiring Reduction - Legislative	(770,000)	(770,000)
Targeted Savings	(12,500,000)	(12,500,000)
Total General Fund Lapses	(200,615,585)	(205,721,714)

Fund Summary	FY 16 \$	FY 17 \$
Special Transportation Fund Lapses		
Estimated Unallocated Lapses	(12,000,000)	(12,000,000)
Total Special Transportation Fund Lapses	(12,000,000)	(12,000,000)
Net Appropriations by Fund		
General Fund	18,161,553,801	18,711,158,675
Special Transportation Fund	1,416,073,382	1,496,138,933
Banking Fund	29,636,246	29,889,297
Insurance Fund	79,933,789	81,351,940
Consumer Counsel and Public Utility Control Fund	26,990,146	26,953,593
Workers' Compensation Fund	27,312,126	26,982,874
Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907
Regional Market Operation Fund	1,061,237	1,067,306
Criminal Injuries Compensation Fund	2,851,675	2,934,088
TOTAL NET APPROPRIATIONS	19,807,192,309	20,438,256,613

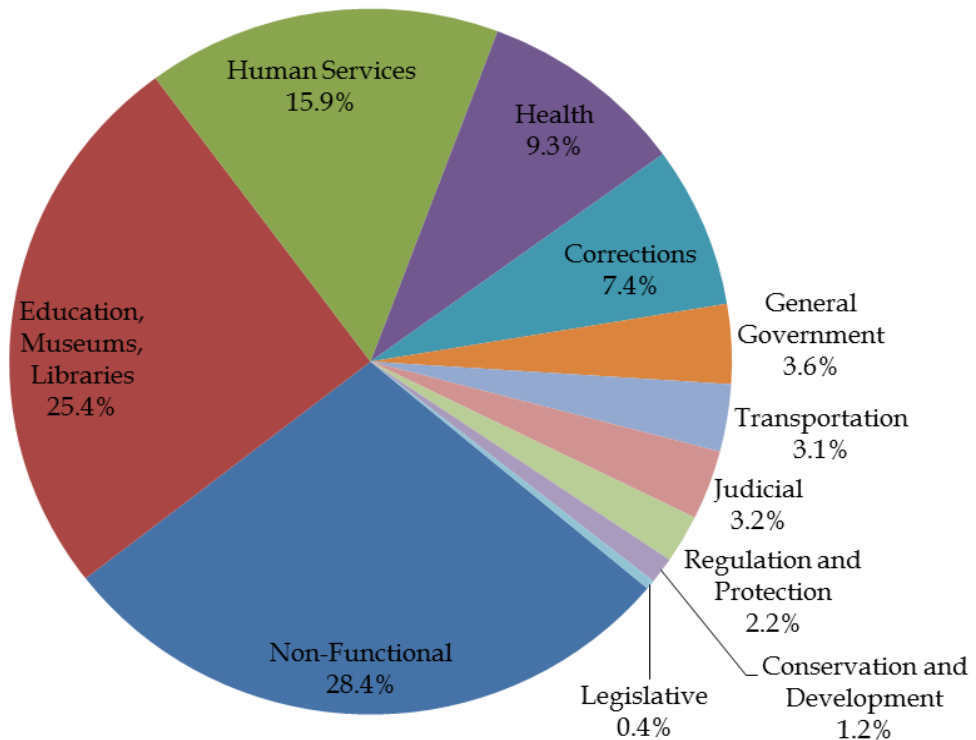
**FY 16 and FY 17 All Appropriated Funds
(by function of government – in millions)**

Function of Government	FY 16 \$	% of Total	FY 17 \$	% of Total
Non-Functional	5,483.3	27.4%	5,857.3	28.4%
<i>Fringe Benefits</i>	2,930.1	14.6%	3,092.8	15.0%
Education, Museums, Libraries	5,166.4	25.8%	5,242.5	25.4%
<i>Elementary & Secondary Education</i>	4,380.8	21.9%	4444.6	21.5%
<i>Higher Education</i>	785.7	3.9%	798.0	3.9%
Human Services	3,201.1	16.0%	3,278.9	15.9%
<i>Medicaid</i>	2,468.4	12.3%	2,542.8	12.3%
<i>Disproportionate Share</i>	108.9	0.5%	108.9	0.5%
<i>Temporary Assistance to Families</i>	99.4	0.5%	98.9	0.5%
Health	1,859.4	9.3%	1,915.9	9.3%
Corrections	1,514.8	7.6%	1,524.2	7.4%
General Government	741.8	3.7%	752.5	3.6%
Transportation	641.3	3.2%	637.3	3.1%
Judicial	634.2	3.2%	657.0	3.2%
Regulation and Protection	454.5	2.3%	456.4	2.2%
Conservation and Development	239.1	1.2%	246.9	1.2%
Legislative	83.9	0.4%	87.1	0.4%
Gross Total	20,019.8	100%	20,656.0	100%
Lapses	(212.6)		(217.7)	
NET TOTAL	19,807.2		20,438.3	

**FY 16 All Appropriated Funds
(by function of government)**

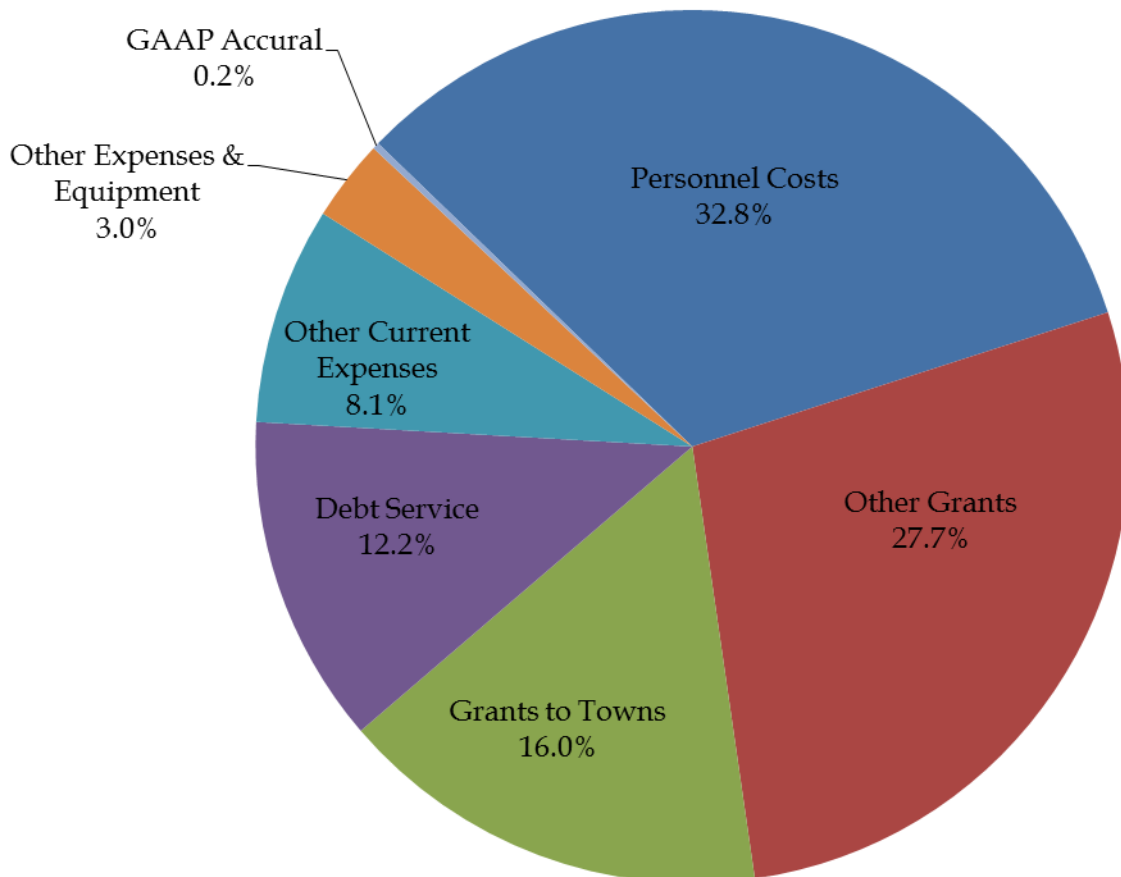


**FY 17 All Appropriated Funds
(by function of government)**



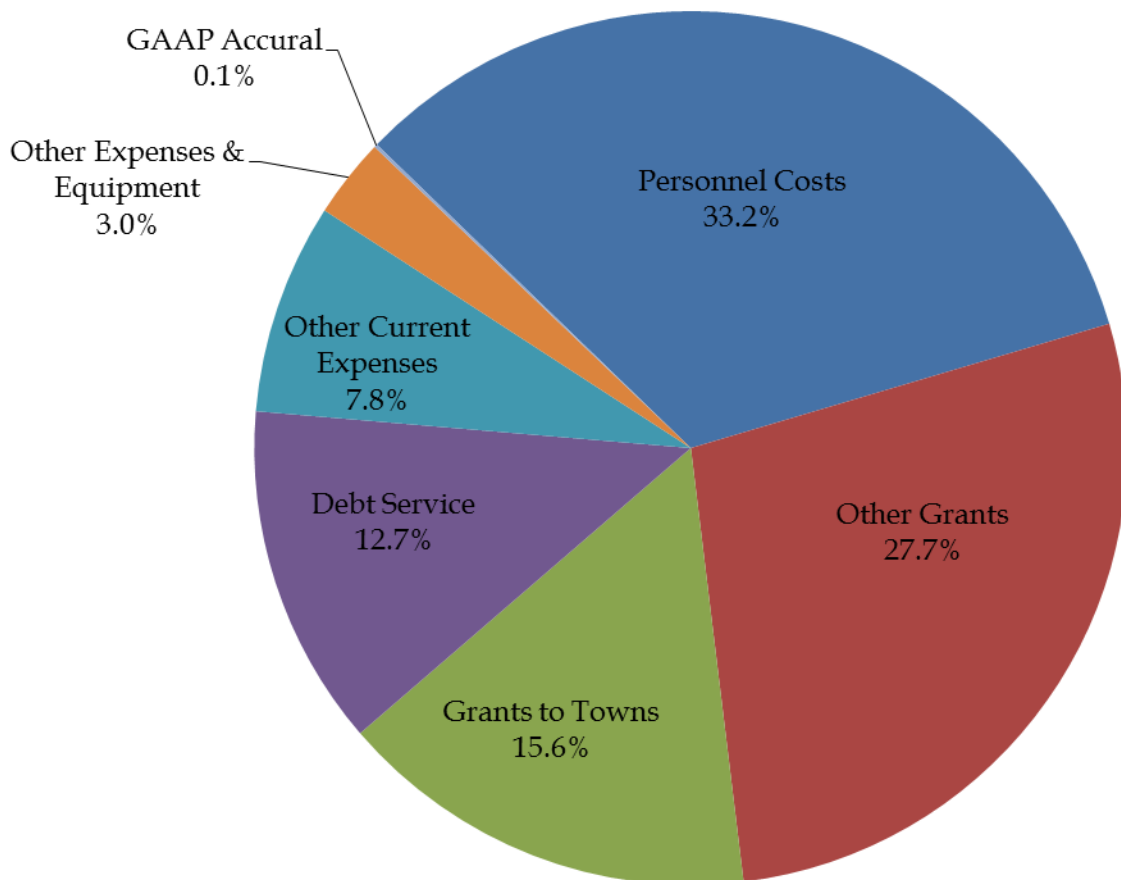
**FY 16 All Appropriated Funds
(by expenditure type - in millions)**

Expenditure Type	FY 16 \$	% of Total
Personnel Costs	6,566.8	32.8%
<i>Fringe Benefits</i>	2,972.7	14.8%
Other Grants	5,543.4	27.7%
<i>Medicaid</i>	2,468.4	12.3%
<i>Teacher's Retirement: Pension & Health</i>	995.7	5.0%
Grants to Towns	3,203.4	16.0%
<i>Education Equalization Grants</i>	2,155.8	10.8%
<i>Magnet Schools</i>	328.4	1.6%
<i>PILOTS - State Owned & Private</i>	233.1	1.2%
Debt Service	2,439.5	12.2%
Other Current Expenses	1,614.3	8.1%
Other Expenses & Equipment	603.5	3.0%
GAAP Accrual	48.8	0.2%
Gross Total	20,019.8	100.0%
Lapses	(212.6)	
NET TOTAL	19,807.2	



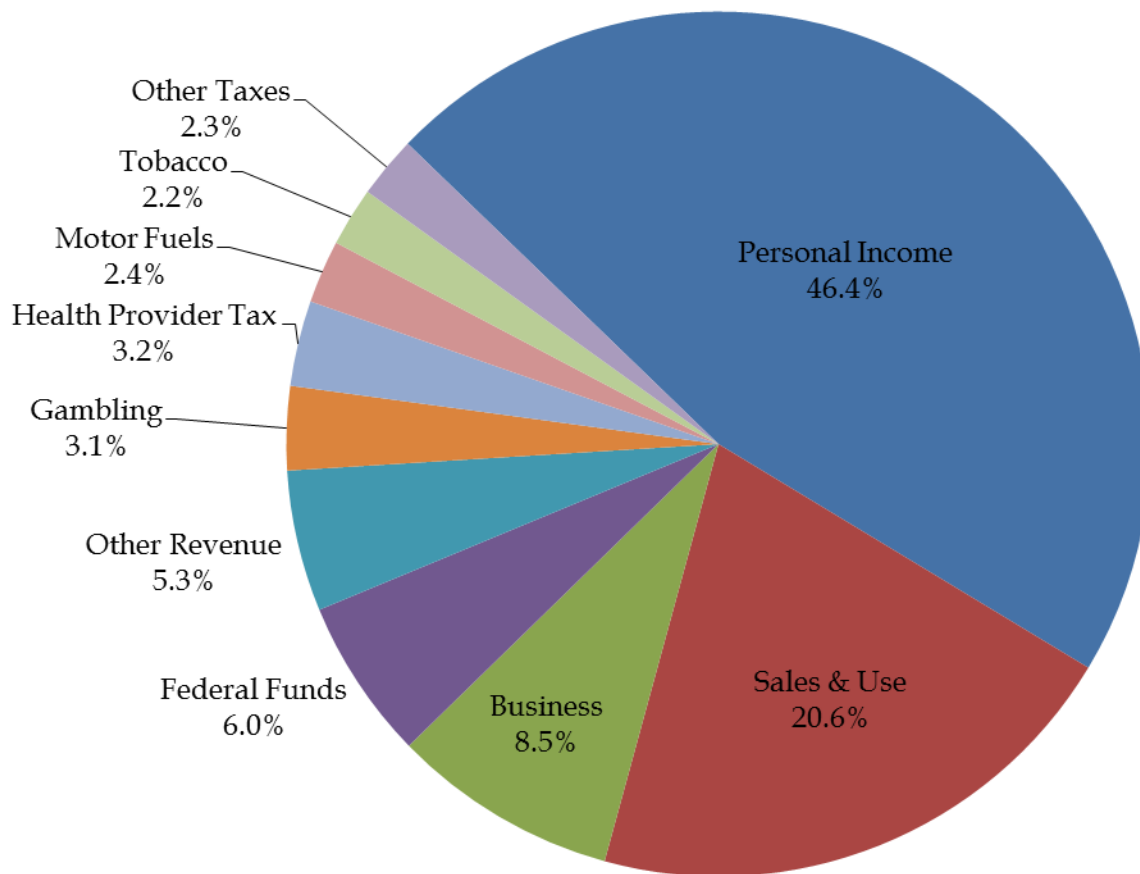
**FY 17 All Appropriated Funds
(by expenditure type - in millions)**

Expenditure Type	FY 17 \$	% of Total
Personnel Costs	6,853.8	33.2%
<i>Fringe Benefits</i>	3,135.7	15.2%
Other Grants	5,714.9	27.7%
<i>Medicaid</i>	2,542.8	12.3%
<i>Teacher's Retirement: Pension & Health</i>	1,032.3	5.0%
Grants to Towns	3,224.2	15.6%
<i>Education Equalization Grants</i>	2,172.5	10.5%
<i>Magnet Schools</i>	325.0	1.6%
<i>PILOTS - State Owned & Private</i>	233.1	1.1%
Debt Service	2,616.1	12.7%
Other Current Expenses	1,613.1	7.8%
Other Expenses & Equipment	609.5	3.0%
GAAP Accrual	24.4	0.1%
Gross Total	20,656.0	100.0%
Lapses	(217.7)	
NET TOTAL	20,438.3	



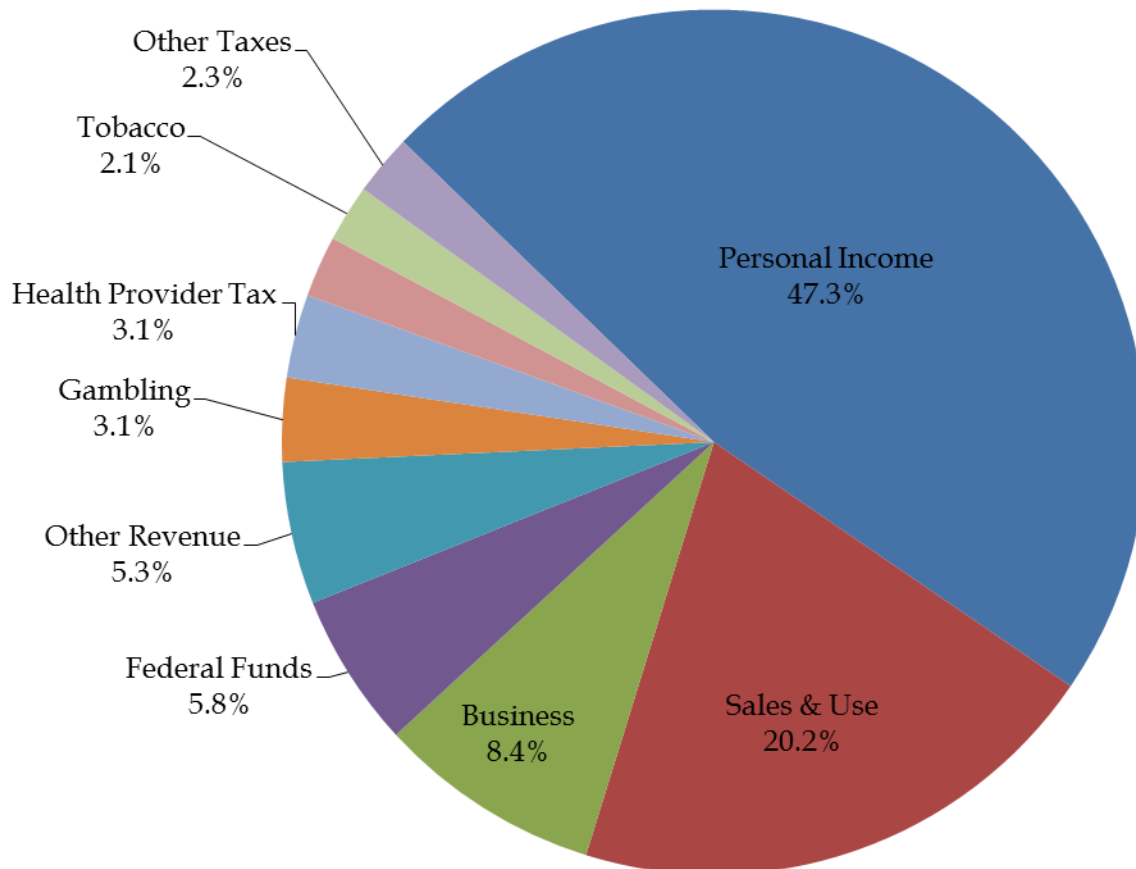
**FY 16 All Appropriated Fund Revenue
(by revenue type - in millions)**

Revenue Item	FY 16 \$	% of Total
Personal Income	9,834.4	46.4%
Sales & Use	4,363.7	20.6%
Business	1,793.1	8.5%
Federal Funds	1,277.3	6.0%
Other Revenue	1,118.0	5.3%
Gambling	664.0	3.1%
Health Provider Tax	676.9	3.2%
Motor Fuels	499.0	2.4%
Tobacco	467.8	2.2%
Other Taxes	488.9	2.3%
Gross Total	21,183.1	100%
Reductions	(1,310.1)	
NET TOTAL	19,873.0	



**FY 17 All Appropriated Fund Revenue
(by revenue type - in millions)**

Revenue Item	FY 17 \$	% of Total
Personal Income	10,357.2	47.3%
Sales & Use	4,430.3	20.2%
Business	1,832.9	8.4%
Federal Funds	1,264.8	5.8%
Other Revenue	1,165.6	5.3%
Gambling	683.5	3.1%
Health Provider Tax	683.9	3.1%
Motor Fuels	502.3	2.3%
Tobacco	467.8	2.1%
Other Taxes	498.5	2.3%
Gross Total	21,886.8	100%
Reductions	(1,330.8)	
NET TOTAL	20,556.0	



Spending Cap

The budget is under the spending cap by \$8.1 million in FY 15, \$23.0 million in FY 16 and \$106.2 million in FY 17. Pursuant to Section 35 of PA 15-244, these calculations reflect a five-year personal income growth rate calculated on a calendar year rather than a fiscal year basis, and assume that appropriations for the unfunded liabilities of the State Employees' Retirement System (SRS), Judges, Family Support Magistrates and Compensation Commissioners' Retirement System (JRS), and Teachers' Retirement System (TRS) are exempt from being counted as general budget expenditures under the spending cap for FY 15 through FY 17.

It should be noted that the base upon which the FY 15 spending cap is calculated has been adjusted to reflect the shifting of the Soldiers, Sailors, and Marines' Fund to the American Legion. Similarly, the FY 16 spending cap base is adjusted to reflect the funding of the Birth to Three and Husky B programs on a net basis.

Spending Cap Calculation (in millions)

Item	Revised FY 15 \$	FY 16 \$	FY 17 \$
All Appropriated Funds - Prior Year	18,606.5	19,014.1	19,807.2
Less SSMF (FY 15)/Birth to Three & Husky B (FY 16) - base adjustment	3.2	34.4	-
Extraordinary spending	-	-	-
Prior Year Appropriations	18,603.4	18,979.7	19,807.2
Less Prior Year "Non-Capped" Expenditures			
Debt Service	2,174.6	2,172.9	2,439.5
SERS/TRS/JRS unfunded liability	1,634.7	1,736.1	1,828.8
Statutory grants to distressed municipalities ¹	1,527.5	1,568.8	1,579.2
Prior Year "Non-Capped" Expenditures	5,336.8	5,477.9	5,847.5
Total "capped" expenditures	13,266.5	13,501.8	13,959.7
Times the 5-year average growth in personal income (calendar year basis)	1.9%	3.2%	3.4%
= Allowable "capped" growth	248.0	438.1	476.7
Allowable Capped Expenditures	13,514.6	13,939.9	14,436.4
Plus Current Year "Non-Capped" Expenditures			
Debt service	2,172.9	2,439.5	2,616.1
SERS/TRS/JRS unfunded liability	1,736.1	1,828.8	1,890.0
Federal mandates and court orders (new funding)	20.4	42.9	11.5
Statutory grants to distressed municipalities	1,578.2	1,579.2	1,590.4
Current Year "Non-Capped" Expenditures	5,507.6	5,890.3	6,108.0
Expenditures Allowed Under the Cap	19,022.2	19,830.2	20,544.5
Appropriation for this year	19,014.1	19,807.2	20,438.3
TOTAL OVER/(UNDER) THE SPENDING CAP	(8.1)	(23.0)	(106.2)

¹FY 16 is adjusted to reflect new distressed grant percentages.

Significant Budget Changes

Identified in the table below are the General Fund adjustments having the most significant changes in FY 16 and FY 17, as compared to the Governor's FY 15 estimated expenditure levels. For additional detail on these items please see the Agency Budget Sheets.

Current Services (CS) and Policy (PR) Significant Changes (in millions)

Agency	CS-PR	Write-Up Title	FY 16 \$	FY 17 \$
OTT - Debt Service	Current Services	Increase Debt Service to Reflect Current Requirements	357.2	483.6
OSC - Fringe Benefits	Current Services	Adjust Operating Expenses to Reflect Current Requirements	258.3	409.1
Various	Current Services	Adjust Funding to Reflect Wages & Compensation Related Costs	162.7	201.6
OTT - Debt Service	Policy Revisions	Adjust Debt Service to Reflect Projected Lapse Savings	(147.7)	(167.5)
DSS	Current Services	Update Medicaid Caseload and Utilization Estimates	77.1	154.5
DSS	Current Services	Medicaid Net Funding Re-Estimate	(67.6)	(54.2)
DSS	Current Services	Update Expenditure Estimates for Medicaid	47.1	62.2
DSS	Policy Revisions	Update Hospital Provider Tax	50.8	51.2
OTT - Debt Service	Current Services	Reflect Revised Budget Request	(54.9)	(32.9)
OPM - Reserve for Salary Adjustment	Current Services	Adjust Funding for Unsettled Contracts	(8.0)	68.8
DSS	Policy Revisions	Net Appropriate Husky B Program	(27.1)	(31.9)
DSS	Current Services	Adjust Operating Expenses to Reflect Current Requirements	22.9	35.1
SDE	Current Services	Increase Funding for Magnet Schools	25.6	28.3
DSS	Policy Revisions	Transition Certain HUSKY A Adults to the Exchange	(2.4)	(43.5)
DDS	Current Services	Provide Funding for Age Outs	12.0	28.3
OSC - Fringe Benefits	Policy Revisions	Adjust Fringe Benefits to Reflect the Addition of Positions	16.8	23.0
DSS	Current Services	Reflect Annualization of Autism Coverage	12.5	24.4
TRB	Current Services	Fully Fund Retirement Contribution	(8.5)	28.1
DSS	Policy Revisions	Reduce Medicaid Expenditures	(17.5)	(17.5)
MHA	Policy Revisions	Support Grants for Mental Health & Substance Abuse Services	17.0	17.0
TRB	Current Services	Adjust Operating Expenses to Reflect Current Requirements	15.4	18.2
TRB	Policy Revisions	Reduce State Share of Retiree Health Service Costs	(14.0)	(16.8)
DSS	Current Services	Provide Funding for Rate Increases for Long Term Care	7.9	20.0
DDS	Current Services	Annualize Previous Year Partial Funding	13.9	13.9
DCF	Policy Revisions	Provide Funding for Juan F Compliance	9.0	17.7
DSS	Current Services	Restructure Hospital Related Revenue Diversion	(13.3)	(13.3)
DSS	Policy Revisions	Provide Rate Increase for Direct Care Workers	13.0	13.0
OSC - Adjudicated Claims	Policy Revisions	Provide Funding for Rowland Settlement	20.7	4.7
DSS	Policy Revisions	Eliminate Statutory or Regulatory Rate Increases	(6.9)	(17.8)
SDE	Policy Revisions	Provide Additional ECS Funding	12.0	12.0
OSC - Fringe Benefits	Policy Revisions	Adjust Fringe Benefits to Reflect the Reduction of Positions	(8.6)	(14.5)
SDE	Current Services	Increase Funding for State Charter Schools	8.6	13.1
JUD	Policy Revisions	Adjust Probate Court Subsidy	(10.8)	(10.8)
DDS	Policy Revisions	Rollout of FY 15 Rescissions	(10.9)	(10.5)
DDS	Current Services	Provide Funding for New High School Graduates	6.2	14.3

Agency	CS-PR	Write-Up Title	FY 16 \$	FY 17 \$
DSS	Policy Revisions	Achieve Medication Administration Savings	(10.0)	(10.0)
OSC - Fringe Benefits	Policy Revisions	Reduce Funding for Prior Authorization for Compound Drugs	(10.0)	(10.0)
MHA	Current Services	Update Expenditures for Young Adult Services	6.8	12.2
DSS	Current Services	Update Expenditure Estimates for Money Follows the Person	(5.9)	(11.3)
MHA	Current Services	Update Expenditures for Home and Community Based Services	5.0	11.4
DDS	Current Services	Provide Funding for Community Placements	4.3	12.1
OTT - Debt Service	Current Services	Adjust Debt Service to Reflect POB Requirements	(1.2)	(14.3)
Various	Policy Revisions	Second Chance Society Initiatives	(5.1)	(9.6)
DSS	Policy Revisions	Eliminate Rate Increases for Various Programs	(4.9)	(9.8)
DSS	Current Services	Update Expenditure Estimates for HUSKY B	5.7	8.2
SDE	Policy Revisions	Provide Additional ECS Funding	3.2	10.6
DOH	Current Services	Adjust Funding for the Rental Assistance Program	4.2	9.4

FY 16 and FY 17 Budget Growth Rates

The FY 16 growth rate for the General Fund is 3.90% over FY 15 estimated expenditures.² The FY 17 General Fund growth rate is 3.03% over FY 16. See the table below for details.

FY 16 and FY 17 Budget Growth Rates (by fund – in millions)

Fund	FY 15 Est. Expenditure	FY 16 Approp.	FY 16 Change		FY 17 Approp.	FY 17 Change	
	\$	\$	\$	%	\$	\$	%
General	17,480.5	18,161.6	681.1	3.90%	18,711.2	549.6	3.03%
Transportation	1,341.8	1,416.1	74.3	5.54%	1,496.1	80.1	5.65%
Other Appropriated	214.5	229.6	15.1	7.03%	231.0	1.4	0.61%
TOTAL	19,036.7	19,807.2	770.5	4.05%	20,438.3	631.1	3.19%

²Based on the FY 15 estimated expenditure level contained in the Governor's FY 16 – FY 17 Budget Document.

Building the FY 16 and FY 17 Budget

The Governor and the Legislature formulate the budget by establishing prior year base spending (FY 15) and adjusting for current services needs and policy goals. In short, the biennial budget is the sum of the Governor's estimated FY 15 expenditures, plus current service adjustments, plus policy revisions. Below is a summary of these changes by fund.

FY 16 and FY 17 Budget Adjustment Summary (in millions)

Fund	Current Services		Policy		Total	
	FY 16 \$	FY 17 \$	FY 16 \$	FY 17 \$	FY 16 \$	FY 17 \$
General	1,200.3	1,913.8	(519.2)	(683.1)	681.1	1,230.7
Special Transportation	50.5	111.0	23.9	43.4	74.3	154.4
Banking	1.1	(5.2)	(0.3)	6.3	0.8	1.1
Insurance	3.2	4.9	8.4	8.2	11.6	13.0
Consumer Counsel & Public Utility Control	1.1	1.3	0.3	0.1	1.4	1.4
Workers' Compensation	1.5	1.4	(0.3)	(0.5)	1.2	0.8
Mashantucket Pequot and Mohegan	-	-	-	-	-	-
Regional Market Operation	-	0.1	-	-	-	-
Criminal Injuries Compensation	0.1	0.1	-	-	0.1	0.1
TOTAL	1,257.6	2,027.2	(487.2)	(625.7)	770.5	1,401.5

On the following page are the FY 16 and FY 17 Budget Reconciliation tables by fund.

FY 16 Budget Reconciliation (in millions)

Fund	Governor Estimated FY 15	FY 16				
		Current Services Adjustments	Total Current Services	Policy Revisions	Total Adjustments	Net Appropriation
General	17,480.5	1,200.3	18,680.7	(519.2)	681.1	18,161.6
Special Transportation	1,341.8	50.5	1,392.2	23.9	74.3	1,416.1
Banking	28.8	1.1	29.9	(0.3)	0.8	29.6
Insurance	68.3	3.2	71.5	8.4	11.6	79.9
Consumer Counsel and Public Utility	25.6	1.1	26.7	0.3	1.4	27.0
Workers' Compensation	26.2	1.5	27.6	(0.3)	1.2	27.3
Mashantucket Pequot and Mohegan	61.8	-	61.8	-	-	61.8
Regional Market Operation	1.0	0.0	1.1	-	-	1.1
Criminal Injuries Compensation	2.8	0.1	2.9	-	0.1	2.9
TOTAL	19,036.7	1,257.6	20,294.4	(487.2)	770.5	19,807.2

FY 17 Budget Reconciliation (in millions)

Fund	Governor Estimated FY 15	FY 17				
		Current Services Adjustments	Total Current Services	Policy Revisions	Total Adjustments	Net Appropriation
General	17,480.5	1,913.8	19,394.2	(683.1)	1,230.7	18,711.2
Special Transportation	1,341.8	111.0	1,452.7	43.4	154.4	1,496.1
Banking	28.8	(5.2)	23.6	6.3	1.1	29.9
Insurance	68.3	4.9	73.2	8.2	13.0	81.4
Consumer Counsel and Public Utility	25.6	1.3	26.9	0.1	1.4	27.0
Workers' Compensation	26.2	1.4	27.5	(0.5)	0.8	27.0
Mashantucket Pequot and Mohegan	61.8	-	61.8	-	-	61.8
Regional Market Operation	1.0	0.1	1.1	-	-	1.1
Criminal Injuries Compensation	2.8	0.1	2.9	-	0.1	2.9
TOTAL	19,036.7	2,027.2	21,064.0	(625.7)	1,401.5	20,438.3

FY 18 – FY 20 Out Year Projections

The table below compares OFA’s estimates of revenue and expenditures for FY 18 – FY 20 based on the FY 16 and FY 17 budget (PA 15-244 as adjusted by PA 15-5, JSS). On a current services basis, the expenditures are projected to exceed revenues by \$759.0 million in FY 18, \$649.8 million in FY 19 and \$719.8 million in FY 20.

Out Year Projections of Expenditures and Revenues (in millions)

Fund	FY 18 \$			FY 19 \$			FY 20 \$		
	Est. Expend.	Revenue	Surplus/ (Deficit)	Est. Expend.	Revenue	Surplus/ (Deficit)	Est. Expend.	Revenue	Surplus/ (Deficit)
General	19,902.6	18,975.7	(926.9)	20,557.4	19,726.4	(831.0)	21,419.8	20,522.6	(897.2)
Special Transportation	1,568.9	1,725.2	156.3	1,601.5	1,775.2	173.7	1,636.1	1,808.7	172.6
Other Appropriated	237.6	249.3	11.7	244.6	252.0	7.4	251.9	256.7	4.8
TOTAL	21,709.1	20,950.2	(759.0)	22,403.5	21,753.7	(649.8)	23,307.8	22,588.0	(719.8)

Budget Reserve Fund Activity and Balance

The current balance in the Budget Reserve Fund (Rainy Day Fund) is \$406.0 million.

FY 15 ended with a General Fund deficit of \$113.2 million. The Budget Reserve Fund balance at the beginning of FY 15 was \$519.2 million. The deficit of \$113.2 million will be eliminated through a transfer from the Budget Reserve Fund; thus reducing the BRF balance to \$406.0 million.

The following table shows activity and balances in the Budget Reserve Fund from FY 04 – FY 15.

Budget Reserve Fund Activity and Balance: FY 04 – FY 15 (in millions)

Fiscal Year	Beginning Balance \$	Deposits/ (Withdrawals) \$	Ending Balance \$
04	-	302.2	302.2
05	302.2	363.8	666.0
06	666.0	446.5	1,112.5
07	1,112.5	269.2	1,381.7
08	1,381.7	-	1,381.7
09	1,381.7	-	1,381.7
10	1,381.7	(1,278.5)	103.2
11	103.2	(103.2)	-
12	-	93.3	93.3
13	93.3	177.2	270.7
14	270.7	248.5	519.2
15	519.2	(113.2)	406.0

Changes to the Budget Reserve Fund in PA 15-244

PA 15-244, the FY 16 and FY 17 budget bill, establishes, beginning in FY 19, a transfer of any excess General Fund (GF) revenue to the Budget Reserve Fund (BRF) and the State Employees' Retirement Fund (SERF). This results in a potentially significant diversion of revenue from the GF to the BRF and SERF in FY 19 and annually thereafter.³

In order for a revenue transfer to be triggered, total “combined revenue”⁴ must be in excess of a calculated threshold based on the average difference (as a percentage)

³Per the act, BRF revenue can be accessed in the event of a decrease in GF revenue greater than 2% over the prior year (for example, during a recession).

⁴For the purposes of the act “combined revenue” is equal to the sum of: (1) the corporation business tax, and (2) the estimated & final payments portion of the personal income tax.

between actual revenue and the ten year average. The act allows for the threshold to be adjusted for changes in tax policy that impact the corporation business tax or the personal income tax.

Based on historical data, the transfer of GF revenue to the BRF and SERF may exceed \$800 million in a fiscal year. The table below compares actual deposits into the BRF to deposits that would have occurred had the new law been in effect.

Comparison of Historical BRF Transfers to Formula (in millions)

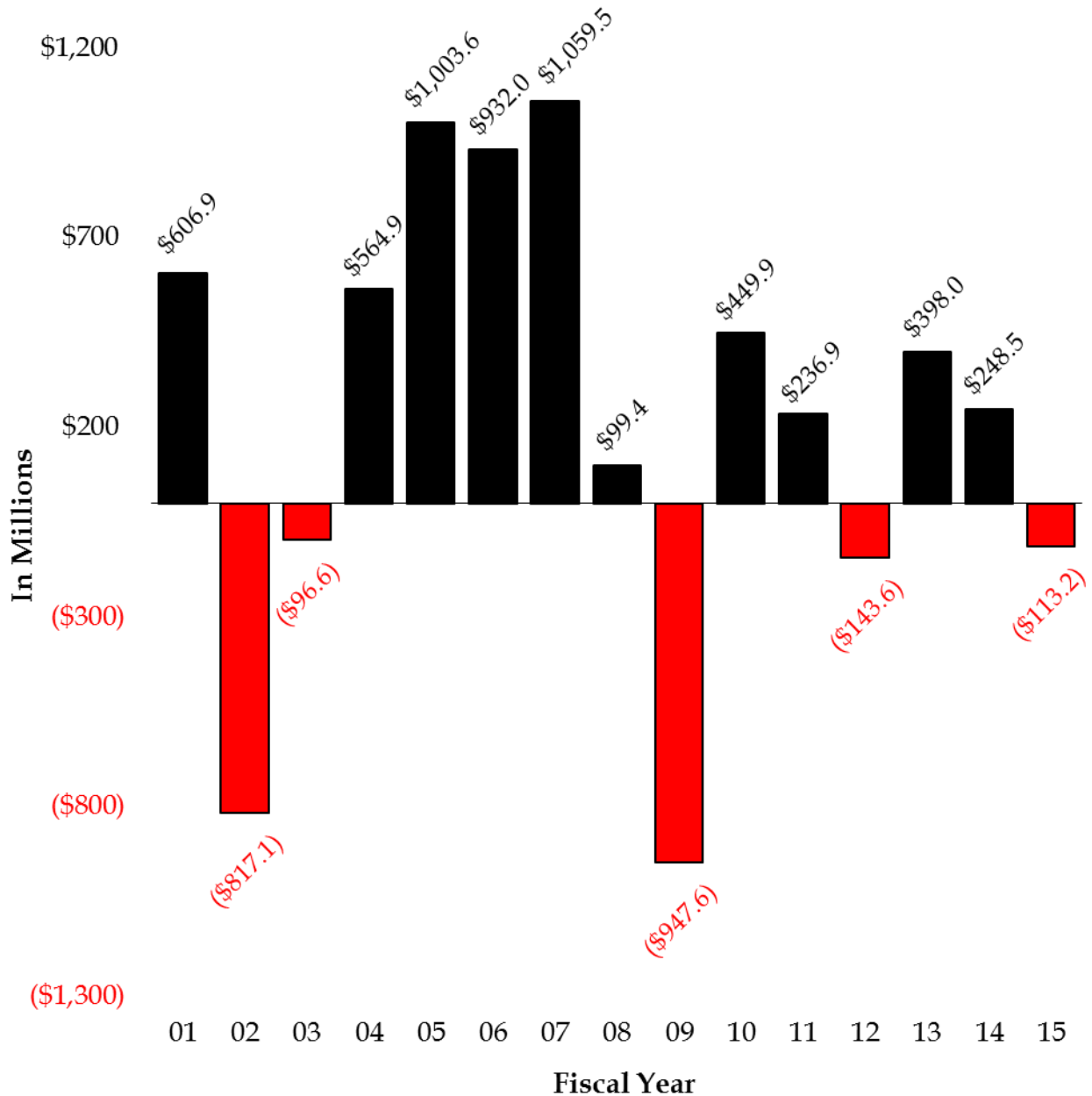
FY	Actual Deposit into BRF \$	Transfers as Calculated Under the Act \$
04	302.2	24.6
05	363.9	433.6
06	446.5	697.1
07	269.2	815.8
08	-	818.5
09	-	-
10	(1,278.5)	-
11	(103.2)	-
12	93.5	75.0
13	177.2	200.4
14	248.5	-

The breakout of the transfer from the GF to the BRF or SERF varies based on the amount of funds currently in the BRF relative to total GF appropriations, which is illustrated in the table below.

BRF and SERF Diversions Calculation

BRF Balance / Appropriations	Budget Reserve Fund	State Employees Retirement Fund
0 to 5%	95%	5%
5 to 10%	90%	10%
10 to 15%	85%	15%
Greater than 15%	0%	100%

General Fund Surplus/(Deficit) from Operations (FY 01 - FY 15)¹



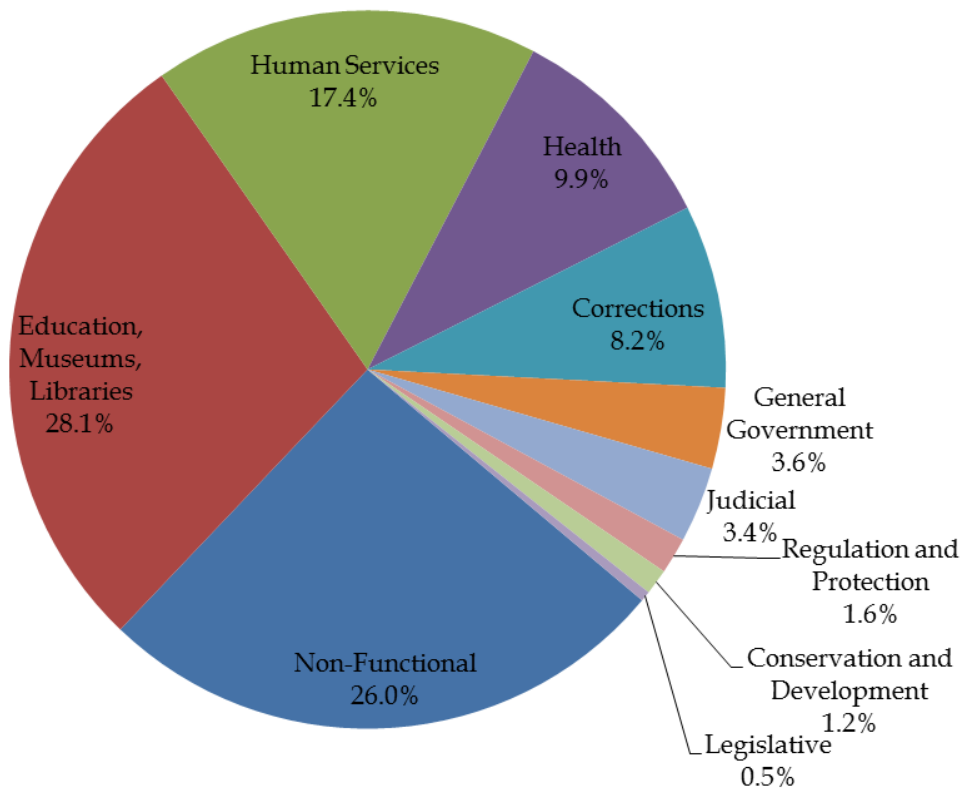
For FY 01, 04, 05, 06, and 07 the surplus figures above are gross surplus amounts. They exclude fund balances from prior years (such as additional appropriations of surplus resources, or funds reserved for use as revenue in future fiscal years). The net surplus (year-end closing) is as follows for FY 01: \$30.7 million, FY 04: \$302.2 million, FY 05: \$363.9 million, FY 06: \$446.5 million, and FY 07: \$269.2 million.

II. GENERAL FUND

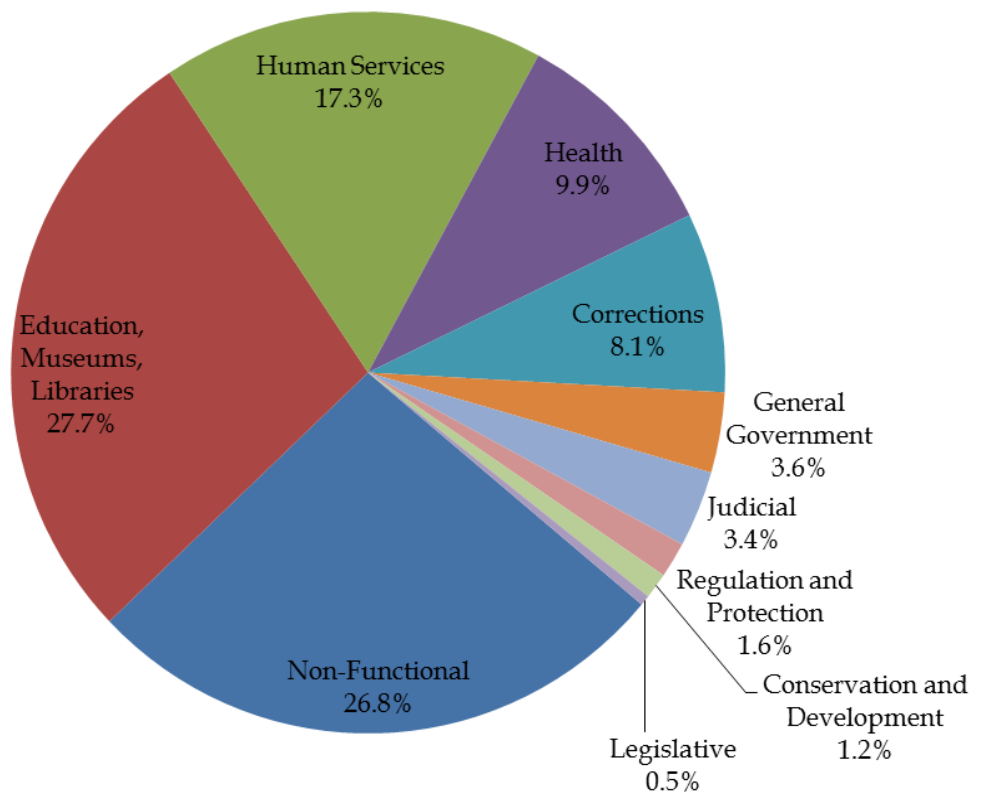
FY 16 and FY 17 General Fund Appropriations (by function of government – in millions)

Function of Government	FY 16 \$	% of Total	FY 17 \$	% of Total
Non-Functional	4,775.7	26.0%	5,066.9	26.8%
<i>Fringe Benefits</i>	2,737.7	14.9%	2,887.9	15.3%
Education, Museums, Libraries	5,166.4	28.1%	5,242.5	27.7%
<i>Elementary & Secondary Education</i>	4,073.4	22.2%	4,134.9	21.9%
<i>Higher Education</i>	785.7	4.3%	798.0	4.2%
Human Services	3,196.2	17.4%	3,273.8	17.3%
<i>Medicaid</i>	2,468.4	13.4%	2,542.8	13.4%
<i>Disproportionate Share</i>	108.9	0.6%	108.9	0.6%
<i>Temporary Assistance to Families</i>	99.4	0.5%	98.9	0.5%
Health	1,817.4	9.9%	1,872.7	9.9%
Corrections	1,514.8	8.2%	1,524.2	8.1%
General Government	670.0	3.6%	680.5	3.6%
Judicial	625.4	3.4%	647.7	3.4%
Regulation and Protection	301.0	1.6%	302.6	1.6%
Conservation and Development	211.3	1.2%	218.9	1.2%
Legislative	83.9	0.5%	87.1	0.5%
Gross Total	18,362.2		18,916.9	
Lapses	(200.6)		(205.7)	
NET TOTAL	18,161.6		18,711.2	

**FY 16 General Fund Appropriations
(by function of government)**

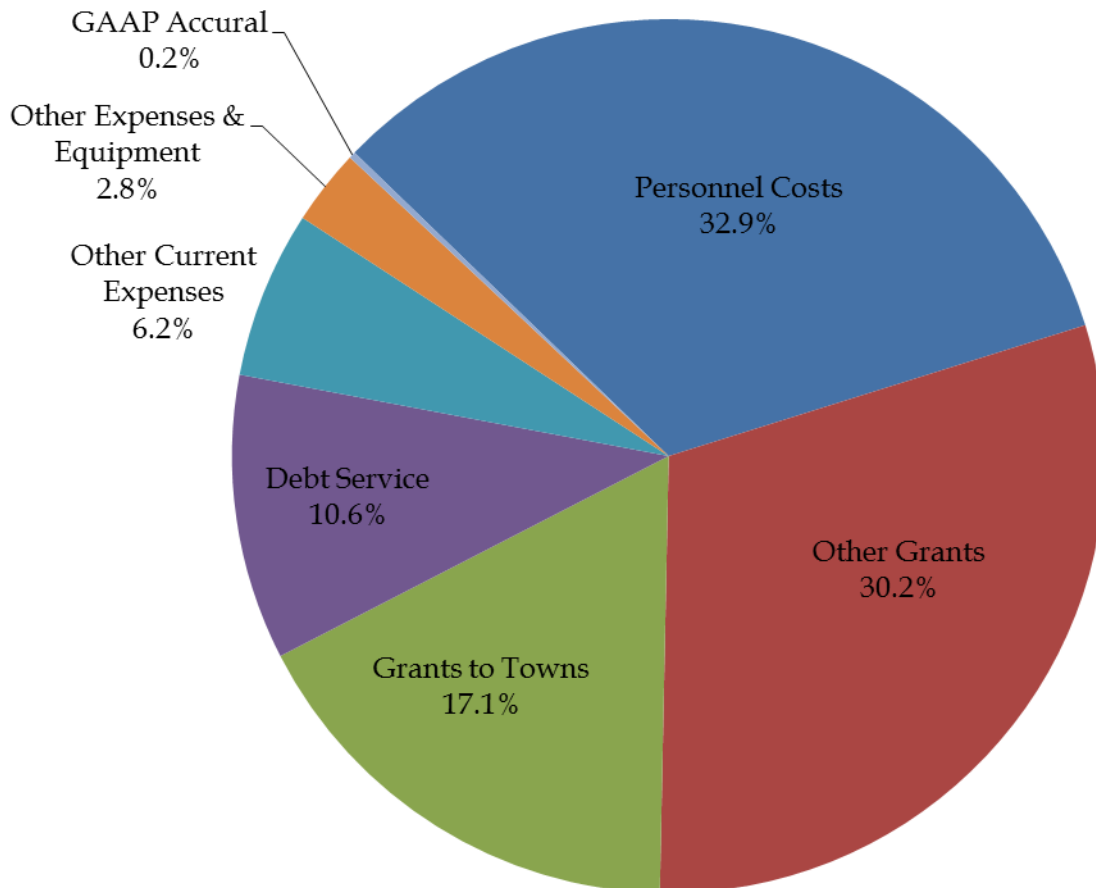


**FY 17 General Fund Appropriations
(by function of government)**



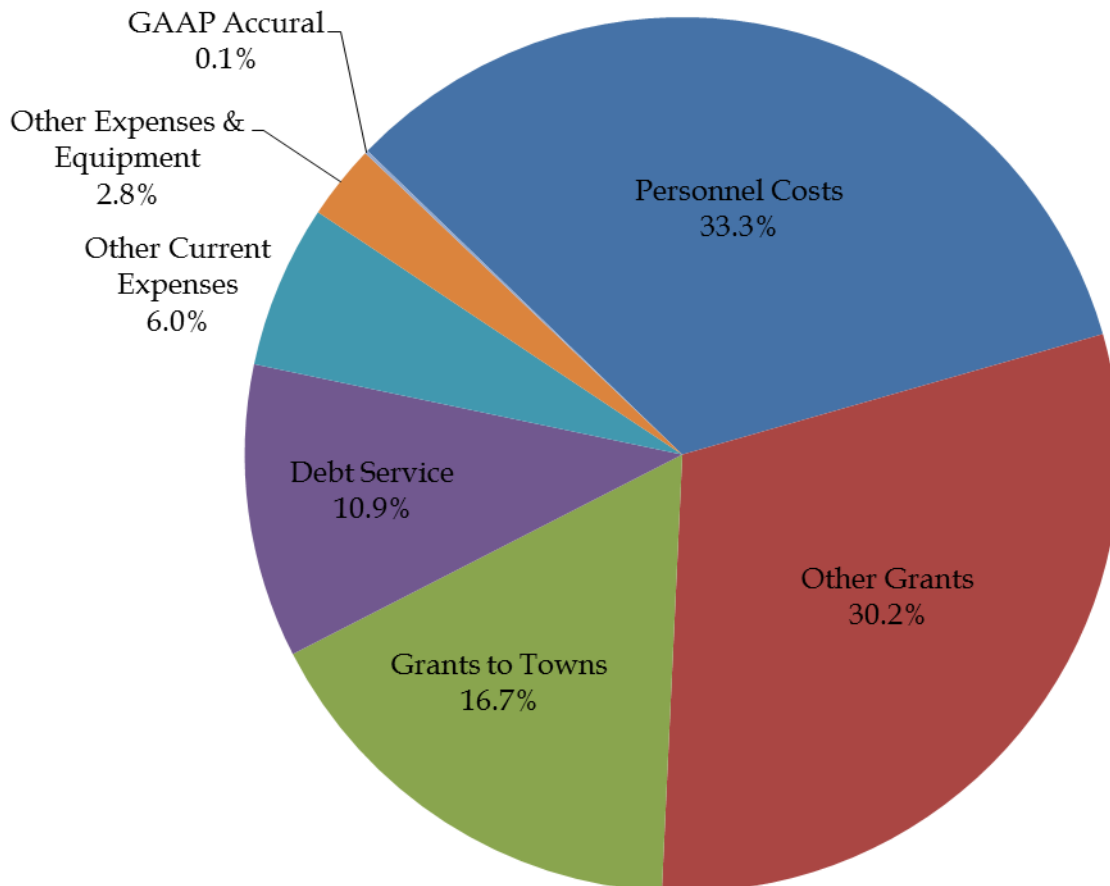
**FY 16 General Fund Appropriations
(by expenditure type - in millions)**

Expenditure Type	FY 16 \$	% of Total
Personnel Costs	6,047.0	32.9%
<i>Fringe Benefits</i>	2,737.7	14.9%
Other Grants	5,540.1	30.2%
<i>Medicaid</i>	2,468.4	13.4%
<i>Teacher's Retirement: Pension & Health</i>	995.7	5.4%
Grants to Towns	3,141.5	17.1%
<i>Education Equalization Grants</i>	2,155.8	11.7%
<i>Magnet Schools</i>	328.4	1.8%
<i>PILOTS - State Owned & Private</i>	233.1	1.3%
Debt Service	1,937.6	10.6%
Other Current Expenses	1,137.3	6.2%
Other Expenses & Equipment	514.0	2.8%
GAAP Accrual	44.8	0.2%
Gross Total	18,362.2	100.0%
Lapses	(200.6)	
NET TOTAL	18,161.6	



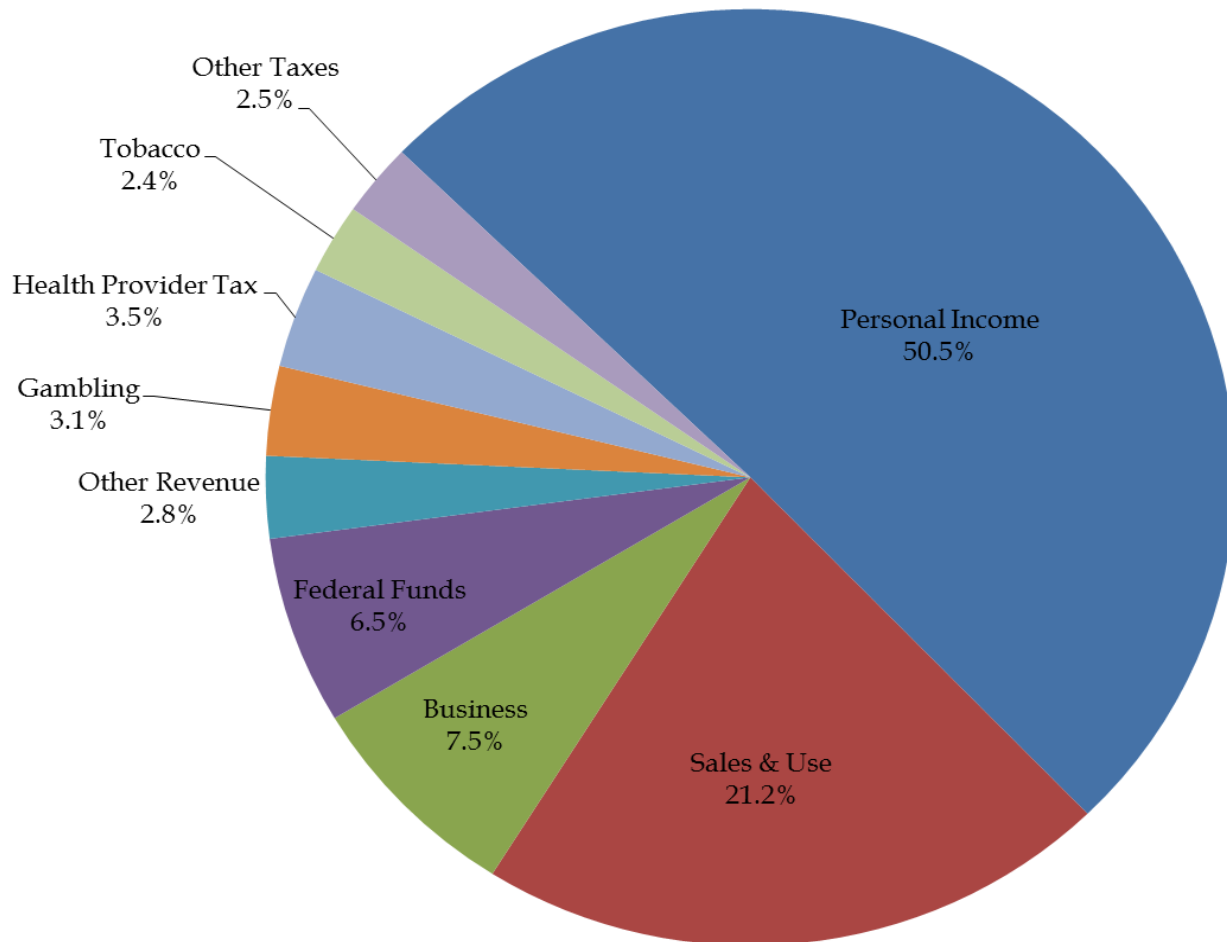
**FY 17 General Fund Appropriations
(by expenditure type - in millions)**

Expenditure Type	FY 17 \$	% of Total
Personnel Costs	6,305.3	33.3%
<i>Fringe Benefits</i>	2,887.9	15.3%
Other Grants	5,711.4	30.2%
<i>Medicaid</i>	2,542.8	13.4%
<i>Teacher's Retirement: Pension & Health</i>	1,032.3	5.5%
Grants to Towns	3,162.3	16.7%
<i>Education Equalization Grants</i>	2,172.5	11.5%
<i>Magnet Schools</i>	325.0	1.7%
<i>PILOTS - State Owned & Private</i>	233.1	1.2%
Debt Service	2,053.1	10.9%
Other Current Expenses	1,141.3	6.0%
Other Expenses & Equipment	521.1	2.8%
GAAP Accrual	22.4	0.1%
Gross Total	18,916.9	100.0%
Lapses	(205.7)	
NET TOTAL	18,711.2	



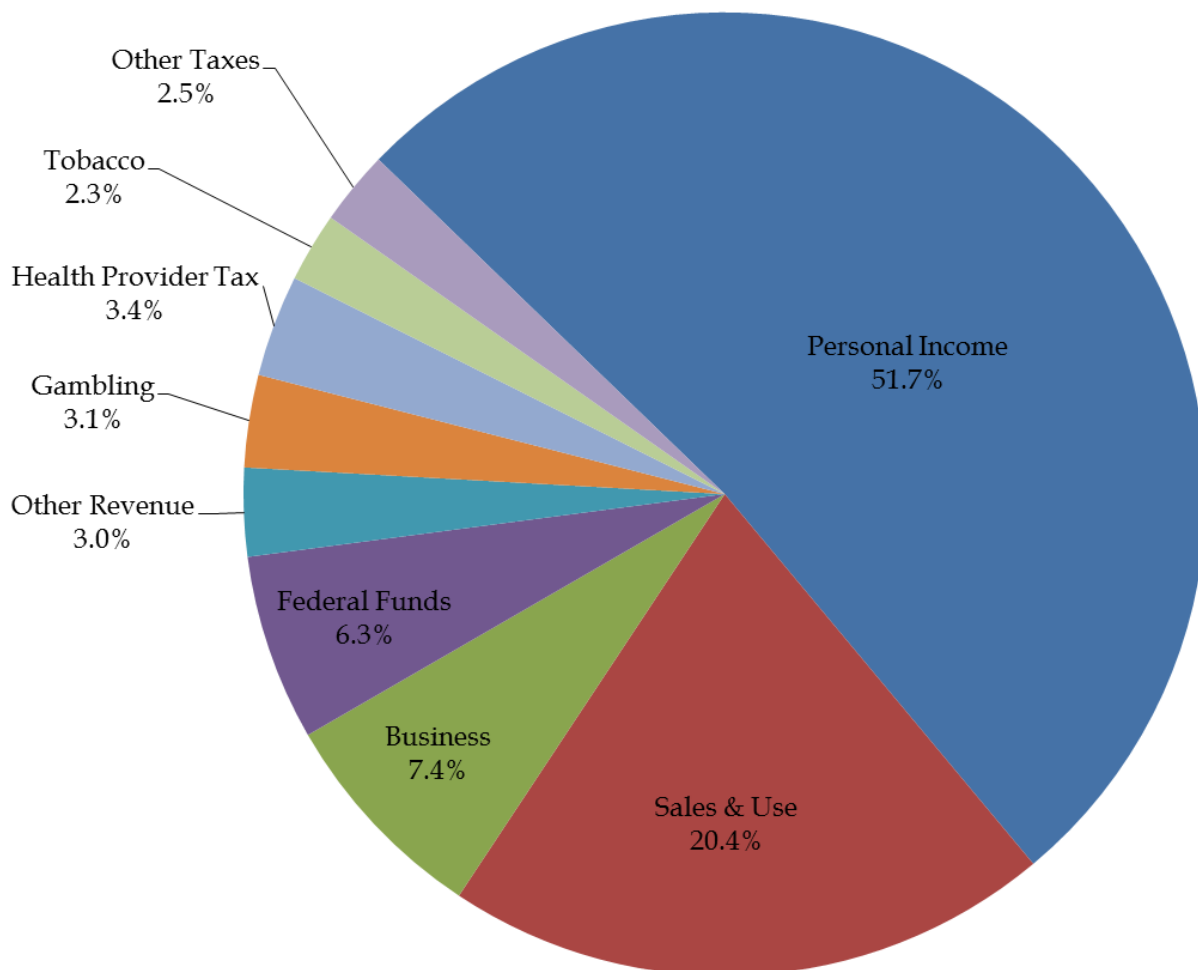
**FY 16 General Fund Revenue
(by revenue item - in millions)**

Revenue Item	FY 16 \$	% of Total
Personal Income	9,834.4	50.5%
Sales & Use	4,121.1	21.2%
Business	1,454.0	7.5%
Federal Funds	1,265.2	6.5%
Other Revenue	551.0	2.8%
Gambling	602.2	3.1%
Health Provider Tax	676.9	3.5%
Motor Fuels	0.0	0.0%
Tobacco	467.8	2.4%
Other Taxes	488.9	2.5%
Gross Total	19,461.5	100%
Reductions	(1,299.1)	
NET TOTAL	18,162.4	



**FY 17 General Fund Revenue
(by revenue item - in millions)**

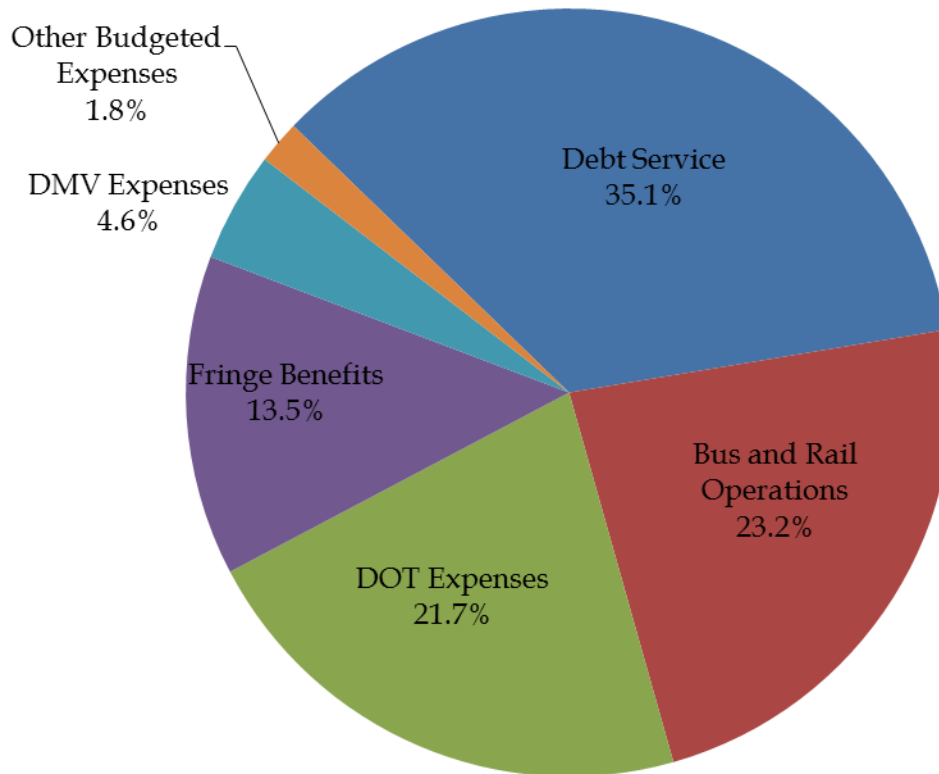
Revenue Item	FY 17 \$	% of Total
Personal Income	10,357.2	51.7%
Sales & Use	4,084.7	20.4%
Business	1,473.2	7.4%
Federal Funds	1,252.7	6.3%
Other Revenue	593.5	3.0%
Gambling	621.7	3.1%
Health Provider Tax	683.9	3.4%
Motor Fuels	0.0	0.0%
Tobacco	467.8	2.3%
Other Taxes	498.5	2.5%
Gross Total	20,033.1	100%
Reductions	(1,319.5)	
NET TOTAL	18,713.6	



III. TRANSPORTATION FUND

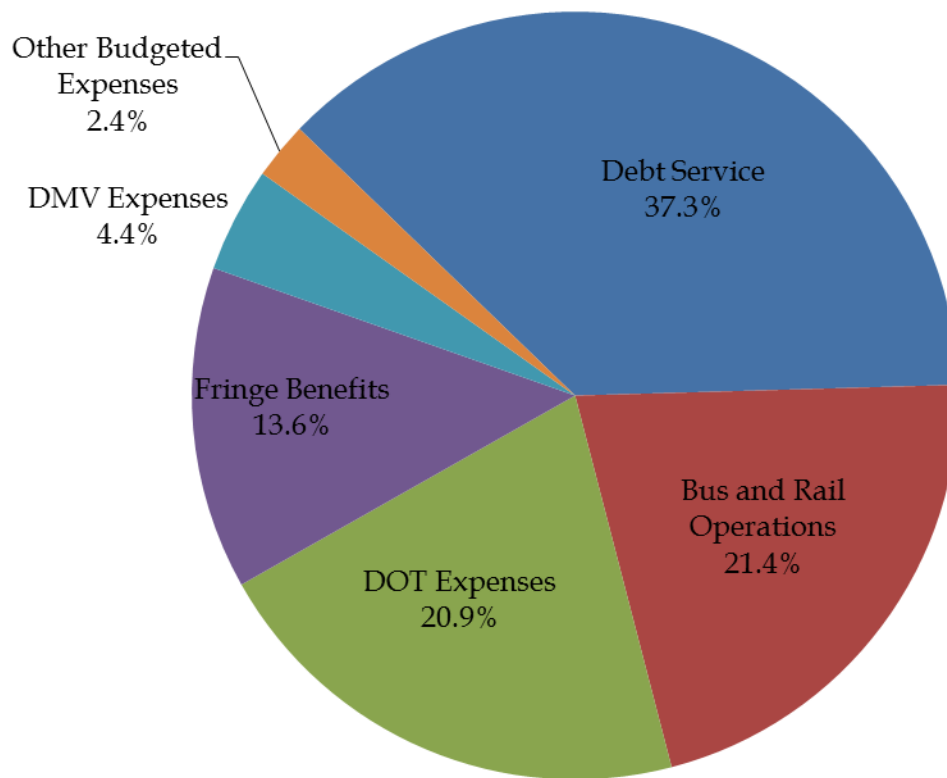
FY 16 Transportation Fund Appropriations (by expenditure type - in millions)

Expenditure Type	FY 16 \$	% of Total
Debt Service	502.0	35.1%
Bus and Rail Operations	331.9	23.2%
DOT Expenses	309.4	21.7%
Fringe Benefits	192.5	13.5%
DMV Expenses	66.3	4.6%
Other Budgeted Expenses	26.1	1.8%
Gross Total	1,428.1	100%
Lapses	(12.0)	
NET TOTAL	1,416.1	



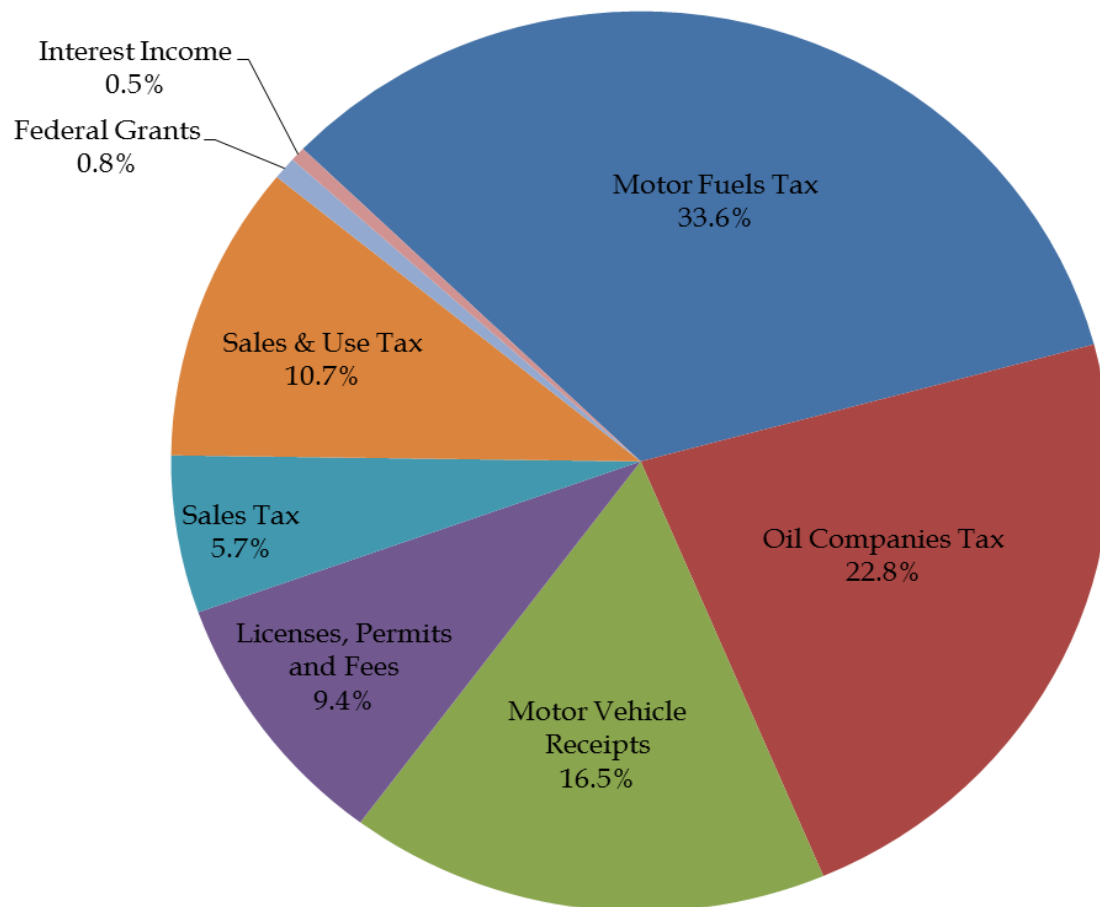
**FY 17 Transportation Fund Appropriations
(by expenditure type - in millions)**

Expenditure Type	FY 17 \$	% of Total
Debt Service	563.0	37.3%
Bus and Rail Operations	322.7	21.4%
DOT Expenses	314.6	20.9%
Fringe Benefits	204.8	13.6%
DMV Expenses	66.8	4.4%
Other Budgeted Expenses	36.3	2.4%
Gross Total	1,508.1	100%
Lapses	(12.0)	
NET TOTAL	1,496.1	



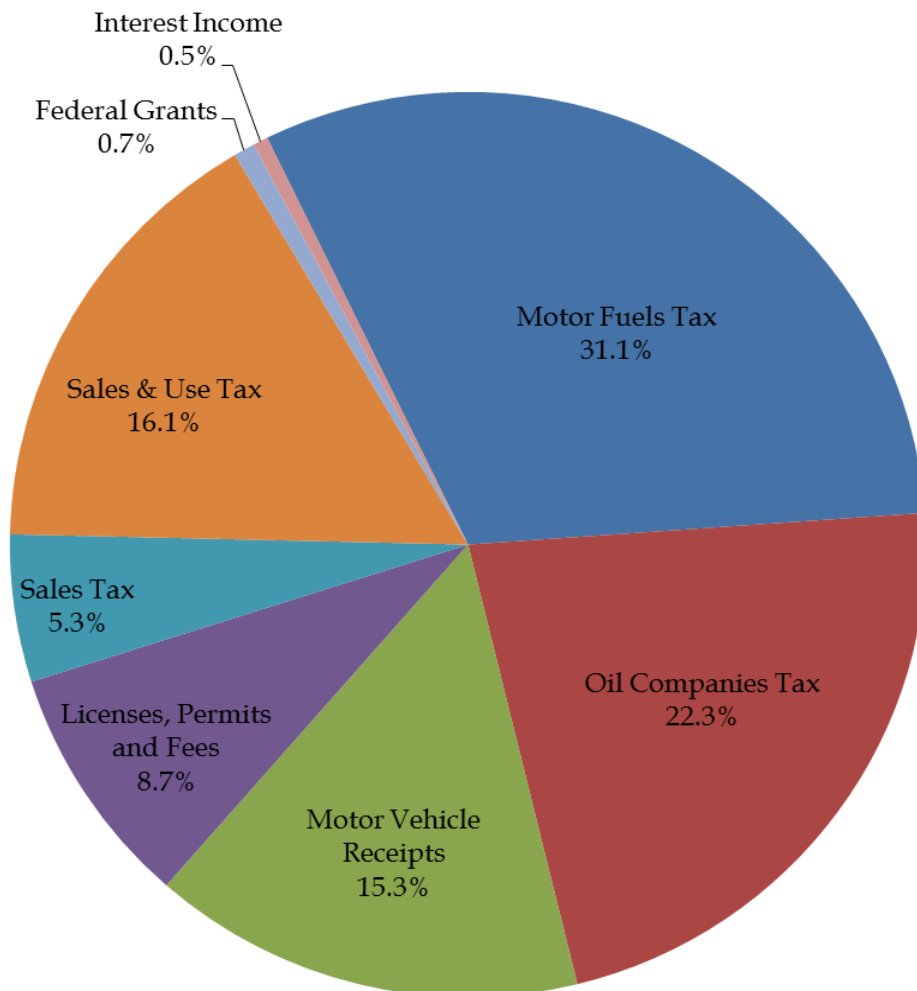
**FY 16 Transportation Fund Revenue
(by revenue item - in millions)**

Revenue Item	FY 16 \$	% of Total
Motor Fuels Tax	499.0	33.6%
Oil Companies Tax	339.1	22.8%
Motor Vehicle Receipts	245.8	16.5%
Licenses, Permits and Fees	139.3	9.4%
Sales Tax	84.0	5.7%
Sales & Use Tax	158.6	10.7%
Federal Grants	12.1	0.8%
Interest Income	7.7	0.5%
Gross Total	1,485.6	100%
Transfers	(6.5)	
Reductions	(11.0)	
NET TOTAL	1,468.1	



**FY 17 Transportation Fund Revenue
(by revenue item - in millions)**

Revenue Item	FY 17 \$	% of Total
Motor Fuels Tax	502.3	31.1%
Oil Companies Tax	359.7	22.3%
Motor Vehicle Receipts	246.6	15.3%
Licenses, Permits and Fees	139.9	8.7%
Sales Tax	85.0	5.3%
Sales & Use Tax	260.6	16.1%
Federal Grants	12.1	0.7%
Interest Income	8.5	0.5%
Gross Total	1,614.7	100%
Transfers	(6.5)	
Reductions	(11.3)	
NET TOTAL	1,596.9	



FY 16 and FY 17 Special Transportation Fund Projections
(as of September 30, 2015 - in millions)

	FY 16 \$	FY 17 \$
Beginning Balance	180.1	232.1
Revenues¹		
Taxes		
Motor Fuels Tax	499.0	502.3
Petroleum Products Tax	339.1	359.7
Sales Tax - DMV	84.0	85.0
Sales & Use Tax	158.6	260.6
Refund of Taxes	(7.3)	(7.5)
Subtotal	1,073.4	1,200.1
Other Revenue		
Motor Vehicle Receipts	245.8	246.6
License, Permits and Fees	139.3	139.9
Interest Income	7.7	8.5
Federal Grants ²	12.1	12.1
Transfers (to)/from other Funds ³	(6.5)	(6.5)
Subtotal	398.4	400.6
Less Refunds of Payments	(3.7)	(3.8)
Total - Revenues	1,468.1	1,596.9
Expenditures		
Debt Service	502.0	563.0
DOT Budgeted Expenses	641.3	637.3
DMV Budgeted Expenses	66.3	66.8
Other Budgeted Expenses	218.5	241.0
Subtotal	1,428.1	1,508.1
Less Unallocated Lapses	(12.0)	(12.0)
Total - Expenditures	1,416.1	1496.1
Surplus/(Deficit)	52.0	100.8
Ending Balance	232.1	332.9
Debt Service Ratio⁴	2.9	2.8

¹The figures reflect estimates adopted by the Finance, Revenue and Bonding Committee on June 29, 2015.

²Payments for American Recovery Reinvestment Act projects.

³CGS 14-164m transfers \$6.5 million to the Emissions Enterprise Fund.

⁴Pledged revenues for reserves required under the Indentures in an amount at least two (2) times the aggregate Principal and Interest Requirements on all outstanding Bonds and Notes.

IV. OTHER APPROPRIATED FUNDS

Fund	Actual FY 15 \$ ¹	Projected FY 16 \$	Projected FY 17 \$
Mashantucket Pequot and Mohegan			
Beginning Balance	459,465	-	20,093
Revenue	-	61,800,000	61,800,000
Expenditures	(61,698,907)	(61,779,907)	(61,779,907)
Transfers	61,239,442	-	-
Ending Balance	-	20,093	40,186
Regional Market Operating			
Beginning Balance	589,154	348,545	387,308
Revenue	831,915	1,100,000	1,100,000
Expenditures	(1,072,524)	(1,061,237)	(1,067,306)
Transfers	-	-	-
Ending Balance	348,545	387,308	420,002
Banking			
Beginning Balance	20,423,112	15,540,317	8,904,071
Revenue	28,278,827	30,000,000	30,200,000
Expenditures	(27,461,622)	(29,636,246)	(29,889,297)
Transfers ²	(5,700,000)	(7,000,000)	(7,000,000)
Ending Balance	15,540,317	8,904,071	2,214,774
Insurance			
Beginning Balance	8,958,269	5,574,106	5,590,317
Revenue	61,592,498	79,950,000	81,400,000
Expenditures	(64,994,373)	(79,933,789)	(81,351,940)
Transfers	17,712	-	-
Ending Balance	5,574,106	5,590,317	5,638,377
Consumer Counsel and Public Utility Control			
Beginning Balance	7,102,995	9,610,948	9,620,802
Revenue	25,681,497	27,000,000	27,300,000
Expenditures	(23,173,544)	(26,990,146)	(26,953,593)
Transfers	-	-	-
Ending Balance	9,610,948	9,620,802	9,967,209
Workers' Compensation			
Beginning Balance	12,742,699	14,271,602	26,786,476
Revenue	23,914,541	39,827,000	40,638,000

Fund	Actual FY 15 \$¹	Projected FY 16 \$	Projected FY 17 \$
Expenditures	(22,396,307)	(27,312,126)	(26,982,874)
Transfers	10,669	-	-
Ending Balance	14,271,602	26,786,476	40,441,602
Criminal Injuries Compensation			
Beginning Balance	1,802,465	3,064,122	3,112,447
Revenue	3,705,978	2,900,000	3,000,000
Expenditures	(2,444,866)	(2,851,675)	(2,934,088)
Transfers	545	-	-
Ending Balance	3,064,122	3,112,447	3,178,359
Totals			
Beginning Balance	52,078,159	48,409,640	54,421,514
Revenue	144,005,256	242,577,000	245,438,000
Expenditures	(203,242,143)	(229,565,126)	(230,959,005)
Transfers	55,568,368	(7,000,000)	(7,000,000)
ENDING BALANCE	48,409,640	54,421,514	61,900,509

¹Source: Schedule C-1 of the Comptroller's Annual Report.

²Pursuant to Sections 181-182 of PA 15-244, the FY 16 and FY 17 budget.

Other Appropriated Funds Explained (alphabetical)

Banking Fund: The Banking Fund is supported by: (1) consumer credit and securities licensing fees; (2) securities registration fees; and (3) a fee assessed on state banks and credit unions based on asset size. Funds are used primarily for the ongoing operation of the Department of Banking.

Consumer Counsel/Department of Public Utility Control Fund: The Consumer Counsel & Public Utility Control Fund supports the operations of the energy division of the Department of Energy and Environmental Protection, the Office of the Consumer Counsel, and the Connecticut Siting Council. Each agency assesses the regulated public utility entities (electric, gas, water and cable) to cover the agencies' costs. Each regulated entity is responsible for their portion of the total needs of the agencies, based on their percentage of the public service companies' tax.

Criminal Injuries Compensation Fund: The Criminal Injuries Compensation Fund is administered by the Office of Victim Services within the Judicial Department. The Office of Victim Services compensates eligible crime victims or their immediate families for actual and reasonable expenses, lost wages, and pecuniary and other losses resulting from injury or death. Maximum awards are \$15,000 for personal injuries and \$25,000 for death. The Criminal Injuries Compensation Fund receives funding from three major

sources: (1) Costs imposed in criminal prosecutions and certain fines and fees; (2) federal funding; and (3) money from the person directly responsible for a victim's criminal injuries or death.

Insurance Fund: The Insurance Fund supports the operation of the Department of Insurance and the Office of the Healthcare Advocate, and certain programs under the Department of Public Health. The Department assesses domestic insurance companies and entities to cover the cost of these agencies. The assessment is built around the total amount of premium taxes paid to the Department of Revenue Services by domestic insurance companies and entities for the preceding year.

Mashantucket Pequot/Mohegan Fund: The Mashantucket Pequot Mohegan Fund is an appropriation that reduces the amount of slot machine revenues that are otherwise deposited into the General Fund. The appropriation provides grants to towns.

Regional Market Operation Fund: The Regional Market Operation Fund is operated by the state as a self-sustaining non-profit venture which is fully funded by fees generated from the operation of the Market. Its purpose is to provide a central location for farmers and wholesalers to sell and distribute food and other agricultural products. The Market covers 32 acres and encompasses 230,386 square feet of warehouse space, an active railroad spur and 144 stalls in the farmers' market.

Workers' Compensation Fund: The Workers' Compensation Fund supports the operation of the Workers Compensation Commission. The Commission administers the Connecticut workers' compensation system according to the provisions of the Workers' Compensation Act including determining benefits for work-related disabilities and injuries. The State Treasurer assesses private insurance companies and employers to cover the Commission's annual costs. The assessment is built around the proportion of the preceding year's expenses that the state bore on behalf of each self-insured employer or private insurance carrier.

V. APPROPRIATIONS

Budgeted Lapses and Agency Holdbacks

The FY 16 and FY 17 budget includes \$212.6 million in FY 16 and \$217.7 million in FY 17 lapses. The lapses are identified below with a brief explanation.

FY 16 and FY 17 Budget Lapses

Lapse	FY 16 \$	FY 17 \$	Explanation
General Fund Lapses			
General Lapse ¹	(10,000,000)	(10,000,000)	This reduction reflects savings in a manner to be determined by OPM. All General Fund agency accounts could be subject to this reduction.
Unallocated Lapse ¹	(105,504,969)	(104,904,969)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all General Fund agencies and accounts.
Overtime Savings	(10,500,000)	(10,500,000)	This reduction reflects savings in a manner to be determined by OPM. All General Fund agency accounts that have overtime expenditures could be subject to this reduction.
Municipal Opportunities & Regional Efficiencies	(20,000,000)	(20,000,000)	Savings to be achieved with a reduction in municipal aid as a result of various municipal saving initiatives and efficiencies.
General Employee Lapse	(7,110,616)	(12,816,745)	This reduction reflects savings in a manner to be determined by OPM. These savings shall only apply to state employees.
Targeted Savings	(12,500,000)	(12,500,000)	This reduction reflects savings identified by agency and line item in PA 15-5 JSS.
Statewide Hiring Reduction ¹	(35,000,000)	(35,000,000)	Savings anticipated to be achieved by hiring reductions and other savings initiatives in a manner to be determined by OPM. All General Fund agency Personal Services accounts could be subject to this reduction.
Subtotal	(200,615,585)	(205,721,714)	
Transportation Fund Lapses			
Unallocated Lapse	(12,000,000)	(12,000,000)	This reduction reflects an adjustment to gross appropriations due to an anticipated level of under spending across all Transportation Fund agencies and accounts.
Subtotal	(12,000,000)	(12,000,000)	
TOTAL	(212,615,585)	(217,721,714)	

¹The amounts shown are totals by category but the budget act contains a distribution of each by branch of government.

FY 16 Budget Allocated Lapses (Holdbacks)

Sections 10, 11, 12, 38 and 41 of PA 15-244 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The following five lapses are allocated to state agencies via holdbacks by OPM: (1) General Lapse of \$10 million, (2) Statewide Hiring Reduction Lapse of \$35 million, (3) Municipal Opportunities and Regional Efficiencies Program Lapse of \$20 million, of which \$14,797,244 is allocated to the State-Owned Property PILOT and College and Hospital PILOT accounts within OPM, a (4) General Employee Lapse of \$7,110,616, and (5) Overtime Savings Lapse of \$10.5 million.

Section 156 of PA 15-5 JSS contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate a Targeted Savings Lapse of \$12.5 million to state agencies in the three branches of government.

The following schedules identify the allocation (holdback) by lapse category, agency and account.

FY 16 General Allocated Lapses

Agency	Account	FY 16 Holdback \$
African-American Affairs Commission	Personal Services	142
Agricultural Experiment Station	Personal Services	18,745
Agricultural Experiment Station	Other Expenses	3,396
Agricultural Experiment Station	Mosquito Control	1,505
Asian Pacific American Affairs Commission	Personal Services	105
Attorney General	Personal Services	96,223
Attorney General	Other Expenses	3,181
Auditors of Public Accounts	Personal Services	5,946
Board of Regents for Higher Education	Charter Oak State College	8,185
Board of Regents for Higher Education	Community Tech College System	488,657
Board of Regents for Higher Education	Connecticut State University	490,265
Board of Regents for Higher Education	Board of Regents	1,678
Commission on Aging	Other Expenses	214
Commission on Children	Other Expenses	362
Commission on Human Rights and Opportunities	Personal Services	19,597
Council on Environmental Quality	Personal Services	543
Department of Administrative Services	Personal Services	158,456
Department of Administrative Services	Other Expenses	97,970
Department of Agriculture	Personal Services	11,812
Department of Children and Families	Personal Services	854,016
Department of Children and Families	Other Expenses	105,953
Department of Children and Families	Juvenile Justice Outreach Services	37,324
Department of Children and Families	Community Kidcare	120,154
Department of Consumer Protection	Personal Services	46,865
Department of Consumer Protection	Other Expenses	4,031

Agency	Account	FY 16 Holdback \$
Department of Correction	Other Expenses	232,773
Department of Correction	Board of Pardons and Paroles	21,279
Department of Developmental Services	Personal Services	768,576
Department of Developmental Services	Other Expenses	61,743
Department of Developmental Services	Cooperative Placements Program	73,497
Department of Economic and Community Development	Personal Services	24,681
Department of Economic and Community Development	Other Expenses	3,210
Department of Economic and Community Development	Office of Military Affairs	643
Department of Education	Personal Services	59,856
Department of Education	Other Expenses	11,756
Department of Education	Development of Mastery Exams Grades 4, 6, and 8	45,362
Department of Education	School Accountability	4,492
Department of Education	Sheff Settlement	35,517
Department of Education	Regional Vocational-Technical School System	499,638
Department of Education	Special Master	4,436
Department of Emergency Services and Public Protection	Personal Services	436,885
Department of Emergency Services and Public Protection	Other Expenses	87,136
Department of Energy and Environmental Protection	Personal Services	90,989
Department of Energy and Environmental Protection	Other Expenses	8,983
Department of Energy and Environmental Protection	Mosquito Control	813
Department of Energy and Environmental Protection	Dam Maintenance	427
Department of Energy and Environmental Protection	Emergency Spill Response	21,749
Department of Energy and Environmental Protection	Solid Waste Management	10,126
Department of Energy and Environmental Protection	Underground Storage Tank	3,115
Department of Energy and Environmental Protection	Clean Air	13,327
Department of Energy and Environmental Protection	Environmental Conservation	27,174
Department of Energy and Environmental Protection	Environmental Quality	30,064
Department of Housing	Personal Services	6,560
Department of Mental Health and Addiction Services	Personal Services	826,696
Department of Mental Health and Addiction Services	Other Expenses	93,774
Department of Mental Health and Addiction Services	Managed Service System	187,414
Department of Mental Health and Addiction Services	General Assistance Managed Care	125,714
Department of Mental Health and Addiction Services	Young Adult Services	239,721
Department of Mental Health and Addiction Services	TBI Community Services	31,144
Department of Mental Health and Addiction Services	Jail Diversion	13,753
Department of Mental Health and Addiction Services	Behavioral Health Medications	17,318
Department of Mental Health and Addiction Services	Prison Overcrowding	18,947
Department of Mental Health and Addiction Services	Home and Community Based Services	58,712
Department of Public Health	Personal Services	113,124
Department of Public Health	Other Expenses	21,448
Department of Public Health	Children's Health Initiatives	5,818
Department of Rehabilitation Services	Personal Services	15,250
Department of Rehabilitation Services	Other Expenses	4,720
Department of Rehabilitation Services	Educational Aid for Blind and Visually Handicapped Children	13,518
Department of Revenue Services	Personal Services	181,419

Agency	Account	FY 16 Holdback \$
Department of Revenue Services	Other Expenses	25,139
Department of Social Services	Personal Services	395,022
Department of Social Services	Other Expenses	444,472
Department of Veterans' Affairs	Personal Services	67,918
Department of Veterans' Affairs	Other Expenses	15,150
Division of Criminal Justice	Personal Services	143,851
Division of Criminal Justice	Medicaid Fraud Control	3,938
Division of Criminal Justice	Cold Case Unit	818
Division of Criminal Justice	Shooting Taskforce	3,315
Governor's Office	Personal Services	6,896
Judicial Department	Other Expenses	250,485
Labor Department	Personal Services	27,672
Labor Department	Other Expenses	3,799
Labor Department	CETC Workforce	2,052
Labor Department	Jobs First Employment Services	54,003
Labor Department	Apprenticeship Program	1,745
Labor Department	Connecticut Career Resource Network	496
Labor Department	Incumbent Worker Training	2,173
Labor Department	Veterans' Opportunity Pilot	1,577
Latino and Puerto Rican Affairs Commission	Personal Services	210
Legislative Management	Personal Services	32,219
Lieutenant Governor's Office	Personal Services	1,893
Military Department	Personal Services	9,223
Military Department	Other Expenses	7,771
Military Department	Honor Guards	1,048
Office of Early Childhood	Personal Services	25,847
Office of Early Childhood	Other Expenses	1,048
Office of Governmental Accountability	Personal Services	2,456
Office of Governmental Accountability	Child Fatality Review Panel	318
Office of Governmental Accountability	Elections Enforcement Commission	10,834
Office of Governmental Accountability	Office of State Ethics	4,711
Office of Governmental Accountability	Freedom of Information Commission	5,146
Office of Governmental Accountability	Contracting Standards Board	930
Office of Governmental Accountability	Judicial Review Council	435
Office of Governmental Accountability	Judicial Selection Commission	275
Office of Governmental Accountability	Office of the Child Advocate	2,125
Office of Governmental Accountability	Office of the Victim Advocate	1,379
Office of Governmental Accountability	Board of Firearms Permit Examiners	379
Office of Higher Education	Personal Services	5,362
Office of Higher Education	Minority Advancement Program	6,551
Office of Policy and Management	Personal Services	37,841
Office of Policy and Management	Other Expenses	3,564
Office of Policy and Management	Justice Assistance Grants	3,020
Office of the Chief Medical Examiner	Personal Services	14,158

Agency	Account	FY 16 Holdback \$
Office of the Chief Medical Examiner	Other Expenses	4,013
Permanent Commission on the Status of Women	Personal Services	294
Protection and Advocacy for Persons with Disabilities	Personal Services	6,881
Protection and Advocacy for Persons with Disabilities	Other Expenses	583
Psychiatric Security Review Board	Personal Services	776
Public Defender Services Commission	Other Expenses	31,707
Secretary of the State	Personal Services	8,566
Secretary of the State	Other Expenses	5,451
Secretary of the State	Commercial Recording Division	16,929
Secretary of the State	Board of Accountancy	890
State Comptroller	Personal Services	73,982
State Comptroller	Other Expenses	17,372
State Department on Aging	Personal Services	7,137
State Library	Personal Services	15,809
State Library	Other Expenses	1,929
State Library	Interlibrary Loan Delivery Service	843
State Treasurer	Personal Services	9,494
Teachers' Retirement Board	Personal Services	5,331
Teachers' Retirement Board	Other Expenses	1,595
University of Connecticut	Operating Expenses	659,485
University of Connecticut Health Center	Operating Expenses	371,767
University of Connecticut Health Center	AHEC	1,280
TOTAL		10,000,000

**FY 16 Statewide Hiring Reduction:
Personal Services Account Allocated Lapses**

Agency	FY 16 Holdback \$
African-American Affairs Commission	3,303
Agricultural Experiment Station	98,128
Asian Pacific American Affairs Commission	2,532
Attorney General	507,726
Auditors of Public Accounts	147,993
Commission on Aging	5,041
Commission on Children	8,091
Commission on Human Rights and Opportunities	102,419
Department of Administrative Services	829,633
Department of Agriculture	61,839
Department of Children and Families	4,472,737
Department of Consumer Protection	244,897
Department of Correction	6,890,827
Department of Developmental Services	4,041,557
Department of Economic and Community Development	129,244
Department of Education	313,470
Department of Emergency Services and Public Protection	2,299,148
Department of Energy and Environmental Protection	477,320
Department of Housing	34,342
Department of Mental Health and Addiction Services	4,345,953
Department of Public Health	591,112
Department of Rehabilitation Services	79,783
Department of Revenue Services	947,398
Department of Social Services	2,067,383
Department of Veterans' Affairs	355,808
Division of Criminal Justice	752,798
Governor's Office	36,462
Judicial Department	2,938,085
Labor Department	144,984
Latino and Puerto Rican Affairs Commission	5,062
Legislative Management	591,429
Military Department	48,361
Office of Early Childhood	135,019
Office of Policy and Management	199,568
Office of the Chief Medical Examiner	74,153
Permanent Commission on the Status of Women	6,549
Protection and Advocacy for Persons with Disabilities	35,952
Public Defender Services Commission	371,915
Secretary of the State	44,934
State Comptroller	387,126
State Department on Aging	37,301
State Library	82,589
State Treasurer	50,029
TOTAL	35,000,000

FY 16 Municipal Opportunities & Regional Efficiencies Lapse¹

Agency	Account	FY 16 Holdback \$
Office of Policy and Management	Reimbursement to Towns for Loss of Taxes on State Property	12,285,162
Office of Policy and Management	Reimbursements to Towns for Private Tax-Exempt Property	2,512,082
TOTAL		14,797,244

¹ PA 15-244 included a Municipal Opportunities & Regional Efficiencies Lapse of \$20 million of which \$14,797,244 is allocated to OPM. The distribution of the remaining \$5,202,756 has yet to be determined.

FY 16 General Employee Allocated Lapses

Agency	Account	FY 16 Holdback \$
African-American Affairs Commission	Personal Services	3,657
Agricultural Experiment Station	Personal Services	26,810
Agricultural Experiment Station	Mosquito Control	1,314
Asian Pacific American Affairs Commission	Personal Services	2,804
Attorney General	Personal Services	396,257
Auditors of Public Accounts	Personal Services	163,873
Board of Regents for Higher Education	Board of Regents	5,621
Commission on Aging	Personal Services	5,581
Commission on Children	Personal Services	8,959
Commission on Human Rights and Opportunities	Personal Services	14,164
Department of Administrative Services	Personal Services	219,197
Department of Agriculture	Personal Services	13,822
Department of Children and Families	Personal Services	438,132
Department of Consumer Protection	Personal Services	37,585
Department of Correction	Personal Services	359,070
Department of Correction	Board of Pardons and Paroles	16,746
Department of Developmental Services	Personal Services	238,923
Department of Economic and Community Development	Personal Services	37,471
Department of Economic and Community Development	Office of Military Affairs	1,709
Department of Education	Personal Services	94,597
Department of Education	Regional Vocational-Technical School System	117,418
Department of Education	Special Master	2,571
Department of Emergency Services and Public Protection	Personal Services	147,683
Department of Energy and Environmental Protection	Personal Services	170,163
Department of Energy and Environmental Protection	Mosquito Control	1,168
Department of Energy and Environmental Protection	Dam Maintenance	361
Department of Energy and Environmental Protection	Emergency Spill Response	4,388
Department of Energy and Environmental Protection	Solid Waste Management	3,043
Department of Energy and Environmental Protection	Clean Air	3,975
Department of Energy and Environmental Protection	Environmental Conservation	6,744
Department of Energy and Environmental Protection	Environmental Quality	7,074
Department of Housing	Personal Services	9,688
Department of Mental Health and Addiction Services	Personal Services	429,680
Department of Mental Health and Addiction Services	Managed Service System	1,754

Agency	Account	FY 16 Holdback \$
Department of Mental Health and Addiction Services	General Assistance Managed Care	8,559
Department of Mental Health and Addiction Services	Young Adult Services	33,174
Department of Mental Health and Addiction Services	Prison Overcrowding	2,190
Department of Mental Health and Addiction Services	Home and Community Based Services	5,596
Department of Public Health	Personal Services	90,834
Department of Rehabilitation Services	Personal Services	18,827
Department of Revenue Services	Personal Services	104,043
Department of Social Services	Personal Services	191,528
Department of Veterans' Affairs	Personal Services	30,037
Division of Criminal Justice	Personal Services	192,406
Division of Criminal Justice	Medicaid Fraud Control	8,421
Division of Criminal Justice	Cold Case Unit	3,824
Division of Criminal Justice	Shooting Taskforce	8,275
Governor's Office	Personal Services	33,168
Judicial Department	Personal Services	1,506,881
Labor Department	Personal Services	47,841
Labor Department	CETC Workforce	1,696
Labor Department	Jobs First Employment Services	1,797
Labor Department	Apprenticeship Program	1,196
Labor Department	Connecticut Career Resource Network	263
Labor Department	Veterans' Opportunity Pilot	274
Latino and Puerto Rican Affairs Commission	Personal Services	5,606
Legislative Management	Personal Services	654,892
Lieutenant Governor's Office	Personal Services	7,685
Military Department	Personal Services	14,002
Office of Early Childhood	Personal Services	18,879
Office of Governmental Accountability	Personal Services	6,102
Office of Governmental Accountability	Child Fatality Review Panel	1,549
Office of Governmental Accountability	Elections Enforcement Commission	5,753
Office of Governmental Accountability	Office of State Ethics	7,419
Office of Governmental Accountability	Freedom of Information Commission	7,856
Office of Governmental Accountability	Contracting Standards Board	3,827
Office of Governmental Accountability	Judicial Review Council	949
Office of Governmental Accountability	Judicial Selection Commission	1,105
Office of Governmental Accountability	Office of the Child Advocate	5,128
Office of Governmental Accountability	Office of the Victim Advocate	1,886
Office of Governmental Accountability	Board of Firearms Permit Examiners	1,255
Office of Higher Education	Personal Services	9,778
Office of Higher Education	Minority Advancement Program	910
Office of Policy and Management	Personal Services	149,031
Office of Policy and Management	Justice Assistance Grants	180
Office of the Chief Medical Examiner	Personal Services	12,033
Permanent Commission on the Status of Women	Personal Services	7,252
Protection and Advocacy for Persons with Disabilities	Personal Services	5,335
Psychiatric Security Review Board	Personal Services	2,443
Public Defender Services Commission	Personal Services	190,747
Secretary of the State	Personal Services	17,011
Secretary of the State	Commercial Recording Division	4,965
State Comptroller	Personal Services	92,921

Agency	Account	FY 16 Holdback \$
State Department on Aging	Personal Services	6,503
State Library	Personal Services	12,178
State Treasurer	Personal Services	34,754
Teachers' Retirement Board	Personal Services	3,510
University of Connecticut	Operating Expenses	341,710
University of Connecticut Health Center	Operating Expenses	192,630
TOTAL		7,110,616

FY 16 Overtime Savings Lapses

Agency	Account	FY 16 Holdback \$
Agricultural Experiment Station	Personal Services	234
Commission on Human Rights and Opportunities	Personal Services	3,522
Department of Administrative Services	Personal Services	19,002
Department of Agriculture	Personal Services	3,388
Department of Children and Families	Personal Services	929,218
Department of Consumer Protection	Personal Services	2,310
Department of Correction	Personal Services	3,612,371
Department of Correction	Board of Pardons and Paroles	830
Department of Developmental Services	Personal Services	2,037,023
Department of Economic and Community Development	Personal Services	928
Department of Education	Personal Services	491
Department of Education	Regional Vocational-Technical School System	53,762
Department of Emergency Services and Public Protection	Personal Services	1,260,454
Department of Energy and Environmental Protection	Personal Services	25,879
Department of Energy and Environmental Protection	Emergency Spill Response	10,781
Department of Energy and Environmental Protection	Clean Air	380
Department of Energy and Environmental Protection	Environmental Conservation	2,081
Department of Energy and Environmental Protection	Environmental Quality	347
Department of Mental Health and Addiction Services	Personal Services	1,939,655
Department of Mental Health and Addiction Services	Managed Service System	6,356
Department of Mental Health and Addiction Services	Young Adult Services	116,708
Department of Mental Health and Addiction Services	Jail Diversion	2,414
Department of Mental Health and Addiction Services	Prison Overcrowding	547
Department of Public Health	Personal Services	3,977
Department of Rehabilitation Services	Personal Services	179
Department of Revenue Services	Personal Services	10,581
Department of Social Services	Personal Services	347,590
Department of Veterans' Affairs	Personal Services	85,426
Labor Department	Personal Services	327
Military Department	Personal Services	4,430
Office of Early Childhood	Personal Services	290
Office of Governmental Accountability	Elections Enforcement Commission	288
Office of Governmental Accountability	Office of the Victim Advocate	133
Office of Policy and Management	Personal Services	317
Office of the Chief Medical Examiner	Personal Services	10,863
Protection and Advocacy for Persons with Disabilities	Personal Services	196
Secretary of the State	Personal Services	1,152
Secretary of the State	Commercial Recording Division	323
State Comptroller	Personal Services	3,723
State Library	Interlibrary Loan Delivery Service	1,004
Teachers' Retirement Board	Personal Services	520
TOTAL		10,500,000

FY 16 Targeted Savings Lapses

Agency	Account	FY 16 Holdback \$
African-American Affairs Commission	Other Expenses	421
Agricultural Experiment Station	Other Expenses	17,010
Agricultural Experiment Station	Equipment	150
Asian Pacific American Affairs Commission	Other Expenses	214
Attorney General	Other Expenses	15,935
Auditors of Public Accounts	Other Expenses	6,001
Auditors of Public Accounts	Equipment	150
Board of Regents for Higher Education	Workers' Compensation Claims	58,161
Commission on Aging	Other Expenses	573
Commission on Children	Other Expenses	1,513
Commission on Human Rights and Opportunities	Other Expenses	5,538
Commission on Human Rights and Opportunities	Martin Luther King, Jr. Commission	94
Council on Environmental Quality	Other Expenses	26
Department of Administrative Services	Other Expenses	490,769
Department of Administrative Services	Management Services	69,348
Department of Administrative Services	Loss Control Risk Management	1,722
Department of Administrative Services	Employees' Review Board	312
Department of Administrative Services	Surety Bonds for State Officials and Employees	2,127
Department of Administrative Services	Refunds Of Collections	385
Department of Administrative Services	W. C. Administrator	75,000
Department of Administrative Services	State Insurance and Risk Mgmt Operations	205,245
Department of Administrative Services	IT Services	214,726
Department of Agriculture	Other Expenses	11,746
Department of Children and Families	Other Expenses	530,757
Department of Children and Families	Workers' Compensation Claims	158,100
Department of Children and Families	No Nexus Special Education	29,000
Department of Consumer Protection	Other Expenses	20,193
Department of Correction	Other Expenses	1,166,052
Department of Correction	Workers' Compensation Claims	385,574
Department of Correction	Inmate Medical Services	1,376,135
Department of Developmental Services	Other Expenses	309,291
Department of Economic and Community Development	Other Expenses	16,080
Department of Education	Other Expenses	58,892
Department of Education	Development of Mastery Exams Grades 4, 6, and 8	227,236
Department of Education	New or Replicated Schools	5,085
Department of Education	Talent Development	139,532
Department of Education	Common Core	88,593
Department of Education	Special Master	22,258
Department of Education	Health and Welfare Services Pupils Private Schools	58,016
Department of Emergency Services and Public Protection	Other Expenses	436,495
Department of Emergency Services and Public Protection	Equipment	1,409

Agency	Account	FY 16 Holdback \$
Department of Emergency Services and Public Protection	Fleet Purchase	92,750
Department of Emergency Services and Public Protection	Workers' Compensation Claims	68,433
Department of Energy and Environmental Protection	Other Expenses	44,999
Department of Energy and Environmental Protection	State Superfund Site Maintenance	7,228
Department of Energy and Environmental Protection	Laboratory Fees	2,275
Department of Energy and Environmental Protection	Dam Maintenance	2,144
Department of Energy and Environmental Protection	Emergency Spill Response	109,174
Department of Energy and Environmental Protection	Solid Waste Management	50,770
Department of Energy and Environmental Protection	Underground Storage Tank	15,604
Department of Energy and Environmental Protection	Clean Air	66,826
Department of Energy and Environmental Protection	Interstate Environmental Commission	731
Department of Energy and Environmental Protection	New England Interstate Water Pollution Commission	432
Department of Housing	Elderly Rental Registry and Counselors	17,942
Department of Mental Health and Addiction Services	Other Expenses	430,748
Department of Mental Health and Addiction Services	Workers' Compensation Claims	176,884
Department of Public Health	Other Expenses	107,442
Department of Rehabilitation Services	Other Expenses	23,643
Department of Revenue Services	Other Expenses	125,928
Department of Social Services	Other Expenses	2,226,527
Department of Social Services	Refunds Of Collections	1,659
Department of Veterans' Affairs	Other Expenses	75,890
Division of Criminal Justice	Other Expenses	38,420
Division of Criminal Justice	Witness Protection	2,700
Division of Criminal Justice	Training And Education	847
Division of Criminal Justice	Expert Witnesses	4,950
Division of Criminal Justice	Medicaid Fraud Control	19,851
Division of Criminal Justice	Criminal Justice Commission	7
Governor's Office	Other Expenses	3,008
Governor's Office	New England Governors' Conference	1,593
Governor's Office	National Governors' Association	1,897
Judicial Department	Other Expenses	1,009,378
Judicial Department	Workers' Compensation Claims	98,390
Labor Department	Other Expenses	19,028
Labor Department	CETC Workforce	10,304
Latino and Puerto Rican Affairs Commission	Other Expenses	409
Legislative Management	Other Expenses	255,127
Legislative Management	Equipment	5,626
Legislative Management	Flag Restoration	1,054
Legislative Management	Minor Capital Improvements	5,700
Legislative Management	Interim Salary/Caucus Offices	9,629
Legislative Management	Old State House	8,545
Legislative Management	Interstate Conference Fund	5,914
Legislative Management	New England Board of Higher Education	2,696
Lieutenant Governor's Office	Other Expenses	1,029

Agency	Account	FY 16 Holdback \$
Military Department	Other Expenses	38,927
Office of Early Childhood	Other Expenses	5,249
Office of Governmental Accountability	Other Expenses	858
Office of Governmental Accountability	Child Fatality Review Panel	1,615
Office of Governmental Accountability	Information Technology Initiatives	473
Office of Governmental Accountability	Elections Enforcement Commission	54,363
Office of Governmental Accountability	Office of State Ethics	23,709
Office of Governmental Accountability	Freedom of Information Commission	25,894
Office of Governmental Accountability	Contracting Standards Board	4,715
Office of Governmental Accountability	Judicial Review Council	2,193
Office of Governmental Accountability	Judicial Selection Commission	1,396
Office of Governmental Accountability	Office of the Child Advocate	10,719
Office of Governmental Accountability	Office of the Victim Advocate	6,938
Office of Governmental Accountability	Board of Firearms Permit Examiners	1,919
Office of Higher Education	Other Expenses	2,609
Office of Policy and Management	Other Expenses	17,853
Office of Policy and Management	Automated Budget System and Data Base Link	699
Office of Policy and Management	Justice Assistance Grants	15,131
Office of the Chief Medical Examiner	Other Expenses	20,102
Office of the Chief Medical Examiner	Equipment	288
Office of the Chief Medical Examiner	Medicolegal Investigations	385
Permanent Commission on the Status of Women	Other Expenses	1,257
Permanent Commission on the Status of Women	Equipment	15
Protection and Advocacy for Persons with Disabilities	Other Expenses	2,919
Psychiatric Security Review Board	Other Expenses	437
Public Defender Services Commission	Other Expenses	22,377
Public Defender Services Commission	Training And Education	1,950
Secretary of the State	Other Expenses	27,307
Secretary of the State	Commercial Recording Division	84,880
Secretary of the State	Board of Accountancy	4,456
State Comptroller	Other Expenses	87,020
State Comptroller - Miscellaneous	Adjudicated Claims	366,552
State Department on Aging	Other Expenses	3,289
State Library	Other Expenses	9,661
State Treasurer	Other Expenses	2,309
Teachers' Retirement Board	Other Expenses	7,990
University of Connecticut	Workers' Compensation Claims	46,380
University of Connecticut Health Center	Workers' Compensation Claims	105,240
Workers' Compensation Claims - Administrative Services	Workers' Compensation Claims	129,931
TOTAL		12,500,000

Funds Carried Forward

In addition to the appropriations included in PA 15-244, as adjusted by PA 15-5, JSS, numerous provisions are made in other sections of the acts, as well as in existing statute to permit an estimated \$101.1 million of appropriations to be carried forward and made available in FY 16 and FY 17. The table below reflects a summary of the carry forward funding by legislative authority.

Carry Forward Funding by Legislative Authority

Legislative Authority	FY 16 \$	FY 17 \$
PA 15-244 and PA 15-5, JSS	26,848,717	988,420
Existing Statutory	51,920,922	-
Discretionary Statutory CGS 4-89(c) ¹	21,334,534	-
TOTAL	100,104,174	988,420

¹CGS 4-89(c) gives the Office of Policy and Management discretion to carry forward unexpended balances of projects not completed during the fiscal year.

The carry forward funds are composed of \$65.5 million from General Fund, \$33.3 million from Transportation Fund and \$2.3 million from other appropriated funds. The table below includes details by fund and agency.

Carry Forward Funding (by fund/agency)

Fund/Agency	Account	Authorization (Legal Citation)	FY 16 \$ ¹	FY 17 \$
General Fund				
Board of Regents	Transform CSCU	CGS Sec. 4-89(c)	2,831,617	-
Department of Administrative Services	Tuition Reimbursement Training and Travel	Per various collective bargaining agreements	57,235	-
Department of Administrative Services	Labor Management Fund	Per various collective bargaining agreements	646,716	-
Department of Administrative Services	Quality of Work Life Fund	Per various collective bargaining agreements	672,871	-
Department of Administrative Services	Insurance Recovery	CGS Sec. 4-89(c)	6,500	-
Department of Administrative Services	Other Expenses	CGS Sec. 4-89(c)	998,315	-
Department of Administrative Services	Insurance and Risk Operations	CGS Sec. 4-89(c)	300,000	-
Department of Correction	Other Expenses	CGS Sec. 4-89(c)	50,739	-
Department of Correction	Program Evaluation	CGS Sec. 4-89(c)	290,484	-
Department of Correction	Stress Management	Per various collective bargaining agreements	45,682	-
Department of Developmental Services	Other Expenses	CGS Sec. 4-89(c)	60,460	-
Department of Economic and Community Development	Statewide Marketing	CGS Sec. 4-89(e)	865,354	-
Department of Education	Other Expenses	CGS Sec. 4-89(c)	614,589	-

Fund/Agency	Account	Authorization (Legal Citation)	FY 16 \$¹	FY 17 \$
Department of Education	Other Expenses	PA 15-244, Sec. 45	50,000	50,000
Department of Education	Education Equalization Grants	CGS Sec. 10-262u(h)	9,244,296	-
Department of Education	Priority School Districts	PA 15-5, JSS, Sec. 336	250,000	-
Department of Emergency Services and Public Protection	Other Expenses	CGS Sec. 4-89(c)	109,687	-
Department of Energy and Environmental Protection	Other Expenses	PA 15-5, JSS, Sec. 89	100,000	-
Department of Energy and Environmental Protection	Other Expenses	PA 15-244, Sec. 43	152,000	-
Department of Energy and Environmental Protection	Other Expenses	PA 15-5, JSS, Sec. 89	205,000	-
Department of Energy and Environmental Protection	Other Expenses	PA 15-5, JSS, Sec. 89	200,000	-
Department of Energy and Environmental Protection	Other Expenses	PA 15-5, JSS, Sec. 89	200,000	-
Department of Energy and Environmental Protection	Other Expenses	CGS Sec. 4-89(c)	150,000	-
Department of Energy and Environmental Protection	Solid Waste Management	CGS Sec. 4-89(c)	535,000	-
Department of Energy and Environmental Protection	Dam Maintenance	PA 15-5, JSS, Sec. 90	40,000	-
Department of Mental Health and Addiction Services	Other Expenses	CGS Sec. 4-89(c)	59,148	-
Department of Rehabilitation Services	Part Time Interpreters	CGS Sec. 4-89(g)	863,027	-
Department of Social Services	Other Expenses	CGS Sec. 4-89(c)	1,930,115	-
Department of Social Services	Medicaid	PA 15-5, JSS, Sec. 402	-	517,500
Department of Social Services	Medicaid	CGS Sec. 4-89(c)	1,205,650	-
Department of Social Services	Connecticut Home Care Program	CGS Sec. 4-89(c)	25,700	-
Department of Social Services	Community Services	CGS Sec. 4-89(c)	37,500	-
Department of Social Services	HSI Community Action Program	CGS Sec. 4-89(c)	450,439	-
Judicial Department	Other Expenses	CGS Sec. 4-89(c)	146,501	-
Judicial Department	Juvenile Alternative Incarceration	PA 15-5, JSS, Sec. 91	250,000	-
Labor Department	Workforce Investment Act	CGS Sec. 4-89(h)	12,208,616	-
Legislative Management	Other Expenses	PA 15-244, Sec. 37(a)	70,000	-
Legislative Management	Other Expenses	PA 15-244, Sec. 37(c)	96,000	-
Legislative Management	Other Expenses	PA 15-244, Sec. 37(d)	47,500	-
Legislative Management	CT Academy of Science and Engineering	PA 15-244, Sec. 37(b)	299,400	-
Legislative Management	CT Academy of Science and Engineering	PA 15-244, Sec. 37(e)	55,000	-
Legislative Management	CT Academy of Science and Engineering	PA 15-5, JSS, Sec. 473	330,250	-

Fund/Agency	Account	Authorization (Legal Citation)	FY 16 \$¹	FY 17 \$
Military Department	Honor Guards	PA 15-5, JSS, Sec. 509	45,500	-
Office of Early Childhood	Other Expenses	CGS Sec. 4-89(c)	429,818	-
Office of Early Childhood	School Readiness	CGS Sec. 10-16p(e)(2)(B)	1,000,000	-
Office of Early Childhood	Child Care Quality Enhancement	CGS Sec. 4-89(c)	195,268	-
Office of Early Childhood	School Readiness Quality Enhancement	CGS Sec. 4-89(c)	300,000	-
Office of Governmental Accountability	Citizens' Election	CGS Sec. 4-89(c)	4,040	-
Office of Higher Education	Minority Advancement	CGS Sec. 4-89(f)	825,192	-
Office of Higher Education	Governor's Scholarship	CGS Sec. 4-89(f)	3,521,019	-
Office of Policy and Management	Other Expenses	CGS Sec. 4-89(c)	1,101,505	-
Office of Policy and Management	Justice Assistance Grants	CGS Sec. 4-89(c)	149,818	-
Office of Policy and Management	Litigation and Settlement Account	CGS Sec. 4-89(e)	3,012,521	-
Office of Policy and Management	Criminal Justice Information System	PA 15-244, Sec. 27	1,671,049	-
Office of Policy and Management	Litigation and Settlement Account	PA 15-5, JSS, Sec. 92	1,100,000	-
Office of Policy and Management	Reserve for Salary Adjustments	PA 15-244, Sec. 18	8,153,104	-
Secretary of the State	Other Expenses	PA 15-244, Sec. 36(a)	279,416	-
State Comptroller - Fringe Benefits	Higher Ed. - Alternate Retirement Plan	CGS Sec. 4-89(c)	2,100,000	-
State Comptroller - Fringe Benefits	Tuition Reimbursement - Training & Travel	Per various collective bargaining agreements	4,274,345	-
Subtotal - General Fund			64,913,987	567,500
Transportation Fund				
Department of Motor Vehicles	Personal Services	CGS Sec. 4-89(c)	1,215,766	-
Department of Motor Vehicles	Reflective License Plates	PA 15-244, Sec. 30(a)(b)(c)	9,963,146	-
Department of Motor Vehicles	CVISN	PA 15-244, Sec. 29	409,075	-
Department of Transportation	Equipment	CGS Sec. 4-89(e)	51,255	-
Department of Transportation	Minor Capital Projects	CGS Sec. 4-89(b)	252,151	-
Department of Transportation	Highway Planning & Research	CGS Sec. 4-89(e)	1,821,236	-
Department of Transportation	Pay-As-You-Go Transportation Projects	CGS Sec. 4-89(b)	11,687,613	-
Department of Transportation	Emergency Relief Town Repairs	CGS Sec. 13a-175(j)	871,792	-
Department of Transportation	Other Expenses	CGS Sec. 4-89(c)	75,000	-
Department of Transportation	Other Expenses	CGS Sec. 4-89(c)	93,850	-
Department of Transportation	Rail Operations	CGS Sec. 4-89(c)	4,400,000	-
Office of Policy and Management	Reserve for Salary Adjustments	PA 15-244, Sec.18	2,470,127	-

Fund/Agency	Account	Authorization (Legal Citation)	FY 16 \$¹	FY 17 \$
Subtotal - Transportation Fund			33,311,012	-
Banking Fund				
Department of Banking	Personal Services	PA 15-244, Sec. 42(a)	221,102	232,157
Department of Banking	Other Expenses	PA 15-244, Sec. 42(a)	10,000	10,000
Department of Banking	Equipment	PA 15-244, Sec. 42(a)	10,800	-
Department of Banking	Fringe Benefits	PA 15-244, Sec. 42(a)	170,248	178,763
Department of Banking	Equipment	CGS Sec. 4-89(c)	12,025	-
Subtotal - Banking Fund			424,175	420,920
Consumer Counsel and Public Utility Control Fund (CC & PUC)				
Department of Energy and Environmental Protection	Other Expenses	CGS Sec. 4-89(c)	115,000	-
Department of Energy and Environmental Protection	Equipment	CGS Sec. 4-89(c)	340,000	-
Subtotal - CC & PUC Fund			455,000	-
Workers' Compensation Fund (WC)				
Workers' Compensation Commission	Other Expenses	CGS Sec. 4-89(c)	1,000,000	-
Subtotal - WC Fund			1,000,000	-
TOTAL - ALL APPROPRIATED FUNDS			100,104,174	988,420

¹The amounts shown are either specified in legislation/statute or are unexpended as of September 3, 2015. Agency/account listed is the recipient of the carry forward funding.

FY 15 Deficiency Funding

Sections 52 and 53 of PA 15-244, the FY 16 and FY 17 budget, result in no net impact to the General Fund as appropriation increases of \$121.7 million are offset by reductions of \$121.7 million to various agencies and accounts. Section 54 results in Transportation Fund increases of \$20.4 million, with no reduction offsets.

The table below shows the various General Fund appropriation increases in Section 52 of PA 15-244.

Increased General Fund Appropriations

Agency	FY 15 \$
General Fund Increases:	
Department of Emergency Services and Public Protection	3,680,000
Department of Agriculture	341,000
Department of Social Services	82,000,000
Department of Correction	3,830,000
Public Defenders	4,600,000
State Comptroller – Adjudicated Claims	10,200,000
State Comptroller – Fringe Benefits	17,000,000
Total - General Fund Increases	121,651,000

Detailed descriptions of agency deficiency needs are described below:

Department of Emergency Services and Public Protection - \$3.7 million

The agency's projected FY 15 budget shortfall is composed of:

- \$4.5 million in Personal Services.

This shortfall is partially offset by lapsing funds of \$0.8 million in the following accounts:

- \$443,000 in Fleet Purchase and
- \$333,000 in Other Expenses.

The \$4.5 million projected shortfall in Personal Services arises, in part, from higher than budgeted overtime expenses in the Division of State Police. The Revised FY 15 budget included a \$4 million reduction in Personal Services to reflect the implementation of overtime savings in the Division of State Police. While DESPP has recently implemented overtime reduction measures, a delay in doing so has made the target savings unachievable in FY 15. This shortfall is further exacerbated by an FAC transfer of \$2.3 million from Personal Services to Other Expenses during April, 2015.

Funding of approximately \$443,000 is available in the Fleet Purchase account due to delays in vehicle delivery from the vendor. Similarly, funding of approximately \$333,000 is available in Other Expenses to offset the deficiency.

Department of Agriculture - \$341,000

The agency's projected FY 15 budget shortfall is composed of:

- \$350,000 in Personal Services.

This shortfall is partially offset by a \$9,000 release of holdbacks in the following account:

- \$9,000 in Personal Services.

The projected shortfall in the Personal Services (PS) account is driven by a transfer from the PS account to the Other Expenses (OE) account associated with unanticipated costs related to neglected animal seizures. The May 7, 2015 Finance Advisory Committee (FAC) transferred \$300,000 from PS to OE, and a prior transfer of \$50,000 was also made from PS to OE (totaling \$350,000) during FY 15. On January 16, 2015 the Department of Agriculture (DAG) seized 74 goats and currently there are approximately 90 goats under the care and control of the agency. FY 15 costs to care for the goat herd (including veterinary and laboratory services, waste and maintenance costs, prescription medicine costs, equipment, and feed) at the agency's "Second Chance" Large Animal Rehabilitation Facility, total approximately \$344,600 as of April 28, 2015.

Department of Social Services - \$82 million

The agency's projected FY 15 budget shortfall is composed of:

- \$82 million in Medicaid.

The \$82 million projected short fall in the Medicaid account is due to the following factors: 1) Enrollment growth in the Medicaid program continues to be strong. There were 21.6% more cases in March, 2015 than in March, 2014. This caseload growth has led to expenditures in excess of budgeted assumptions in many categories of service. Of particular note, pharmacy expenditures continue to track 12.5% above the budgeted assumptions through the first half of the fiscal year; 2) DSS made a \$42.9 million retroactive hospital payment in November (with additional obligations anticipated prior to the end of the fiscal year); 3) Re-estimates for the federal cost share for the Affordable Care Act expansion population has resulted in anticipated state expenditures of approximately \$13 million; and 4) FAC 2014-32 transferred \$10 million out of the Medicaid account to the Connecticut Children' Medical Center account.

Department of Correction - \$3.8 million

The agency's projected FY 15 budget shortfall is composed of:

- \$6.9 million in Personal Services.

The deficiency bill addresses \$4.9 million of the projected shortfall and the remaining \$2 million will be addressed by an expected transfer from the Reserve for Salary Adjustment account.

The \$6.9 million projected shortfall in Personal Services account is due to 1) the FY 14 deficiency remaining unfunded, 2) an increase in hazardous duty retirement of approximately 150% over FY 13, 3) the April 2, 2015 FAC transfer of \$4.9 million from the Personal Services account to the Other Expenses account and the Workers' Compensation Claims account to offset deficiencies in those accounts.

The FY 14 deficiency was mostly a result of higher than budgeted use of overtime due to an 80% increase in hazardous duty retirements from the previous year. In addition, retirements in FY 15 have increased approximately 65% over FY 14. The total growth in Correction Officer hazardous duty retirement since FY 13 is approximately 150%.

Public Defenders - \$4.6 million

The agency's projected FY 15 budget shortfall is composed of:

- \$6 million in Personal Services.

This shortfall is partially offset by:

- \$1.2 million release of rescissions and
- \$173,746 release of holdbacks.

The projected shortfall of \$6 million in the Personal Services account (11.% of the appropriation) is due to: 1) the March 5, 2015 FAC approval of transferring \$5 million from the Personal Services to the Assigned Counsel – Criminal and Expert Witnesses account, 2) rescissions to this account totaling \$1.2 million, and 3) greater than anticipated accumulated leave payments.

The March 2015 FAC transferred \$4.7 million to the Assigned Counsel – Criminal account. The original shortfall in the Assigned Counsel – Criminal account is due to PA 12-115, An Act Concerning Habeas Reform, which reduced the time in which a habeas petition can be filed, which in turn has resulted in an influx of habeas petitions received by the agency. Habeas petitions have almost doubled since the legislation passed. Previous to the legislation, the agency averaged 25 petitions per month, or 300 per year. Since the legislation passed, the agency has averaged 50 petitions per month, or 600 per

year. The cost per case can vary significantly depending on the complexity of the appeal but is usually between \$3,000 and \$10,000 per case.

The March 2015 FAC transferred \$310,000 to the Expert Witnesses account. Funds in this account are used, in part, to support habeas cases, which have increased significantly, as explained above.

The agency is anticipating greater accumulated leave payments than budgeted. In FY 14, accumulated leave payments totaled \$308,086. In FY 15, it is anticipated that accumulated leave payments will total approximately \$537,000.

Office of the State Comptroller - Adjudicated Claims - \$10.2 million

The agency's projected FY 15 budget shortfall is composed of:

- \$10.2 million in Adjudicated Claims.

The projected shortfall in the Adjudicated Claims account is due to (1) higher than anticipated claims costs and (2) two settlements for wrongfully convicted individuals in the amount of \$6 million and \$900,000, payable in FY 15. The projected shortfall represents 71% of total FY 15 projected expenditures.

Office of the State Comptroller - Fringe Benefits - \$17 million

The agency's projected FY 15 budget shortfall is composed of:

- \$29.7 million in Retired State Employees' Health Service Costs.

This shortfall is partially offset by lapsing funds of \$12.9 million in the following accounts:

- \$9.2 million in Higher Education Alternative Retirement System,
- \$2.8 million in Unemployment Compensation,
- \$609,000 in Group Life Insurance and
- \$93,000 in Pensions and Retirement – Other Statutory.

The projected shortfall in the Retired State Employees' Health Service Costs account is due to various factors. First, an increase of 9.8% for retirees under 65 and 5% for Medicare eligible retirees in prescription drug costs over what was assumed in the FY 15 budget, including coverage for a new Hepatitis C treatment approved by the FDA this year (estimated cost per 12-week regimen is approximately \$94,500). In addition to the new Hepatitis C treatment, compound drugs and costly brand name specialty drugs (for which there is not a generic alternative) are also driving the increase in prescription costs. Secondly, the shortfall in the Retired Employees' Health Services account is also being driven by an increase of approximately 3,000 retirees over what was assumed in

the FY 14 and FY 15 biennial budget. The Revised FY 15 budget was not adjusted for the increase in the retiree population experienced in FY 14. Lastly, a reduction in the federal subsidy rates for participating in the Employer Group Waiver Program (which offset Medicare retiree state health costs) resulted in a reduction in FY 15 of approximately \$6.9 million over what was assumed in the budget.

Funding is available in the Higher Education Alternative Retirement System (ARP) account, predominately due to the distribution of General Fund funded employees and expenditures being less than was anticipated, due to a shift to funding SERS higher education employees versus ARP employees out of the General Fund. In addition, funding is available in the Unemployment Compensation account, Group Life account and the Pensions – Other Statutory account (which predominately funds pensions for retired Governors) due to personnel and claims expenditures (for unemployment compensation) being less than anticipated for FY 15.

Section 53 of PA 15-244 reduces appropriations to General Fund agencies by \$121.7 million. The table below reflects the impact of the appropriation reductions.

General Fund Appropriation Reductions

Agency	FY 15 \$
General Fund Reductions:	
Department of Developmental Services	(7,548,000)
Department of Social Services	(2,000,000)
University of Connecticut	(7,388,000)
University of Connecticut Health Center	(4,183,000)
Board of Regents for Higher Education	(7,321,000)
Debt Service – State Treasurer	(88,141,000)
State Comptroller – Fringe Benefits	(4,270,000)
Department of Administrative Services - Workers' Compensation Claims	(800,000)
Total - General Fund Reductions	(121,651,000)

Department of Developmental Services - \$7.5 million

Funding is reduced in the following account:

- \$7.5 million in Personal Services.

The Personal Services funding requirements reflect that the budgeted lapses (holdbacks) and Governor's November, January and April rescissions totaling \$7.5 million are released. The Personal Services lapse is due to a combination of separations of staff, retirements and delays in refilling vacant positions.

Debt Service - State Treasurer - \$88.1 million

Funding is reduced in the following account:

- \$88.1 million in General Fund Debt Service.

OFA's estimated FY 15 lapse for the General Fund debt service account as of May 26, 2015 is \$88.1 million. As shown in the table below, the lapse estimate includes debt service adjustments for: (1) the difference between issuance assumptions and actual issuance of GO bonds, (2) bond premiums³, (3) anticipated future savings for the remainder of the fiscal year, and (4) the FY 15 refinancing of Economic Recovery Notes (ERNs) that were originally issued to fund the 2009 General Fund operating budget deficit.

OFA FY 15 Debt Service Lapse Estimate (in millions)

Description	Amount \$
Differences in issuance assumptions	(44.4)
Premiums	(79.9)
Refunding Savings	(9.7)
Savings on fees, arbitrage rebate and other sources	(9.6)
Economic Recovery Note refinancing and retirement	55.5
TOTAL	(88.1)

Department of Social Services - \$2 million

Funding is reduced in the following accounts:

- \$2 million in Personal Services.

The \$2 million in Personal Services is available due to rescissions.

³Bond purchasers pay a premium to receive a higher interest rate than the one at which the bonds would otherwise have sold. Between FY 02 and FY 14, the General Fund debt service account lapsed between \$28 million and \$80 million each year due to bond premiums. The money that the state receives from premiums on GO bonds must be used to pay debt service on outstanding GO bonds under the provisions of CGS Sec. 3-20(f) and federal Internal Revenue Service regulations for tax exempt bond issuance.

Office of the State Comptroller - Fringe Benefits - \$4.3 million

Funding is reduced in the following accounts:

- \$2.5 million in Employers' Social Security Tax,
- \$906,000 in Higher Education Alternative Retirement System,
- \$432,000 in Unemployment Compensation and
- \$432,000 in Group Life Insurance.

Funding is available in the Employers' Social Security Tax account, Higher Education Alternative Retirement System account, Unemployment Compensation account and the Group Life Insurance account due to rescissions.

University of Connecticut Health Center - \$4.2 million

Funding is reduced in the following account:

- \$4.2 million in Operating Expenses.

Funding is available in the Operating Expenses account due to the initial budget holdback of \$486,252 and rescissions in November, January and April totaling 3,697,730.

University of Connecticut - \$7.4 million

Funding is reduced in the following account:

- \$7.4 million in Operating Expenses.

Funding is available in the Operating Expenses account due to the initial budget holdback of \$822,896 and rescissions in November, January and April totaling \$6,565,434.

Board of Regents for Higher Education - \$7.3 million

Funding is reduced in the following account:

- \$7.3 million in Operating Expenses and Transform CSCU.

Funding is available in the Operating Expenses account due to the initial budget holdback of \$560,868 and rescissions in November, January and April totaling \$6,761,292.

Department of Administrative Services - Workers' Compensation Claims - \$800,000

Funding is reduced in the following account:

- \$800,000 in Workers' Compensation Claims.

Funding is available in the Workers' Compensation Claims account due to rescissions.

Section 54 of PA 15-244 results in Transportation Fund increases of \$20.4 million, with no reduction offsets. The increased appropriations in the Transportation Fund are described in detail below.

Increased Transportation Fund Appropriations (in millions)

Agency	FY 15 \$
Transportation Fund Increases:	
Department of Transportation	18,000,000
State Comptroller – Fringe Benefits	2,400,000
Total - Transportation Fund Increases	20,400,000

Department of Transportation – Transportation Fund - \$18 million

The agency's projected FY 15 budget shortfall is composed of:

- \$13.6 million in Personal Services and
- \$4.4 million in Rail Operations.

The \$13.6 million projected shortfall in the Personal Services account is driven by higher than budget overtime costs for storms and the March 5, 2015 FAC transfer of \$10.7 million from Personal Services to Other Expenses. This transfer was to cover a shortfall in the Other Expenses account due to higher than budgeted storm related costs for snow and ice removal. DOT's snow and ice removal budget is based on an average of 12 storms per year, and to date there have been approximately 18 storms. The price of road salt has increased by 24%, and due to the severity of the storms, DOT has been using more contractor trucks. Also, per union contract, employees that work certain hours beyond the regularly scheduled day must have meals provided which has exceeded budgeted amounts. Due to winter storm costs funding is needed for the following reasons: 1) \$8.8 million from higher than average storm costs for road salt, material and contractual services and 2) \$1.9 million for equipment maintenance and repair of the department's fleet. Currently, 280 of DOT's 632 truck fleet, or 45% are past the 12 year useful lifecycle.

The \$4.4 million projected shortfall in the Rail Operations account is driven by the costs associated with the Metro North Rail line. DOT received an unanticipated notification from Metro North of a pending settlement between the Metropolitan Transit Authority (MTA) and the Long Island Railroad workers regarding back wages to 2010. This settlement, and also the costs of ongoing safety initiatives to be implemented in accordance with regulatory authorities have resulted in a projected shortfall in this account.

Office of the State Comptroller - Fringe Benefits - Transportation Fund - \$2.4 million

The agency's projected FY 15 budget shortfall is composed of:

- \$2.9 million in State Employees' Health Service Costs.

This shortfall is partially offset by lapsing funds of \$0.5 million in the following accounts:

- \$490,300 in Employers' Social Security Tax,
- \$37,000 in Unemployment Compensation and
- \$22,000 in Group Life Insurance.

The projected shortfall in the State Employees' Health Service Costs account within the Special Transportation Fund is due to expenditures being 9.4% higher than anticipated for employees of the Departments of Transportation (DOT) and Motor Vehicles (DMV), partially driven by higher than anticipated payroll fringe benefit transactions. Average monthly expenditures are 4.7% higher than the period July through April in FY 14.

Funding is available in the Employers' Social Security Tax account, the Unemployment Compensation account and the Group Life account due to DOT and DMV personnel and claims expenditures (for unemployment compensation) being less than anticipated for FY 15.

Authorized Permanent Full-Time Positions (by fund and agency)

Fund/Agency	Actual FY 14	Estimated FY 15	Authorized FY 16	Authorized FY 17	Difference FY 16 - FY 15	Difference FY 17 - FY 16
General Fund						
Legislative Management	439	439	450	450	11	-
Auditors of Public Accounts	117	117	117	117	-	-
Commission on Aging	4	4	4	4	-	-
Permanent Commission on the Status of Women	6	6	6	6	-	-
Commission on Children	7	7	7	7	-	-
Latino and Puerto Rican Affairs Commission	4	4	4	4	-	-
African-American Affairs Commission	3	3	3	3	-	-
Asian Pacific American Affairs Commission	2	2	2	2	-	-
Governor's Office	28	28	28	28	-	-
Secretary of the State	84	85	84	84	(1)	-
Lieutenant Governor's Office	7	7	7	7	-	-
Office of Governmental Accountability	89	89	92	92	3	-
State Treasurer	48	48	45	45	(3)	-
State Comptroller	276	276	276	276	-	-
Department of Revenue Services	665	665	660	660	(5)	-
Office of Policy and Management	124	125	125	125	-	-
Department of Administrative Services	650	656	663	663	7	-
Attorney General	303	303	303	303	-	-
Department of Emergency Services and Public Protection	1,694	1,733	1,733	1,733	-	-
Military Department	42	42	42	42	-	-
Department of Consumer Protection	235	235	239	239	4	-
Commission on Human Rights and Opportunities	79	79	85	85	6	-
Office of Protection and Advocacy for Persons with Disabilities	31	31	31	31	-	-
Labor Department	185	191	191	191	-	-
Department of Agriculture	49	49	50	50	1	-
Department of Energy and Environmental Protection	669	670	644	644	(26)	-
Council on Environmental Quality	2	2	2	2	-	-
Department of Economic and Community Development	91	91	89	89	(2)	-
Department of Housing	20	21	23	23	2	-
Agricultural Experiment Station	69	70	69	69	(1)	-
Department of Veterans' Affairs	248	248	243	243	(5)	-
Department of Public Health	476	481	479	479	(2)	-

Fund/Agency	Actual FY 14	Estimated FY 15	Authorized FY 16	Authorized FY 17	Difference FY 16 - FY 15	Difference FY 17 - FY 16
Office of the Chief Medical Examiner	53	50	50	50	-	-
Department of Developmental Services	3,327	3,327	3,318	3,318	(9)	-
Department of Mental Health and Addiction Services	3,309	3,309	3,438	3,438	129	-
Psychiatric Security Review Board	3	3	3	3	-	-
Department of Motor Vehicles	4	4	-	-	(4)	-
Department of Social Services	1,982	1,982	1,975	1,976	(7)	1
State Department on Aging	28	30	28	28	(2)	-
Department of Rehabilitative Services	118	118	118	118	-	-
Department of Children and Families	3,208	3,240	3,240	3,240	-	-
Department of Education	1,685	1,779	1,806	1,815	27	9
Office of Early Childhood	31	109	116	116	7	-
State Library	55	55	55	55	-	-
Teachers' Retirement Board	27	27	27	27	-	-
Office of Higher Education	21	27	27	27	-	-
University of Connecticut	2,347	2,413	2,413	2,413	-	-
University of Connecticut Health Center	1,680	1,698	1,698	1,698	-	-
Board of Regents for Higher Education	4,599	4,617	4,625	4,633	8	8
Division of Criminal Justice	487	498	486	486	(12)	-
Department of Correction	6,352	6,352	6,216	6,141	(136)	(75)
Judicial Department	4,316	4,329	4,329	4,329	-	-
Public Defender Services Commission	447	447	447	447	-	-
General Fund Total	40,825	41,221	41,211	41,154	(10)	(57)
Transportation Fund						
State Treasurer	1	1	1	1	-	-
Department of Motor Vehicles	577	599	603	603	4	-
Department of Transportation	3,085	3,188	3,279	3,353	91	74
Department of Energy and Environmental Protection	-	-	28	28	28	-
Transportation Fund Total	3,663	3,788	3,911	3,985	123	74
Banking Fund						
Department of Banking	116	116	120	120	4	-
Judicial Department	51	51	51	51	-	-
Banking Fund Total	167	167	171	171	4	-
Insurance Fund						
Office of Policy and Management	2	2	2	2	-	-
Insurance Department	159	159	159	159	-	-
Office of the Healthcare Advocate	29	29	29	29	-	-
Department of Public Health	-	3	5	5	2	-
Insurance Fund Total	190	193	195	195	2	-

Fund/Agency	Actual FY 14	Estimated FY 15	Authorized FY 16	Authorized FY 17	Difference FY 16 - FY 15	Difference FY 17 - FY 16
Consumer Counsel & Public Utility Control Fund (CC&PUC)						
Office of Consumer Counsel	13	14	15	15	1	-
Department of Energy and Environmental Protection	127	127	127	127	-	-
CC&PUC Fund Total	140	141	142	142	1	-
Workers' Compensation Fund						
Workers' Compensation Commission	117	117	117	117	-	-
Bureau of Rehabilitative Services	6	6	6	6	-	-
Labor Department	-	-	2	2	2	-
Division of Criminal Justice	4	4	4	4	-	-
Workers' Compensation Fund Total	127	127	129	129	2	-
Regional Market Fund						
Department of Agriculture	7	7	7	7	-	-
Regional Market Fund Total	7	7	7	7	-	-
TOTAL	45,119	45,644	45,766	45,783	122	17

The Governor's FY 16 and FY 17 Budget Recommendations

Governor Dannel P. Malloy introduced his biennial budget on February 18, 2015 at a joint session of the Connecticut General Assembly.

The Governor's FY 16 and FY 17 Recommended Budget totaled:

\$19,669.3 million for all appropriated funds in FY 16 and \$19,885.6 million in FY 17;

\$18,001.8 million for the General Fund in FY 16 and \$18,161.6 million in FY 17;

\$1,438.2 million for the Special Transportation Fund in FY 16 and 1,398.6 million in FY 17; and

Due to a technical error, the Governor's FY 16 and FY 17 budget was inadvertently developed based on personal income data for each year ending September 30th rather than June 30th. Based on the June 30th methodology that had been in place for most prior budgets, the Governor's budget would have been over the spending cap by \$54.4 million in FY 16 and under the spending cap by \$82.1 million in FY 17, assuming a deficiency appropriation in FY 15.

The table below reflects the Governor's FY 16 and FY 17 Recommended Budget in comparison to the FY 16 and FY 17 appropriation.

Comparison of Governor's Budget to the Adjusted Appropriations (in millions)

Fund	Gov. FY 16 \$	Approp. FY 16 \$	Difference \$	Gov. FY 17 \$	Approp. FY 17 \$	Difference \$
Gross						
General Fund	18,471.3	18,362.2	(109.1)	19,036.7	18,916.9	(119.8)
Transportation Fund	1,449.2	1,428.1	(21.1)	1,515.5	1,508.1	(7.4)
Other Funds	229.3	229.6	0.3	230.8	231.0	0.2
Subtotal	20,149.8	20,019.9	(129.9)	20,783.0	20,656.0	(127.0)
Lapses						
General Fund	(469.5)	(200.6)	268.9	(485.6)	(205.7)	279.9
Transportation Fund	(11.0)	(12.0)	(1.0)	(11.0)	(12.0)	(1.0)
Other Funds	-	-	-	-	-	-
Subtotal	(480.5)	(212.6)	267.9	(496.6)	(217.7)	278.9
Net						
General Fund	18,001.8	18,161.6	159.8	18,551.2	18,711.2	160.0
Transportation Fund	1,438.2	1,416.1	(22.1)	1,504.5	1,496.1	(8.4)
Other Funds	229.3	229.6	0.3	230.8	231.0	0.2
TOTAL	19,669.3	19,807.3	138.0	20,286.5	20,438.3	151.8

FY 98 through FY 17 General Budget Expenditures (in thousands)¹

Fiscal Year	General Budget Expenditures \$	Annual Increase Over Prior Year \$	% Growth	Inflation Adjusted Growth in Expenditures
98	10,839,367	440,083	4.2%	2.6%
99	11,414,117	574,750	5.3%	3.0%
00	12,404,547	990,430	8.7%	4.4%
01	12,932,612	528,065	4.3%	0.3%
02	13,265,527	332,915	2.6%	0.7%
03	13,283,978	18,451	0.1%	-3.6%
04	13,595,294	311,316	2.3%	-1.0%
05	14,428,128	832,834	6.1%	0.5%
06	15,665,824	1,237,696	8.6%	2.4%
07	16,505,640	839,816	5.4%	0.6%
08	17,906,920	1,401,280	8.5%	2.9%
09	18,547,639	640,719	3.6%	1.3%
10	18,459,913	(87,726)	-0.5%	-1.1%
11	19,168,739	708,826	3.8%	1.2%
12	20,134,192	965,453	5.0%	2.0%
13	20,403,224	269,032	1.3%	-0.6%
14	18,416,897	(1,986,327)	-9.7%	-11.8%
15	18,945,600	528,703	2.9%	1.9%
16 Budgeted	19,907,296	961,696	5.1%	4.0%
17 Budgeted	20,439,245	531,949	2.7%	0.0%

¹Notes

Budget Expenditures - For purposes of comparability, the expenditure figures include all expenditures of the General Fund, Special Transportation Fund, other appropriated funds, surplus (primary for “one-time” items) and expenditures from prior year appropriations carried forward into subsequent fiscal year. The expenditures and percentage changes have been adjusted for comparability due to structural changes in the budgets. Actual expenditures are based on the Comptroller’s reports (FY 15 figures are unaudited figures as of September 30, 2015). Figures for FY 16 and FY 17 are estimates of expenditures based on the biennial budget (PA 15-244), as adjusted by PA 15-5 JSS, including carried forward funding. It should be noted that beginning in FY 14 the General Fund appropriation for the Medicaid account in the Department of Social Services reflected only the state share of the joint state/federal program.

Inflation Adjusted Growth - The inflation adjusted growth rate factors the growth in expenditures from previous fiscal years less the Implicit Price Deflator for state and local governments. The use of this adjustment factor eliminates growth that results from inflation and facilitates the calculation of the adjusted year to year growth rate. The adjustments for FY 16 and FY 17 are expected to be 1.1% and 2.7% respectively. When factored with the growth in expenditures, the inflation adjusted growth for FY 16 is 2.2%; for FY 17 it is 0.4%.

Implicit Price Deflator for State and Local Governments - In economics, the GDP deflator (implicit price deflator for GDP) is a measure of the level of prices of all new, domestically produced, final goods and services in an economy. GDP stands for gross domestic product, the total value of all final goods and services produced within that economy during a specified period. Historical data is taken from the US Department of Commerce Bureau of Economic Analysis. Projections are by Moody’s Economy.com.

**FY 16 and FY 17 Budget - Agency Line Item Appropriations (PA 15-244 as adjusted by
PA 15-5 JSS)**

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	GENERAL FUND		
	LEGISLATIVE		
	Legislative Management		
10010	Personal Services	48,856,926	50,744,676
10020	Other Expenses	17,008,514	18,445,596
10050	Equipment	375,100	475,100
12049	Flag Restoration	70,312	71,250
12129	Minor Capital Improvements	380,000	225,000
12210	Interim Salary/ Caucus Offices	641,942	493,898
12445	Old State House	569,724	589,589
16057	Interstate Conference Fund	394,288	410,058
16130	New England Board of Higher Education	179,788	185,179
	Total	68,476,594	71,640,346
	Auditors of Public Accounts		
10010	Personal Services	12,225,412	12,250,473
10020	Other Expenses	400,115	404,950
10050	Equipment	10,000	10,000
	Total	12,635,527	12,665,423
	Commission on Aging		
10010	Personal Services	416,393	416,393
10020	Other Expenses	38,236	38,236
	Total	454,629	454,629
	Permanent Commission on the Status of Women		
10010	Personal Services	541,016	541,016
10020	Other Expenses	83,864	75,864
10050	Equipment	1,000	1,000
	Total	625,880	617,880
	Commission on Children		
10010	Personal Services	668,389	668,389
10020	Other Expenses	100,932	100,932
	Total	769,321	769,321
	Latino and Puerto Rican Affairs Commission		
10010	Personal Services	418,191	418,191
10020	Other Expenses	27,290	27,290
	Total	445,481	445,481

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	African-American Affairs Commission		
10010	Personal Services	272,829	272,829
10020	Other Expenses	28,128	28,128
	Total	300,957	300,957
	Asian Pacific American Affairs Commission		
10010	Personal Services	209,155	209,155
10020	Other Expenses	14,330	14,330
	Total	223,485	223,485
	GENERAL GOVERNMENT		
	Governor's Office		
10010	Personal Services	2,372,643	2,407,998
10020	Other Expenses	200,590	203,265
16026	New England Governors' Conference	106,209	107,625
16035	National Governors' Association	126,469	128,155
	Total	2,805,911	2,847,043
	Secretary of the State		
10010	Personal Services	2,923,939	2,941,115
10020	Other Expenses	1,820,472	1,842,745
12480	Commercial Recording Division	5,658,728	5,686,861
12508	Board of Accountancy	297,114	301,941
	Total	10,700,253	10,772,662
	Lieutenant Governor's Office		
10010	Personal Services	639,983	649,519
10020	Other Expenses	68,640	69,555
	Total	708,623	719,074
	State Treasurer		
10010	Personal Services	3,255,469	3,313,919
10020	Other Expenses	153,942	155,995
	Total	3,409,411	3,469,914
	State Comptroller		
10010	Personal Services	25,190,835	25,394,018
10020	Other Expenses	5,801,377	5,179,660
	Total	30,992,212	30,573,678
	Department of Revenue Services		
10010	Personal Services	61,648,494	62,091,282
10020	Other Expenses	8,395,265	7,722,172
	Total	70,043,759	69,813,454

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Office of Governmental Accountability		
10010	Personal Services	826,468	837,351
10020	Other Expenses	57,220	59,720
12028	Child Fatality Review Panel	107,668	107,915
12347	Information Technology Initiatives	31,588	31,588
12522	Elections Enforcement Commission	3,624,215	3,675,456
12523	Office of State Ethics	1,580,644	1,600,405
12524	Freedom of Information Commission	1,726,320	1,735,450
12525	Contracting Standards Board	314,368	302,932
12526	Judicial Review Council	146,265	148,294
12527	Judicial Selection Commission	93,100	93,279
12528	Office of the Child Advocate	714,642	712,546
12529	Office of the Victim Advocate	462,544	460,972
12530	Board of Firearms Permit Examiners	127,959	128,422
	Total	9,813,001	9,894,330
	Office of Policy and Management		
10010	Personal Services	12,986,179	13,038,950
10020	Other Expenses	1,190,216	1,216,413
12169	Automated Budget System and Data Base Link	46,600	47,221
12251	Justice Assistance Grants	1,008,740	1,022,232
12535	Criminal Justice Information System	-	984,008
12573	Project Longevity	1,000,000	1,000,000
16017	Tax Relief For Elderly Renters	26,700,000	28,900,000
16066	Private Providers	-	8,500,000
17004	Reimbursement to Towns for Loss of Taxes on State Property	83,641,646	83,641,646
17006	Reimbursements to Towns for Private Tax-Exempt Property	125,431,737	125,431,737
17011	Reimbursement Property Tax - Disability Exemption	400,000	400,000
17016	Distressed Municipalities	5,800,000	5,800,000
17018	Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900
17021	Property Tax Relief Elderly Freeze Program	120,000	120,000
17024	Property Tax Relief for Veterans	2,970,098	2,970,098
	Total	281,801,116	293,578,205
	Department of Veterans' Affairs		
10010	Personal Services	23,152,920	23,338,814
10020	Other Expenses	5,059,380	5,059,380
12295	Support Services for Veterans	180,500	180,500
12574	SSMF Administration	593,310	593,310

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Veterans' Affairs (continued)		
16045	Burial Expenses	7,200	7,200
16049	Headstones	332,500	332,500
	Total	29,325,810	29,511,704
	Department of Administrative Services		
10010	Personal Services	53,985,369	54,425,425
10020	Other Expenses	32,717,944	32,807,679
12016	Tuition Reimbursement - Training and Travel	382,000	-
12024	Labor - Management Fund	75,000	-
12096	Management Services	4,623,259	4,428,787
12115	Loss Control Risk Management	114,854	114,854
12123	Employees' Review Board	20,822	21,100
12141	Surety Bonds for State Officials and Employees	141,800	73,600
12155	Quality of Work-Life	350,000	-
12176	Refunds Of Collections	25,723	25,723
12179	Rents and Moving	13,069,421	11,447,039
12218	W. C. Administrator	5,000,000	5,000,000
12323	Connecticut Education Network	2,941,857	2,941,857
12507	State Insurance and Risk Mgmt Operations	13,683,019	13,995,707
12511	IT Services	14,315,087	14,454,305
	Total	141,446,155	139,736,076
	Attorney General		
10010	Personal Services	33,038,471	33,154,538
10020	Other Expenses	1,062,361	1,078,926
	Total	34,100,832	34,233,464
	Division of Criminal Justice		
10010	Personal Services	48,985,592	49,475,371
10020	Other Expenses	2,561,355	2,561,355
12069	Witness Protection	180,000	180,000
12097	Training And Education	56,499	56,499
12110	Expert Witnesses	330,000	330,000
12117	Medicaid Fraud Control	1,323,438	1,325,095
12485	Criminal Justice Commission	481	481
12537	Cold Case Unit	277,119	282,511
12538	Shooting Taskforce	1,115,406	1,125,663
	Total	54,829,890	55,336,975

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	REGULATION AND PROTECTION		
	Department of Emergency Services and Public Protection		
10010	Personal Services	149,608,808	149,909,977
10020	Other Expenses	29,099,716	29,033,588
10050	Equipment	93,990	93,990
12026	Stress Reduction	25,354	25,354
12082	Fleet Purchase	6,183,375	6,877,690
12235	Workers' Compensation Claims	4,562,247	4,562,247
16009	Fire Training School - Willimantic	98,079	100,000
16010	Maintenance of County Base Fire Radio Network	23,918	23,918
16011	Maintenance of State-Wide Fire Radio Network	15,919	15,919
16013	Police Association of Connecticut	190,000	190,000
16014	Connecticut State Firefighter's Association	194,711	194,711
16025	Fire Training School - Torrington	59,034	60,000
16034	Fire Training School - New Haven	39,426	40,000
16044	Fire Training School - Derby	29,559	30,000
16056	Fire Training School - Wolcott	68,810	70,000
16065	Fire Training School - Fairfield	49,164	50,000
16074	Fire Training School - Hartford	97,989	100,000
16080	Fire Training School - Middletown	29,299	30,000
16179	Fire Training School - Stamford	29,342	30,000
	Total	190,498,740	191,437,394
	Military Department		
10010	Personal Services	3,146,928	3,179,977
10020	Other Expenses	2,595,180	2,603,340
12144	Honor Guards	350,000	350,000
12325	Veteran's Service Bonuses	72,000	50,000
	Total	6,164,108	6,183,317
	Department of Consumer Protection		
10010	Personal Services	15,935,765	16,070,008
10020	Other Expenses	1,346,243	1,464,066
	Total	17,282,008	17,534,074
	Labor Department		
10010	Personal Services	9,434,317	9,515,435
10020	Other Expenses	1,268,588	1,128,588
12079	CETC Workforce	686,938	707,244
12098	Workforce Investment Act	32,104,008	32,104,008
12108	Job Funnels Projects	224,700	230,510

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Labor Department (continued)		
12205	Connecticut's Youth Employment Program	5,156,250	5,225,000
12212	Jobs First Employment Services	18,036,623	18,039,903
12327	STRIDE	518,094	532,475
12328	Apprenticeship Program	583,896	584,977
12329	Spanish-American Merchants Association	500,531	514,425
12357	Connecticut Career Resource Network	166,061	166,909
12360	Incumbent Worker Training	725,688	725,688
12425	STRIVE	237,094	243,675
12471	Customized Services	439,062	451,250
12575	Opportunities for Long Term Unemployed	3,161,250	3,249,000
12576	Veterans' Opportunity Pilot	526,875	541,500
12A04	Second Chance Initiatives	1,425,000	1,425,000
12A13	Cradle To Career	200,000	200,000
12A14	2Gen - TANF	1,500,000	1,500,000
12A15	ConnectiCorps	100,000	200,000
12A49	New Haven Jobs Funnel	525,000	540,000
	Total	77,519,975	77,825,587
	Commission on Human Rights and Opportunities		
10010	Personal Services	6,664,520	6,721,805
10020	Other Expenses	369,255	369,255
12027	Martin Luther King, Jr. Commission	6,318	6,318
	Total	7,040,093	7,097,378
	Protection and Advocacy for Persons with Disabilities		
10010	Personal Services	2,339,429	2,354,131
10020	Other Expenses	194,654	194,654
	Total	2,534,083	2,548,785
	CONSERVATION AND DEVELOPMENT		
	Department of Agriculture		
10010	Personal Services	4,023,923	4,074,226
10020	Other Expenses	783,103	783,103
12421	Senior Food Vouchers	364,857	364,928
16037	Tuberculosis and Brucellosis Indemnity	100	100
16075	WIC Coupon Program for Fresh Produce	174,886	174,886
	Total	5,346,869	5,397,243
	Department of Energy and Environmental Protection		
10010	Personal Services	31,059,897	31,266,085
10020	Other Expenses	2,999,978	2,999,978

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Energy and Environmental Protection (continued)		
12054	Mosquito Control	272,597	272,841
12084	State Superfund Site Maintenance	481,918	488,344
12146	Laboratory Fees	151,683	153,705
12195	Dam Maintenance	142,981	143,144
12487	Emergency Spill Response	7,278,320	7,326,885
12488	Solid Waste Management	3,384,724	3,448,128
12489	Underground Storage Tank	1,040,293	1,047,927
12490	Clean Air	4,455,103	4,543,783
12491	Environmental Conservation	9,083,811	9,122,571
12501	Environmental Quality	10,047,411	10,115,610
12558	Greenways Account	2	2
12561	Conservation Districts & Soil and Water Councils	266,250	270,000
16015	Interstate Environmental Commission	48,783	48,783
16046	New England Interstate Water Pollution Commission	28,827	28,827
16052	Northeast Interstate Forest Fire Compact	3,295	3,295
16059	Connecticut River Valley Flood Control Commission	32,395	32,395
16083	Thames River Valley Flood Control Commission	48,281	48,281
Total		70,826,549	71,360,584
	Council on Environmental Quality		
10010	Personal Services	181,253	182,657
10020	Other Expenses	1,789	1,789
Total		183,042	184,446
	Department of Economic and Community Development		
10010	Personal Services	8,410,102	8,476,385
10020	Other Expenses	1,072,065	1,052,065
12296	Statewide Marketing	9,500,000	9,500,000
12363	Small Business Incubator Program	339,916	349,352
12412	Hartford Urban Arts Grant	395,000	400,000
12413	New Britain Arts Council	63,187	64,941
12435	Main Street Initiatives	152,297	154,328
12437	Office of Military Affairs	216,598	219,962
12438	Hydrogen/Fuel Cell Economy	153,671	157,937
12467	CCAT-CT Manufacturing Supply Chain	843,013	860,862
12540	Capital Region Development Authority	7,864,370	7,864,370
12562	Neighborhood Music School	126,375	128,250
16115	Nutmeg Games	64,075	65,000

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Economic and Community Development (continued)		
16175	Discovery Museum	315,930	324,699
16188	National Theatre of the Deaf	126,371	129,879
16189	CONNSTEP	495,712	503,067
16191	Development Research and Economic Assistance	121,095	124,457
16209	Connecticut Science Center	542,512	550,000
16219	CT Flagship Producing Theaters Grant	417,108	428,687
16255	Women's Business Center	393,750	400,000
16256	Performing Arts Centers	1,263,714	1,298,792
16257	Performing Theaters Grant	492,915	505,904
16258	Arts Commission	1,578,720	1,622,542
16262	Art Museum Consortium	461,014	473,812
16263	CT Invention Convention	19,687	20,000
16264	Litchfield Jazz Festival	46,875	47,500
16T36	Connecticut River Museum	25,000	25,000
16T37	Arte Inc.	25,000	25,000
16T38	CT Virtuosi Orchestra	25,000	25,000
16T39	Barnum Museum	25,000	25,000
17063	Greater Hartford Arts Council	88,982	91,174
17065	Stepping Stones Museum for Children	36,951	37,977
17066	Maritime Center Authority	487,315	500,842
17068	Tourism Districts	1,260,788	1,295,785
17070	Amistad Committee for the Freedom Trail	39,514	40,612
17071	Amistad Vessel	315,929	324,698
17072	New Haven Festival of Arts and Ideas	665,111	683,574
17073	New Haven Arts Council	78,982	81,174
17075	Beardsley Zoo	327,136	336,217
17076	Mystic Aquarium	517,308	531,668
17077	Quinebaug Tourism	34,649	35,611
17078	Northwestern Tourism	34,649	35,611
17079	Eastern Tourism	34,649	35,611
17080	Central Tourism	34,649	35,611
17082	Twain/Stowe Homes	98,864	100,000
17100	Cultural Alliance of Fairfield	78,982	81,174
	Total	39,710,530	40,070,130
	Department of Housing		
10010	Personal Services	2,234,652	2,242,842
10020	Other Expenses	173,266	194,266

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Housing (continued)		
12032	Elderly Rental Registry and Counselors	1,196,144	1,196,144
16029	Subsidized Assisted Living Demonstration	2,255,625	2,332,250
16068	Congregate Facilities Operation Costs	7,783,636	8,054,279
16076	Housing Assistance and Counseling Program	411,094	416,575
16084	Elderly Congregate Rent Subsidy	2,162,504	2,162,504
16149	Housing/Homeless Services	69,107,806	75,227,013
17008	Tax Abatement	1,118,580	1,153,793
17038	Housing/Homeless Services - Municipality	640,398	640,398
	Total	87,083,705	93,620,064
	Agricultural Experiment Station		
10010	Personal Services	6,385,305	6,496,579
10020	Other Expenses	1,134,017	1,134,017
10050	Equipment	10,000	10,000
12056	Mosquito Control	503,987	507,516
12288	Wildlife Disease Prevention	98,515	100,158
	Total	8,131,824	8,248,270
	HEALTH		
	Department of Public Health		
10010	Personal Services	38,464,503	38,812,372
10020	Other Expenses	7,162,820	7,478,436
12126	Children's Health Initiatives	1,942,969	1,972,746
12227	Childhood Lead Poisoning	67,839	68,744
12236	AIDS Services	85,000	85,000
12264	Children with Special Health Care Needs	1,022,173	1,037,429
12577	Maternal Mortality Review	-	1,000
16060	Community Health Services	1,930,842	2,008,515
16103	Rape Crisis	617,008	617,008
16121	Genetic Diseases Programs	237,895	237,895
17009	Local and District Departments of Health	4,458,648	4,692,648
17019	School Based Health Clinics	11,747,498	11,898,107
	Total	67,737,195	68,909,900
	Office of the Chief Medical Examiner		
10010	Personal Services	4,825,259	4,857,946
10020	Other Expenses	1,340,167	1,340,167
10050	Equipment	19,226	19,226
12033	Medicolegal Investigations	25,704	26,047
	Total	6,210,356	6,243,386

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Developmental Services		
10010	Personal Services	262,989,799	265,087,937
10020	Other Expenses	20,619,455	20,894,381
12072	Family Support Grants	3,738,222	3,738,222
12101	Cooperative Placements Program	24,544,841	24,477,566
12185	Clinical Services	3,440,085	3,493,844
12235	Workers' Compensation Claims	14,994,475	14,994,475
12340	Autism Services	2,802,272	3,098,961
12493	Behavioral Services Program	29,731,164	30,818,643
12521	Supplemental Payments for Medical Services	4,908,116	4,908,116
16069	Rent Subsidy Program	5,130,212	5,130,212
16108	Employment Opportunities and Day Services	227,626,162	237,650,362
16122	Community Residential Services	483,871,682	502,596,014
	Total	1,084,396,485	1,116,888,733
	Department of Mental Health and Addiction Services		
10010	Personal Services	205,578,670	208,141,328
10020	Other Expenses	28,716,563	28,752,852
12035	Housing Supports and Services	23,221,576	24,221,576
12157	Managed Service System	62,596,523	62,743,207
12196	Legal Services	995,819	995,819
12199	Connecticut Mental Health Center	8,398,341	8,509,163
12207	Professional Services	11,488,898	11,488,898
12220	General Assistance Managed Care	41,991,862	43,075,573
12235	Workers' Compensation Claims	11,792,289	11,792,289
12247	Nursing Home Screening	591,645	591,645
12250	Young Adult Services	80,206,667	85,961,827
12256	TBI Community Services	10,400,667	10,412,737
12278	Jail Diversion	4,595,351	4,617,881
12289	Behavioral Health Medications	5,783,527	5,860,641
12292	Prison Overcrowding	6,330,189	6,352,255
12298	Medicaid Adult Rehabilitation Option	4,816,334	4,803,175
12330	Discharge and Diversion Services	24,447,924	27,347,924
12444	Home and Community Based Services	19,612,854	25,947,617
12465	Persistent Violent Felony Offenders Act	675,235	675,235
12541	Nursing Home Contract	485,000	485,000
12564	Pre-Trial Account	689,750	699,437
16003	Grants for Substance Abuse Services	22,667,934	22,667,934
16053	Grants for Mental Health Services	72,280,480	73,780,480

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Mental Health and Addiction Services (continued)		
16070	Employment Opportunities	10,417,204	10,417,204
	Total	658,781,302	680,341,697
	Psychiatric Security Review Board		
10010	Personal Services	261,587	262,916
10020	Other Expenses	29,136	29,525
	Total	290,723	292,441
	HUMAN SERVICES		
	Department of Social Services		
10010	Personal Services	134,527,508	133,178,052
10020	Other Expenses	148,435,174	155,619,366
12121	HUSKY Performance Monitoring	182,043	187,245
12197	Genetic Tests in Paternity Actions	120,236	122,506
12202	State-Funded Supplemental Nutrition Assistance Program	483,100	460,800
12239	HUSKY B Program	6,550,000	4,350,000
16020	Medicaid	2,468,415,500	2,542,788,000
16061	Old Age Assistance	37,944,440	38,347,320
16071	Aid To The Blind	750,550	755,289
16077	Aid To The Disabled	61,115,585	61,475,440
16090	Temporary Assistance to Families - TANF	99,425,380	98,858,030
16096	Emergency Assistance	1	1
16098	Food Stamp Training Expenses	11,250	11,400
16105	Healthy Start	1,251,522	1,287,280
16109	DMHAS-Disproportionate Share	108,935,000	108,935,000
16114	Connecticut Home Care Program	43,430,000	40,590,000
16118	Human Resource Development-Hispanic Programs	886,630	898,452
16123	Protective Services to the Elderly	476,599	478,300
16128	Safety Net Services	2,462,943	2,533,313
16139	Refunds Of Collections	110,625	112,500
16146	Services for Persons With Disabilities	526,762	541,812
16148	Nutrition Assistance	449,687	455,683
16157	State Administered General Assistance	23,154,540	24,818,050
16159	Connecticut Children's Medical Center	14,605,500	14,800,240
16160	Community Services	1,100,730	1,128,860
16174	Human Service Infrastructure Community Action Program	3,021,660	3,107,994
16177	Teen Pregnancy Prevention	1,607,707	1,653,641

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Social Services (continued)		
16T11	Family Programs - TANF	541,600	415,166
16T61	Domestic Violence Shelters	5,210,676	5,210,676
17029	Human Resource Development-Hispanic Programs - Municipality	5,029	5,096
17032	Teen Pregnancy Prevention - Municipality	120,598	124,044
17083	Community Services - Municipality	78,526	79,573
	Total	3,165,937,101	3,243,329,129
	State Department on Aging		
10010	Personal Services	2,427,209	2,450,501
10020	Other Expenses	219,286	222,210
16260	Programs for Senior Citizens	6,150,914	6,150,914
	Total	8,797,409	8,823,625
	Department of Rehabilitation Services		
10010	Personal Services	5,191,611	5,231,501
10020	Other Expenses	1,576,205	1,576,205
12037	Part-Time Interpreters	1,522	1,522
12060	Educational Aid for Blind and Visually Handicapped Children	4,514,363	4,553,755
12301	Employment Opportunities - Blind & Disabled	1,340,729	1,340,729
16004	Vocational Rehabilitation - Disabled	6,994,586	7,087,847
16040	Supplementary Relief and Services	93,515	94,762
16054	Vocational Rehabilitation - Blind	843,189	854,432
16078	Special Training for the Deaf Blind	286,581	286,581
16086	Connecticut Radio Information Service	78,055	79,096
16153	Independent Living Centers	495,637	502,246
	Total	21,415,993	21,608,676
	EDUCATION, MUSEUMS, LIBRARIES		
	Department of Education		
10010	Personal Services	20,397,903	20,615,925
10020	Other Expenses	3,926,142	3,916,142
12171	Development of Mastery Exams Grades 4, 6, and 8	15,149,111	15,610,253
12198	Primary Mental Health	427,209	427,209
12211	Leadership, Education, Athletics in Partnership (LEAP)	681,329	690,413
12216	Adult Education Action	240,687	240,687
12253	Connecticut Pre-Engineering Program	246,094	249,375
12261	Connecticut Writing Project	69,375	70,000
12290	Resource Equity Assessments	157,560	159,661
12318	Neighborhood Youth Centers	1,129,425	1,157,817

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Education (continued)		
12405	Longitudinal Data Systems	1,190,700	1,208,477
12453	School Accountability	1,500,000	1,500,000
12457	Sheff Settlement	11,861,044	12,192,038
12468	CommPACT Schools	350,000	350,000
12506	Parent Trust Fund Program	468,750	475,000
12519	Regional Vocational-Technical School System	167,029,468	171,152,813
12544	Wrap Around Services	19,375	25,000
12547	Commissioner's Network	12,800,000	12,800,000
12549	New or Replicated Schools	339,000	420,000
12550	Bridges to Success	242,479	250,000
12551	K-3 Reading Assessment Pilot	2,869,949	2,947,947
12552	Talent Development	9,302,199	9,309,701
12566	Common Core	5,906,250	5,985,000
12567	Alternative High School and Adult Reading Incentive Program	185,000	200,000
12568	Special Master	1,483,909	1,010,361
12A09	School-Based Diversion Initiative	1,000,000	1,000,000
16021	American School For The Deaf	9,992,840	10,126,078
16062	Regional Education Services	1,093,150	1,107,725
16110	Family Resource Centers	8,161,914	8,161,914
16201	Youth Service Bureau Enhancement	715,300	715,300
16211	Child Nutrition State Match	2,354,000	2,354,000
16212	Health Foods Initiative	4,326,300	4,326,300
17017	Vocational Agriculture	11,017,600	11,017,600
17027	Transportation of School Children	23,329,451	23,329,451
17030	Adult Education	21,035,200	21,037,392
17034	Health and Welfare Services Pupils Private Schools	3,867,750	3,867,750
17041	Education Equalization Grants	2,155,833,601	2,172,454,969
17042	Bilingual Education	2,991,130	3,491,130
17043	Priority School Districts	43,747,208	44,837,171
17044	Young Parents Program	229,330	229,330
17045	Interdistrict Cooperation	7,164,885	7,164,966
17046	School Breakfast Program	2,379,962	2,379,962
17047	Excess Cost - Student Based	139,805,731	139,805,731
17049	Non-Public School Transportation	3,451,500	3,451,500
17052	Youth Service Bureaus	2,839,805	2,839,805
17053	Open Choice Program	38,296,250	43,214,700
17057	Magnet Schools	328,419,980	324,950,485

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Education (continued)		
17084	After School Program	5,363,286	5,363,286
	Total	3,075,389,131	3,100,190,364
	Office of Early Childhood		
10010	Personal Services	8,785,880	8,876,246
10020	Other Expenses	349,943	349,943
12042	Children's Trust Fund	11,206,751	11,206,751
12113	Early Childhood Program	10,840,145	10,840,145
12192	Early Intervention	24,686,804	24,686,804
12495	Community Plans for Early Childhood	703,125	712,500
12496	Improving Early Literacy	140,625	142,500
12520	Child Care Services	18,701,942	19,081,942
12569	Evenstart	445,312	451,250
16101	Head Start Services	5,630,593	5,630,593
16147	Child Care Services-TANF/CCDBG	120,930,084	122,130,084
16158	Child Care Quality Enhancements	3,107,472	3,148,212
16202	Head Start - Early Childhood Link	693,875	720,000
16A01	Early Head Start-Child Care Partnership	1,300,000	1,300,000
17097	School Readiness Quality Enhancement	4,111,135	4,676,081
17101	School Readiness	83,399,834	83,399,834
	Total	295,033,520	297,352,885
	State Library		
10010	Personal Services	5,374,203	5,444,676
10020	Other Expenses	644,128	652,716
12061	State-Wide Digital Library	1,865,494	1,890,367
12104	Interlibrary Loan Delivery Service	282,393	286,621
12172	Legal/Legislative Library Materials	737,431	747,263
12420	Computer Access	169,219	171,475
16022	Support Cooperating Library Service Units	185,844	190,000
17003	Grants To Public Libraries	190,846	193,391
17010	Connecticard Payments	900,000	900,000
17069	Connecticut Humanities Council	1,921,643	1,947,265
	Total	12,271,201	12,423,774
	Office of Higher Education		
10010	Personal Services	1,800,433	1,800,433
10020	Other Expenses	173,987	100,307
12188	Minority Advancement Program	2,188,526	2,188,526
12194	Alternate Route to Certification	97,720	97,720

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Office of Higher Education (continued)		
12200	National Service Act	295,904	299,969
12214	Minority Teacher Incentive Program	447,806	447,806
16261	Governor's Scholarship	39,638,381	41,023,498
	Total	44,642,757	45,958,259
	University of Connecticut		
12139	Operating Expenses	220,582,283	225,082,283
12235	Workers' Compensation Claims	3,092,062	3,092,062
12A11	Next Generation Connecticut	19,144,737	20,394,737
16198	Kirklyn M. Kerr Grant Program	400,000	400,000
	Total	243,219,082	248,969,082
	University of Connecticut Health Center		
12139	Operating Expenses	124,347,180	125,519,573
12159	AHEC	427,576	433,581
12235	Workers' Compensation Claims	7,016,044	7,016,044
12A10	Bioscience	12,500,000	12,000,000
	Total	144,290,800	144,969,198
	Teachers' Retirement Board		
10010	Personal Services	1,784,268	1,801,590
10020	Other Expenses	532,707	539,810
16006	Retirement Contributions	975,578,000	1,012,162,000
16023	Retirees Health Service Cost	14,714,000	14,714,000
16032	Municipal Retiree Health Insurance Costs	5,447,370	5,447,370
	Total	998,056,345	1,034,664,770
	Board of Regents for Higher Education		
12235	Workers' Compensation Claims	3,877,440	3,877,440
12531	Charter Oak State College	2,733,385	2,769,156
12532	Community Tech College System	163,191,028	164,480,874
12533	Connecticut State University	163,728,122	164,206,317
12534	Board of Regents	566,038	566,038
12578	Transform C SCU	19,406,103	22,102,291
	Total	353,502,116	358,002,116
	CORRECTIONS		
	Department of Correction		
10010	Personal Services	448,395,804	445,690,859
10020	Other Expenses	77,736,830	76,433,227
12235	Workers' Compensation Claims	25,704,971	25,704,971
12242	Inmate Medical Services	91,742,350	92,877,416

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Correction (continued)		
12302	Board of Pardons and Paroles	7,123,925	7,204,143
12581	Program Evaluation	289,781	297,825
16007	Aid to Paroled and Discharged Inmates	8,462	8,575
16042	Legal Services To Prisoners	827,065	827,065
16073	Volunteer Services	154,410	154,410
16173	Community Support Services	41,440,777	41,440,777
	Total	693,424,375	690,639,268
	Department of Children and Families		
10010	Personal Services	291,047,234	293,905,124
10020	Other Expenses	35,383,854	34,241,651
12235	Workers' Compensation Claims	10,540,045	10,540,045
12304	Family Support Services	974,752	987,082
12504	Homeless Youth	2,515,707	2,515,707
12515	Differential Response System	8,286,191	8,286,191
12570	Regional Behavioral Health Consultation	1,696,875	1,719,500
16008	Health Assessment and Consultation	1,015,002	1,015,002
16024	Grants for Psychiatric Clinics for Children	15,865,893	15,993,393
16033	Day Treatment Centers for Children	6,995,792	7,208,292
16043	Juvenile Justice Outreach Services	12,464,608	13,476,217
16064	Child Abuse and Neglect Intervention	9,426,096	9,837,377
16092	Community Based Prevention Programs	7,996,992	8,100,752
16097	Family Violence Outreach and Counseling	2,113,938	2,477,591
16102	Supportive Housing	16,955,158	19,930,158
16107	No Nexus Special Education	1,933,340	2,016,642
16111	Family Preservation Services	6,052,611	6,211,278
16116	Substance Abuse Treatment	10,092,881	10,368,460
16120	Child Welfare Support Services	2,501,872	2,501,872
16132	Board and Care for Children - Adoption	94,611,756	95,921,397
16135	Board and Care for Children - Foster	125,158,543	128,098,283
16138	Board and Care for Children - Short-term and Residential	107,830,694	107,090,959
16140	Individualized Family Supports	9,413,324	9,413,324
16141	Community Kidcare	40,126,470	41,261,220
16144	Covenant to Care	159,814	159,814
16145	Neighborhood Center	250,414	250,414
	Total	821,409,856	833,527,745

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	JUDICIAL		
	Judicial Department		
10010	Personal Services	364,955,535	385,338,480
10020	Other Expenses	67,291,910	68,813,731
12025	Forensic Sex Evidence Exams	1,441,460	1,441,460
12043	Alternative Incarceration Program	56,504,295	56,504,295
12064	Justice Education Center, Inc.	511,714	518,537
12105	Juvenile Alternative Incarceration	28,442,478	28,442,478
12128	Juvenile Justice Centers	2,940,338	2,979,543
12235	Workers' Compensation Claims	6,559,361	6,559,361
12375	Youthful Offender Services	18,177,084	18,177,084
12376	Victim Security Account	9,402	9,402
12502	Children of Incarcerated Parents	582,250	582,250
12516	Legal Aid	1,660,000	1,660,000
12555	Youth Violence Initiative	2,109,375	2,137,500
12559	Youth Services Prevention	3,600,000	3,600,000
12572	Children's Law Center	109,838	109,838
12579	Juvenile Planning	250,000	250,000
Total		555,145,040	577,123,959
	Public Defender Services Commission		
10010	Personal Services	43,612,188	43,912,259
10020	Other Expenses	1,491,837	1,491,837
12076	Assigned Counsel - Criminal	21,891,500	21,891,500
12090	Expert Witnesses	3,022,090	3,022,090
12106	Training And Education	130,000	130,000
12418	Contracted Attorneys Related Expenses	125,000	125,000
Total		70,272,615	70,572,686
	NON-FUNCTIONAL		
	Debt Service - State Treasurer		
12285	Debt Service	1,650,954,823	1,765,932,976
12286	UConn 2000 - Debt Service	148,382,944	162,057,219
12287	CHEFA Day Care Security	5,500,000	5,500,000
12500	Pension Obligation Bonds - TRB	132,732,646	119,597,971
Total		1,937,570,413	2,053,088,166
	State Comptroller - Miscellaneous		
12003	Adjudicated Claims	24,800,000	8,822,000
19001	Nonfunctional - Change to Accruals	44,784,293	22,392,147
Total		69,584,293	31,214,147

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	State Comptroller - Fringe Benefits		
12005	Unemployment Compensation	7,330,139	6,427,401
12006	State Employees Retirement Contributions	1,096,800,201	1,124,661,963
12007	Higher Education Alternative Retirement System	7,159,234	7,924,234
12008	Pensions and Retirements - Other Statutory	1,709,519	1,760,804
12009	Judges and Compensation Commissioners Retirement	18,258,707	19,163,487
12010	Insurance - Group Life	8,492,914	8,637,871
12011	Employers Social Security Tax	238,994,871	250,674,466
12012	State Employees Health Service Cost	674,388,450	722,588,803
12013	Retired State Employees Health Service Cost	681,397,000	746,109,000
12016	Tuition Reimbursement - Training and Travel	3,127,500	-
	Total	2,737,658,535	2,887,948,029
	Reserve for Salary Adjustments		
12015	Reserve For Salary Adjustments	22,240,302	86,024,913
	Workers' Compensation Claims - Administrative Services		
12235	Workers' Compensation Claims	8,662,068	8,662,068
	Subtotal - General Fund	18,362,169,386	18,916,880,389
LESS:			
99110	Unallocated Lapse	(93,076,192)	(94,476,192)
99120	Unallocated Lapse - Legislative	(5,028,105)	(3,028,105)
99130	Unallocated Lapse - Judicial	(7,400,672)	(7,400,672)
99139	General Employee Lapse	(7,110,616)	(12,816,745)
99360	General Lapse - Legislative	(39,492)	(39,492)
99361	General Lapse - Judicial	(282,192)	(282,192)
99362	General Lapse - Executive	(9,678,316)	(9,678,316)
99369	Municipal Opportunities and Regional Efficiencies Program	(20,000,000)	(20,000,000)
99373	Overtime Savings	(10,500,000)	(10,500,000)
99377	Statewide Hiring Reduction - Executive	(30,920,000)	(30,920,000)
99378	Statewide Hiring Reduction - Judicial	(3,310,000)	(3,310,000)
99379	Statewide Hiring Reduction - Legislative	(770,000)	(770,000)
99390	Targeted Savings	(12,500,000)	(12,500,000)
	NET TOTAL - General Fund	18,161,553,801	18,711,158,675
	SPECIAL TRANSPORTATION FUND		
	GENERAL GOVERNMENT		
	Department of Administrative Services		
12507	State Insurance and Risk Mgmt Operations	8,728,170	8,960,575

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	REGULATION AND PROTECTION		
	Department of Motor Vehicles		
10010	Personal Services	49,333,344	49,794,202
10020	Other Expenses	16,229,814	16,221,814
10050	Equipment	520,840	520,840
12091	Commercial Vehicle Information Systems and Networks Project	212,109	214,676
	Total	66,296,107	66,751,532
	CONSERVATION AND DEVELOPMENT		
	Department of Energy and Environmental Protection		
10010	Personal Services	1,993,313	2,031,640
10020	Other Expenses	750,000	750,000
	Total	2,743,313	2,781,640
	TRANSPORTATION		
	Department of Transportation		
10010	Personal Services	177,942,169	181,396,243
10020	Other Expenses	56,169,517	56,169,517
10050	Equipment	1,629,076	1,423,161
10070	Minor Capital Projects	449,639	449,639
12017	Highway Planning And Research	3,246,823	3,246,823
12168	Rail Operations	181,071,446	167,262,955
12175	Bus Operations	150,802,948	155,410,904
12334	Tweed-New Haven Airport Grant	1,500,000	1,500,000
12378	ADA Para-transit Program	34,928,044	37,041,190
12379	Non-ADA Dial-A-Ride Program	576,361	576,361
12518	Pay-As-You-Go Transportation Projects	29,572,153	29,589,106
12580	CAA Related Funds	3,272,322	3,000,000
12A06	Port Authority	119,506	239,011
	Total	641,280,004	637,304,910
	HUMAN SERVICES		
	Department of Social Services		
16T11	Family Programs - TANF	2,244,195	2,370,629
	NON-FUNCTIONAL		
	Debt Service - State Treasurer		
12285	Debt Service	501,950,536	562,993,251
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	3,258,893	1,629,447
	State Comptroller - Fringe Benefits		
12005	Unemployment Compensation	509,232	305,000

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	State Comptroller - Fringe Benefits (continued)		
12006	State Employees Retirement Contributions	122,166,623	129,227,978
12010	Insurance - Group Life	276,987	285,063
12011	Employers Social Security Tax	17,656,269	18,178,987
12012	State Employees Health Service Cost	51,843,476	56,825,438
	Total	192,452,587	204,822,466
	Reserve for Salary Adjustments		
12015	Reserve For Salary Adjustments	1,896,280	13,301,186
	Workers' Compensation Claims - Administrative Services		
12235	Workers' Compensation Claims	7,223,297	7,223,297
	Subtotal - Special Transportation Fund	1,428,073,382	1,508,138,933
LESS:			
99110	Unallocated Lapse	(12,000,000)	(12,000,000)
	NET TOTAL - Special Transportation Fund	1,416,073,382	1,496,138,933
	MASHANTUCKET PEQUOT AND MOHEGAN FUND		
	GENERAL GOVERNMENT		
	Office of Policy and Management		
17005	Grants To Towns	61,779,907	61,779,907
	NET TOTAL - Mashantucket Pequot and Mohegan Fund	61,779,907	61,779,907
	REGIONAL MARKET OPERATION FUND		
	CONSERVATION AND DEVELOPMENT		
	Department of Agriculture		
10010	Personal Services	425,294	430,138
10020	Other Expenses	273,007	273,007
12244	Fringe Benefits	357,247	361,316
	Total	1,055,548	1,064,461
	NON-FUNCTIONAL		
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	5,689	2,845
	NET TOTAL - Regional Market Operation Fund	1,061,237	1,067,306
	BANKING FUND		
	REGULATION AND PROTECTION		
	Department of Banking		
10010	Personal Services	10,828,191	10,891,111

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Banking (continued)		
10020	Other Expenses	1,611,490	1,461,490
10050	Equipment	35,000	35,000
12244	Fringe Benefits	8,554,271	8,603,978
12262	Indirect Overhead	167,151	167,151
	Total	21,196,103	21,158,730
	Labor Department		
12232	Opportunity Industrial Centers	475,000	475,000
12245	Individual Development Accounts	190,000	190,000
12471	Customized Services	950,000	950,000
	Total	1,615,000	1,615,000
	CONSERVATION AND DEVELOPMENT		
	Department of Housing		
12432	Fair Housing	670,000	670,000
	JUDICIAL		
	Judicial Department		
12472	Foreclosure Mediation Program	5,964,788	6,350,389
	NON-FUNCTIONAL		
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	190,355	95,178
NET TOTAL - Banking Fund		29,636,246	29,889,297
	INSURANCE FUND		
	GENERAL GOVERNMENT		
	Office of Policy and Management		
10010	Personal Services	312,051	313,882
10020	Other Expenses	5,750	6,012
12244	Fringe Benefits	199,491	200,882
	Total	517,292	520,776
	REGULATION AND PROTECTION		
	Insurance Department		
10010	Personal Services	15,037,381	15,145,396
10020	Other Expenses	1,949,807	1,949,807
10050	Equipment	95,000	92,500
12244	Fringe Benefits	11,729,157	11,813,409
12262	Indirect Overhead	248,930	248,930
	Total	29,060,275	29,250,042

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Office of the Healthcare Advocate		
10010	Personal Services	2,500,809	2,565,193
10020	Other Expenses	2,700,767	2,700,767
10050	Equipment	15,000	15,000
12244	Fringe Benefits	2,317,643	2,317,458
12262	Indirect Overhead	142,055	142,055
	Total	7,676,274	7,740,473
	HEALTH		
	Department of Public Health		
12100	Needle and Syringe Exchange Program	459,416	459,416
12236	AIDS Services	4,890,686	4,890,686
12255	Breast and Cervical Cancer Detection and Treatment	2,145,586	2,150,565
12563	Immunization Services	32,728,052	34,000,718
16112	X-Ray Screening and Tuberculosis Care	1,115,148	1,115,148
17013	Venereal Disease Control	197,171	197,171
	Total	41,536,059	42,813,704
	Department of Mental Health and Addiction Services		
12157	Managed Service System	435,000	435,000
	HUMAN SERVICES		
	State Department on Aging		
12565	Fall Prevention	475,000	475,000
	NON-FUNCTIONAL		
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	233,889	116,945
NET TOTAL - Insurance Fund		79,933,789	81,351,940
	CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND		
	REGULATION AND PROTECTION		
	Office of Consumer Counsel		
10010	Personal Services	1,497,103	1,508,306
10020	Other Expenses	552,907	452,907
10050	Equipment	12,200	2,200
12244	Fringe Benefits	1,271,038	1,280,560
12262	Indirect Overhead	97,613	97,613
	Total	3,430,861	3,341,586
	CONSERVATION AND DEVELOPMENT		
	Department of Energy and Environmental Protection		
10010	Personal Services	12,030,389	12,110,378

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	Department of Energy and Environmental Protection (continued)		
10020	Other Expenses	1,479,367	1,479,367
10050	Equipment	19,500	19,500
12244	Fringe Benefits	9,383,703	9,446,095
12262	Indirect Overhead	467,009	467,009
	Total	23,379,968	23,522,349
	NON-FUNCTIONAL		
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	179,317	89,658
NET TOTAL - Consumer Counsel and Public Utility Fund		26,990,146	26,953,593
	WORKERS' COMPENSATION FUND		
	GENERAL GOVERNMENT		
	Division of Criminal Justice		
10010	Personal Services	402,519	405,969
10020	Other Expenses	10,000	10,428
12244	Fringe Benefits	336,390	339,273
	Total	748,909	755,670
	REGULATION AND PROTECTION		
	Labor Department		
12045	Occupational Health Clinics	686,418	687,148
	Workers' Compensation Commission		
10010	Personal Services	10,044,172	10,240,361
10020	Other Expenses	4,828,747	4,269,747
10050	Equipment	107,500	41,000
12244	Fringe Benefits	8,035,338	8,192,289
12262	Indirect Overhead	464,028	464,028
	Total	23,479,785	23,207,425
	HUMAN SERVICES		
	Department of Rehabilitation Services		
10010	Personal Services	529,629	534,113
10020	Other Expenses	53,822	53,822
12066	Rehabilitative Services	1,261,913	1,261,913
12244	Fringe Benefits	407,053	410,485
	Total	2,252,417	2,260,333

Account #	FUND/GOVERNMENT FUNCTION/Agency/Account	FY 16 \$	FY 17 \$
	NON-FUNCTIONAL		
	State Comptroller - Miscellaneous		
19001	Nonfunctional - Change to Accruals	144,597	72,298
NET TOTAL - Workers' Compensation Fund		27,312,126	26,982,874
	CRIMINAL INJURIES COMPENSATION FUND		
	JUDICIAL		
	Judicial Department		
12047	Criminal Injuries Compensation	2,851,675	2,934,088
NET TOTAL - Criminal Injuries Compensation Fund		2,851,675	2,934,088
NET TOTAL - ALL APPROPRIATED FUNDS		19,807,192,309	20,438,256,613

Orientation Agency Budget Detail

The Agency Budgets' section of this document provides financial detail on each state agency or function that receives an appropriation. It includes detail by line item and by programmatic change. As illustrated below, historical information on agency operating budgets for two prior years (FY 14 and FY 15) as well as the Governor's FY 16 and FY 17 recommended budget is provided to place the FY 16 and FY 17 budget authorizations in perspective.

The order in which agencies appear in this section of the book is based on the order of the appropriations act (without regard to fund), which is arranged according to the major functions of government.

Below is an example of a state agency budget. To help explain the budget format you will encounter, we have numbered various parts below and have included explanations of each following the sample.

Sample State Agency

1 → AGN00000

2 → Position Summary

2a →

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	50	60	60	60	60	60
Permanent Full-Time - OF	6	6	6	6	6	6

3 → Budget Summary

4 ↓

5 ↓

6 ↓

7 ↓

8 ↓

9 ↓

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	3,000,000	3,500,000	4,000,000	4,000,000	4,000,000	4,000,000
Other Expenses	500,000	600,000	600,000	600,000	600,000	600,000
Equipment	0	1	1	1	1	1
Other Current Expenses						
Training & Education	250,000	400,000	400,000	400,000	400,000	400,000
Other Than Payments to Local Governments						
Coupon Program	250,000	500,000	200,000	200,000	100,000	100,000
Agency Total - General Fund	4,000,000	5,000,001	5,200,001	5,200,001	5,100,001	5,100,001
Personal Services	300,000	350,000	400,000	400,000	400,000	400,000
Other Expenses	300,000	300,000	300,000	300,000	300,000	300,000
Equipment	0	1	1	1	1	1
Fringe Benefits	200,000	250,000	250,000	250,000	250,000	250,000
Agency Total - Other Fund	800,000	900,001	950,001	950,001	950,001	950,001

10

Additional Funds Available

Carry Forward Funding	0	0	0	0	100,000	0
Federal & Other Restricted Act	1,500,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Private Contributions	1,500,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Special Funds, Non-Appropriated	2,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Agency Grand Total	9,800,000	14,900,002	15,150,002	15,150,002	15,050,002	15,050,002

11

Current Services**Adjust Funding to Reflect Wage & Compensation Related Costs**

Personal Services	0	500,000	0	500,000	0	0	0
Total - General Fund	0	500,000	0	500,000	0	0	0
Personal Services	0	50,000	0	50,000	0	0	0
Total - Other Fund	0	50,000	0	50,000	0	0	0

11a

Governor

Provide funding of \$500,000 in FY 16 and FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, annualization, turnover and other compensation-related adjustments.

11b

Legislative

Same as Governor

12

Policy Revisions**Remove Funding for Salary Increases of Appointed Officials**

Coupon Program	0	(400,000)	0	(400,000)	0	(100,000)	0	(100,000)
Total - General Fund	0	(400,000)	0	(400,000)	0	(100,000)	0	(400,000)

12a

Background

The Coupon Program provides discounts to students at Connecticut Museums.

11a

Governor

Reduce funding by \$300,000 in FY 16 and FY 17 to reflect programmatic changes.

11b

Legislative

Reduce funding by \$400,000 in FY 16 and FY 17 to reflect programmatic changes.

13 → *Carry Forward*

Carry Forward for the Collection Scoring System

Other Expenses	0	100,000	0	0	0	100,000	0	0
Total - Carry Forward Funding	0	100,000	0	0	0	100,000	0	0

12a → **Background**
The Collection Scoring System was developed to score collections systematically.

11b → **Legislative**
Pursuant to CGS Sec. 4-89(c), funding of \$100,000 is carried forward from FY 15 into FY 16 in the Other Expenses to fund the Collection Scoring System.

14 → *Totals*

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	60	5,000,001	60	5,000,001	0	0	0	0
Current Services	0	500,000	0	500,000	0	0	0	0
Policy Revisions	0	(400,000)	0	(400,000)	0	(100,000)	0	(100,000)
Total Recommended - GF	60	5,100,001	60	5,100,001	0	(100,000)	0	(100,000)
Governor Estimated - OF	6	900,000	6	900,000	0	0	0	0
Current Services	0	50,000	0	50,000	0	0	0	0
Policy Revisions	0	0	0	0	0	0	0	0
Total Recommended - OF	6	950,001	60	950,001	0	0	0	0

Explanation of Budget Parts

- (1) This is the Comptroller's Core-CT identifier for the agency. Core-CT is the state's accounting system.
- (2) The position summary indicates maximum full time staffing levels for each agency. The position counts shown under the "Legislative FY 16 & FY 17" columns represent the number of permanent full-time positions an agency is authorized to establish through June 30, 2016 and June 30, 2017.
- (2a) The personnel entries shown for "Other Funds" (OF) include positions funded by federal, private, or non-appropriated special funds.
- (3) This section provides a brief summary of each agency's operating budget. Personal Services, Other Expenses and Equipment reflect actual appropriated accounts, common to all state agencies. Other current expenses and grant categories are summations of individually appropriated accounts that are listed separately in subsequent sections.
- (4) This column shows the actual expenditures in FY 14 in order to provide a historical perspective on the FY 15 estimated expenditures, and the FY 16 and FY 17 budget authorizations.
- (5) This column provides the governor's expenditure estimates for FY 15, which typically reflects the FY 15 appropriation except for agencies with projected year end deficiencies.
- (6) & (7) These columns reflect the governor's FY 16 and FY 17 recommended budget as provided to the legislature in February 2015.
- (8) & (9) These columns reflect the legislature's enacted FY 16 and FY 17 appropriations as signed by the governor. The amounts shown under these columns represent the appropriated funding the agency is authorized to spend for the first year of the biennium (FY 16) ending June 30, 2016 and the second year of the biennium (FY 17) ending June 30, 2017, in each account. It should be noted that these amounts do not include various savings requirements that may be necessary as part of the budget act, such as mandated lapses.
- (10) This subsection of the budget summary includes carry forward funding, federal, private and special non-appropriated state funds available to an agency in addition to its state appropriations. The additional funds shown under the columns "Legislative FY 16 and FY 17" are estimated expenditures since many federal and private grants, although received in one year, may be available for expenditure over several years. Federal funds reflect the most current best estimates; however, federal aid is subject to change based on new federal legislation and administrative regulations.
- (11) The Current Service section shows the adjustments made to the budget which reflect revisions to items including: wage and compensation related costs, turnover, the annualization of partial year expenditures, rent/lease increases, utility/fuel increases, caseload increases, or any changes authorized by existing law. There may be instances in which a current services adjustment is made in this section of an agency's budget and there is a policy decision in the Policy Revisions section of the Budget Change details, which zero out or in some other way alters the current services adjustment.
- (11a) Governor's write-ups provide detail on the changes the governor recommended to the single line item or various line items as a result of the current service or policy adjustment specified. This write-up is included only when the action originates from the governor. If the change was adopted by the legislature, the legislative write-up will denote, "Same as Governor." For policy adjustments initiated by the legislature there will not be a governor's write-up. OFA may include information to help the reader understand the governor's proposal.

(11b) Legislative write-ups provide detail on the changes the legislature adopted. As previously noted, in instances where the legislature adopted the governor's recommendation, the write-up will read "Same as Governor." The write-up may include information such as the enacting legislation, funding requirements, or position changes. The four types of options for legislative action include:

- Agreement with the governor's proposal;
- Disagreement (elimination) with the governor's proposal;
- Alteration of the governor's proposed action; or
- Initiation of a new budget change. In this case no corresponding governor write-up is provided as the initiative occurred after the governor presented his/her budget.

(12) The Policy Revisions section provides a description of the budgetary changes to explain one or more of the following: new or expanded programs, reduction in the scope of existing programs, elimination of a program, transfer of programs to other state agencies, changes in the method of funding a state operation, additional funding requirements due to workload or caseload increases, inflationary and/or other built-in increases. In those cases where the governor proposed a significant reduction in the scope or elimination of a program, a savings factor, based upon the amount of funding which would have been required to continue the program at its present level, is used.

(12a) The program change write-up explains the nature of the action being taken by either the governor or legislature. In some cases it may provide information on a program itself or other descriptive information. The governor's and Legislative write-ups explained below, outline the specific action the governor and the legislature took on each item and/or what resources are impacted as a result. Often specific quantitative information is provided to describe specific monetary or personnel, and program impact of different decisions.

(13) The Carry Forwards section lists the those funds to be carried forward from one fiscal year to another fiscal year, as required by the Budget Act, Other Public Acts (e.g. Budget Implementers), or by statute. Carry forward funds are appropriated funds that: (1) were not spent by an agency during a fiscal year and (2) the agency is permitted to "carry forward" for expenditure in the following fiscal year.

(14) This section provides a snapshot of the changes between the governor's recommended FY 16 and FY 17 appropriations (Columns 6 and 7) and the Legislative enacted FY 16 and FY 17 appropriations (Columns 8 and 9). In addition, the summary provides the total changes attributable to current service adjustments and policy adjustments. Lastly, this section provides the difference between the Legislative FY 16 and FY 17 adopted budget and position count and the governor's recommended FY 16 and FY 17.

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Legislative Management

OLM10000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	439	439	454	454	450	450

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	40,423,236	50,150,198	51,867,728	54,601,263	48,856,926	50,744,676
Other Expenses	13,823,924	17,700,498	18,131,802	19,782,727	17,008,514	18,445,596
Equipment	778,823	325,100	1,648,600	544,600	375,100	475,100
Other Current Expenses						
Flag Restoration	0	75,000	75,000	75,000	70,312	71,250
Minor Capital Improvements	135,639	0	2,305,000	500,000	380,000	225,000
Interim Salary/Caucus Offices	605,086	495,478	641,942	493,898	641,942	493,898
Connecticut Academy of Science and Engineering	329,017	1,039,150	0	0	0	0
Old State House	541,367	581,500	599,710	620,620	569,724	589,589
Other Than Payments to Local Governments						
Interstate Conference Fund	361,530	399,080	415,040	431,640	394,288	410,058
New England Board of Higher Education	183,750	202,584	189,250	194,925	179,788	185,179
Nonfunctional - Change to Accruals	373,782	331,606	0	0	0	0
Agency Total - General Fund	57,556,153	71,300,194	75,874,072	77,244,673	68,476,594	71,640,346
Additional Funds Available						
Carry Forward Funding	0	0	0	0	898,150	0
Private Contributions & Other Restricted	2,550,282	3,230,000	2,530,000	2,530,000	3,230,000	3,230,000
Agency Grand Total	60,106,435	74,530,194	78,404,072	79,774,673	72,604,744	74,870,346

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,122,250	0	3,819,532	0	0	0	0
Interim Salary/Caucus Offices	0	146,464	0	(1,580)	0	0	0	0
Total - General Fund	0	1,268,714	0	3,817,952	0	0	0	0

Governor

Provide funding of \$1,268,714 in FY 16 and \$3,817,952 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	414,176	0	958,019	0	0	0	0
Total - General Fund	0	414,176	0	958,019	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$414,176 in FY 16 and an additional \$543,843 in FY 17 (for a cumulative total of \$958,019 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Minor Capital Improvements

Minor Capital Improvements	0	2,305,000	0	500,000	0	0	0	0
Total - General Fund	0	2,305,000	0	500,000	0	0	0	0

Governor

Provide \$2,305,000 in FY 16 and \$500,000 in FY 17 for minor capital improvements. This includes hearing room audio and delegate management system, Capitol and LOB air handling units, and bathroom upgrades.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	1,323,500	0	219,500	0	0	0	0
Total - General Fund	0	1,323,500	0	219,500	0	0	0	0

Governor

Provide \$1,323,500 in FY 16 and \$219,500 in FY 17 for replacement equipment in this agency. This includes network switches, servers and an email archive solution system.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	17,128	0	1,124,210	0	0	0	0
Old State House	0	18,210	0	39,120	0	0	0	0
Interstate Conference Fund	0	15,960	0	32,560	0	0	0	0
New England Board of Higher Education	0	(13,334)	0	(7,659)	0	0	0	0
Total - General Fund	0	37,964	0	1,188,231	0	0	0	0

Governor

Provide funding of \$37,964 in FY 16 and \$1,188,231 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include: employee training for the rollout of software updates, management consulting services to assist in LCO bill drafting software update, hardware lease for upgrade in FY 17, and the SAP annual maintenance contract.

Legislative

Same as Governor

Policy Revisions**Reduce Various Accounts**

Personal Services	0	(2,750,000)	0	(3,569,532)	0	(2,750,000)	0	(3,569,532)
Other Expenses	0	(1,000,000)	0	(500,000)	0	(1,000,000)	0	(500,000)
Total - General Fund	0	(3,750,000)	0	(4,069,532)	0	(3,750,000)	0	(4,069,532)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce funding by \$3,750,000 in FY 16 (\$2,750,000 in Personal Services and \$1,000,000 in Other Expenses) and \$4,069,532 in FY 17 (\$3,569,532 in Personal Services and \$500,000 in Other Expenses) to reflect anticipated spending levels.

Provide Funding for New Positions

Personal Services	2	150,000	2	150,000	(6)	(445,280)	(6)	(481,533)
Total - General Fund	2	150,000	2	150,000	(6)	(445,280)	(6)	(481,533)

Governor

Provide funding of \$595,280 in FY 16 and \$631,533 in Personal Services to support eight positions; a Staff Attorney and a Facilities Assistant in management and six positions in the Information Technology department to support the OFA business analytics software, redistricting and technical security.

Legislative

Provide funding of \$150,000 in both FY 16 and FY 17 to support two positions.

Adjust Authorized Position Count

Permanent Full-Time	7	0	7	0	0	0	0	0
Total - General Fund	7	0	7	0	0	0	0	0

Governor

Increase the authorized position count by seven to reflect new security technicians needed as a result of the LOB/Capitol complex security enhancements.

Legislative

Same as Governor

Provide Funding for Technology Positions

Personal Services	2	180,000	2	190,000	2	180,000	2	190,000
Total - General Fund	2	180,000	2	190,000	2	180,000	2	190,000

Legislative

Provide funding of \$180,000 in FY 16 and \$190,000 in FY 17 for two positions within OFA to support OFA's technology initiatives. One of these positions may be at a supervisory level. If contracting for these services is more economically efficient, engaging consultants may be substituted.

These positions/services will be in addition to the support currently provided by the Office of Information Technology.

Adjust Funding for CASE

Connecticut Academy of Science and Engineering	0	(1,039,150)	0	(1,039,150)	0	0	0	0
Total - General Fund	0	(1,039,150)	0	(1,039,150)	0	0	0	0

Governor

Eliminate funding of \$1,039,150 for the Connecticut Academy of Science and Engineering (CASE) in both FY 16 and FY 17.

Legislative

Same as Governor

Fund Legislative Equipment Request through CEPF

Equipment	0	(1,273,500)	0	(69,500)	0	(1,273,500)	0	(69,500)
Minor Capital Improvements	0	(1,925,000)	0	(275,000)	0	(1,925,000)	0	(275,000)
Total - General Fund	0	(3,198,500)	0	(344,500)	0	(3,198,500)	0	(344,500)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management. The governor is prohibited from modifying the requested budget of legislative agencies under CGS Sec. 4-73(f).

Governor

The governor proposes reducing these appropriations through a lapse reduction and funding these items through CEPF (Bond Funds).

Legislative

Reduce funding by \$3,198,500 in FY 16 and \$344,500 in FY 17 for the purchase of various equipment and minor capital items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Transfer Funding for the Capitol Day Care Center to OLM

Other Expenses	0	120,888	0	120,888	0	120,888	0	120,888
Total - General Fund	0	120,888	0	120,888	0	120,888	0	120,888

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Transfer the Capitol Child Development Center (CCDC) lease from the Department of Administrative Services (DAS) to OLM. Funding of \$120,888 is not transferred to OLM.

Legislative

Transfer funding of \$120,888 in both FY 16 and FY 17 to reflect the transfer of CCDC lease payments from DAS to OLM.

Provide Funding for an Education Strategic Plan

Other Expenses	0	150,000	0	0	0	150,000	0	0
Total - General Fund	0	150,000	0	0	0	150,000	0	0

Legislative

Provide funding of \$150,000 in FY 16 to retain consultants to assist in the development of a strategic master education plan.

Provide Funding for Intern Program

Personal Services	0	4,478	0	4,478	0	4,478	0	4,478
Total - General Fund	0	4,478	0	4,478	0	4,478	0	4,478

Background

The University of Connecticut's Department of Public Policy (DPP) provides state agencies, town governments, and non-profit organizations with 2nd-year Master of Public Administration students as a part-time member of their team for a full academic year through the Internship and Professional Practice (IPP) program. The IPP Program graduate students gain real-world working experience in career fields as well as financial assistance toward their education.

Legislative

Provide funding of \$4,478 to the Office of Fiscal Analysis to participate in the University of Connecticut DPP's Master of Public Administration IPP program. This amount represents the incremental cost to the agency to participate above the currently budgeted level of the nonpartisan Legislative Fellow Program for the full duration of the IPP program (August - May).

Fund Appropriations Committee Accountability Initiative

Other Expenses	0	20,000	0	0	0	20,000	0	0
Total - General Fund	0	20,000	0	0	0	20,000	0	0

Legislative

Provide funding of \$20,000 in FY 16 for a one-year extension of the Charter Oak Group's Appropriations Committee accountability initiative.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding in Various Accounts

Flag Restoration	0	(4,688)	0	(3,750)	0	(4,688)	0	(3,750)
Old State House	0	(29,986)	0	(31,031)	0	(29,986)	0	(31,031)
Interstate Conference Fund	0	(20,752)	0	(21,582)	0	(20,752)	0	(21,582)
New England Board of Higher Education	0	(9,462)	0	(9,746)	0	(9,462)	0	(9,746)
Total - General Fund	0	(64,888)	0	(66,109)	0	(64,888)	0	(66,109)

Legislative

Reduce funding by a cumulative \$64,888 in FY 16 and by \$66,109 in FY 17 in the following accounts: Flag Restoration, Old State House, Interstate Conference Fund, and New England Board of Higher Education.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(331,606)	0	(331,606)	0	0	0	0
Total - General Fund	0	(331,606)	0	(331,606)	0	0	0	0

Governor

Reduce funding by \$331,606 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(414,176)	0	(958,019)	0	(414,176)	0	(958,019)
Total - General Fund	0	(414,176)	0	(958,019)	0	(414,176)	0	(958,019)

Legislative

Reduce Other Expenses by \$414,176 in FY 16 and \$958,019 in FY 17 to reflect the elimination of inflationary increases.

Transfer the Council on Environmental Quality

Governor

The responsibilities of the Council on Environmental Quality (CEQ) are being transferred to OLM without resources. CEQ's current services budget was \$184,027 in FY 16 and \$185,484 in FY 17, including two positions.

Legislative

Do not transfer CEQ to OLM.

Adjust Funding for Legislative Management

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$3,065,496 in FY 16 and \$3,803,188 in FY 17 in the agency to achieve savings. Note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward

Carry Forward Funding for Various Accounts

Other Expenses	0	213,500	0	0	0	213,500	0	0
Connecticut Academy of Science and Engineering	0	684,650	0	0	0	684,650	0	0
Total - Carry Forward Funding	0	898,150	0	0	0	898,150	0	0

Legislative

PA 15-244, the FY 16 and FY 17 budget, carries forward \$522,900 in various accounts from FY 15 to be available in FY 16 and FY 17, this includes:

- Section 37(a) carries forward \$70,000 in the Other Expenses account for the purpose of conducting a tax study;
- Section 37(b) carries forward \$299,400 in the Connecticut Academy of Science and Engineering account for a disparity study;
- Section 37(c) carries forward \$96,000 in the Other Expenses account for the purpose of a contract with National Center for Higher Education Management Systems;
- Section 37(d) carries forward \$47,500 in the Other Expenses account for the purpose of consulting services by the Charter Oak Group for the Appropriations Committee Accountability Initiative; and
- Section 37(e) carries forward \$10,000 in the Connecticut Academy of Science and Engineering account for a Family Violence study.

Section 464 of PA 15-5 JSS, a budget implementer, carries forward \$375,250 in the Connecticut Academy of Science and Engineering account from FY 15 to be available in FY 16 and FY 17 for a childhood discontinuity study.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	439	71,300,194	439	71,300,194	0	0	0	0
Current Services	0	5,349,354	0	6,683,702	0	0	0	0
Policy Revisions	11	(8,172,954)	11	(6,343,550)	(4)	(7,397,478)	(4)	(5,604,327)
Total Recommended - GF	450	68,476,594	450	71,640,346	(4)	(7,397,478)	(4)	(5,604,327)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$32,219, a Statewide Hiring Reduction of \$591,429, and a General Employee Lapse of \$654,892. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$294,291. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	48,856,926	(1,278,540)	47,578,386	2.62%
Other Expenses	17,008,514	(255,127)	16,753,387	1.50%
Equipment	375,100	(5,626)	369,474	1.50%
Flag Restoration	70,312	(1,054)	69,258	1.50%
Minor Capital Improvements	380,000	(5,700)	374,300	1.50%
Interim Salary/Caucus Offices	641,942	(9,629)	632,313	1.50%
Old State House	569,724	(8,545)	561,179	1.50%
Interstate Conference Fund	394,288	(5,914)	388,374	1.50%
New England Board of Higher Education	179,788	(2,696)	177,092	1.50%

Auditors of Public Accounts

APA11000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	117	117	117	117	117	117

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	10,619,721	11,825,310	12,475,412	12,500,473	12,225,412	12,250,473
Other Expenses	319,841	427,450	437,355	449,991	400,115	404,950
Equipment	2,440	10,000	10,000	10,000	10,000	10,000
Nonfunctional - Change to Accruals	71,742	69,610	0	0	0	0
Agency Total - General Fund	11,013,745	12,332,370	12,922,767	12,960,464	12,635,527	12,665,423

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	650,102	0	675,163	0	0	0	0
Total - General Fund	0	650,102	0	675,163	0	0	0	0

Governor

Provide funding of \$650,102 in FY 16 and \$675,163 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	9,905	0	22,541	0	0	0	0
Total - General Fund	0	9,905	0	22,541	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$9,905 in FY 16 and an additional \$12,636 in FY 17 (for a cumulative total of \$22,541 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Reduce Personal Services

Personal Services	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)
Total - General Fund	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)

Legislative

Reduce Personal Services by \$250,000 in FY 16 and FY 17 to achieve efficiencies.

Reduce Other Expenses

Other Expenses	0	(27,335)	0	(22,500)	0	(27,335)	0	(22,500)
Total - General Fund	0	(27,335)	0	(22,500)	0	(27,335)	0	(22,500)

Legislative

Reduce funding in Other Expenses (\$27,335) in FY 16 and (\$22,500) in FY 17 to achieve efficiencies.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(69,610)	0	(69,610)	0	0	0	0
Total - General Fund	0	(69,610)	0	(69,610)	0	0	0	0

Governor

Reduce funding by \$69,610 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(9,905)	0	(22,541)	0	(9,905)	0	(22,541)
Total - General Fund	0	(9,905)	0	(22,541)	0	(9,905)	0	(22,541)

Legislative

Reduce Other Expenses by \$9,905 in FY 16 and \$22,541 in FY 17 to reflect the elimination of inflationary increases.

Implement Special Education Initiatives

Background

The Regional Planning Incentive Account is a non-lapsing account funded through a diversion of a portion of hotel tax and rental car surtax revenue. It primarily funds: 1) grants-in-aid to councils of government (COGs), and 2) competitive grants to COGs and groups of municipalities for the joint provision of public services, or studies regarding the joint provision of public services. The Office of Policy and Management administers the account.

Legislative

Sections 278 to 281 of PA 15-5 JSS, a budget implementer, require the Auditors of Public Accounts to audit municipal special education programs approved by the State Department of Education on a five year audit cycle basis. This will result in an increase of four positions of \$365,543 (\$263,644 for salaries and \$101,899 for fringe benefits) in FY 16 and FY 17.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	117	12,332,370	117	12,332,370	0	0	0	0
Current Services	0	660,007	0	697,704	0	0	0	0
Policy Revisions	0	(356,850)	0	(364,651)	0	(287,240)	0	(295,041)
Total Recommended - GF	117	12,635,527	117	12,665,423	0	(287,240)	0	(295,041)

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$5,946, a Statewide Hiring Reduction of \$147,993, and a General Employee Lapse of \$163,873. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$6,151. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	12,225,412	(317,812)	11,907,600	2.60%
Other Expenses	400,115	(6,001)	394,114	1.50%
Equipment	10,000	(150)	9,850	1.50%

Commission on Aging

COA11400

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	4	4	4	4	4	4

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	282,653	416,393	450,082	478,607	416,393	416,393
Other Expenses	24,861	38,236	43,433	44,944	38,236	38,236
Equipment	0	0	2,000	2,000	0	0
Nonfunctional - Change to Accruals	1,319	3,451	0	0	0	0
Agency Total - General Fund	308,833	458,080	495,515	525,551	454,629	454,629

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	33,689	0	62,214	0	0	0	0
Total - General Fund	0	33,689	0	62,214	0	0	0	0

Governor

Provide funding of \$33,689 in FY 16 and \$62,214 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	877	0	2,010	0	0	0	0
Total - General Fund	0	877	0	2,010	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$877 in FY 16 and an additional \$1,133 in FY 17 (for a cumulative total of \$2,010 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	4,320	0	4,698	0	0	0	0
Total - General Fund	0	4,320	0	4,698	0	0	0	0

Governor

Provide funding of \$4,320 in FY 16 and \$4,698 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include funds for increased travel, translation of outreach programs and a web design upgrade.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Provide \$2,000 in FY 16 and FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Policy Revisions

Reduce Funding to FY 15 Levels

Personal Services	0	(33,689)	0	(62,214)	0	(33,689)	0	(62,214)
Other Expenses	0	(5,197)	0	(6,708)	0	(5,197)	0	(6,708)
Equipment	0	(2,000)	0	(2,000)	0	(2,000)	0	(2,000)
Total - General Fund	0	(40,886)	0	(70,922)	0	(40,886)	0	(70,922)

Legislative

Reduce funding by \$40,886 in FY 16 and \$70,922 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(3,451)	0	(3,451)	0	0	0	0
Total - General Fund	0	(3,451)	0	(3,451)	0	0	0	0

Governor

Reduce funding by \$3,451 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Adjust Funding for the Commission on Aging

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$12,650 in FY 16 and \$40,438 in FY 17 in this agency to achieve savings.

Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4	458,080	4	458,080	0	0	0	0
Current Services	0	40,886	0	70,922	0	0	0	0
Policy Revisions	0	(44,337)	0	(74,373)	0	(40,886)	0	(70,922)
Total Recommended - GF	4	454,629	4	454,629	0	(40,886)	0	(70,922)

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$214, a Statewide Hiring Reduction of \$5,041, and a General Employee Lapse of \$5,581. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$573. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	416,393	(10,622)	405,771	2.55%
Other Expenses	38,236	(787)	37,449	2.06%

Permanent Commission on the Status of Women

CSW11500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	6	6	7	7	6	6

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	478,404	541,016	664,544	706,552	541,016	541,016
Other Expenses	48,597	326,464	86,726	82,381	83,864	75,864
Equipment	0	1,000	2,000	2,000	1,000	1,000
Nonfunctional - Change to Accruals	(2,042)	4,405	0	0	0	0
Agency Total - General Fund	524,959	872,885	753,270	790,933	625,880	617,880

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	48,445	0	90,453	0	0	0	0
Total - General Fund	0	48,445	0	90,453	0	0	0	0

Governor

Provide funding of \$48,445 in FY 16 and \$90,453 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	2,862	0	6,517	0	0	0	0
Total - General Fund	0	2,862	0	6,517	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$2,862 in FY 16 and an additional \$3,655 in FY 17 (for a cumulative total of \$6,517 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	1,000	0	1,000	0	0	0	0
Total - General Fund	0	1,000	0	1,000	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide \$1,000 in FY 16 and in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(242,600)	0	(250,600)	0	0	0	0
Total - General Fund	0	(242,600)	0	(250,600)	0	0	0	0

Governor

Reduce funding by \$242,600 in FY 16 and \$250,600 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. The current services base is updated to reflect the removal of the one-time sponsorship in FY 14 of \$200,000. Some costs include consultants for data collection and analysis regarding women's leadership, labor and health.

Legislative

Same as Governor

Policy Revisions**Reduce Funding to FY 15 Levels**

Personal Services	0	(48,445)	0	(90,453)	0	(48,445)	0	(90,453)
Other Expenses	0	(2,862)	0	(6,517)	0	(2,862)	0	(6,517)
Equipment	0	(1,000)	0	(1,000)	0	(1,000)	0	(1,000)
Total - General Fund	0	(52,307)	0	(97,970)	0	(52,307)	0	(97,970)

Legislative

Reduce funding by \$52,307 in FY 16 and \$97,970 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Adjust Funding for a New Position

Personal Services	0	0	0	0	(1)	(75,083)	(1)	(75,083)
Total - General Fund	0	0	0	0	(1)	(75,083)	(1)	(75,083)

Governor

Provide funding of \$75,083 in FY 16 and FY 17 in Personal Services for an analyst position to meet the increasing demands of outreach and research and prioritize the internet/web activity.

Legislative

Maintain funding to reflect the FY 15 appropriation level for this account.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(4,405)	0	(4,405)	0	0	0	0
Total - General Fund	0	(4,405)	0	(4,405)	0	0	0	0

Governor

Reduce funding by \$4,405 in FY 16 and in FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Adjust Funding for the Commission**Background**

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding (through a lapse reduction) by \$119,401 in FY 16 and \$151,958 in FY 17 in this agency to achieve savings.

Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	6	872,885	6	872,885	0	0	0	0
Current Services	0	(190,293)	0	(152,630)	0	0	0	0
Policy Revisions	0	(56,712)	0	(102,375)	(1)	(127,390)	(1)	(173,053)
Total Recommended - GF	6	625,880	6	617,880	(1)	(127,390)	(1)	(173,053)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$294, a Statewide Hiring Reduction of \$6,549, and a General Employee Lapse of \$7,252. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$1,272. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	541,016	(14,095)	526,921	2.61%
Other Expenses	83,864	(1,257)	82,607	1.50%
Equipment	1,000	(15)	985	1.50%

Commission on Children

CCY11600

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	7	7	7	7	7	7

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	579,459	668,389	803,460	849,814	668,389	668,389
Other Expenses	76,678	75,932	117,680	119,923	100,932	100,932
Equipment	0	0	2,000	2,000	0	0
Nonfunctional - Change to Accruals	8,241	4,753	0	0	0	0
Agency Total - General Fund	664,377	749,074	923,140	971,737	769,321	769,321
Additional Funds Available						
Private Contributions & Other Restricted	1,460	0	0	0	0	0
Agency Grand Total	665,837	749,074	923,140	971,737	769,321	769,321

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	135,071	0	181,425	0	0	0	0
Total - General Fund	0	135,071	0	181,425	0	0	0	0

Governor

Provide funding of \$135,071 in FY 16 and \$181,425 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	1,748	0	3,991	0	0	0	0
Total - General Fund	0	1,748	0	3,991	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$1,748 in FY 16 and an additional \$2,243 in FY 17 (for a cumulative total of \$3,991 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	40,000	0	40,000	0	0	0	0
Total - General Fund	0	40,000	0	40,000	0	0	0	0

Governor

Provide funding of \$40,000 in FY 16 and in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include funding for consultants, translation and printing for research on methodology/efficiency of children's programs.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Provide \$2,000 in FY 16 and in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Policy Revisions

Reduce Funding to FY 15 Levels

Personal Services	0	(135,071)	0	(181,425)	0	(135,071)	0	(181,425)
Other Expenses	0	(41,748)	0	(43,991)	0	(41,748)	0	(43,991)
Equipment	0	(2,000)	0	(2,000)	0	(2,000)	0	(2,000)
Total - General Fund	0	(178,819)	0	(227,416)	0	(178,819)	0	(227,416)

Legislative

Reduce funding by \$178,819 in FY 16 and \$227,416 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Provide Funding for Statewide Two Generation Council

Other Expenses	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	0	25,000	0	25,000	0	25,000	0	25,000

Legislative

Provide funding of \$25,000 in both FY 16 and FY 17 for the statewide two generation council.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(4,753)	0	(4,753)	0	0	0	0
Total - General Fund	0	(4,753)	0	(4,753)	0	0	0	0

Governor

Reduce funding by \$4,753 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for the Commission on Children

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$163,637 in FY 16 and \$208,200 in FY 17 in this agency to achieve savings.

Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	7	749,074	7	749,074	0	0	0	0
Current Services	0	178,819	0	227,416	0	0	0	0
Policy Revisions	0	(158,572)	0	(207,169)	0	(153,819)	0	(202,416)
Total Recommended - GF	7	769,321	7	769,321	0	(153,819)	0	(202,416)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$362, a Statewide Hiring Reduction of \$8,091, and a General Employee Lapse of \$8,959. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$1,513. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	668,389	(17,050)	651,339	2.55%
Other Expenses	100,932	(1,875)	99,057	1.86%

Latino and Puerto Rican Affairs Commission

LPR11700

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	4	4	5	5	4	4

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	258,561	418,191	517,399	550,030	418,191	418,191
Other Expenses	42,930	27,290	107,164	77,968	27,290	27,290
Equipment	0	0	2,000	2,000	0	0
Nonfunctional - Change to Accruals	6,836	2,186	0	0	0	0
Agency Total - General Fund	308,327	447,667	626,563	629,998	445,481	445,481
Additional Funds Available						
Private Contributions & Other Restricted	50,620	55,000	28,000	28,000	28,000	28,000
Agency Grand Total	358,947	502,667	654,563	657,998	473,481	473,481

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	37,978	0	70,609	0	0	0	0
Total - General Fund	0	37,978	0	70,609	0	0	0	0

Governor

Provide funding of \$37,978 in FY 16 and \$70,609 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	624	0	1,428	0	0	0	0
Total - General Fund	0	624	0	1,428	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$624 in FY 16 and an additional \$804 in FY 17 (for a cumulative total of \$1,428 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	79,250	0	49,250	0	0	0	0
Total - General Fund	0	79,250	0	49,250	0	0	0	0

Governor

Provide funding of \$79,250 in FY 16 and \$49,250 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. Some of these requested costs are for consultants including a pilot program increasing science technology engineering and math (STEM) careers among Latinos and conferences.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Provide \$2,000 in FY 16 and in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Policy Revisions

Reduce Funding to FY 15 Levels

Personal Services	0	(37,978)	0	(70,609)	0	(37,978)	0	(70,609)
Other Expenses	0	(79,874)	0	(50,678)	0	(79,874)	0	(50,678)
Equipment	0	(2,000)	0	(2,000)	0	(2,000)	0	(2,000)
Total - General Fund	0	(119,852)	0	(123,287)	0	(119,852)	0	(123,287)

Legislative

Reduce funding by \$119,852 in FY 16 and \$123,287 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Adjust Funding for a New Position

Personal Services	0	0	0	0	(1)	(61,230)	(1)	(61,230)
Total - General Fund	0	0	0	0	(1)	(61,230)	(1)	(61,230)

Governor

Provide funding of \$61,230 in FY 16 and FY 17 in Personal Services for an analyst position to expand agency education/outreach to raise awareness of critical issues for the Latino community.

Legislative

Maintain funding to reflect the FY 15 appropriation level for this account.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,186)	0	(2,186)	0	0	0	0
Total - General Fund	0	(2,186)	0	(2,186)	0	0	0	0

Governor

Reduce funding by \$2,186 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Latino and Puerto Rican Affairs Commission Funding

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$152,990 in FY 16 and \$154,500 in FY 17 in this agency to achieve savings.

Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4	447,667	4	447,667	0	0	0	0
Current Services	0	119,852	0	123,287	0	0	0	0
Policy Revisions	0	(122,038)	0	(125,473)	(1)	(181,082)	(1)	(184,517)
Total Recommended - GF	4	445,481	4	445,481	(1)	(181,082)	(1)	(184,517)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$210, a Statewide Hiring Reduction of \$5,062, and a General Employee Lapse of \$5,606. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$409. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	418,191	(10,878)	407,313	2.60%
Other Expenses	27,290	(409)	26,881	1.50%

African-American Affairs Commission

CAA11900

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3	3	4	4	3	3

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	202,676	272,829	404,948	429,099	272,829	272,829
Other Expenses	14,264	28,128	33,172	35,103	28,128	28,128
Equipment	0	0	2,000	2,000	0	0
Nonfunctional - Change to Accruals	5,633	1,660	0	0	0	0
Agency Total - General Fund	222,573	302,617	440,120	466,202	300,957	300,957
Additional Funds Available						
Private Contributions & Other Restricted	21,040	10,000	10,000	10,000	10,000	10,000
Agency Grand Total	243,614	312,617	450,120	476,202	310,957	310,957

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	57,036	0	81,187	0	0	0	0
Total - General Fund	0	57,036	0	81,187	0	0	0	0

Governor

Provide funding of \$57,036 in FY 16 and \$81,187 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	644	0	1,475	0	0	0	0
Total - General Fund	0	644	0	1,475	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$644 in FY 16 and an additional \$831 in FY 17 (for a cumulative total of \$1,475 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	4,400	0	5,500	0	0	0	0
Total - General Fund	0	4,400	0	5,500	0	0	0	0

Governor

Provide funding of \$4,400 in FY 16 and \$5,500 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. Some of these costs include increased travel and mileage reimbursement.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Provide \$2,000 in FY 16 and in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Policy Revisions**Reduce Funding to FY 15 Levels**

Personal Services	0	(57,036)	0	(81,187)	0	(57,036)	0	(81,187)
Other Expenses	0	(5,044)	0	(6,975)	0	(5,044)	0	(6,975)
Equipment	0	(2,000)	0	(2,000)	0	(2,000)	0	(2,000)
Total - General Fund	0	(64,080)	0	(90,162)	0	(64,080)	0	(90,162)

Legislative

Reduce funding by \$64,080 in FY 16 and \$90,162 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Adjust Funding for a New Position

Personal Services	0	0	0	0	(1)	(75,083)	(1)	(75,083)
Total - General Fund	0	0	0	0	(1)	(75,083)	(1)	(75,083)

Governor

Provide funding of \$75,083 in FY 16 and FY 17 in Personal Services for an analyst position to increase community/public engagement with the General Assembly.

Legislative

Maintain funding to reflect the FY 15 appropriation level for this account.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,660)	0	(1,660)	0	0	0	0
Total - General Fund	0	(1,660)	0	(1,660)	0	0	0	0

Governor

Reduce funding by \$1,660 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for the African-American Affairs Commission

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$119,904 in FY 16 and \$144,424 in FY 17 in this agency to achieve savings.

Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3	302,617	3	302,617	0	0	0	0
Current Services	0	64,080	0	90,162	0	0	0	0
Policy Revisions	0	(65,740)	0	(91,822)	(1)	(139,163)	(1)	(165,245)
Total Recommended - GF	3	300,957	3	300,957	(1)	(139,163)	(1)	(165,245)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$142, a Statewide Hiring Reduction of \$3,303, and a General Employee Lapse of \$3,657. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$421. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	272,829	(7,102)	265,727	2.60%
Other Expenses	28,128	(421)	27,707	1.50%

Asian Pacific American Affairs Commission

APC11950

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	2	2	4	4	2	2

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	147,391	179,155	315,884	335,601	209,155	209,155
Other Expenses	64,060	14,330	118,348	111,300	14,330	14,330
Equipment	0	0	2,000	2,000	0	0
Nonfunctional - Change to Accruals	3,696	36	0	0	0	0
Agency Total - General Fund	215,147	193,521	436,232	448,901	223,485	223,485

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	30,937	0	50,654	0	0	0	0
Total - General Fund	0	30,937	0	50,654	0	0	0	0

Governor

Provide funding of \$30,937 in FY 16 and \$50,654 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	308	0	707	0	0	0	0
Total - General Fund	0	308	0	707	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$308 in FY 16 and an additional \$399 in FY 17 (for a cumulative total of \$707 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	103,710	0	96,263	0	0	0	0
Total - General Fund	0	103,710	0	96,263	0	0	0	0

Governor

Provide funding of \$103,710 in FY 16 and \$96,263 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. Some of these costs include funding for conferences, mileage reimbursement, consultants and printing for Needs assessment initiative.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Provide \$2,000 in FY 16 and in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Policy Revisions

Reduce Funding to FY 15 Levels

Personal Services	0	(30,937)	0	(50,654)	0	(30,937)	0	(50,654)
Other Expenses	0	(104,018)	0	(96,970)	0	(104,018)	0	(96,970)
Equipment	0	(2,000)	0	(2,000)	0	(2,000)	0	(2,000)
Total - General Fund	0	(136,955)	0	(149,624)	0	(136,955)	0	(149,624)

Legislative

Reduce funding by \$136,955 in FY 16 and \$149,624 in FY 17 to reflect the FY 15 appropriation levels for these accounts.

Adjust Funding for New Positions

Personal Services	0	0	0	0	(2)	(105,792)	(2)	(105,792)
Total - General Fund	0	0	0	0	(2)	(105,792)	(2)	(105,792)

Governor

Provide funding of \$105,792 in FY 16 and FY 17 in Personal Services for two analyst positions to meet increasing demand for outreach and to have a more active role in obtaining private grant funding to enhance the mission of the agency.

Legislative

Maintain funding to reflect the FY 15 appropriation level for this account.

Provide Funding for a Part Time Position

Personal Services	0	30,000	0	30,000	0	30,000	0	30,000
Total - General Fund	0	30,000	0	30,000	0	30,000	0	30,000

Legislative

Provide funding of \$30,000 in FY 16 and FY 17 for a part time analyst position to meet increasing demand for outreach and to have a more active role in obtaining private grant funding to enhance the mission of the agency.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(36)	0	(36)	0	0	0	0
Total - General Fund	0	(36)	0	(36)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$36 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Adjust Funding for Asian Pacific American Affairs Commission**Background**

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding (through a lapse reduction) by \$229,528 in FY 16 and \$241,319 in FY 17 in this agency to achieve savings. Please note that the total "Reduce Funding in Excess of Current Services" lapse reduction for the legislative branch is \$3,863,606 in FY 16 and \$4,744,027 in FY 17.

Legislative

Do not reduce funding through a bottom line lapse reduction. Reductions are made in agency accounts.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2	193,521	2	193,521	0	0	0	0
Current Services	0	136,955	0	149,624	0	0	0	0
Policy Revisions	0	(106,991)	0	(119,660)	(2)	(212,747)	(2)	(225,416)
Total Recommended - GF	2	223,485	2	223,485	(2)	(212,747)	(2)	(225,416)

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$105, a Statewide Hiring Reduction of \$2,532, and a General Employee Lapse of \$2,804. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$214. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	209,155	(5,441)	203,714	2.60%
Other Expenses	14,330	(214)	14,116	1.49%

Governor's Office

GOV12000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	28	28	28	28	28	28

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,072,048	2,382,033	2,402,418	2,407,998	2,372,643	2,407,998
Other Expenses	163,303	213,963	203,265	203,265	200,590	203,265
Equipment	0	1	0	0	0	0
Other Than Payments to Local Governments						
New England Governors' Conference	74,391	113,289	107,625	107,625	106,209	107,625
National Governors' Association	130,907	134,899	128,155	128,155	126,469	128,155
Nonfunctional - Change to Accruals	1,693	11,867	0	0	0	0
Agency Total - General Fund	2,442,341	2,856,052	2,841,463	2,847,043	2,805,911	2,847,043

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	139,486	0	145,066	0	0	0	0
Total - General Fund	0	139,486	0	145,066	0	0	0	0

Governor

Provide funding of \$139,486 in FY 16 and \$145,066 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	4,966	0	11,284	0	0	0	0
Total - General Fund	0	4,966	0	11,284	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$4,966 in FY 16 and an additional \$6,318 in FY 17 (for a cumulative total of \$11,284 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(148,876)	0	(119,101)	0	(29,775)	0	0
Other Expenses	0	(13,373)	0	(10,698)	0	(2,675)	0	0
New England Governors' Conference	0	(7,080)	0	(5,664)	0	(1,416)	0	0
National Governors' Association	0	(8,430)	0	(6,744)	0	(1,686)	0	0
Total - General Fund	0	(177,759)	0	(142,207)	0	(35,552)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$142,207 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$142,207 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$35,552 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(11,867)	0	(11,867)	0	0	0	0
Total - General Fund	0	(11,867)	0	(11,867)	0	0	0	0

Governor

Reduce funding by \$11,867 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(4,966)	0	(11,284)	0	0	0	0
Total - General Fund	0	(4,966)	0	(11,284)	0	0	0	0

Governor

Reduce Other Expenses by \$4,966 in FY 16 and 11,284 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	28	2,856,052	28	2,856,052	0	0	0	0
Current Services	0	144,452	0	156,350	0	0	0	0
Policy Revisions	0	(194,593)	0	(165,359)	0	(35,552)	0	0
Total Recommended - GF	28	2,805,911	28	2,847,043	0	(35,552)	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$6,896, a Statewide Hiring Reduction of \$36,462, and a General Employee Lapse of \$33,168. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$6,498. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,372,643	(76,526)	2,296,117	3.23%
Other Expenses	200,590	(3,008)	197,582	1.50%
New England Governors' Conference	106,209	(1,593)	104,616	1.50%
National Governors' Association	126,469	(1,897)	124,572	1.50%

Secretary of the State

SOS12500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	84	85	81	81	84	84

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,539,928	2,845,820	2,988,939	3,008,115	2,923,939	2,941,115
Other Expenses	1,419,397	1,781,836	1,542,745	1,542,745	1,820,472	1,842,745
Equipment	0	1	0	0	0	0
Other Current Expenses						
Commercial Recording Division	5,017,888	5,339,580	5,583,728	5,611,861	5,658,728	5,686,861
Board of Accountancy	270,087	281,025	0	0	297,114	301,941
Nonfunctional - Change to Accruals	60,725	34,701	0	0	0	0
Agency Total - General Fund	9,308,025	10,282,963	10,115,412	10,162,721	10,700,253	10,772,662
Additional Funds Available						
Carry Forward Funding	0	0	0	0	279,416	0
Agency Grand Total	9,308,025	10,282,963	10,115,412	10,162,721	10,979,669	10,772,662

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	143,119	0	162,295	0	0	0	0
Commercial Recording Division	0	156,148	0	184,281	0	0	0	0
Board of Accountancy	0	16,089	0	20,916	0	0	0	0
Total - General Fund	0	315,356	0	367,492	0	0	0	0

Governor

Provide funding of \$315,356 in FY 16 and \$367,492 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding for CONCORD System Maintenance

Commercial Recording Division	0	288,000	0	288,000	0	0	0	0
Total - General Fund	0	288,000	0	288,000	0	0	0	0

Background

The Secretary of the State's Commercial Recording Division is responsible for maintaining certain records concerning the formation and changes to business entities in the state. The division holds that information in the CONCORD system.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$288,000 in both FY 16 and FY 17 for maintenance of the CONCORD system.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(150,000)	0	(150,000)	0	0	0	0
Total - General Fund	0	(150,000)	0	(150,000)	0	0	0	0

Governor

Reduce funding by \$150,000 in both FY 16 and FY 17 to reflect current expense requirements.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	41,144	0	93,545	0	0	0	0
Board of Accountancy	0	252	0	252	0	0	0	0
Total - General Fund	0	41,396	0	93,797	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$41,396 in FY 16 and an additional \$52,401 in FY 17 (for a cumulative total of \$93,797 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Provide Funding for CT Data Collaborative**

Other Expenses	0	300,000	0	300,000	0	300,000	0	300,000
Total - General Fund	0	300,000	0	300,000	0	300,000	0	300,000

Legislative

Provide funding of \$300,000 in both FY 16 and FY 17 for the CT Data Collaborative.

Re-organize Board of Accountancy

Board of Accountancy	0	0	0	0	4	297,366	4	302,193
Total - General Fund	0	0	0	0	4	297,366	4	302,193

Governor

Reduce funding by \$297,366 in FY 16 and \$302,193 in FY 17 and eliminate four positions to reflect the elimination of the Board of Accountancy and transfer of responsibilities to the Department of Consumer Protection (DCP).

Legislative

Maintain the Board of Accountancy.

Provide Funding for Administrative Dissolutions

Commercial Recording Division	0	75,000	0	75,000	0	75,000	0	75,000
Total - General Fund	0	75,000	0	75,000	0	75,000	0	75,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

PA 14-154 allows the Commercial Recording Division within Secretary of the State's office to administratively dissolve certain business entities that are no longer compliant with statutory reporting responsibilities.

Legislative

Provide funding of \$75,000 in both FY 16 and FY 17 to allow for mailings to certain non-compliant businesses associated with the administrative dissolution process in accordance with PA 14-154.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Other Expenses	0	(111,364)	0	(89,091)	0	(22,273)	0	0
Commercial Recording Division	0	(200,000)	0	(200,000)	0	0	0	0
Total - General Fund	0	(311,364)	0	(289,091)	0	(22,273)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$289,091 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$289,091 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$22,273 in FY 16.

Adjust Vacant Positions

Personal Services	(1)	(65,000)	(1)	(67,000)	(1)	(65,000)	(1)	(67,000)
Total - General Fund	(1)	(65,000)	(1)	(67,000)	(1)	(65,000)	(1)	(67,000)

Legislative

Reduce funding by \$65,000 in FY 16 and \$67,000 in FY 17 to reflect the elimination of one vacant position.

Eliminate Inflationary Increases

Other Expenses	0	(41,144)	0	(93,545)	0	0	0	0
Board of Accountancy	0	(252)	0	(252)	0	(252)	0	(252)
Total - General Fund	0	(41,396)	0	(93,797)	0	(252)	0	(252)

Governor

Reduce Other Expenses by \$41,114 in FY 16 and \$93,545 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Reduce Other Expenses by \$41,396 in FY 16 and \$93,797 in FY 17 to reflect the elimination of inflationary increases and additionally reduce various accounts by \$252 in FY 16 and FY 17.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(34,701)	0	(34,701)	0	0	0	0
Total - General Fund	0	(34,701)	0	(34,701)	0	0	0	0

Governor

Reduce funding by \$34,701 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for CT Data Collaborative

Other Expenses	0	129,416	0	0	0	129,416	0	0
Total - Carry Forward Funding	0	129,416	0	0	0	129,416	0	0

Background

PA 13-184, the FY 14 and FY 15 budget as adjusted by PA 13-247, provided Other Expense funding of \$595,000 in FY 15 to support the work of the CT Data Collaborative in increasing the availability of state agency data for public uses.

Legislative

Carry forward funding of \$129,416 in Other Expenses into FY 16 to support the continued work of the CT Data Collaborative.

Carry Forward Funding for Electronic Voting Systems

Other Expenses	0	150,000	0	0	0	150,000	0	0
Total - Carry Forward Funding	0	150,000	0	0	0	150,000	0	0

Background

PA 14-47, as adjusted by PA 14-217, provided \$150,000 in Other Expenses to allow the certification of electronic devices for use by registrars of voters during the voter check-in process.

Legislative

Carry forward funding of \$150,000 in Other Expenses into FY 16 to enable the continuation of certification of electronic devices for use by registrars of voters during the voter check-in process.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	85	10,282,963	85	10,282,963	0	0	0	0
Current Services	0	494,752	0	599,289	0	0	0	0
Policy Revisions	(1)	(77,462)	(1)	(109,590)	3	584,841	3	609,941
Total Recommended - GF	84	10,700,253	84	10,772,662	3	584,841	3	609,941

Other Significant Legislation

PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services.

Section 443 establishes an election monitor, contracted by the Secretary of the State, in municipalities of a certain size to detect and prevent irregularity and impropriety in the management and conduct of elections until January 1, 2017.

Section 451 establishes a regional election monitor, contracted by a regional council of governments but under control of the Secretary of the State, within each of the state's planning regions to assist in training and communication issues.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$31,836, a Statewide Hiring Reduction of \$44,934, a General Employee Lapse of \$21,976, and Overtime Savings of \$1,475. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$116,643. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,923,939	(71,663)	2,852,276	2.45%
Other Expenses	1,820,472	(32,758)	1,787,714	1.80%
Commercial Recording Division	5,658,728	(107,097)	5,551,631	1.89%
Board of Accountancy	297,114	(5,346)	291,768	1.80%

Lieutenant Governor's Office

LGO13000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	7	7	7	7	7	7

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	437,180	642,515	648,014	649,519	639,983	649,519
Other Expenses	37,150	73,215	69,555	69,555	68,640	69,555
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	(1,138)	3,090	0	0	0	0
Agency Total - General Fund	473,193	718,821	717,569	719,074	708,623	719,074

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	37,624	0	39,129	0	0	0	0
Total - General Fund	0	37,624	0	39,129	0	0	0	0

Governor

Provide funding of \$37,624 in FY 16 and \$39,129 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	1,686	0	3,854	0	0	0	0
Total - General Fund	0	1,686	0	3,854	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$1,686 in FY 16 and an additional \$2,168 in FY 17 (for a cumulative total of \$3,854 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Rollout of FY 15 Rescissions

Personal Services	0	(40,156)	0	(32,125)	0	(8,031)	0	0
Other Expenses	0	(4,575)	0	(3,660)	0	(915)	0	0
Total - General Fund	0	(44,731)	0	(35,785)	0	(8,946)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$35,785 (including \$32,125 in Personal Services and \$3,660 in Other Expenses) in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$35,785 (including \$32,125 in Personal Services and \$3,660 in Other Expenses) in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$8,946 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(3,090)	0	(3,090)	0	0	0	0
Total - General Fund	0	(3,090)	0	(3,090)	0	0	0	0

Governor

Reduce funding by \$3,090 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(1,686)	0	(3,854)	0	0	0	0
Total - General Fund	0	(1,686)	0	(3,854)	0	0	0	0

Governor

Reduce Other Expenses by \$1,686 in FY 16 and \$3,854 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	7	718,821	7	718,821	0	0	0	0
Current Services	0	39,310	0	42,983	0	0	0	0
Policy Revisions	0	(49,508)	0	(42,730)	0	(8,946)	0	0
Total Recommended - GF	7	708,623	7	719,074	0	(8,946)	0	0

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$1,893 and a General Employee Lapse of \$7,685. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$1,029. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	639,983	(9,578)	630,405	1.50%
Other Expenses	68,640	(1,029)	67,611	1.50%

State Treasurer

OTT14000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	48	48	45	45	45	45
Permanent Full-Time - TF	1	1	1	1	1	1

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	3,134,388	3,626,114	3,300,795	3,313,919	3,255,469	3,313,919
Other Expenses	163,043	164,205	155,995	155,995	153,942	155,995
Equipment	1	1	0	0	0	0
Nonfunctional - Change to Accruals	(3,897)	22,567	0	0	0	0
Agency Total - General Fund	3,293,534	3,812,887	3,456,790	3,469,914	3,409,411	3,469,914
Additional Funds Available						
Transportatn Gr & Restrct Acct	458,959	99,142	0	0	0	0
Clean Water Fund - State Acct	380,407	391,819	403,574	415,681	403,574	415,681
Private Contributions & Other Restricted	119,585,308	116,429,544	118,930,362	122,497,114	118,930,362	122,497,114
Agency Grand Total	123,718,208	120,733,392	122,790,726	126,382,709	122,743,347	126,382,709

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	178,595	0	193,296	0	0	0	0
Total - General Fund	0	178,595	0	193,296	0	0	0	0

Governor

Provide funding of \$178,595 in FY 16 and \$193,296 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	3,829	0	8,658	0	0	0	0
Total - General Fund	0	3,829	0	8,658	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$3,829 in FY 16 and an additional \$4,829 in FY 17 (for a cumulative total of \$8,658 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Transfer Administrative Positions to Nonappropriated Funds**

Personal Services	(3)	(322,609)	(3)	(324,186)	0	0	0	0
Total - General Fund	(3)	(322,609)	(3)	(324,186)	0	0	0	0

Background

The Treasurer's Office apportions a percentage of the Personal Services (PS) cost for services to provide data processing, information technology and business office administration among the agency's five funding sources. The five funding sources include: (1) the General Fund, (2) the Pension Fund, (3) the Second Injury Fund, (4) the Unclaimed Property Fund and (5) the Short Term Investment Fund.

Governor

Reduce funding of \$322,609 in FY 16 and \$324,186 in FY 17 to reflect the transfer of a total of three administrative positions to nonappropriated funds: (1) the Investment Trust Fund, (2) the Second Injury Fund and (3) the Unclaimed Property Fund. Transfer of these positions will reduce the amount of costs that need to be apportioned for providing data processing, information technology and business office administration services among the Treasurer's Office funding sources.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(226,631)	0	(181,305)	0	(45,326)	0	0
Other Expenses	0	(10,263)	0	(8,210)	0	(2,053)	0	0
Total - General Fund	0	(236,894)	0	(189,515)	0	(47,379)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$189,515 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$189,515 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$47,379 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(3,829)	0	(8,658)	0	0	0	0
Total - General Fund	0	(3,829)	0	(8,658)	0	0	0	0

Governor

Reduce Other Expenses by \$3,829 in FY 16 and \$8,658 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(22,567)	0	(22,567)	0	0	0	0
Total - General Fund	0	(22,567)	0	(22,567)	0	0	0	0

Governor

Reduce funding by \$22,567 in FY 16 and \$22,567 in FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	48	3,812,887	48	3,812,887	0	0	0	0
Current Services	0	182,424	0	201,954	0	0	0	0
Policy Revisions	(3)	(585,900)	(3)	(544,927)	0	(47,379)	0	0
Total Recommended - GF	45	3,409,411	45	3,469,914	0	(47,379)	0	0
Governor Estimated - TF	1	0	1	0	0	0	0	0
Total Recommended - TF	1	0	1	0	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$9,494, a Statewide Hiring Reduction of \$50,029, and a General Employee Lapse of \$34,754. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,309. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	3,255,469	(94,277)	3,161,192	2.90%
Other Expenses	153,942	(2,309)	151,633	1.50%

State Comptroller OSC15000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	276	276	276	276	276	276

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	21,666,156	24,228,310	24,125,768	24,125,768	25,190,835	25,394,018
Other Expenses	4,186,509	4,089,423	5,551,377	4,929,660	5,801,377	5,179,660
Equipment	0	1	0	0	0	0
Other Than Payments to Local Governments						
Governmental Accounting Standards Board	0	19,570	0	0	0	0
Nonfunctional - Change to Accruals	264,683	150,072	0	0	0	0
Agency Total - General Fund	26,117,348	28,487,376	29,677,145	29,055,428	30,992,212	30,573,678

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,257,559	0	1,477,008	0	0	0	0
Total - General Fund	0	1,257,559	0	1,477,008	0	0	0	0

Governor

Provide funding of \$1,257,559 in FY 16 and \$1,477,008 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding for the CT Retirement Board Personnel

Personal Services	0	74,000	0	0	0	0	0	0
Total - General Fund	0	74,000	0	0	0	0	0	0

Background

Sections 180-185 of PA 14-217, the FY 15 budget implementer, established the Connecticut Retirement Security Board and charged it with researching the feasibility and developing a plan for a statewide retirement plan.

Governor

Provide funding of \$74,000 in FY 16 to support the two durational employees currently supporting the board.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	94,930	0	215,815	0	0	0	0
Total - General Fund	0	94,930	0	215,815	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$94,930 in FY 16 and an additional \$120,885 in FY 17 (for a cumulative total of \$215,815 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	1,561,954	0	940,237	0	0	0	0
Total - General Fund	0	1,561,954	0	940,237	0	0	0	0

Governor

Provide funding of \$1,561,954 in FY 16 and \$940,237 in FY 17 in the Other Expenses account to reflect the FY 16 and FY 17 anticipated expenditure requirements. These costs are predominately to support the continued modernization of the statewide accounting system CORE-CT.

Legislative

Same as Governor

Remove Funding for the GASB

Governmental Accounting Standards Board	0	(19,570)	0	(19,570)	0	0	0	0
Total - General Fund	0	(19,570)	0	(19,570)	0	0	0	0

Governor

Reduce funding by \$19,570 in FY 16 and FY 17 to reflect the elimination of the Governmental Accounting Standards Board (GASB) assessment, which states are no longer required to pay.

Legislative

Same as Governor

Policy Revisions

Transfer Supply Chain Management from DAS

Personal Services	0	619,970	0	629,011	0	619,970	0	629,011
Total - General Fund	0	619,970	0	629,011	0	619,970	0	629,011

Legislative

Transfer funding of \$619,970 in FY 16 and \$629,011 in FY 17, from the Department of Administrative Services for the operation of statewide supply chain management. This includes goods and services contractual services master contracts used by all state agencies and in some cases municipalities. This does not include consultant services.

Reduce Funding for Supply Chain Management

Personal Services	0	(138,556)	0	(140,576)	0	(138,556)	0	(140,576)
Total - General Fund	0	(138,556)	0	(140,576)	0	(138,556)	0	(140,576)

Legislative

Reduce funding of \$138,556 in FY 16 and \$140,576 in FY 17 to reflect efficiencies in supply chain management operations.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding to Support CT Retirement Security Board

Other Expenses	0	250,000	0	250,000	0	250,000	0	250,000
Total - General Fund	0	250,000	0	250,000	0	250,000	0	250,000

Legislative

Provide funding of \$250,000 in both FY 16 and FY 17 to support the duties of the Connecticut Retirement Security Board, including but not limited to, conducting a market feasibility study to determine the feasibility of a publically administered retirement plan for workers statewide.

Eliminate Vacant Positions

Personal Services	0	(450,448)	0	(399,735)	0	583,653	0	779,815
Total - General Fund	0	(450,448)	0	(399,735)	0	583,653	0	779,815

Governor

Reduce funding by \$1,304,101 in FY 16 and \$1,179,550 in FY 17 to reflect the elimination of 12 positions that are currently vacant.

Legislative

Reduce funding by \$450,448 in FY 16 and \$399,735 in FY 17 to reflect the elimination four positions that are currently vacant.

Rollout of FY 15 Rescissions

Personal Services	0	(400,000)	0	(400,000)	0	0	0	0
Other Expenses	0	(100,000)	0	(100,000)	0	0	0	0
Total - General Fund	0	(500,000)	0	(500,000)	0	0	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various state agencies.

Governor

Reduce funding of \$500,000 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(150,072)	0	(150,072)	0	0	0	0
Total - General Fund	0	(150,072)	0	(150,072)	0	0	0	0

Governor

Reduce funding by \$150,072 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(94,930)	0	(215,815)	0	0	0	0
Total - General Fund	0	(94,930)	0	(215,815)	0	0	0	0

Governor

Reduce the Other Expenses account by \$94,930 in FY 16 and \$215,815 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Provide Funding for Regression Discontinuity Study

Background

A regression discontinuity study design is a quasi-experimental pretest-posttest design that elicits the causal effects of interventions in order to estimate the average treatment effect in environments in which randomization is unfeasible.

Legislative

Pursuant to Section 44 of PA 15-244 funding in the amount of \$150,000 is transferred from the Smart Start Competitive Grant Account to the Other Expenses Account within the Office of State Comptroller. The funds will be granted to the University of Connecticut to conduct an Early Childhood Regression Discontinuity Study.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	276	28,487,376	276	28,487,376	0	0	0	0
Current Services	0	2,968,873	0	2,613,490	0	0	0	0
Policy Revisions	0	(464,037)	0	(527,188)	0	1,315,067	0	1,518,250
Total Recommended - GF	276	30,992,212	276	30,573,678	0	1,315,067	0	1,518,250

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$91,354, a Statewide Hiring Reduction of \$387,126, a General Employee Lapse of \$92,921, and Overtime Savings of \$3,723. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$87,020. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	25,190,835	(557,752)	24,633,083	2.21%
Other Expenses	5,801,377	(104,392)	5,696,985	1.80%

Department of Revenue Services

DRS16000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	665	665	650	650	660	660

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	55,603,677	59,823,459	61,009,154	61,451,942	61,648,494	62,091,282
Other Expenses	8,679,502	8,429,265	7,720,265	7,722,172	8,395,265	7,722,172
Equipment	0	1	0	0	0	0
Other Current Expenses						
Collection and Litigation Contingency Fund	8,266	94,294	0	0	0	0
Nonfunctional - Change to Accruals	272,634	308,861	0	0	0	0
Agency Total - General Fund	64,564,079	68,655,880	68,729,419	69,174,114	70,043,759	69,813,454
Additional Funds Available						
Private Contributions & Other Restricted	78,498	35,000	35,000	35,000	35,000	35,000
Agency Grand Total	64,642,578	68,690,880	68,764,419	69,209,114	70,078,759	69,848,454

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,759,572	0	3,202,360	0	0	0	0
Total - General Fund	0	2,759,572	0	3,202,360	0	0	0	0

Governor

Provide funding of \$2,759,572 in FY 16 and \$3,202,360 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, and other compensation-related adjustments.

Legislative

Same as Governor

Eliminate Funding for One-Time Tax Collection Initiative

Other Expenses	0	(700,000)	0	(700,000)	0	0	0	0
Total - General Fund	0	(700,000)	0	(700,000)	0	0	0	0

Background

The FY 15 Revised Budget provided funding of \$700,000 for an enhanced revenue collections initiative to include: 1) working with taxpayers that were not eligible for the 2013 Tax Amnesty Program or that did not take advantage of it, 2) pursuing non-filers, 3) resolving disputed tax shifting resulting from business transfer payments, 4) expanded federal and interstate data matching, 5) responsible person billing for businesses not remitting or not filing taxes, 6) increased interagency data matching, 7) expanded interagency tax clearances and offsets against state tax payments, and 8) tax fraud reduction. The FY 15 Revised Budget also included a revenue gain of \$75 million from this initiative.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding of \$700,000 in both FY 16 and FY 17 to eliminate one-time funding provided for the FY 15 enhanced revenue collections initiative.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	196,312	0	445,039	0	0	0	0
Total - General Fund	0	196,312	0	445,039	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$196,312 in FY 16 and an additional \$248,727 in FY 17 (for a cumulative total of \$445,039 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Increase Funding for Postage Costs

Other Expenses	0	66,000	0	67,907	0	0	0	0
Total - General Fund	0	66,000	0	67,907	0	0	0	0

Background

The Department of Revenue Services spent approximately \$1.7 million on postage in FY 14.

Governor

Provide funding of \$66,000 in FY 16 and \$67,907 in FY 17 for increased postage costs for certified mail.

Legislative

Same as Governor

Policy Revisions**Provide Funding to Implement New Tax Provisions**

Personal Services	10	639,340	10	639,340	10	639,340	10	639,340
Other Expenses	0	675,000	0	0	0	675,000	0	0
Total - General Fund	10	1,314,340	10	639,340	10	1,314,340	10	639,340

Legislative

Provide funding of \$1,314,340 in FY 16 and \$639,340 in FY 17 to implement changes to the Personal Income Tax and Corporation Business Tax included in PA 15-244, the FY 16 and FY 17 budget bill, as amended by PA 15-5 JSS, a budget implementer. Funding of \$639,340 is provided in both FY 16 and FY 17 in Personal Services for three Tax Correction Examiners (\$55,000 each), five Revenue Examiners (\$64,000 each), and two Tax Attorneys (\$77,170 each). Additionally, one-time funding of \$675,000 is provided in FY 16 in Other Expenses for associated costs including updating the online Taxpayer Service Center and internal Integrated Tax Administration System, as well as for tax form alteration and printing costs.

Eliminate Funding for 15 Vacancies

Personal Services	(15)	(1,023,877)	(15)	(1,023,877)	0	0	0	0
Total - General Fund	(15)	(1,023,877)	(15)	(1,023,877)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding of \$1,023,877 in both FY 16 and FY 17 to reflect the elimination of 15 funded vacancies within the agency.

Legislative

Same as Governor

Eliminate Funding for Collection & Litigation Account

Collection and Litigation Contingency Fund	0	(94,294)	0	(94,294)	0	0	0	0
Total - General Fund	0	(94,294)	0	(94,294)	0	0	0	0

Background

The Collection and Litigation Contingency account provides funding to collections agencies. Expenditures are then offset by revenue generated from collection activities thus replenishing the account.

Governor

Reduce funding of \$94,294 in both FY 16 and FY 17 to reflect the elimination of the Collection and Litigation Contingency account.

Legislative

Same as Governor

Rollout of FY 15 Rescissions

Personal Services	0	(550,000)	0	(550,000)	0	0	0	0
Other Expenses	0	(75,000)	0	(75,000)	0	0	0	0
Total - General Fund	0	(625,000)	0	(625,000)	0	0	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$625,000 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(308,861)	0	(308,861)	0	0	0	0
Total - General Fund	0	(308,861)	0	(308,861)	0	0	0	0

Governor

Reduce funding by \$308,861 in both FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(196,312)	0	(445,039)	0	0	0	0
Total - General Fund	0	(196,312)	0	(445,039)	0	0	0	0

Governor

Reduce Other Expenses by \$196,312 in FY 16 and \$445,039 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	665	68,655,880	665	68,655,880	0	0	0	0
Current Services	0	2,321,884	0	3,015,306	0	0	0	0
Policy Revisions	(5)	(934,005)	(5)	(1,857,732)	10	1,314,340	10	639,340
Total Recommended - GF	660	70,043,759	660	69,813,454	10	1,314,340	10	639,340

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$206,558, a Statewide Hiring Reduction of \$947,398, a General Employee Lapse of \$104,043, and Overtime Savings of \$10,581. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$125,928. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	61,648,494	(1,243,441)	60,405,053	2.02%
Other Expenses	8,395,265	(151,067)	8,244,198	1.80%

Office of Governmental Accountability

OGA17000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	89	89	90	90	92	92

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	615,219	798,528	846,468	857,351	826,468	837,351
Other Expenses	102,099	72,220	82,220	84,720	57,220	59,720
Equipment	0	1	0	0	0	0
Other Current Expenses						
Child Fatality Review Panel	88,849	101,255	107,668	107,915	107,668	107,915
Information Technology Initiatives	31,588	31,588	40,000	40,000	31,588	31,588
Citizens' Election Fund Admin	1,528,274	1,948,699	0	0	0	0
Elections Enforcement Commission	1,511,793	1,491,161	3,631,915	3,695,456	3,624,215	3,675,456
Office of State Ethics	1,363,901	1,505,762	1,629,844	1,671,905	1,580,644	1,600,405
Freedom of Information Commission	1,490,674	1,657,036	1,734,020	1,747,450	1,726,320	1,735,450
Contracting Standards Board	124,812	302,263	314,368	314,932	314,368	302,932
Judicial Review Council	113,084	140,863	146,265	148,294	146,265	148,294
Judicial Selection Commission	81,498	89,956	93,100	93,279	93,100	93,279
Office of the Child Advocate	463,603	542,593	562,664	563,559	714,642	712,546
Office of the Victim Advocate	398,003	443,338	462,544	465,972	462,544	460,972
Board of Firearms Permit Examiners	81,214	120,591	127,959	128,422	127,959	128,422
Nonfunctional - Change to Accruals	90,517	54,374	0	0	0	0
Agency Total - General Fund	8,085,128	9,300,228	9,779,035	9,919,255	9,813,001	9,894,330
Additional Funds Available						
Carry Forward Funding	0	0	0	0	4,040	0
Agency Grand Total	8,085,128	9,300,228	9,779,035	9,919,255	9,817,041	9,894,330

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	47,940	0	58,823	0	0	0	0
Child Fatality Review Panel	0	6,413	0	6,660	0	0	0	0
Citizens' Election Fund Admin	0	87,507	0	111,371	0	0	0	0
Elections Enforcement Commission	0	91,500	0	106,259	0	0	0	0
Office of State Ethics	0	87,582	0	106,643	0	0	0	0
Freedom of Information Commission	0	81,984	0	95,414	0	0	0	0
Contracting Standards Board	0	14,105	0	14,669	0	0	0	0
Judicial Review Council	0	6,902	0	8,931	0	0	0	0
Judicial Selection Commission	0	4,644	0	4,823	0	0	0	0
Office of the Child Advocate	0	23,071	0	23,966	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Office of the Victim Advocate	0	22,706	0	26,134	0	0	0	0
Board of Firearms Permit Examiners	0	3,422	0	3,553	0	0	0	0
Total - General Fund	0	477,776	0	567,246	0	0	0	0

Governor

Provide funding of \$477,776 in FY 16 and \$567,246 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	15,000	0	17,500	0	0	0	0
Citizens' Election Fund Admin	0	14,816	0	33,010	0	0	0	0
Total - General Fund	0	29,816	0	50,510	0	0	0	0

Governor

Provide funding of \$29,816 in FY 16 and \$50,510 in FY 17 in various accounts to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Adjust Funding for Ethics Initiatives

Office of State Ethics	0	0	0	0	0	(41,500)	0	(59,500)
Total - General Fund	0	0	0	0	0	(41,500)	0	(59,500)

Governor

Provide funding of \$41,500 in FY 16 and \$59,500 in FY 17 to the Office of State Ethics for education programs and lobbyist registration initiatives.

Legislative

Do not provide funding for anniversary, education, and lobbyist registration initiatives in the Office of State Ethics.

Provide Funding for Position Reclassification

Citizens' Election Fund Admin	0	3,232	0	9,956	0	0	0	0
Board of Firearms Permit Examiners	1	5,446	1	5,778	0	0	0	0
Total - General Fund	1	8,678	1	15,734	0	0	0	0

Governor

Provide one position and funding of \$8,678 in FY 16 and \$15,734 in FY 17 to reflect a reclassification of one position from part-time to full-time in the Board of Firearms Permit Examiners and also the reclassification of an elections enforcement trainee.

Legislative

Same as Governor

Adjust Funding for Lobbyist Registration

Information Technology Initiatives	0	0	0	0	0	(8,412)	0	(8,412)
Total - General Fund	0	0	0	0	0	(8,412)	0	(8,412)

Governor

Provide funding of \$8,412 in both FY 16 and FY 17 in IT Initiatives to reflect anticipated expenditure requirements. These costs include upgrades to the lobbyist registration system.

Legislative

Do not provide funding for upgrades to the lobbyist registration system.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	1,666	0	3,795	0	0	0	0
Total - General Fund	0	1,666	0	3,795	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$1,666 in FY 16 and an additional \$2,129 in FY 17 (for a cumulative total of \$3,795 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce Accounts in Excess of Current Services

Personal Services	0	(20,000)	0	(20,000)	0	(20,000)	0	(20,000)
Other Expenses	0	(25,000)	0	(25,000)	0	(25,000)	0	(25,000)
Elections Enforcement Commission	0	(7,700)	0	(20,000)	0	(7,700)	0	(20,000)
Office of State Ethics	0	(7,700)	0	(12,000)	0	(7,700)	0	(12,000)
Freedom of Information Commission	0	(7,700)	0	(12,000)	0	(7,700)	0	(12,000)
Contracting Standards Board	0	0	0	(12,000)	0	0	0	(12,000)
Office of the Child Advocate	0	0	0	(5,000)	0	0	0	(5,000)
Office of the Victim Advocate	0	0	0	(5,000)	0	0	0	(5,000)
Total - General Fund	0	(68,100)	0	(111,000)	0	(68,100)	0	(111,000)

Legislative

Reduce funding in various accounts by \$68,100 in FY 16 and \$110,000 in FY 17 to achieve savings targets.

Transfer Funding to Reflect Consolidation of Accounts

Citizens' Election Fund Admin	0	(2,049,254)	0	(2,098,036)	0	0	0	0
Elections Enforcement Commission	0	2,049,254	0	2,098,036	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Transfer funding of \$2,049,254 in FY 16 and \$2,098,036 in FY 17 to reflect the consolidation of Elections Enforcement Commission accounts.

Legislative

Same as Governor

Provide Funding for Positions in OCA

Office of the Child Advocate	2	151,978	2	153,987	2	151,978	2	153,987
Total - General Fund	2	151,978	2	153,987	2	151,978	2	153,987

Legislative

Provide funding of \$151,978 in FY 16 and \$153,987 in FY 17 for one clinical specialist position and one attorney position in the Office of the Child Advocate.

Eliminate Inflationary Increases

Other Expenses	0	(1,666)	0	(3,795)	0	0	0	0
Total - General Fund	0	(1,666)	0	(3,795)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce various accounts by \$1,666 in FY 16 and \$3,795 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Reduce Funding to Reflect Procurement Savings

Other Expenses	0	(5,000)	0	(5,000)	0	0	0	0
Contracting Standards Board	0	(2,000)	0	(2,000)	0	0	0	0
Judicial Review Council	0	(1,500)	0	(1,500)	0	0	0	0
Judicial Selection Commission	0	(1,500)	0	(1,500)	0	0	0	0
Office of the Child Advocate	0	(3,000)	0	(3,000)	0	0	0	0
Office of the Victim Advocate	0	(3,500)	0	(3,500)	0	0	0	0
Board of Firearms Permit Examiners	0	(1,500)	0	(1,500)	0	0	0	0
Total - General Fund	0	(18,000)	0	(18,000)	0	0	0	0

Governor

Reduce funding by \$18,000 in both FY 16 and FY 17 in various accounts to reflect anticipated savings due to cooperative purchasing practices.

Legislative

Same as Governor

Reduce Funding to Reflect Watchdog Savings Initiatives

Citizens' Election Fund Admin	0	(5,000)	0	(5,000)	0	0	0	0
Office of State Ethics	0	(5,000)	0	0	0	0	0	0
Freedom of Information Commission	0	(5,000)	0	(5,000)	0	0	0	0
Total - General Fund	0	(15,000)	0	(10,000)	0	0	0	0

Governor

Reduce funding by \$15,000 in FY 16 and \$10,000 in FY 17 to reflect implementation of savings initiatives by the Office of State Ethics, Freedom of Information Commission, and Elections Enforcement Commission.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(54,374)	0	(54,374)	0	0	0	0
Total - General Fund	0	(54,374)	0	(54,374)	0	0	0	0

Governor

Reduce funding by \$54,374 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Carry Forward

Carry Forward For Information Technology Services

Citizens' Election Fund Admin	0	4,040	0	0	0	4,040	0	0
Total - Carry Forward Funding	0	4,040	0	0	0	4,040	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$4,040 is carried forward from FY 15 into FY 16 in the Elections Enforcement account to fund payment of invoices for information technology services.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	89	9,300,228	89	9,300,228	0	0	0	0
Current Services	1	517,936	1	637,285	0	(49,912)	0	(67,912)
Policy Revisions	2	(5,163)	2	(43,183)	2	83,878	2	42,987
Total Recommended - GF	92	9,813,001	92	9,894,330	2	33,966	2	(24,925)

Other Significant Legislation

SA 15-14, An Act Establishing A Pilot Program For Municipal Campaign Finance Filings.

The act establishes a temporary pilot program, administered by the State Elections Enforcement Commission, to assist with the filing of municipal campaign finance forms for up to twenty municipalities. The pilot program terminates on April 6, 2018.

PA 15-244, An Act Concerning The State Budget For The Biennium Ending June 30, 2017, And Making Appropriations Therefor, And Other Provisions Related To Revenue, Deficiency Appropriations And Tax Fairness And Economic Development.

Section 34 transfers \$182,000 in FY 16 and \$332,000 in FY 17 from the Citizens' Election Fund to the Secretary of the State for various election related purposes. Also, section 55 transfers \$2.25 million in FY 15 from the Citizens' Election Fund to the General Fund.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$28,988, a General Employee Lapse of \$42,829, and Overtime Savings of \$421. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$134,792. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	826,468	(8,558)	817,910	1.04%
Other Expenses	57,220	(858)	56,362	1.50%
Child Fatality Review Panel	107,668	(3,482)	104,186	3.23%
Information Technology Initiatives	31,588	(473)	31,115	1.50%
Elections Enforcement Commission	3,624,215	(71,238)	3,552,977	1.97%
Office of State Ethics	1,580,644	(35,839)	1,544,805	2.27%
Freedom of Information Commission	1,726,320	(38,896)	1,687,424	2.25%
Contracting Standards Board	314,368	(9,472)	304,896	3.01%
Judicial Review Council	146,265	(3,577)	142,688	2.45%
Judicial Selection Commission	93,100	(2,776)	90,324	2.98%
Office of the Child Advocate	714,642	(17,972)	696,670	2.51%
Office of the Victim Advocate	462,544	(10,336)	452,208	2.23%
Board of Firearms Permit Examiners	127,959	(3,553)	124,406	2.78%

Office of Policy and Management

OPM20000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	124	125	125	125	125	125
Permanent Full-Time - IF	2	2	2	2	2	2

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	10,689,192	12,024,274	12,986,179	13,038,950	12,986,179	13,038,950
Other Expenses	1,181,413	2,095,783	1,216,413	1,216,413	1,190,216	1,216,413
Equipment	0	1	0	0	0	0
Other Current Expenses						
Litigation Settlement Costs	732,716	0	0	0	0	0
Automated Budget System and Data Base Link	46,040	49,706	47,221	47,221	46,600	47,221
Cash Management Improvement Act	0	91	0	0	0	0
Justice Assistance Grants	671,739	1,074,151	1,022,167	1,022,232	1,008,740	1,022,232
Criminal Justice Information System	820,413	482,700	0	984,008	0	984,008
Youth Services Prevention	3,341,116	3,600,000	0	0	0	0
Project Longevity	0	525,000	1,000,000	1,000,000	1,000,000	1,000,000
Other Than Payments to Local Governments						
Tax Relief For Elderly Renters	0	28,409,269	27,200,000	28,900,000	26,700,000	28,900,000
Private Providers	0	0	0	0	0	8,500,000
Other Than Payments to Local Governments						
Reimbursement to Towns for Loss of Taxes on State Property	73,641,646	83,641,646	83,641,646	83,641,646	83,641,646	83,641,646
Reimbursements to Towns for Private Tax-Exempt Property	115,431,737	125,431,737	125,431,737	125,431,737	125,431,737	125,431,737
Reimbursement Property Tax - Disability Exemption	400,000	400,000	400,000	400,000	400,000	400,000
Distressed Municipalities	5,800,000	5,800,000	5,800,000	5,800,000	5,800,000	5,800,000
Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900	20,505,900	20,505,900	20,505,900	20,505,900
Property Tax Relief Elderly Freeze Program	171,356	171,400	120,000	120,000	120,000	120,000
Property Tax Relief for Veterans	2,970,098	2,970,098	2,970,098	2,970,098	2,970,098	2,970,098
Property Tax Relief	0	1,126,814	0	0	0	0
Focus Deterrence	550,659	475,000	0	0	0	0
Municipal Aid Adjustment	4,467,456	3,608,728	0	0	0	0
Nonfunctional - Change to Accruals	95,676	68,691	0	0	0	0
Agency Total - General Fund	241,517,156	292,460,989	282,341,361	285,078,205	281,801,116	293,578,205
Grants To Towns	61,670,907	61,779,907	61,779,907	61,779,907	61,779,907	61,779,907
Agency Total - Mashantucket Pequot and Mohegan Fund	61,670,907	61,779,907	61,779,907	61,779,907	61,779,907	61,779,907
Personal Services	221,531	291,800	312,051	313,882	312,051	313,882
Other Expenses	447	500	5,750	6,012	5,750	6,012
Fringe Benefits	161,810	195,858	199,491	200,882	199,491	200,882
Nonfunctional - Change to Accruals	3,609	6,296	0	0	0	0
Agency Total - Insurance Fund	387,398	494,454	517,292	520,776	517,292	520,776
Total - Appropriated Funds	303,575,461	354,735,350	344,638,560	347,378,888	344,098,315	355,878,888

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Additional Funds Available						
Carry Forward Funding	0	0	0	0	7,034,893	0
Stadium Facility Enterprise Fd	465,224	27,036	0	0	0	0
Federal Funds	9,639,755	10,691,674	6,707,359	5,362,464	6,707,359	5,362,464
Private Contributions & Other Restricted	52,093,297	23,665,878	11,967,300	10,652,859	11,967,300	10,652,859
Agency Grand Total	365,773,736	389,119,938	363,313,219	363,394,211	369,807,867	371,894,211

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	622,233	0	675,004	0	0	0	0
Justice Assistance Grants	0	1,723	0	1,788	0	0	0	0
Total - General Fund	0	623,956	0	676,792	0	0	0	0
Personal Services	0	20,251	0	22,082	0	0	0	0
Total - Insurance Fund	0	20,251	0	22,082	0	0	0	0

Governor

Provide funding of \$623,956 in the General Fund and \$20,251 in the Insurance Fund in FY 16 and \$676,792 in the General Fund and \$22,082 in the Insurance Fund in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding for Criminal Justice Information System

Personal Services	0	122,746	0	122,746	0	0	0	0
Other Expenses	0	120,506	0	73,327	0	0	0	0
Criminal Justice Information System	0	185,332	0	784,812	0	0	0	0
Total - General Fund	0	428,584	0	980,885	0	0	0	0

Background

Connecticut's Criminal Justice Information System (CJIS) is the umbrella term for the agencies with criminal justice responsibilities. CJIS was created to enhance communication and information sharing across criminal justice agencies. The primary responsibility of CJIS is the development and management of the Connecticut Information Sharing System, the information technology system which allows for electronic information sharing across agencies.

Governor

Provide funding of \$428,584 in FY 16 and \$980,885 in FY 17 to meet the current contractual and technological requirements of CJIS. This includes a transfer of \$122,746 in each of FY 16 and FY 17 to reflect the transfer of funding for two CJIS positions from the Department of Corrections (DOC) to the Office of Policy and Management (OPM). These positions currently operate out of OPM (and are included in OPM's authorized position count), but are funded through DOC.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Property Tax Relief Grant

Property Tax Relief	0	(1,126,814)	0	(1,126,814)	0	0	0	0
Total - General Fund	0	(1,126,814)	0	(1,126,814)	0	0	0	0

Background

A portion of this grant (\$778,276) was intended to hold harmless any municipalities whose combined total State Property PILOT, College & Hospital PILOT, and Pequot grant payments in FY 15 are lower than in FY 14. Additional payments are also distributed to Montville (\$345,327) and Norwich (\$3,211).

Governor

Reduce funding by \$1,126,814 in both FY 16 and FY 17 to reflect the elimination of the Property Tax Relief program.

Legislative

Same as Governor

Eliminate Municipal Aid Adjustment Grant

Municipal Aid Adjustment	0	(3,608,728)	0	(3,608,728)	0	0	0	0
Total - General Fund	0	(3,608,728)	0	(3,608,728)	0	0	0	0

Background

The Municipal Aid Adjustment Grant was established in the FY 14 and FY 15 biennial budget to ensure municipalities received at least the same amount of state aid in FY 14 as they had received in FY 13. FY 15 payments to municipalities were equal to half their FY 14 payments. Five towns (Branford, Groton, Guilford, Hartford, and Sprague) received additional payments in both FY 14 and FY 15. Thirteen grants were used to calculate Municipal Aid Adjustment Grant payments in FY 14 and FY 15.

Governor

Reduce funding by \$3,608,728 in both FY 16 and FY 17 to reflect the elimination of the Municipal Aid Adjustment Account.

Legislative

Same as Governor

Adjust Funding for Elderly Renters Program

Tax Relief For Elderly Renters	0	(1,709,269)	0	490,731	0	(500,000)	0	0
Total - General Fund	0	(1,709,269)	0	490,731	0	(500,000)	0	0

Background

State law provides a reimbursement program for renters who are elderly or totally disabled, and whose incomes do not exceed certain limits. Persons renting an apartment or room, or living in cooperative housing or a mobile home may be eligible for this program. Renters' rebates can be up to \$900 for married couples and \$700 for single persons.

Governor

Reduce funding in FY 16 by \$1,209,269 and provide funding of \$490,731 in FY 17 for the Elderly Renters' Program to reflect caseload adjustments.

Legislative

Reduce funding in FY 16 by \$1,709,269 and provide funding of \$490,731 in FY 17 for the Elderly Renters' Program to reflect caseload adjustments.

Provide Funding for Development of CORE CT Module

Personal Services	0	216,926	0	216,926	0	0	0	0
Total - General Fund	0	216,926	0	216,926	0	0	0	0

Background

The Office of Policy and Management is working with the Office of the State Comptroller to develop a new module for the CORE CT Statewide Accounting System. This module will allow users to more easily pull financial, human resource, and other information from the CORE CT system.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$216,926 in each of FY 16 and FY 17 for two positions to assist in the development of the CORE CT module. These positions are included in the Office of Policy and Management's position count, but are not currently funded.

Legislative

Same as Governor

Eliminate Funding for Water Plan

Other Expenses	0	(250,000)	0	(250,000)	0	0	0	0
Total - General Fund	0	(250,000)	0	(250,000)	0	0	0	0

Background

The revised FY 15 budget provided \$250,000 as a one-time payment to the Water Planning Council to assist in the development of a statewide water management plan.

Governor

Reduce funding by \$250,000 in both FY 16 and FY 17 to reflect the elimination of a one-time payment to the Water Planning Council.

Legislative

Same as Governor

Reduce Funding for Freeze Tax Relief Program

Property Tax Relief Elderly Freeze Program	0	(51,400)	0	(51,400)	0	0	0	0
Total - General Fund	0	(51,400)	0	(51,400)	0	0	0	0

Background

The Freeze Tax Relief Program was established with the 1967 Grand List program year. Elderly and disabled persons who applied and qualified for tax relief had their property tax frozen at that year's net benefit level. No new applicants have been allowed since the 1978 program year.

Governor

Reduce funding by \$51,400 in each of FY 16 and FY 17 to reflect a reduction in caseload for the Freeze Tax Relief Program.

Legislative

Same as Governor

Reimburse UConn Health Center for Indirect Overhead

Other Expenses	0	5,250	0	5,512	0	0	0	0
Total - Insurance Fund	0	5,250	0	5,512	0	0	0	0

Governor

Provide funding of \$5,250 in FY 16 and \$5,512 in FY 17 to reimburse the UConn Health Center (UCHC) for costs associated with a position shared between the Office of Policy and Management and the UCHC.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	40,307	0	91,699	0	0	0	0
Total - General Fund	0	40,307	0	91,699	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Increase funding for Other Expenses by \$40,307 in FY 16 and an additional \$51,392 in FY 17 (for a cumulative total of \$91,699 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	3,633	0	5,024	0	0	0	0
Total - Insurance Fund	0	3,633	0	5,024	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$3,633 in FY 16 and \$5,024 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions**Provide Funding for Private Provider COLA**

Private Providers	0	0	0	8,500,000	0	0	0	8,500,000
Total - General Fund	0	0	0	8,500,000	0	0	0	8,500,000

Legislative

Provide \$8.5 million in FY 17 for a 1% cost of living adjustment (COLA) for private providers, effective January 1, 2017. The following departments have contracts with private providers: Aging, Corrections, Children and Families, Developmental Services, Judicial, Mental Health and Addiction Services, Public Health, Social Services, the Bureau of Rehabilitative Services, and the Office of Early Childhood.

Adjust Funding for Youth Service Prevention Grants

Youth Services Prevention	0	(3,600,000)	0	(3,600,000)	0	0	0	0
Total - General Fund	0	(3,600,000)	0	(3,600,000)	0	0	0	0

Background

This account provides grants to 47 nonprofit organizations around the state to operate youth programs. PA 13-247 specifies the original distribution of the grant in FY 14 and FY 15. Sec. 230 of PA 14-217 specifies the revised distribution to 47 organizations in FY 15.

Governor

Reduce funding by \$3.6 million in each of FY 16 and FY 17 to reflect the elimination of Youth Service Prevention grants.

Legislative

Do not eliminate Youth Services Prevention grants. Funding of \$3.6 million in FY 16 and FY 17 is provided for Youth Services Prevention grants in the Judicial Department.

Fund CJIS Expenses with Carry Forward Funding

Criminal Justice Information System	0	(668,032)	0	(283,504)	0	0	0	0
Total - General Fund	0	(668,032)	0	(283,504)	0	0	0	0

Background

The Criminal Justice Information System account has a significant balance of funding carried forward from previous fiscal years.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$668,032 in FY 16 and \$283,504 in FY 17 in the Criminal Justice Information System account to reflect the availability of carry forward funding.

Legislative

Same as Governor

Reduce Other Expenses to FY 14 Levels

Other Expenses	0	(680,087)	0	(632,908)	0	0	0	0
Total - General Fund	0	(680,087)	0	(632,908)	0	0	0	0

Governor

Reduce funding by \$680,087 in FY 16 and \$632,908 in FY 17 to reflect the funding of Other Expenses at FY 14 levels.

Legislative

Same as Governor

Consolidate Focus Deterrence and Project Longevity

Project Longevity	0	475,000	0	475,000	0	0	0	0
Focus Deterrence	0	(475,000)	0	(475,000)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

Project Longevity is a comprehensive initiative involving law enforcement officials, social service providers, and community members to reduce gun violence in large cities. Funding for this initiative in the Office of Policy and Management is currently divided between the Project Longevity and Focus Deterrence accounts.

Governor

Transfer funding of \$475,000 from the Focus Deterrence account to the Project Longevity account in both FY 16 and FY 17 to reflect the consolidation of Project Longevity funding into one account.

Legislative

Same as Governor

Provide Funding for Second Chance Society Initiatives

Other Expenses	0	35,000	0	35,000	0	0	0	0
Total - General Fund	0	35,000	0	35,000	0	0	0	0

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$35,000 in each of FY 16 and FY 17 to hire a consulting to develop a Connecticorps program to assist nonprofits in hiring ex-offenders.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Rollout of FY 15 Rescissions and Reduce Various Accounts

Other Expenses	0	(130,986)	0	(104,789)	0	(26,197)	0	0
Automated Budget System and Data Base Link	0	(3,106)	0	(2,485)	0	(621)	0	0
Justice Assistance Grants	0	(67,134)	0	(53,707)	0	(13,427)	0	0
Total - General Fund	0	(201,226)	0	(160,981)	0	(40,245)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$160,981 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$160,981 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions. Additionally reduce various accounts by \$40,245 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(40,307)	0	(91,699)	0	0	0	0
Total - General Fund	0	(40,307)	0	(91,699)	0	0	0	0

Governor

Reduce Other Expenses by \$40,307 in FY 16 and \$91,699 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(68,691)	0	(68,691)	0	0	0	0
Total - General Fund	0	(68,691)	0	(68,691)	0	0	0	0
Nonfunctional - Change to Accruals	0	(6,296)	0	(6,296)	0	0	0	0
Total - Insurance Fund	0	(6,296)	0	(6,296)	0	0	0	0

Governor

Reduce funding by \$68,691 in the General Fund and \$6,296 in the Insurance Fund in each of FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Cash Management Improvement Act Account

Cash Management Improvement Act	0	(91)	0	(91)	0	0	0	0
Total - General Fund	0	(91)	0	(91)	0	0	0	0

Background

The account is a placeholder in case any monies are owed the Federal government as a result of the Cash Management Improvement Act agreement.

Governor

Reduce funding by \$91 in each of FY 16 and FY 17 to reflect the elimination of the Cash Management Improvement Account.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding of \$1 in each of FY 16 and FY 17 for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Implement Special Education Initiatives

Background

The Regional Planning Incentive Account is a non-lapsing account funded through a diversion of a portion of hotel tax and rental car surtax revenue. It primarily funds: 1) grants-in-aid to councils of government (COGs) and 2) competitive grants to COGs and groups of municipalities for the joint provision of public services, or studies regarding the joint provision of public services. The Office of Policy and Management administers the account.

Legislative

Section 270 to 288 of PA 15-5 JSS, a budget implementer, will result in a cost of \$1,965,543 in FY 16 and \$2,465,543 in FY 17. The breakdown of these costs is as follows: 1) \$1.6 million in FY 16 and \$2.0 million in FY 17 to the State Department of Education for a pilot study concerning special education funding; and 2) \$365,543 in each of FY 16 and FY 17 to the Auditors of Public Accounts to audit municipal special education expenditures.

Carry Forward

Carry Forward CJIS Funding

Criminal Justice Information System	0	1,671,049	0	0	0	1,671,049	0	0
Total - Carry Forward Funding	0	1,671,049	0	0	0	1,671,049	0	0

Legislative

Pursuant to Section 27 of PA 15-244, the FY 16 and FY 17 budget, \$1,671,049 is carried forward for the Criminal Justice Information System.

Carry Forward for Litigation Settlement Costs

Litigation Settlement Costs	0	4,112,521	0	0	0	4,112,521	0	0
Total - Carry Forward Funding	0	4,112,521	0	0	0	4,112,521	0	0

Legislative

A total of \$4,112,521 is carried forward to the Litigation Settlement account.

Pursuant to CGS 4-49(e), \$3,012,521 is carried forward from the Litigation Settlement account.

Pursuant to Sec. 92 of PA 15-5 JSS, a budget implementer, \$1,100,000 is carried forward from the Tax Relief for Elderly Renters account and transferred to the Litigation Settlement account.

Carry Forward for Other Expenses

Other Expenses	0	1,101,505	0	0	0	1,101,505	0	0
Total - Carry Forward Funding	0	1,101,505	0	0	0	1,101,505	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Pursuant to CGS Sec. 4-89(c), \$1,101,505 is carried forward into FY 16 in the Other Expenses account for unfinished projects. These projects include the development of the state data center, a LEAN management initiative, the Water Utility Coordinating Committee, labor negotiations, the state Open Data portal, and a pension liability analysis conducted by Boston College.

Carry Forward for Justice Assistance Grants

Justice Assistance Grants	0	149,818	0	0	0	149,818	0	0
Total - Carry Forward Funding	0	149,818	0	0	0	149,818	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), \$149,818 is carried forward in the Justice Assistance Grants account. This will provide matching funds for the Federal National Criminal History Improvement Grant, which is a grant provided to states and local governments to improve the quality, timeliness, and accessibility of criminal history records.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	125	292,460,989	125	292,460,989	0	0	0	0
Current Services	0	(5,436,438)	0	(2,579,909)	0	(500,000)	0	0
Policy Revisions	0	(5,223,435)	0	3,697,125	0	(40,245)	0	8,500,000
Total Recommended - GF	125	281,801,116	125	293,578,205	0	(540,245)	0	8,500,000
Governor Estimated - MF	0	61,779,907	0	61,779,907	0	0	0	0
Total Recommended - MF	0	61,779,907	0	61,779,907	0	0	0	0
Governor Estimated - IF	2	494,454	2	494,454	0	0	0	0
Current Services	0	29,134	0	32,618	0	0	0	0
Policy Revisions	0	(6,296)	0	(6,296)	0	0	0	0
Total Recommended - IF	2	517,292	2	520,776	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Beginning in FY 17, the act: 1) changes the reimbursement rates, and the basis for determining those rates, for the State Property PILOT and College & Hospital PILOT grant programs; 2) caps the motor vehicle mill rate at 32 mills in FY 17 and 29.36 mills in FY 18 and annually thereafter; 3) establishes a new general government aid grant to municipalities; and 4) establishes an optional commercial property tax revenue sharing system for regional councils of government.

The budget establishes a new Municipal Revenue Sharing Account (MRSA), and uses sales tax revenue diverted into the account to fund these initiatives. In FY 17, approximately \$215.9 million in MRSA funding will be used to provide: 1) \$46.1 million in additional funding to municipalities and other taxing districts with high levels of tax-exempt property; 2) an estimated \$60.6 million to reimburse municipalities and other taxing districts that lose revenue as a result of the motor vehicle mill rate cap; and 3) \$109.3 million for a new general government aid grant. Additionally, \$10 million in MRSA funding will be used in each of FY 16 and FY 17 to provide supplemental Education Cost Sharing (ECS) grants to towns.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$44,425, a Statewide Hiring Reduction of \$199,568, a Municipal Opportunities and Regional Efficiencies Program of \$14,797,244, a General Employee Lapse of \$149,211, and Overtime Savings of \$317. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$33,683. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	12,986,179	(386,757)	12,599,422	2.98%
Other Expenses	1,190,216	(21,417)	1,168,799	1.80%
Automated Budget System and Data Base Link	46,600	(699)	45,901	1.50%
Justice Assistance Grants	1,008,740	(18,331)	990,409	1.82%
Reimbursement to Towns for Loss of Taxes on State Property	83,641,646	(12,285,162)	71,356,484	14.69%
Reimbursements to Towns for Private Tax-Exempt Property	125,431,737	(2,512,082)	122,919,655	2.00%

Department of Veterans' Affairs

DVA21000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	248	248	243	243	243	243

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	21,652,524	22,898,344	22,952,920	23,138,814	23,152,920	23,338,814
Other Expenses	5,538,495	5,241,629	5,059,380	5,059,380	5,059,380	5,059,380
Equipment	0	1	0	0	0	0
Other Current Expenses						
Support Services for Veterans	180,498	180,500	180,500	180,500	180,500	180,500
SSMF Administration	0	635,000	593,310	593,310	593,310	593,310
Other Than Payments to Local Governments						
Burial Expenses	7,200	7,200	7,200	7,200	7,200	7,200
Headstones	272,780	332,500	332,500	332,500	332,500	332,500
Nonfunctional - Change to Accruals	390,227	121,794	0	0	0	0
Agency Total - General Fund	28,041,725	29,416,968	29,125,810	29,311,704	29,325,810	29,511,704
Additional Funds Available						
Private Contributions & Other Restricted	916,872	1,215,000	1,215,000	1,215,000	1,215,000	1,215,000
Agency Grand Total	28,958,596	30,631,968	30,340,810	30,526,704	30,540,810	30,726,704

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,011,150	0	1,197,044	0	0	0	0
Total - General Fund	0	1,011,150	0	1,197,044	0	0	0	0

Governor

Provide funding of \$1,011,150 in FY 16 and \$1,197,044 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	129,798	0	292,878	0	0	0	0
Total - General Fund	0	129,798	0	292,878	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Increase funding for Other Expenses by \$129,798 in FY 16 and an additional \$163,080 in FY 17 (for a cumulative total of \$292,878 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Obtain Equipment through the CEPF**

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(121,794)	0	(121,794)	0	0	0	0
Total - General Fund	0	(121,794)	0	(121,794)	0	0	0	0

Governor

Reduce funding by \$121,794 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Transfer Veterans' Substance Abuse Program to DMHAS

Personal Services	(5)	(351,574)	(5)	(351,574)	0	0	0	0
Total - General Fund	(5)	(351,574)	(5)	(351,574)	0	0	0	0

Background

The Department of Mental Health and Addiction Services (DMHAS) is the lead state agency for addiction services and currently offers substance addiction services to both the veteran and non-veteran population. The substance abuse program within the Department of Veterans Affairs deals with approximately 33-38 clients per day.

Governor

Transfer funding of \$351,574, five employees and the Veterans' Substance Abuse Program from the Department of Veterans Affairs to DMHAS.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(405,000)	0	(405,000)	0	200,000	0	200,000
Other Expenses	0	(182,249)	0	(182,249)	0	0	0	0
Total - General Fund	0	(587,249)	0	(587,249)	0	200,000	0	200,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$787,249 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$587,249 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Reduce Funding for American Legion SSMF Costs

SSMF Administration	0	(41,690)	0	(41,690)	0	0	0	0
Total - General Fund	0	(41,690)	0	(41,690)	0	0	0	0

Background

The Soldiers', Sailors' and Marines' Fund (SSMF) was established in 1919 to provide veterans and their families with temporary assistance for rental or mortgage interest payments, utility and medical bills, prescription costs and funeral expenses. Since 1937 the investment and custody of the fund, currently valued at \$68.0 million, has been the responsibility of the State of Connecticut. PA 13-247, the general government implementer, transferred the administration of the SSMF to the American Legion.

Governor

Reduce funding by \$41,690 in both FY 16 and FY 17 to reflect a decrease in the ongoing costs to the American Legion for the SSMF.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(129,798)	0	(292,878)	0	0	0	0
Total - General Fund	0	(129,798)	0	(292,878)	0	0	0	0

Governor

Reduce the Other Expenses account by \$129,798 in FY 16 and \$292,878 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	248	29,416,968	248	29,416,968	0	0	0	0
Current Services	0	1,140,948	0	1,489,922	0	0	0	0
Policy Revisions	(5)	(1,232,106)	(5)	(1,395,186)	0	200,000	0	200,000
Total Recommended - GF	243	29,325,810	243	29,511,704	0	200,000	0	200,000

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$83,068, a Statewide Hiring Reduction of \$355,808, a General Employee Lapse of \$30,037, and Overtime Savings of \$85,426. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$75,890. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	23,152,920	(539,189)	22,613,731	2.33%
Other Expenses	5,059,380	(91,040)	4,968,340	1.80%

Department of Administrative Services

DAS23000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	650	656	662	662	663	663

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	46,553,735	51,888,323	54,373,823	54,811,344	53,985,369	54,425,425
Other Expenses	34,886,053	35,679,427	32,967,944	33,057,679	32,717,944	32,807,679
Equipment	0	1	0	0	0	0
Other Current Expenses						
Tuition Reimbursement - Training and Travel	412,690	382,000	382,000	0	382,000	0
Labor - Management Fund	6,500	75,000	75,000	75,000	75,000	0
Management Services	4,735,687	4,753,809	4,623,259	4,428,787	4,623,259	4,428,787
Loss Control Risk Management	51,763	114,854	114,854	39,854	114,854	114,854
Employees' Review Board	8,393	22,210	21,100	21,100	20,822	21,100
Surety Bonds for State Officials and Employees	58,936	5,600	141,800	73,600	141,800	73,600
Quality of Work-Life	75,695	350,000	350,000	0	350,000	0
Refunds Of Collections	24,000	25,723	25,723	25,723	25,723	25,723
Rents and Moving	10,512,355	17,221,693	13,069,421	11,447,039	13,069,421	11,447,039
Capitol Day Care Center	120,886	120,888	0	0	0	0
W. C. Administrator	5,250,000	5,250,000	5,000,000	5,000,000	5,000,000	5,000,000
Connecticut Education Network	3,263,199	3,291,857	0	0	2,941,857	2,941,857
State Insurance and Risk Mgmt Operations	22,137,831	13,345,386	13,683,019	13,995,707	13,683,019	13,995,707
IT Services	13,703,593	13,666,539	14,658,430	14,939,240	14,315,087	14,454,305
Nonfunctional - Change to Accruals	(354,519)	353,538	0	0	0	0
Agency Total - General Fund	141,446,797	146,546,848	139,486,373	137,915,073	141,446,155	139,736,076
State Insurance and Risk Mgmt Operations	6,168,250	7,916,074	8,728,170	8,960,575	8,728,170	8,960,575
Nonfunctional - Change to Accruals	164,059	308	0	0	0	0
Agency Total - Special Transportation Fund	6,332,309	7,916,382	8,728,170	8,960,575	8,728,170	8,960,575
Total - Appropriated Funds	147,779,106	154,463,230	148,214,543	146,875,648	150,174,325	148,696,651
Additional Funds Available						
Carry Forward Funding	0	0	0	0	2,681,637	0
Public Works Service Fund	960,109	1,018,579	1,080,610	1,080,610	1,080,610	1,080,610
Federal Funds	404,021	250,216	250,216	250,216	250,216	250,216
Private Contributions & Other Restricted	10,468,260	3,540,912	3,562,794	3,632,009	3,562,794	3,632,009
Agency Grand Total	159,611,496	159,272,937	153,108,163	151,838,483	157,749,582	153,659,486

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,475,595	0	2,851,701	0	0	0	0
Total - General Fund	0	2,475,595	0	2,851,701	0	0	0	0

Governor

Provide funding of \$2,475,595 in FY 16 and \$2,851,701 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Funding for New Data Center

Other Expenses	0	(1,085,276)	0	(1,413,035)	0	0	0	0
Rents and Moving	0	(2,960,878)	0	(4,629,939)	0	0	0	0
IT Services	0	648,548	0	787,766	0	0	0	0
Total - General Fund	0	(3,397,606)	0	(5,255,208)	0	0	0	0

Background

The State's Data Center is currently located at 101 East River Drive in East Hartford. The monthly lease for 101 East River Drive ends on October 31, 2015 and will be moving to a building on Pfizer's campus in Groton.

Governor

Reduce funding by \$3,397,606 in FY 16 and \$5,255,208 in FY 17 to reflect savings from moving out of leased property in East Hartford and into State owned property in Groton.

Legislative

Same as Governor

Reduce Funding to Reflect State Office Space Needs

Other Expenses	0	0	0	417,494	0	0	0	0
Management Services	0	119,450	0	(75,022)	0	0	0	0
Rents and Moving	0	(1,191,394)	0	(1,144,715)	0	0	0	0
Total - General Fund	0	(1,071,944)	0	(802,243)	0	0	0	0

Background

450 Columbus Boulevard, also known as Connecticut River Plaza, was purchased by the State for \$34.5 million in 2013. The Department of Revenue Services (DRS) and the Commission on Human Rights and Opportunities will be among the agencies moving to the Columbus Boulevard location. The two agencies are moving from 25 Sigourney Street in Hartford. Also, the Department of Social Services will no longer leasing space at 3580 Main Street in Hartford and is moving a field office to Windsor.

Governor

Reduce funding by \$1,071,944 in FY 16 and \$802,243 in FY 17 to reflect savings associated with moving out of leased property and into State owned property.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Information Business Manager

Personal Services	1	117,286	1	117,286	0	0	0	0
Total - General Fund	1	117,286	1	117,286	0	0	0	0

Background

Effective May 30, 2014, a position transferred from the Office of Policy and Management (OPM) Criminal Justice Information System Governing Board to the Department of Administrative (DAS) Bureau of Enterprise Systems and Technology. There is presently an MOU in place between OPM and BEST to charge the salary for the CJIS Business Manager position to OPM's appropriation for FY 15.

Governor

Provide funding of \$117,286 in both FY 16 and FY 17 to reflect that the Information Systems Business Manager will be funded by DAS.

Legislative

Same as Governor

Transfer Funding for Management of DOL Facility

Other Expenses	0	(176,207)	0	(176,207)	0	0	0	0
Total - General Fund	0	(176,207)	0	(176,207)	0	0	0	0

Background

The Department of Administrative Services (DAS), the Department of Labor (DOL), and the Office of Policy and Management (OPM) signed a Memorandum of Understanding (MOU) in 2014 that would transfer administration of its property management contract and the direct payment of its own operating expenses for 200 Folly Brook from DAS to DOL. Currently, 83% of the costs for 200 Folly Brook are paid by DOL and the remaining 17% is paid by DAS.

Governor

Transfer funding of \$176,207 in both FY 16 and FY 17 to the Department of Labor for the operating expenses associated with 200 Folly Brook Boulevard in Wethersfield.

Legislative

Same as Governor

Remove Funding for Collective Bargaining Related Accounts

Tuition Reimbursement - Training and Travel	0	0	0	(382,000)	0	0	0	0
Labor - Management Fund	0	0	0	(75,000)	0	0	0	(75,000)
Loss Control Risk Management	0	0	0	0	0	0	0	75,000
Quality of Work-Life	0	0	0	(350,000)	0	0	0	0
Total - General Fund	0	0	0	(807,000)	0	0	0	0

Background

The Tuition Reimbursement account is used to fund training workshops and educational programs.

The Loss Control Risk Management account is used to fund development and implementation of safety programs that reduce the frequency and severity of work related injury and illness.

The Quality of Work Life account was established to support the labor-management initiative to work cooperatively on mutually agreed upon issues to improve the quality of workers' lives and agency outcomes.

The Labor-Management Fund is used by various bargaining units and is administered by the Department of Administrative Services.

Governor

Reduce funding by \$807,000 in FY 17 for three accounts (\$382,000 for Tuition Reimbursement, \$75,000 for Loss Control Risk Management and \$350,000 for Quality of Work-Life) related to collective bargaining agreements that expire at the end of FY 16.

Legislative

Reduce funding by \$807,000 in FY 17 for three accounts (\$382,000 for Tuition Reimbursement, \$75,000 for Labor-Management Fund and \$350,000 for Quality of Work-Life) related to collective bargaining agreements that expire at the end of FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	760,372	0	1,723,029	0	0	0	0
Management Services	0	101,798	0	250,099	0	0	0	0
Rents and Moving	0	63,827	0	143,875	0	0	0	0
Connecticut Education Network	0	76,371	0	176,451	0	0	0	0
IT Services	0	823,261	0	1,373,871	0	0	0	0
Total - General Fund	0	1,825,629	0	3,667,325	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$1,825,629 in FY 16 and an additional \$1,841,696 in FY 17 (for a cumulative total of \$3,667,325 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Insurance and Risk Management

State Insurance and Risk Mgmt Operations	0	337,633	0	650,321	0	0	0	0
Total - General Fund	0	337,633	0	650,321	0	0	0	0
State Insurance and Risk Mgmt Operations	0	812,096	0	1,044,501	0	0	0	0
Total - Special Transportation Fund	0	812,096	0	1,044,501	0	0	0	0

Governor

Provide funding of \$337,633 in FY 16 and \$650,321 in FY 17 in the General Fund and \$812,096 in FY 16 and \$1,044,501 in FY 17 in the Special Transportation Fund to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Provide Funding for Projected Surety Bond Increases

Surety Bonds for State Officials and Employees	0	136,200	0	68,000	0	0	0	0
Total - General Fund	0	136,200	0	68,000	0	0	0	0

Governor

Provide funding of \$136,200 in FY 16 and \$68,000 in FY 17 to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Policy Revisions

Transfer Supply Chain Management to OSC

Personal Services	(2)	(619,970)	(2)	(629,011)	(2)	(619,970)	(2)	(629,011)
Total - General Fund	(2)	(619,970)	(2)	(629,011)	(2)	(619,970)	(2)	(629,011)

Legislative

Transfer funding of \$619,970 in FY 16 and \$629,011 in FY 17 and two positions, to the Office of the State Comptroller for the operation of statewide supply chain management. This includes goods and contractual services master contracts used by all state agencies and in some cases, municipalities. This does not include consultant services.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Other Expenses based on Current Requirements

Other Expenses	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)
Total - General Fund	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)

Legislative

Reduce funding by \$250,000 in both FY 16 and FY 17 to reflect efficiencies.

Add Positions for Workers' Compensation Management

Personal Services	3	231,516	3	243,092	3	231,516	3	243,092
Total - General Fund	3	231,516	3	243,092	3	231,516	3	243,092

Legislative

Provide funding of \$231,516 in FY 16 and \$243,092 in FY 17 for three workers' compensation positions. The three positions will provide workers' compensation claims processing services to more agencies. In addition, these new positions will focus on further development of light duty programs.

Reduce Funding for the Connecticut Education Network

Connecticut Education Network	0	(350,000)	0	(350,000)	0	2,941,857	0	2,941,857
Total - General Fund	0	(350,000)	0	(350,000)	0	2,941,857	0	2,941,857

Background

Established in 2000 as part of the CT Nutmeg Network, the Connecticut Education Network (CEN) provides Open Access to a secure and reliable network, high-speed transport and value added services for nonprofits and municipalities in Connecticut at discounted rates.

Governor

Reduce funding by \$3,291,857 in both FY 16 and FY 17 to reflect the elimination of State funding for the CT Education Network.

Legislative

Reduce funding by \$350,000 in both FY 16 and FY 17 to reflect a 10.6% reduction of State funding for the CT Education Network.

Reduce Funding for Janitorial Services and Maintenance

Other Expenses	0	(1,450,000)	0	(1,450,000)	0	0	0	0
Management Services	0	(250,000)	0	(250,000)	0	0	0	0
Total - General Fund	0	(1,700,000)	0	(1,700,000)	0	0	0	0

Governor

Reduce funding by \$1.7 million in both FY 16 and FY 17 to reflect savings achieved by reductions in janitorial services and facility maintenance.

Legislative

Same as Governor

Provide Staff and Funding for the Public Safety Data Network

Personal Services	3	204,717	3	266,132	0	0	0	0
Total - General Fund	3	204,717	3	266,132	0	0	0	0

Background

The Public Safety Data Network (PSDN) is an ultra-high speed fiber optic data network that will serve as a base transport infrastructure for public safety related applications and services throughout the State. Its primary purpose is to provide the required connectivity for the upcoming implementation of Next Generation (NG9-1-1) services. The network will provide a single connectivity source to allow for the integration of systems, applications and currently disparate networks so that information and resources can be shared amongst the various public safety entities throughout the State. The installation of the fiber and the required network equipment is finished at every Public Safety Answering Point (PSAP) in the State.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$204,717 in FY 16 and \$266,132 in FY 17 to hire three full time employees for the upcoming implementation of Next Generation (NG9-1-1) services.

Legislative

Same as Governor

Provide Funding for an Equal Opportunity Specialist

Personal Services	1	74,902	1	74,902	0	0	0	0
Total - General Fund	1	74,902	1	74,902	0	0	0	0

Governor

Provide funding of \$74,902 in both FY 16 and FY 17 for an Equal Employment Opportunity Specialist. This class is accountable for independently performing a full range of tasks for an Equal Employment Opportunity program, including compiling and analyzing statistical affirmative action data; developing and implementing affirmative action plans; and developing and/or revising equal employment opportunity procedures.

Legislative

Same as Governor

Transfer Funding for the Capitol Day Care Center to OLM

Capitol Day Care Center	0	(120,888)	0	(120,888)	0	0	0	0
Total - General Fund	0	(120,888)	0	(120,888)	0	0	0	0

Background

The governor is prohibited from modifying the requested budget of legislative agencies under CGS 4-73(f).

Governor

Reduce funding by \$120,888 in FY 16 and FY 17 to reflect the transfer of the Capitol Child Development Center (CCDC) lease to the Office of Legislative Management (OLM). Funding of \$120,888 is not transferred.

Legislative

Transfer funding of \$120,888 in both FY 16 and FY 17 to reflect the transfer of Capital Child Development Center funding from the Department of Administrative Services to OLM.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(500,000)	0	(500,000)	0	0	0	0
Employees' Review Board	0	(1,388)	0	(1,110)	0	(278)	0	0
W. C. Administrator	0	(250,000)	0	(250,000)	0	0	0	0
Total - General Fund	0	(751,388)	0	(751,110)	0	(278)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$751,110 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$751,110 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce the Employees' Review Board account by \$278 in FY 16.

Transfer Position and Funding from DECD

Personal Services	1	113,000	1	113,000	0	0	0	0
Total - General Fund	1	113,000	1	113,000	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Department of Economic and Community Development (DECD), Department of Housing (DOH) and the Department of Administrative Services (DAS) entered into a Memorandum of Understanding (MOU) to have DAS manage the human resources and payroll functions for DECD and DOH. DAS is currently being reimbursed by DECD for the salary of the Human Resource Specialist.

Governor

Transfer funding of \$113,000 in both FY 16 and FY 17 to reflect the transfer of one Human Resources Specialist from the Department of Economic and Community Development (DECD) to the Department of Administrative Services (DAS).

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(760,372)	0	(1,723,029)	0	0	0	0
Management Services	0	(101,798)	0	(250,099)	0	0	0	0
Rents and Moving	0	(63,827)	0	(143,875)	0	0	0	0
Connecticut Education Network	0	(76,371)	0	(176,451)	0	0	0	0
IT Services	0	(823,261)	0	(1,373,871)	0	(343,343)	0	(484,935)
Total - General Fund	0	(1,825,629)	0	(3,667,325)	0	(343,343)	0	(484,935)

Governor

Reduce various accounts by \$1,482,286 in FY 16 and \$3,182,390 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Reduce various accounts by \$1,482,286 in FY 16 and \$3,182,390 in FY 17 to reflect the elimination of inflationary increases and additionally reduce the IT Services account by \$343,343 in FY 16 and \$484,935 in FY 17.

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(353,538)	0	(353,538)	0	0	0	0
Total - General Fund	0	(353,538)	0	(353,538)	0	0	0	0
Nonfunctional - Change to Accruals	0	(308)	0	(308)	0	0	0	0
Total - Special Transportation Fund	0	(308)	0	(308)	0	0	0	0

Governor

Reduce funding by \$353,538 in both FY 16 and FY 17 in the General Fund and \$308 in both FY 16 and FY 17 in the Special Transportation Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward

Carry Forward Funding for Various Accounts

Tuition Reimbursement - Training and Travel	0	57,235	0	0	0	57,235	0	0
Labor - Management Fund	0	646,716	0	0	0	646,716	0	0
Quality of Work-Life	0	672,871	0	0	0	672,871	0	0
Total - Carry Forward Funding	0	1,376,822	0	0	0	1,376,822	0	0

Legislative

Pursuant to CGS Sec. 5-278(e) and collective bargaining agreements, estimated funds totaling \$1,376,822 are carried forward into FY 16 (\$57,235 in Tuition Reimbursement, Training and Travel; \$646,716 in Labor-Management Fund; and \$672,871 in Quality of Work-Life Fund).

Carry Forward Funding for School Building Council

Other Expenses	0	998,315	0	0	0	998,315	0	0
Total - Carry Forward Funding	0	998,315	0	0	0	998,315	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), estimated funds totaling \$998,315 are carried forward into FY 16 (\$530,000 for the Governor's School Building Projects Advisory Council (SBPAC) and \$468,315 for a Frontier Telecom bill which has yet to be settled). The November 2014 telecom bill, when Frontier took over responsibility of the telecom network from AT&T, is disputed as to its reliability.

Carry Forward Funding for Fleet Operations

State Insurance and Risk Mgmt Operations	0	300,000	0	0	0	300,000	0	0
Total - Carry Forward Funding	0	300,000	0	0	0	300,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funds totaling \$300,000 are carried forward into FY 16 for a Fleet claim that was rescheduled to September 17, 2015.

Carry Forward Funding for Insurance Recovery

Insurance Recovery	0	6,500	0	0	0	6,500	0	0
Total - Carry Forward Funding	0	6,500	0	0	0	6,500	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funds totaling \$6,500 are carried forward into FY 16 for an insurance claim settlement representing payment for damage to a Michael Steiner sculpture at the Governor's Residence.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	656	146,546,848	656	146,546,848	0	0	0	0
Current Services	1	246,586	1	313,975	0	0	0	0
Policy Revisions	6	(5,347,279)	6	(7,124,747)	1	1,959,782	1	1,821,003
Total Recommended - GF	663	141,446,155	663	139,736,076	1	1,959,782	1	1,821,003
Governor Estimated - TF	0	7,916,382	0	7,916,382	0	0	0	0
Current Services	0	812,096	0	1,044,501	0	0	0	0
Policy Revisions	0	(308)	0	(308)	0	0	0	0
Total Recommended - TF	0	8,728,170	0	8,960,575	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$256,426, a Statewide Hiring Reduction of \$829,633, a General Employee Lapse of \$219,197, and Overtime Savings of \$19,002. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$1,059,634. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	53,985,369	(1,226,288)	52,759,081	2.27%
Other Expenses	32,717,944	(588,739)	32,129,205	1.80%
Management Services	4,623,259	(69,348)	4,553,911	1.50%
Loss Control Risk Management	114,854	(1,722)	113,132	1.50%
Employees' Review Board	20,822	(312)	20,510	1.50%
Surety Bonds for State Officials and Employees	141,800	(2,127)	139,673	1.50%
Refunds Of Collections	25,723	(385)	25,338	1.50%
W. C. Administrator	5,000,000	(75,000)	4,925,000	1.50%
State Insurance and Risk Mgmt Operations	13,683,019	(205,245)	13,477,774	1.50%
IT Services	14,315,087	(214,726)	14,100,361	1.50%

Attorney General OAG29000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	303	303	303	303	303	303

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	29,051,861	32,790,529	34,038,471	34,154,538	33,038,471	33,154,538
Other Expenses	1,104,753	1,325,185	1,078,926	1,078,926	1,062,361	1,078,926
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	244,333	190,510	0	0	0	0
Agency Total - General Fund	30,400,947	34,306,225	35,117,397	35,233,464	34,100,832	34,233,464
Additional Funds Available						
Federal Funds	79,987	80,000	80,000	80,000	80,000	80,000
Private Contributions & Other Restricted	1,201,232	1,260,000	1,320,000	1,330,000	1,320,000	1,330,000
Agency Grand Total	31,682,166	35,646,225	36,517,397	36,643,464	35,500,832	35,643,464

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,892,729	0	2,008,796	0	0	0	0
Total - General Fund	0	1,892,729	0	2,008,796	0	0	0	0

Governor

Provide funding of \$1,892,729 in FY 16 and \$2,008,796 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	29,231	0	66,247	0	0	0	0
Total - General Fund	0	29,231	0	66,247	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$29,231 in FY 16 and an additional \$37,016 in FY 17 (for a cumulative total of \$66,247 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Reduce Personal Services

Personal Services	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)
Total - General Fund	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)	0	(1,000,000)

Background

As of June 1, 2015, the agency has 123 attorneys who are eligible to retire, including 15 Tier 1, 79 Tier 2 and 29 Tier 2A. The cost to pay all 123 for accumulated sick and vacation leave is \$4.5 million. The agency normally receives Personal Services appropriations that are sufficient to cover anticipated accumulated leave payouts for anticipated retirements but due to the large number of employees who were eligible to retire chose not to do so, the account lapsed \$2.3 million in FY 12, \$1.4 million in FY 13 and \$2.6 million in FY 14.

Legislative

Reduce the Personal Services account by \$1 million in each of FY 16 and FY 17 based on the assumption that not all of the attorneys who are eligible will actually retire in either fiscal year.

Eliminate Funding for Vacancies

Personal Services	0	(344,787)	0	(344,787)	0	0	0	0
Total - General Fund	0	(344,787)	0	(344,787)	0	0	0	0

Governor

Reduce funding by \$344,787 in each of FY 16 and FY 17 to reflect the elimination of funding for positions that are currently vacant.

Legislative

Same as Governor

Reduce Other Expenses

Other Expenses	0	(180,000)	0	(180,000)	0	0	0	0
Total - General Fund	0	(180,000)	0	(180,000)	0	0	0	0

Background

Funding of \$180,000 was provided in each of FY 14 and FY 15 for the cost of litigating a dispute over whether the states involved in the Tobacco Settlement had fulfilled their obligations under the terms of the Master Settlement Agreement to diligently enforce the escrow amounts nonparticipating tobacco manufacturers are required to pay each year under state law. Connecticut, along with a number of other states, ultimately settled that dispute so the funds are no longer needed.

Governor

Reduce Other Expenses by \$180,000 in each of FY 16 and FY 17 to reflect the elimination of funding for litigation expenses related to the Tobacco Settlement.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(300,000)	0	(300,000)	0	0	0	0
Other Expenses	0	(82,824)	0	(66,259)	0	(16,565)	0	0
Total - General Fund	0	(382,824)	0	(366,259)	0	(16,565)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$366,259 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce funding of \$366,259 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$16,565 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(29,231)	0	(66,247)	0	0	0	0
Total - General Fund	0	(29,231)	0	(66,247)	0	0	0	0

Governor

Reduce Other Expenses by \$29,231 in FY 16 and \$66,247 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(190,510)	0	(190,510)	0	0	0	0
Total - General Fund	0	(190,510)	0	(190,510)	0	0	0	0

Governor

Reduce funding by \$190,510 in FY 16 and \$190,510 in FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	303	34,306,225	303	34,306,225	0	0	0	0
Current Services	0	1,921,960	0	2,075,043	0	0	0	0
Policy Revisions	0	(2,127,353)	0	(2,147,804)	0	(1,016,565)	0	(1,000,000)
Total Recommended - GF	303	34,100,832	303	34,233,464	0	(1,016,565)	0	(1,000,000)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$99,404, a Statewide Hiring Reduction of \$507,726, and a General Employee Lapse of \$396,257. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$15,935. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	33,038,471	(1,000,206)	32,038,265	3.03%
Other Expenses	1,062,361	(19,116)	1,043,245	1.80%

Division of Criminal Justice

DCJ30000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	487	498	468	468	486	486
Permanent Full-Time - WF	4	4	4	4	4	4

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	44,324,206	47,031,864	48,685,592	49,175,371	48,985,592	49,475,371
Other Expenses	2,592,594	2,439,610	2,561,355	2,561,355	2,561,355	2,561,355
Equipment	26,883	1,001	0	0	0	0
Other Current Expenses						
Witness Protection	180,648	200,000	180,000	180,000	180,000	180,000
Training And Education	47,273	56,499	56,499	56,499	56,499	56,499
Expert Witnesses	293,349	350,000	330,000	330,000	330,000	330,000
Medicaid Fraud Control	1,026,964	1,465,882	1,323,438	1,325,095	1,323,438	1,325,095
Criminal Justice Commission	481	481	481	481	481	481
Cold Case Unit	282,524	264,844	0	0	277,119	282,511
Shooting Taskforce	1,104,299	1,061,910	0	0	1,115,406	1,125,663
Nonfunctional - Change to Accruals	121,286	294,626	0	0	0	0
Agency Total - General Fund	50,000,508	53,166,717	53,137,365	53,628,801	54,829,890	55,336,975
Personal Services	323,852	382,159	402,519	405,969	402,519	405,969
Other Expenses	9,839	17,000	10,000	10,428	10,000	10,428
Equipment	0	1	0	0	0	0
Fringe Benefits	270,244	273,645	336,390	339,273	336,390	339,273
Nonfunctional - Change to Accruals	6,273	4,155	0	0	0	0
Agency Total - Workers' Compensation Fund	610,208	676,960	748,909	755,670	748,909	755,670
Total - Appropriated Funds	50,610,717	53,843,677	53,886,274	54,384,471	55,578,799	56,092,645
Additional Funds Available						
Federal Funds	642,786	522,268	517,000	522,000	517,000	522,000
Private Contributions & Other Restricted	126,287	134,000	140,000	140,000	140,000	140,000
Agency Grand Total	51,379,790	54,499,945	54,543,274	55,046,471	56,235,799	56,754,645

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,513,728	0	3,013,507	0	0	0	0
Medicaid Fraud Control	0	67,556	0	79,213	0	0	0	0
Cold Case Unit	0	12,275	0	17,667	0	0	0	0
Shooting Taskforce	0	53,496	0	63,753	0	0	0	0
Total - General Fund	0	2,647,055	0	3,174,140	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding to Reflect Wage & Compensation Related Costs (continued)

Personal Services	0	20,360	0	23,810	0	0	0	0
Total - Workers' Compensation Fund	0	20,360	0	23,810	0	0	0	0

Governor

Provide funding of \$2,647,055 in FY 16 and \$3,174,140 in FY 17 in the General Fund and \$20,360 in FY 16 and \$23,810 in the Workers' Compensation Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	60,296	0	129,963	0	0	0	0
Expert Witnesses	0	8,120	0	18,469	0	0	0	0
Medicaid Fraud Control	0	4,405	0	10,021	0	0	0	0
Cold Case Unit	0	1,907	0	4,339	0	0	0	0
Shooting Taskforce	0	2,259	0	4,571	0	0	0	0
Total - General Fund	0	76,987	0	167,363	0	0	0	0
Other Expenses	0	533	0	961	0	0	0	0
Total - Workers' Compensation Fund	0	533	0	961	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$76,987 in FY 16 and an additional \$90,376 in FY 17 (for a cumulative total of \$167,363 in the second year) in the General Fund and \$533 in FY 16 and an additional \$428 in FY 17 (for a cumulative total of \$961 in the second year) in the Workers' Compensation Fund to reflect inflationary increases.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	121,745	0	121,745	0	0	0	0
Total - General Fund	0	121,745	0	121,745	0	0	0	0

Governor

Provide funding of \$121,745 in FY 16 and FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include information technology software upgrades and increases for leases.

Legislative

Same as Governor

Adjust Fringe Benefits

Fringe Benefits	0	62,745	0	65,628	0	0	0	0
Total - Workers' Compensation Fund	0	62,745	0	65,628	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$62,745 in FY 16 and \$65,628 in FY 17 to ensure sufficient funds for fringe benefits.

Legislative

Same as Governor

Policy Revisions**Eliminate Vacant Positions**

Personal Services	(12)	(560,000)	(12)	(570,000)	4	300,000	4	300,000
Medicaid Fraud Control	0	(210,000)	0	(220,000)	0	0	0	0
Total - General Fund	(12)	(770,000)	(12)	(790,000)	4	300,000	4	300,000

Governor

Reduce funding of \$860,000 FY 16 and \$870,000 in FY 17 in Personal Services to reflect the elimination of 16 positions that are currently vacant. Reduce funding of \$210,000 in FY 16 and \$220,000 in FY 17 in the Medicaid Fraud Control Unit account to reflect the elimination of funding for vacant positions but no positions are removed.

Legislative

Reduce funding of 560,000 in FY 16 and \$570,000 in FY 17 in Personal Services to reflect the elimination of funding for 12 positions that are currently vacant. Reduce funding of \$210,000 in FY 16 and \$220,000 in FY 17 in the Medicaid Fraud Control Unit account to reflect the elimination of funding for vacant positions but no positions are removed.

Eliminate the Shooting Taskforce and Cold Case Unit

Cold Case Unit	0	0	0	0	3	279,026	3	286,850
Shooting Taskforce	0	0	0	0	11	1,117,665	11	1,130,234
Total - General Fund	0	0	0	0	14	1,396,691	14	1,417,084

Background

The Cold Case/Shooting Task Force Bureau is responsible for the investigation and prosecution of violent crime. The Bureau investigate and, wherever possible, prosecute serious crimes that have gone "cold," or unsolved for a long period of time. The cases assigned to this Unit typically include unsolved murders, some of which took place decades ago. The Unit works closely with the Connecticut State Police, municipal police departments, and nationally recognized forensics experts, utilizing the latest technology to solve these crimes.

Governor

Reduce funding of \$1,117,665 in FY 16 and \$1,130,234 in FY 17 to reflect the elimination of 11 positions assigned to the Shooting Taskforce and reduce funding of \$279,026 in FY 16 and \$286,850 to reflect the elimination of three positions assigned to the Cold Case Unit.

Legislative

Maintain funding of \$1,117,665 in FY 16 and \$1,130,234 in FY 17 for the 11 positions in the Shooting Taskforce and \$279,026 in FY 16 and \$286,850 in FY 17 for the three positions in the Cold Case Unit.

Eliminate Inflationary Increases

Other Expenses	0	(60,296)	0	(129,963)	0	0	0	0
Expert Witnesses	0	(8,120)	0	(18,469)	0	0	0	0
Medicaid Fraud Control	0	(4,405)	0	(10,021)	0	0	0	0
Cold Case Unit	0	(1,907)	0	(4,339)	0	(1,907)	0	(4,339)
Shooting Taskforce	0	(2,259)	0	(4,571)	0	(2,259)	0	(4,571)
Total - General Fund	0	(76,987)	0	(167,363)	0	(4,166)	0	(8,910)
Other Expenses	0	(533)	0	(533)	0	0	0	0
Total - Workers' Compensation Fund	0	(533)	0	(533)	0	0	0	0

Governor

Reduce various accounts by \$73,354 in FY 16 and \$158,896 in FY 17 to reflect the elimination of inflationary increases.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce various accounts in the General Fund by \$76,987 in FY 16 and \$167,363 in FY 17 in the General Fund and \$533 in FY 16 and FY 17 in the Workers' Compensation Fund.

Reduce Funding to Various Accounts

Equipment	0	(1,001)	0	(1,001)	0	0	0	0
Witness Protection	0	(20,000)	0	(20,000)	0	0	0	0
Expert Witnesses	0	(20,000)	0	(20,000)	0	0	0	0

Reduce Funding to Various Accounts (continued)

Total - General Fund	0	(41,001)	0	(41,001)	0	0	0	0
Other Expenses	0	(7,000)	0	(7,000)	0	0	0	0
Total - Workers' Compensation Fund	0	(7,000)	0	(7,000)	0	0	0	0

Governor

Reduce funding by \$41,001 in FY 16 and FY 17 in various accounts of the General Fund and \$7,000 in FY 16 and FY 17 in the Workers' Compensation Fund to reflect anticipated efficiencies.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(294,626)	0	(294,626)	0	0	0	0
Total - General Fund	0	(294,626)	0	(294,626)	0	0	0	0
Nonfunctional - Change to Accruals	0	(4,155)	0	(4,155)	0	0	0	0
Total - Workers' Compensation Fund	0	(4,155)	0	(4,155)	0	0	0	0

Governor

Reduce funding by \$294,626 in FY 16 and FY 17 in the General Fund and \$4,155 in FY 16 and FY 17 in the Workers' Compensation Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - Workers' Compensation Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	498	53,166,717	498	53,166,717	0	0	0	0
Current Services	0	2,845,787	0	3,463,248	0	0	0	0
Policy Revisions	(12)	(1,182,614)	(12)	(1,292,990)	18	1,692,525	18	1,708,174
Total Recommended - GF	486	54,829,890	486	55,336,975	18	1,692,525	18	1,708,174
Governor Estimated - WF	4	676,960	4	676,960	0	0	0	0
Current Services	0	83,638	0	90,399	0	0	0	0
Policy Revisions	0	(11,689)	0	(11,689)	0	0	0	0
Total Recommended - WF	4	748,909	4	755,670	0	0	0	0

Other Significant Legislation**PA 15-4 JSS, An Act Concerning Excessive Use of Force**

Section 4 expands circumstances that the Division of Criminal Justice (DCJ) must investigate a death involving a peace officer and result in a potential cost to DCJ. Current law allows the Chief State's Attorney to designate a prosecutor from a different judicial district or appoint a special assistant state's attorney. This provision results in a potential cost by increasing the number of cases that might require a special assistant state's attorney. Based on previous investigations, it is estimated it would take approximately 160 hours at an hourly rate of \$400/hour, for a total of approximately \$64,000 per case. On average there have been four such cases each year. The expansion includes all cases involving physical force that results in a death, as opposed to all deadly physical force, which may result in an increased number of investigations annually.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$151,922, a Statewide Hiring Reduction of \$752,798, and a General Employee Lapse of \$212,926. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$66,775. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	48,985,592	(1,089,055)	47,896,537	2.22%
Other Expenses	2,561,355	(38,420)	2,522,935	1.50%
Witness Protection	180,000	(2,700)	177,300	1.50%
Training And Education	56,499	(847)	55,652	1.50%
Expert Witnesses	330,000	(4,950)	325,050	1.50%
Medicaid Fraud Control	1,323,438	(32,210)	1,291,228	2.43%
Criminal Justice Commission	481	(7)	474	1.46%
Cold Case Unit	277,119	(4,642)	272,477	1.68%
Shooting Taskforce	1,115,406	(11,590)	1,103,816	1.04%

Department of Emergency Services and Public Protection

DPS32000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	1,694	1,733	1,737	1,737	1,733	1,733

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	141,063,830	135,480,217	149,692,228	149,999,937	149,608,808	149,909,977
Other Expenses	30,626,463	27,532,034	29,103,216	29,720,532	29,099,716	29,033,588
Equipment	102,288	93,990	93,990	93,990	93,990	93,990
Other Current Expenses						
Stress Reduction	0	25,354	25,354	25,354	25,354	25,354
Fleet Purchase	4,377,118	6,877,690	6,877,690	7,572,005	6,183,375	6,877,690
Gun Law Enforcement Task Force	366,265	0	0	0	0	0
Workers' Compensation Claims	4,592,766	4,238,787	4,638,787	4,638,787	4,562,247	4,562,247
Other Than Payments to Local Governments						
Fire Training School - Willimantic	153,709	153,709	0	0	98,079	100,000
Maintenance of County Base Fire Radio Network	23,918	23,918	23,918	23,918	23,918	23,918
Maintenance of State-Wide Fire Radio Network	15,919	15,919	15,919	15,919	15,919	15,919
Police Association of Connecticut	123,684	190,000	190,000	190,000	190,000	190,000
Connecticut State Firefighter's Association	124,410	194,711	194,711	194,711	194,711	194,711
Fire Training School - Torrington	77,299	77,299	0	0	59,034	60,000
Fire Training School - New Haven	45,946	45,946	0	0	39,426	40,000
Fire Training School - Derby	35,283	35,283	0	0	29,559	30,000
Fire Training School - Wolcott	95,154	95,154	0	0	68,810	70,000
Fire Training School - Fairfield	66,876	66,876	0	0	49,164	50,000
Fire Training School - Hartford	160,870	160,870	0	0	97,989	100,000
Fire Training School - Middletown	56,101	56,101	0	0	29,299	30,000
Fire Training School - Stamford	52,661	52,661	0	0	29,342	30,000
Nonfunctional - Change to Accruals	2,994,206	59,181	0	0	0	0
Agency Total - General Fund	185,154,765	175,475,700	190,855,813	192,475,153	190,498,740	191,437,394
Additional Funds Available						
Carry Forward Funding	0	0	0	0	109,687	0
Federal Funds	72,959,644	45,989,078	25,544,635	25,544,635	25,544,635	25,544,635
Private Contributions & Other Restricted	22,758,975	22,561,275	21,890,000	21,890,000	21,890,000	21,890,000
Agency Grand Total	280,873,384	244,026,053	238,290,448	239,909,788	238,043,062	238,872,029

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	14,363,132	0	14,672,630	0	0	0	0
Total - General Fund	0	14,363,132	0	14,672,630	0	0	0	0

Governor

Provide funding of \$14,363,132 in FY 16 and \$14,672,630 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments. This adjustment includes costs for the collectively bargained NP-1 contract.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	736,988	0	1,440,512	0	0	0	0
Workers' Compensation Claims	0	223,154	0	460,715	0	0	0	0
Total - General Fund	0	960,142	0	1,901,227	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$960,142 in FY 16 and an additional \$941,085 in FY 17 (for a cumulative total of \$1,901,227 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for IT Upgrades and Maintenance

Other Expenses	0	622,400	0	576,500	0	(100,000)	0	(100,000)
Total - General Fund	0	622,400	0	576,500	0	(100,000)	0	(100,000)

Governor

Provide funding of \$722,400 in FY 16 and \$676,500 in FY 17 to reflect anticipated expenditure requirements. These costs include contracts for the Automated Fingerprint Identification System and Deadly Weapon Offender Registry, upgrades to vehicle laptop memory, staff training, and certain IT system maintenance costs.

Legislative

Provide funding of \$622,400 in FY 16 and \$576,500 in FY 17 to reflect anticipated expenditure requirements for IT upgrades and maintenance.

Adjust Funding for Vehicle Purchases

Fleet Purchase	0	0	0	0	0	0	0	(694,315)
Total - General Fund	0	0	0	0	0	0	0	(694,315)

Governor

Provide funding of \$694,315 in FY 17 to enable the acquisition of additional state police vehicles.

Legislative

Do not provide funding for additional fleet vehicles.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Equipment and Protective Gear

Other Expenses	0	0	0	0	0	(3,500)	0	(586,944)
Total - General Fund	0	0	0	0	0	(3,500)	0	(586,944)

Governor

Provide funding of \$3,500 in FY 16 and \$586,944 in FY 17 to enable the acquisition of certain equipment including gas masks and hazardous chemical suits.

Legislative

Do not provide funding of \$3,500 in FY 16 and \$586,944 in FY 17 for the acquisition of equipment.

Provide Funding for Division of Scientific Services

Other Expenses	0	518,066	0	563,018	0	0	0	0
Total - General Fund	0	518,066	0	563,018	0	0	0	0

Governor

Provide funding of \$518,066 in FY 16 and \$563,018 in FY 17 in Other Expenses to reflect anticipated expenditure requirements within the Division of Scientific Services. These costs include additional lab supplies, equipment maintenance, IT software, and waste disposal.

Legislative

Same as Governor

Provide Funding for Workers Compensation Claims

Workers' Compensation Claims	0	400,000	0	400,000	0	0	0	0
Total - General Fund	0	400,000	0	400,000	0	0	0	0

Governor

Provide funding of \$400,000 in both FY 16 and FY 17 for anticipated increases in workers compensation claims.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	293,110	0	330,180	0	0	0	0
Total - General Fund	0	293,110	0	330,180	0	0	0	0

Governor

Provide funding of \$293,110 in FY 16 and \$330,180 in FY 17 in Other Expenses to reflect anticipated expenditure requirements. These costs include vehicle tire replacement, accreditation inspections, and grant matching funds.

Legislative

Same as Governor

Provide Funding for Property Management

Other Expenses	0	207,436	0	207,436	0	0	0	0
Total - General Fund	0	207,436	0	207,436	0	0	0	0

Governor

Provide funding of \$207,436 in both FY 16 and FY 17 for increased property management and maintenance costs. These costs include a new lease of facilities on the Pfizer campus.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Background Investigations

Personal Services	0	0	0	0	(4)	(193,420)	(4)	(199,960)
Total - General Fund	0	0	0	0	(4)	(193,420)	(4)	(199,960)

Background

Sections 90-95 of PA 11-242 established background investigation requirements for certain individuals working in long-term care facilities.

Governor

Provide four positions and funding of \$193,420 in FY 16 and \$199,960 in FY 17 for increased costs associated with performing background investigations on individuals affected by the requirements of PA 11-242.

Legislative

Do not provide funding or positions for background investigations in accordance with PA 11-242.

Policy Revisions

Reduce Funding for Delayed Fleet Purchases

Other Expenses	0	100,000	0	0	0	100,000	0	0
Fleet Purchase	0	(694,315)	0	0	0	(694,315)	0	0
Total - General Fund	0	(594,315)	0	0	0	(594,315)	0	0

Legislative

Reduce Fleet Purchase funding by \$694,315 in FY 16 and provide Other Expense funding of \$100,000 in FY 16 to reflect the delayed acquisition of fleet vehicles and expected increase in maintenance costs.

Reduce Funding to Reflect Message Center Consolidation

Personal Services	0	(277,623)	0	(285,952)	0	0	0	0
Total - General Fund	0	(277,623)	0	(285,952)	0	0	0	0

Governor

Reduce funding by \$277,623 in FY 16 and \$285,952 in FY 17 to reflect savings achieved through the consolidation of the Bradley Airport dispatch function into the message center based at headquarters.

Legislative

Same as Governor

Adjust Funding for Regional Fire Training Schools

Fire Training School - Willimantic	0	(46,024)	0	(46,024)	0	100,000	0	100,000
Fire Training School - Torrington	0	(13,434)	0	(13,434)	0	60,000	0	60,000
Fire Training School - New Haven	0	(3,649)	0	(3,649)	0	40,000	0	40,000
Fire Training School - Derby	0	(3,519)	0	(3,519)	0	30,000	0	30,000
Fire Training School - Wolcott	0	(20,396)	0	(20,396)	0	70,000	0	70,000
Fire Training School - Fairfield	0	(13,532)	0	(13,532)	0	50,000	0	50,000
Fire Training School - Hartford	0	(52,826)	0	(52,826)	0	100,000	0	100,000
Fire Training School - Middletown	0	(23,296)	0	(23,296)	0	30,000	0	30,000
Fire Training School - Stamford	0	(20,028)	0	(20,028)	0	30,000	0	30,000
Total - General Fund	0	(196,704)	0	(196,704)	0	510,000	0	510,000

Background

There are nine fire training schools throughout the State (located in: Derby, Fairfield, Hartford, Middletown, New Haven, Stamford, Torrington, Willimantic, and Wolcott). These schools receive a block grant annually to subsidize the cost of operating and maintaining the facilities.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$706,704 in both FY 16 and FY 17 to reflect the elimination of grants to the regional fire training schools.

Legislative

Reduce funding by \$196,704 in FY 16 and FY 17 to reflect the reduction in grants to regional fire training schools.

Provide Funding for Training Programs

Personal Services	0	125,000	0	125,000	0	125,000	0	125,000
Total - General Fund	0	125,000	0	125,000	0	125,000	0	125,000

Background

PA 15-4 JSS, An Act Concerning Excessive Use of Force, requires certain trainings to be included in police basic and review training programs administered by DESPP.

Legislative

Provide funding of \$125,000 in both FY 16 and FY 17 for the required training and programs in PA 15-4 JSS.

Reduce Funding to Reflect Savings Initiatives

Other Expenses	0	(160,830)	0	(163,080)	0	0	0	0
Total - General Fund	0	(160,830)	0	(163,080)	0	0	0	0

Governor

Reduce funding in Other Expenses by \$160,830 in FY 16 and \$163,080 in FY 17 to reflect the savings in agency-wide property management and internet services.

Legislative

Same as Governor

Reflect Workers' Compensation Savings

Workers' Compensation Claims	0	(76,540)	0	(76,540)	0	(76,540)	0	(76,540)
Total - General Fund	0	(76,540)	0	(76,540)	0	(76,540)	0	(76,540)

Legislative

Reduce funding by \$76,540 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Reduce Funding to Reflect Position Reclassifications

Personal Services	0	(79,418)	0	(79,418)	0	0	0	0
Total - General Fund	0	(79,418)	0	(79,418)	0	0	0	0

Governor

Reduce funding by \$79,418 in both FY 16 and FY 17 to reflect savings from the reclassification of vacant civilian positions.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Rollout of FY 15 Rescissions and Reduce Various Accounts

Fire Training School - Willimantic	0	(9,606)	0	(7,685)	0	(1,921)	0	0
Fire Training School - Torrington	0	(4,831)	0	(3,865)	0	(966)	0	0
Fire Training School - New Haven	0	(2,871)	0	(2,297)	0	(574)	0	0
Fire Training School - Derby	0	(2,205)	0	(1,764)	0	(441)	0	0
Fire Training School - Wolcott	0	(5,948)	0	(4,758)	0	(1,190)	0	0
Fire Training School - Fairfield	0	(4,180)	0	(3,344)	0	(836)	0	0
Fire Training School - Hartford	0	(10,055)	0	(8,044)	0	(2,011)	0	0
Fire Training School - Middletown	0	(3,506)	0	(2,805)	0	(701)	0	0
Fire Training School - Stamford	0	(3,291)	0	(2,633)	0	(658)	0	0
Total - General Fund	0	(46,493)	0	(37,195)	0	(9,298)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$37,195 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$37,195 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$9,298 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(736,988)	0	(1,440,512)	0	0	0	0
Workers' Compensation Claims	0	(223,154)	0	(460,715)	0	0	0	0
Total - General Fund	0	(960,142)	0	(1,901,227)	0	0	0	0

Governor

Reduce various accounts by \$960,142 in FY 16 and \$1,901,227 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(59,181)	0	(59,181)	0	0	0	0
Total - General Fund	0	(59,181)	0	(59,181)	0	0	0	0

Governor

Reduce funding by \$59,181 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Transfer Funding for Property Management Services

Personal Services	0	12,500	0	12,500	0	0	0	0
Other Expenses	0	(12,500)	0	(12,500)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer \$12,500 from Other Expenses to Personal Services in both FY 16 and FY 17 for property management.

Legislative

Same as Governor

Restaff State Police Offices with Non-Sworn Personnel

Personal Services	0	(15,000)	0	(15,000)	0	(15,000)	0	(15,000)
Total - General Fund	0	(15,000)	0	(15,000)	0	(15,000)	0	(15,000)

Legislative

Reduce Personal Services funding by \$15,000 in both FY 16 and FY 17 to reflect the reallocation of sworn staff in the Division of State Police to field positions.

Carry Forward**Carry Forward for Telecommunications Services**

Other Expenses	0	109,687	0	0	0	109,687	0	0
Total - Carry Forward Funding	0	109,687	0	0	0	109,687	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$109,687 is carried forward from FY 15 into FY 16 in the Other Expenses account to fund the payment of invoices for telecommunications services.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,733	175,475,700	1,733	175,475,700	0	0	0	0
Current Services	0	17,364,286	0	18,650,991	(4)	(296,920)	(4)	(1,581,219)
Policy Revisions	0	(2,341,246)	0	(2,689,297)	0	(60,153)	0	543,460
Total Recommended - GF	1,733	190,498,740	1,733	191,437,394	(4)	(357,073)	(4)	(1,037,759)

Other Significant Legislation**PA 15-244, An Act Concerning The State Budget For The Biennium Ending June 30, 2017, And Making Appropriations Therefor, And Other Provisions Related To Revenue, Deficiency Appropriations And Tax Fairness And Economic Development.**

Section 170 modifies the reimbursement rate paid by municipalities for resident state policemen. Under the provisions of the section, municipalities are responsible for 85% of the regular time expenses for the first two resident policemen and 100% of such costs for each additional resident policeman deployed to the town. The overtime reimbursement rate remains 100% for municipalities participating in the program.

PA 15-4 JSS, An Act Concerning Excessive Use Of Force.

The act requires DESPP State Policemen and Special Police of various agencies to wear body cameras capable of recording both audio and video in the performance of their job and establishes certain parameters for such use. The act also establishes a grant-in-aid program for municipalities to provide reimbursement of costs associated with the purchase of body-worn recording equipment for municipal police officers. Additionally, the act establishes additional training requirements concerning such recording equipment, cultural sensitivity, and deadly use of force. The act also establishes certain requirements for programs associated with the hiring and promotion of police officers.

PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services.

Section 508 requires law enforcement agencies that hire a police officer within two years of obtaining certification at a different law enforcement agency to reimburse the certifying agency half of the cost of such certification.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$524,021, a Statewide Hiring Reduction of \$2,299,148, a General Employee Lapse of \$147,683, and Overtime Savings of \$1,260,454. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$599,087. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	149,608,808	(4,144,170)	145,464,638	2.77%
Other Expenses	29,099,716	(523,631)	28,576,085	1.80%
Equipment	93,990	(1,409)	92,581	1.50%
Fleet Purchase	6,183,375	(92,750)	6,090,625	1.50%
Workers' Compensation Claims	4,562,247	(68,433)	4,493,814	1.50%

Department of Motor Vehicles

DMV35000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	4	4	0	0	0	0
Permanent Full-Time - TF	577	599	606	606	603	603

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	209,950	244,342	0	0	0	0
Other Expenses	188,025	242,365	0	0	0	0
Nonfunctional - Change to Accruals	4,774	579	0	0	0	0
Agency Total - General Fund	402,749	487,286	0	0	0	0
Personal Services	41,166,161	46,700,704	49,455,023	49,918,630	49,333,344	49,794,202
Other Expenses	15,026,177	15,509,289	16,469,767	16,435,656	16,229,814	16,221,814
Equipment	742,509	520,840	768,200	802,000	520,840	520,840
Other Current Expenses						
Real Time Online Registration System	27,108	0	0	0	0	0
Commercial Vehicle Information Systems and Networks Project	165,470	208,666	212,109	214,676	212,109	214,676
Nonfunctional - Change to Accruals	328,950	357,797	0	0	0	0
Agency Total - Special Transportation Fund	57,456,374	63,297,296	66,905,099	67,370,962	66,296,107	66,751,532
Total - Appropriated Funds	57,859,123	63,784,582	66,905,099	67,370,962	66,296,107	66,751,532
Additional Funds Available						
Carry Forward Transportation Fund	0	0	0	0	11,587,987	0
Transportatn Gr & Restrct Acct	0	34,475	0	0	0	0
Capital Improvements & Other	0	838,694	0	0	0	0
Capital Improvements & Other	0	3,000,000	0	0	0	0
Capital Improvements & Other	0	79,815	0	0	0	0
Emissions Enterprise Fund-EEF	4,977,287	7,299,788	7,655,708	7,717,632	7,655,708	7,717,632
Federal Funds	3,194,527	4,101,379	1,815,932	1,815,932	1,815,932	1,815,932
Private Contributions & Other Restricted	33,920	918,016	932,492	941,823	932,492	941,823
Agency Grand Total	66,064,857	80,056,749	77,309,231	77,846,349	88,288,226	77,226,919

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding for the Centralized Cashiering Unit

Personal Services	0	0	0	0	(3)	(117,639)	(3)	(119,646)
Other Expenses	0	0	0	0	0	(37,952)	0	(2,297)
Total - Special Transportation Fund	0	0	0	0	(3)	(155,591)	(3)	(121,943)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

CGS Sec 4-32, requires the Department of Motor Vehicles (DMV) to deposit all revenue from all sources within 24 hours. Currently, the agency has a waiver for this requirement because the existing cashiering system does not allow for a central intake process set up. The new Central Cashiering Center Unit would receive, process, and deposit all incoming miscellaneous checks and revenues coming into the agency. All funds would be deposited by the next day in accordance with legislation. The central cashiering program is part of DMV's modernization information technology upgrade that is anticipated to be completed by 2016.

Governor

Provide total funding of \$155,591 in FY 16 and \$121,943 in FY 17 for three new positions and other expenses costs for the establishment of the Central Cashiering Center Unit in Wethersfield.

Legislative

Do not provide funding and positions for the establishment of a Central Cashiering Center Unit in Wethersfield and shift existing personnel for this purpose.

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	11,340	0	12,969	0	0	0	0
Total - General Fund	0	11,340	0	12,969	0	0	0	0
Personal Services	0	2,376,958	0	2,836,187	0	0	0	0
Total - Special Transportation Fund	0	2,376,958	0	2,836,187	0	0	0	0

Governor

Increase funding by \$11,340 in the General Fund and \$2,376,958 in the Special Transportation Fund(STF) in FY 16 and \$12,969 in the General Fund and \$2,836,187 in the STF in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	5,620	0	12,787	0	0	0	0
Total - General Fund	0	5,620	0	12,787	0	0	0	0
Other Expenses	0	364,955	0	821,027	0	0	0	0
Commercial Vehicle Information Systems and Networks Project	0	3,443	0	6,010	0	0	0	0
Total - Special Transportation Fund	0	368,398	0	827,037	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in various accounts by \$5,620 in the General Fund and \$368,398 in Special Transportation Fund (STF) FY 16 and an additional \$7,167 in the General Fund and \$458,639 in the STF in FY 17 (for a cumulative total of \$12,787 in the General Fund and \$827,037 in the STF in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Annualize the Identification Card Contract

Other Expenses	0	532,500	0	532,500	0	0	0	0
Total - Special Transportation Fund	0	532,500	0	532,500	0	0	0	0

Background

The Department of Motor Vehicles processes an estimated 710,000 license cards annually for the licensing and non-driver ID program. The license card fee is currently \$2.50 per card and is estimated to increase to \$4.00 per card on January 1, 2015.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$532,500 in FY 16 and FY 17 for the increased cost of producing licenses cards. An estimated 710,000 license cards are processed annually for the licensing and non-driver ID program.

Legislative

Same as Governor

Adjust Funding for The Real ID Act Requirements

Other Expenses	0	0	0	0	0	(153,861)	0	(155,405)
Total - Special Transportation Fund	0	0	0	0	0	(153,861)	0	(155,405)

Background

The REAL ID Act is a coordinated effort by the states and the Federal Government to improve the reliability and accuracy of state-issued identification documents. The REAL ID Act implements a 9/11 Commission recommendation urging the federal government to "set standards for the issuance of sources of identification, such as drivers licenses." The act requires states to have a central issuance of ID materials which will require the Department of Motor Vehicles to compile and mail out all documents from a central location. As of a U.S. Department of Homeland Security final rule issued on December 29, 2014, the final date after which federal agencies may not accept non-compliant credentials as identification for official purposes was extended to October 1, 2020.

Governor

Provide funding of \$153,861 in FY 16 and \$155,405 in FY 17 to reflect The Department of Motor Vehicles contracting with the Department of Administrative Services (DAS) to perform tasks needed to upgrade to the REAL ID Act standards.

Legislative

Do not provide funding for contracts to DAS to provide upgrades related to meeting the Real ID standards.

Adjust Funding for Equipment

Equipment	0	0	0	0	0	(247,360)	0	(281,160)
Total - Special Transportation Fund	0	0	0	0	0	(247,360)	0	(281,160)

Governor

Provide funding of \$247,360 in FY 16 and \$281,160 in FY 17 for upgrades to the computer system, installation of cameras and other security equipment to comply with the REAL ID Act and implementation of other equipment.

Legislative

Do not provide funding for software and security upgrades related to meeting the Real ID standards.

Adjust Funding to Reclassify Positions

Personal Services	0	0	0	0	0	(4,040)	0	(4,782)
Total - General Fund	0	0	0	0	0	(4,040)	0	(4,782)

Governor

Provide funding of \$4,040 in FY 16 and \$4,782 in FY 17 to upgrade two Motor Vehicle Examiners to Motor Vehicle Examiner Specialists.

Legislative

Do not fund reclassification of positions.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Transfer Boating Fund Expenditures to Transportation Fund

Personal Services	(4)	(255,682)	(4)	(257,311)	0	4,040	0	4,782
Other Expenses	0	(242,365)	0	(242,365)	0	0	0	0
Nonfunctional - Change to Accruals	0	(579)	0	(579)	0	0	0	0
Total - General Fund	(4)	(498,626)	(4)	(500,255)	0	4,040	0	4,782
Personal Services	4	255,682	4	257,311	0	(4,040)	0	(4,782)
Other Expenses	0	188,025	0	180,025	0	(48,140)	0	(56,140)
Total - Special Transportation Fund	4	443,707	4	437,336	0	(52,180)	0	(60,922)

Background

The Department of Motor Vehicles currently administers the Boating Fund, which consists of registration and renewal of vessels. The Boating Fund consists of new and renewal vessel registrations that are deposited into the General Fund. In FY 14, there were 95,096 new and renewal vessel registrations which generated \$4.8 million in revenue.

Governor

Transfer the Boating Fund operational expenses of \$495,887 in FY 16 and \$498,258 in FY 17 and 4 positions from the General Fund to the Special Transportation Fund.

Legislative

Transfer the Boating Fund operational expenses of \$443,707 in FY 16 and \$437,336 in FY 17 and 4 positions from the General Fund to the Special Transportation Fund.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(357,797)	0	(357,797)	0	0	0	0
Total - Special Transportation Fund	0	(357,797)	0	(357,797)	0	0	0	0

Governor

Reduce funding by \$357,797 in FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(5,620)	0	(12,787)	0	0	0	0
Total - General Fund	0	(5,620)	0	(12,787)	0	0	0	0
Other Expenses	0	(364,955)	0	(821,027)	0	0	0	0
Total - Special Transportation Fund	0	(364,955)	0	(821,027)	0	0	0	0

Governor

Reduce funding in various accounts by \$5,620 in the General Fund and \$364,955 in Special Transportation Fund (STF) FY 16 and \$12,787 in the General Fund and \$821,027 in the STF in FY 17.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward

Carry Forward for Real Time Registration

Real Time Online Registration System	0	9,963,146	0	0	0	9,963,146	0	0
Total - Carry Forward Transportation Fund	0	9,963,146	0	0	0	9,963,146	0	0

Background

The Department of Motor Vehicles (DMV) is currently implementing the Integrated Transaction Processing System (ITPS) which will integrate more than 40 stand-alone systems as real time online registration systems for vehicle registration, the cashiering system, and the driver license system.

Legislative

Pursuant to Section 30(a)(b)(c) of PA 15-244, funding of \$9,963,146 is carried forward from FY 15 into FY 16 in the Real Time Registration account for use of upgrading DMV's registration and driver license data processing system.

Carry Forward for Commercial Vehicle Registration

Commercial Vehicle Information Systems and Networks Project	0	409,075	0	0	0	409,075	0	0
Total - Carry Forward Transportation Fund	0	409,075	0	0	0	409,075	0	0

Background

The Commercial Vehicle Information System and Network Project (CVISN) is part of a national Intelligence Transportation System/Commercial Vehicle Operations effort to link commercial motor carriers, state regulatory agencies (DMV and the Department of Transportation) and roadside safety screening and inspection stations. The CVISN network allows commercial motor carriers to electronically apply for, pay and receive registration, fuel tax and oversize/overweight permits and other credentials.

Legislative

Pursuant to Section 29 of PA 15-244, funding of \$409,074 is carried forward from FY 15 into FY 16 for the Commercial Vehicle Information System and Network Project. This project is ongoing and the last phase is expected to be completed in FY 16.

Carry Forward Funding for Personal Services

Personal Services	0	1,215,766	0	0	0	1,215,766	0	0
Total - Carry Forward Transportation Fund	0	1,215,766	0	0	0	1,215,766	0	0

Legislative

Pursuant to CGS 4-89(c) funding of \$1,215,766 is carried forward from FY 15 into FY 16 for overtime cost needed in preparation for the CIVLS (modernization project).

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4	487,286	4	487,286	0	0	0	0
Current Services	0	16,960	0	25,756	0	(4,040)	0	(4,782)
Policy Revisions	(4)	(504,246)	(4)	(513,042)	0	4,040	0	4,782
Total Recommended - GF	0	0	0	0	0	0	0	0
Governor Estimated - TF	599	63,297,296	599	63,297,296	0	0	0	0
Current Services	0	3,277,856	0	4,195,724	(3)	(556,812)	(3)	(558,508)
Policy Revisions	4	(279,045)	4	(741,488)	0	(52,180)	0	(60,922)
Total Recommended - TF	603	66,296,107	603	66,751,532	(3)	(608,992)	(3)	(619,430)

Military Department

MIL36000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	42	42	42	42	42	42

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,656,356	3,109,767	3,146,928	3,179,977	3,146,928	3,179,977
Other Expenses	2,629,373	2,908,658	2,731,768	2,740,358	2,595,180	2,603,340
Equipment	0	1	0	0	0	0
Other Current Expenses						
Honor Guards	464,550	469,533	0	0	350,000	350,000
Veteran's Service Bonuses	154,900	72,000	72,000	50,000	72,000	50,000
Nonfunctional - Change to Accruals	32,838	19,068	0	0	0	0
Agency Total - General Fund	5,938,017	6,579,027	5,950,696	5,970,335	6,164,108	6,183,317
Additional Funds Available						
Carry Forward Funding	0	0	0	0	45,500	0
Federal Funds	18,042,970	17,858,382	19,550,919	19,829,332	19,550,919	19,829,332
Private Contributions & Other Restricted	9,668	955,145	997,043	1,047,043	997,043	1,047,043
Agency Grand Total	23,990,655	25,392,554	26,498,658	26,846,710	26,757,570	27,059,692

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	160,444	0	193,493	0	0	0	0
Total - General Fund	0	160,444	0	193,493	0	0	0	0

Governor

Provide funding of \$160,444 in FY 16 and \$193,493 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	68,744	0	154,224	0	0	0	0
Total - General Fund	0	68,744	0	154,224	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$68,744 in FY 16 and an additional \$85,480 in FY 17 (for a cumulative total of \$154,224 in the second year) to reflect inflationary increases.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Policy Revisions**Eliminate Inflationary Increases**

Other Expenses	0	(68,744)	0	(154,224)	0	0	0	0
Total - General Fund	0	(68,744)	0	(154,224)	0	0	0	0

Governor

Reduce the Other Expenses account by \$68,744 in FY 16 and \$154,224 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Eliminate Funding for the Horse Guard

Personal Services	0	(23,283)	0	(23,283)	0	0	0	0
Other Expenses	0	(70,000)	0	(70,000)	0	0	0	0
Total - General Fund	0	(93,283)	0	(93,283)	0	0	0	0

Background

The Governor's Horse Guard consists of two units, 1st Horse Guard Unit in Avon and 2nd Horse Guard Unit in Newtown.

Governor

Reduce funding by \$93,283 to reflect the elimination of state funding for the Governor's Horse Guard.

Legislative

Eliminate the General Fund appropriation for the Governor's Horse Guards. Section 218 of PA 15-244, the FY 16 and FY 17 budget, transfers \$90,000 from the Community Investment Act to the Military Department for the Governors Horse Guards in both FY 16 and FY 17.

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Adjust Funding for the Honor Guard

Honor Guards	0	(119,533)	0	(119,533)	0	350,000	0	350,000
Total - General Fund	0	(119,533)	0	(119,533)	0	350,000	0	350,000

Background

CGS 27-76, requires the Military Department, under the authority of the Adjutant General, to provide an Honor Guard detail for any deceased person who has served in any of the armed forces of the United States during time of war and to pay each member of the

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Honor Guard \$50 per day. Title 10, Section 1491 of the Federal statute, requires the Federal government to supply three members as honor guard detail which includes a stipend to each individual.

Governor

Reduce funding by \$469,533 to reflect the elimination of state funding for the Honor Guard in order to achieve savings.

Legislative

Reduce funding by \$119,533 in both FY 16 and FY 17 for the Honor Guard to achieve savings.

Reduce Funding for Veterans' Service Bonuses

Veteran's Service Bonuses	0	0	0	(22,000)	0	0	0	0
Total - General Fund	0	0	0	(22,000)	0	0	0	0

Background

C.G.S. 27-61a provides for a wartime service bonus, given to current and former members of the Connecticut National Guard for serving on active duty on or after September 11, 2001.

Governor

Reduce funding by \$22,000 in FY 17 to reflect the decrease in the number of eligible soldiers.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(19,068)	0	(19,068)	0	0	0	0
Total - General Fund	0	(19,068)	0	(19,068)	0	0	0	0

Governor

Reduce funding by \$19,068 in FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(100,000)	0	(100,000)	0	0	0	0
Total - General Fund	0	(100,000)	0	(100,000)	0	0	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$100,000 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Adjust Funding to Reflect Expenditure Trends

Other Expenses	0	(136,588)	0	(137,018)	0	(136,588)	0	(137,018)
Total - General Fund	0	(136,588)	0	(137,018)	0	(136,588)	0	(137,018)

Legislative

Reduce the Other Expenses account by \$136,588 in FY 16 and \$137,018 in FY 17 to reflect expenditure trends.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for Maintenance and Repair Supplies

Other Expenses	0	(106,890)	0	(98,300)	0	0	0	0
Total - General Fund	0	(106,890)	0	(98,300)	0	0	0	0

Governor

Reduce funding by \$106,890 in FY 16 and \$98,300 in FY 17 to reflect savings from postponing facility repairs that are not immediate critical or are direct safety issues.

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for Honor Guard

Honor Guards	0	45,500	0	0	0	45,500	0	0
Total - Carry Forward Funding	0	45,500	0	0	0	45,500	0	0

Legislative

Section 509 of PA 15-5 JSS, a budget implementer, carries forward funding of \$45,500 in the Veterans Services Bonus account and transfers this amount to the Honor Guard account for increased funding for veteran funerals.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	42	6,579,027	42	6,579,027	0	0	0	0
Current Services	0	229,188	0	347,717	0	0	0	0
Policy Revisions	0	(644,107)	0	(743,427)	0	213,412	0	212,982
Total Recommended - GF	42	6,164,108	42	6,183,317	0	213,412	0	212,982

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$18,042, a Statewide Hiring Reduction of \$48,361, a General Employee Lapse of \$14,002, and Overtime Savings of \$4,430. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$38,927. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	3,146,928	(76,016)	3,070,912	2.42%
Other Expenses	2,595,180	(46,698)	2,548,482	1.80%
Honor Guards	350,000	(1,048)	348,952	0.30%

Department of Banking

DOB37000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - BF	116	116	116	116	120	120

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	9,742,143	10,368,971	10,828,191	10,891,111	10,828,191	10,891,111
Other Expenses	1,322,051	1,461,490	1,611,490	1,461,490	1,611,490	1,461,490
Equipment	67,631	37,200	35,000	35,000	35,000	35,000
Other Current Expenses						
Fringe Benefits	7,547,386	8,502,556	8,554,271	8,603,978	8,554,271	8,603,978
Indirect Overhead	120,739	129,307	167,151	167,151	167,151	167,151
Nonfunctional - Change to Accruals	113,530	145,840	0	0	0	0
Agency Total - Banking Fund	18,913,479	20,645,364	21,196,103	21,158,730	21,196,103	21,158,730
Additional Funds Available						
Carry Forward Banking Fund	0	0	0	0	424,175	420,920
Private Contributions & Other Restricted	302,767	81,342	16,342	16,342	16,342	16,342
Agency Grand Total	19,216,246	20,726,706	21,212,445	21,175,072	21,636,620	21,595,992

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	459,220	0	522,140	0	0	0	0
Total - Banking Fund	0	459,220	0	522,140	0	0	0	0

Governor

Provide funding of \$459,220 in FY 16 and \$522,140 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	34,659	0	77,460	0	0	0	0
Total - Banking Fund	0	34,659	0	77,460	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$34,659 in FY 16 and an additional \$77,460 in FY 17 (for a cumulative total of \$112,119 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Consulting Services

Other Expenses	0	150,000	0	0	0	0	0	0
Total - Banking Fund	0	150,000	0	0	0	0	0	0

Governor

Provide funding of \$150,000 in FY 16 for consulting services to enhance the agency's information system.

Legislative

Same as Governor

Reduce Funding for Replacement Equipment

Equipment	0	(2,200)	0	(2,200)	0	0	0	0
Total - Banking Fund	0	(2,200)	0	(2,200)	0	0	0	0

Governor

Reduce funding by \$2,200 in both FY 16 and FY 17 for replacement equipment to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	51,715	0	101,422	0	0	0	0
Indirect Overhead	0	37,844	0	37,844	0	0	0	0
Total - Banking Fund	0	89,559	0	139,266	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$89,559 in FY 16 and \$139,266 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions**Consolidate Funding for GAAP**

Nonfunctional - Change to Accruals	0	(145,840)	0	(145,840)	0	0	0	0
Total - Banking Fund	0	(145,840)	0	(145,840)	0	0	0	0

Governor

Reduce funding by \$145,840 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(34,659)	0	(77,460)	0	0	0	0
Total - Banking Fund	0	(34,659)	0	(77,460)	0	0	0	0

Governor

Reduce Other Expenses by \$34,659 in FY 16 and \$77,460 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Increase Authorized Position Count

Personal Services	4	0	4	0	4	0	4	0
Total - Banking Fund	4	0	4	0	4	0	4	0

Legislative

The agency's authorized position count is increased to reflect four additional positions (three entry level financial examiner positions and one paralegal position) in the financial institutions and consumer credit divisions. Funding for the positions is provided through carry forward funding described below.

Carry Forward

Carry Forward Funding for New Positions

Personal Services	0	221,102	0	232,157	0	221,102	0	232,157
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
Equipment	0	10,800	0	0	0	10,800	0	0
Fringe Benefits	0	170,248	0	178,763	0	170,248	0	178,763
Total - Carry Forward Banking Fund	0	412,150	0	420,920	0	412,150	0	420,920

Legislative

Section 42 of PA 15-244, the FY 16 and FY 17 budget, carries forward \$412,150 in FY 16 and \$420,920 in FY 17 into various accounts to support the hiring of four additional positions in the financial institutions and consumer credit divisions.

Carry Forward Funding to Complete Server Conversion

Equipment	0	12,025	0	0	0	12,025	0	0
Total - Carry Forward Banking Fund	0	12,025	0	0	0	12,025	0	0

Background

Section 4-89(c) CGS allows for the unexpended balances of special appropriations for special programs whose work has not been completed to be continued during the following fiscal year as order by the Secretary of the Office of Policy and Management.

Legislative

Pursuant to CGS 4-89(c), the unexpended balance of \$12,025 in the Equipment account is carried forward from FY 15 to FY 16 to pay for server conversion that was not completed by the end of the fiscal year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - BF	116	20,645,364	116	20,645,364	0	0	0	0
Current Services	0	731,238	0	736,666	0	0	0	0
Policy Revisions	4	(180,499)	4	(223,300)	4	0	4	0
Total Recommended - BF	120	21,196,103	120	21,158,730	4	0	4	0

Other Significant Legislation

PA 15 - 162, An Act Concerning A Student Loan Bill of Rights

The bill requires the banking commissioner, within available appropriations, to create a new position in the Banking Department, a student loan borrower ombudsman, to provide timely assistance to “student loan borrowers” (borrowers). It establishes the ombudsman's duties and requires him or her, in consultation with the commissioner to implement and maintain a prescribed student loan borrower education course (within available appropriations). The bill establishes licensure requirements and standards of conduct for student loan servicers and specifies the scope of services subject to licensure.

It also establishes a separate non-lapsing account, within the Banking Fund, called the student loan ombudsman account to be funded by student loan servicers' licensing and investigation fees and any other money required by law. The bill authorizes the commissioner to use the money in the account to implement the ombudsman position and the education course.

An Ombudsman position and fringe benefits result in an estimated cost of \$166,466 in FY 16 and \$198,957 in FY 17. The student loan education course required in FY 17 is anticipated to cost \$25,000 based on previous Department of Education curriculum development and printing costs. While the number of student loan servicers subject to licensure is not known, it is expected to range from 20 to 50 student loan servicers. Revenue from student loan servicers in the student loan ombudsman account is estimated to range from \$36,000 to \$90,000 from biennial licensure (\$1,000) and initial investigation (\$800) fees in FY 17. It is anticipated that the department's additional licensure and enforcement responsibilities will not result in a fiscal impact to the agency.

Insurance Department DOI37500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - IF	159	159	159	159	159	159

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	12,980,258	14,362,168	15,037,381	15,145,396	15,037,381	15,145,396
Other Expenses	2,043,245	2,052,428	2,052,428	2,052,428	1,949,807	1,949,807
Equipment	119,246	52,600	95,000	92,500	95,000	92,500
Other Current Expenses						
Fringe Benefits	10,198,866	11,633,356	11,729,157	11,813,409	11,729,157	11,813,409
Indirect Overhead	602,646	237,762	248,930	248,930	248,930	248,930
Nonfunctional - Change to Accruals	123,710	220,252	0	0	0	0
Agency Total - Insurance Fund	26,067,970	28,558,566	29,162,896	29,352,663	29,060,275	29,250,042
Additional Funds Available						
Private Contributions & Other Restricted	223,493	232,500	240,000	247,500	240,000	247,500
Agency Grand Total	26,291,463	28,791,066	29,402,896	29,600,163	29,300,275	29,497,542

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	675,213	0	783,228	0	0	0	0
Total - Insurance Fund	0	675,213	0	783,228	0	0	0	0

Governor

Provide funding of \$675,213 in FY 16 and \$783,228 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	47,418	0	107,732	0	0	0	0
Total - Insurance Fund	0	47,418	0	107,732	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$47,418 in FY 16 and an additional \$60,314 in FY 17 (for a cumulative total of \$107,732 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Replacement Equipment

Equipment	0	42,400	0	39,900	0	0	0	0
Total - Insurance Fund	0	42,400	0	39,900	0	0	0	0

Governor

Provide \$42,400 in FY 16 and \$39,900 in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	95,801	0	180,053	0	0	0	0
Indirect Overhead	0	11,168	0	11,168	0	0	0	0
Total - Insurance Fund	0	106,969	0	191,221	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$106,969 in FY 16 and \$191,221 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions**Eliminate Inflationary Increases**

Other Expenses	0	(47,418)	0	(107,732)	0	0	0	0
Total - Insurance Fund	0	(47,418)	0	(107,732)	0	0	0	0

Governor

Reduce Other Expenses by \$47,418 in FY 16 and \$107,732 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(220,252)	0	(220,252)	0	0	0	0
Total - Insurance Fund	0	(220,252)	0	(220,252)	0	0	0	0

Governor

Reduce funding by \$220,252 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Other Expenses by 5%

Other Expenses	0	(102,621)	0	(102,621)	0	(102,621)	0	(102,621)
Total - Insurance Fund	0	(102,621)	0	(102,621)	0	(102,621)	0	(102,621)

Legislative

Reduce Other Expenses by \$102,621 in FY 16 and FY 17 to reflect a 5% reduction.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - IF	159	28,558,566	159	28,558,566	0	0	0	0
Current Services	0	872,000	0	1,122,081	0	0	0	0
Policy Revisions	0	(370,291)	0	(430,605)	0	(102,621)	0	(102,621)
Total Recommended - IF	159	29,060,275	159	29,250,042	0	(102,621)	0	(102,621)

Office of Consumer Counsel

DCC38100

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - PF	13	14	14	14	15	15

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,062,308	1,353,521	1,422,103	1,433,306	1,497,103	1,508,306
Other Expenses	357,750	282,907	282,907	282,907	552,907	452,907
Equipment	0	2,200	12,200	2,200	12,200	2,200
Other Current Expenses						
Fringe Benefits	836,140	1,162,909	1,208,788	1,218,310	1,271,038	1,280,560
Indirect Overhead	69,625	100	97,613	97,613	97,613	97,613
Nonfunctional - Change to Accruals	16,621	32,468	0	0	0	0
Agency Total - Consumer Counsel and Public Utility Control Fund	2,342,443	2,834,105	3,023,611	3,034,336	3,430,861	3,341,586

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	68,582	0	79,785	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	68,582	0	79,785	0	0	0	0

Governor

Provide funding of \$68,582 in FY 16 and \$79,785 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	6,528	0	14,863	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	6,528	0	14,863	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the other expenses account by \$6,528 in FY 16 and an additional \$8,335 in FY 17 (for a cumulative total of \$14,863 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Replacement Equipment

Equipment	0	10,000	0	0	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	10,000	0	0	0	0	0	0

Governor

Provide \$10,000 in FY 16 for replacement equipment in this agency.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	45,879	0	55,401	0	0	0	0
Indirect Overhead	0	97,513	0	97,513	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	143,392	0	152,914	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$143,392 in FY 16 and \$152,914 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions

Eliminate Inflationary Increases

Other Expenses	0	(6,528)	0	(14,863)	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	(6,528)	0	(14,863)	0	0	0	0

Governor

Reduce the Other Expenses account by \$6,528 in FY 16 and \$14,863 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(32,468)	0	(32,468)	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	(32,468)	0	(32,468)	0	0	0	0

Governor

Reduce funding by \$32,468 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Establish the Office of State Broadband

Personal Services	1	75,000	1	75,000	1	75,000	1	75,000
Other Expenses	0	270,000	0	170,000	0	270,000	0	170,000
Fringe Benefits	0	62,250	0	62,250	0	62,250	0	62,250
Total - Consumer Counsel and Public Utility Control Fund	1	407,250	1	307,250	1	407,250	1	307,250

Background

The Office of State Broadband is required to facilitate the availability of broadband access and the adoption of ultra-high-speed gigabit capable broadband networks.

Legislative

Provide funding of \$407,250 in FY 16 and \$307,250 in FY 17 to establish the Office of State Broadband. Of this total:

1. \$75,000 in FY 16 and FY 17 is for one Broadband Policy Coordinator,
2. \$62,250 in FY 16 and FY 17 is for the new employee's fringe benefits, and
3. \$270,000 in FY 16 and \$170,000 in FY 17 is for Other Expenses.

In FY 16, \$250,000 in Other Expenses is for consultant services and \$20,000 is for office supplies. In FY 17, \$150,000 in Other Expenses is for consultant services and \$20,000 is for office supplies.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - PF	14	2,834,105	14	2,834,105	0	0	0	0
Current Services	0	228,502	0	247,562	0	0	0	0
Policy Revisions	1	368,254	1	259,919	1	407,250	1	307,250
Total Recommended - PF	15	3,430,861	15	3,341,586	1	407,250	1	307,250

Office of the Healthcare Advocate

MCO39400

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - IF	29	29	28	28	29	29

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,199,473	2,100,827	2,428,478	2,488,457	2,500,809	2,565,193
Other Expenses	772,359	2,701,267	2,691,267	2,691,267	2,700,767	2,700,767
Equipment	41,983	15,000	15,000	15,000	15,000	15,000
Other Current Expenses						
Fringe Benefits	906,702	1,719,069	2,259,927	2,256,227	2,317,643	2,317,458
Indirect Overhead	26,056	142,055	142,055	142,055	142,055	142,055
Nonfunctional - Change to Accruals	21,849	193,883	0	0	0	0
Agency Total - Insurance Fund	2,968,422	6,872,101	7,536,727	7,593,006	7,676,274	7,740,473

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	201,839	0	266,455	0	0	0	0
Total - Insurance Fund	0	201,839	0	266,455	0	0	0	0

Governor

Provide funding of \$201,839 in FY 16 and \$266,455 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Annualize New SIM Positions

Personal Services	0	201,950	0	201,950	0	0	0	0
Total - Insurance Fund	0	201,950	0	201,950	0	0	0	0

Background

The State Innovation Model (SIM) was initiated by the federal Affordable Care Act, through the federal Center for Medicare and Medicaid Innovation (CMMI). The SIM's purpose is to align all healthcare payers in the state (e.g. Medicaid, the state employee and retiree health plan, Medicare, commercial and self-funded plans) around a value-based payment methodology which focuses reimbursement on quality metrics, as opposed to simply volume and cost reduction measures. The FY 15 budget included nine new positions to implement SIM.

Governor

Provide funding of \$201,950 in FY 16 and FY 17 to annualize the new SIM positions.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	60,122	0	136,745	0	0	0	0
Total - Insurance Fund	0	60,122	0	136,745	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$60,122 in FY 16 and an additional \$76,623 in FY 17 (for a cumulative total of \$136,745 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	601,612	0	601,612	0	0	0	0
Total - Insurance Fund	0	601,612	0	601,612	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$601,612 in FY 16 and FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions

Reduce Funding for the Health Equity Commission

Personal Services	0	(3,807)	0	(4,039)	1	72,331	1	76,736
Other Expenses	0	(500)	0	(500)	0	9,500	0	9,500
Fringe Benefits	0	(3,038)	0	(3,223)	0	57,716	0	61,231
Total - Insurance Fund	0	(7,345)	0	(7,762)	1	139,547	1	147,467

Background

The Connecticut Commission on Health Equity was established to eliminate disparities in health status based on race, ethnicity, gender and linguistic ability, thereby improving the quality of health for all of the state's residents.

Governor

Eliminate one position and funding \$146,892 in FY 16 and \$155,229 in FY 17 for the Health Equity Commission.

Legislative

Reduce funding by \$7,345 in FY 16 and \$7,762 in FY 17 to reflect a 5% reduction.

Eliminate Inflationary Increases

Other Expenses	0	(60,122)	0	(136,745)	0	0	0	0
Total - Insurance Fund	0	(60,122)	0	(136,745)	0	0	0	0

Governor

Reduce Other Expenses by \$60,122 in FY 16 and \$136,745 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(193,883)	0	(193,883)	0	0	0	0
Total - Insurance Fund	0	(193,883)	0	(193,883)	0	0	0	0

Governor

Reduce funding by \$193,883 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - IF	29	6,872,101	29	6,872,101	0	0	0	0
Current Services	0	1,065,523	0	1,206,762	0	0	0	0
Policy Revisions	0	(261,350)	0	(338,390)	1	139,547	1	147,467
Total Recommended - IF	29	7,676,274	29	7,740,473	1	139,547	1	147,467

Department of Consumer Protection

DCP39500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	235	235	241	241	239	239

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	13,269,100	15,358,891	16,233,765	16,368,008	15,935,765	16,070,008
Other Expenses	1,025,025	1,216,115	1,361,444	1,464,066	1,346,243	1,464,066
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	118,438	87,970	0	0	0	0
Agency Total - General Fund	14,412,563	16,662,977	17,595,209	17,832,074	17,282,008	17,534,074
Additional Funds Available						
Federal Funds	34,249	46,368	0	0	0	0
Private Contributions & Other Restricted	6,061,197	6,399,233	1,171,412	1,205,100	1,171,412	1,205,100
Agency Grand Total	20,508,009	23,108,578	18,766,621	19,037,174	18,453,420	18,739,174

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	744,607	0	859,072	0	0	0	0
Total - General Fund	0	744,607	0	859,072	0	0	0	0

Governor

Provide funding of \$744,607 in FY 16 and \$859,072 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	30,535	0	64,440	0	0	0	0
Total - General Fund	0	30,535	0	64,440	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$30,535 in FY 16 and an additional \$33,905 in FY 17 (for a cumulative total of \$64,440 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Provide Funding for the Substance Abuse Initiative

Personal Services	1	47,511	1	47,511	0	0	0	0
Other Expenses	0	176,134	0	278,756	0	0	0	0
Total - General Fund	1	223,645	1	326,267	0	0	0	0

Background

The Governor's Substance Abuse and Opioid Overdose Prevention proposal would require all pharmacies in Connecticut to report the dispensing of prescriptions for all controlled substances immediately - rather than weekly - through the state's prescription monitoring program, to help ensure that prescribers have accurate, real-time data for treatment decisions.

It also requires any prescriber supplying more than a 72-hour supply of a controlled substance to review the patient's record in the monitoring program, allowing emergency departments and others prescribing very short term prescriptions the expediency they need while working to prevent potential abuse for longer-term prescriptions.

Finally the proposal would make naloxone, a drug that reverses overdoses, more widely available, allowing pharmacists, after being trained and certified through the Department of Consumer Protection, to prescribe it to Connecticut families, first responders, and the treatment community throughout the state.

Governor

Provide funding of \$223,645 in FY 16 and \$326,267 in FY 17 for substance abuse and opioid overdose prevention. The funding provides for one position at \$47,511 in both FY 16 and FY 17. Section 354 of PA 15-5 of the JSS, the budget implementer, implements this change.

Legislative

Same as Governor

Transfer Costs of Regulating Palliative Marijuana

Personal Services	5	395,563	5	415,341	0	0	0	0
Other Expenses	0	30,000	0	30,000	0	0	0	0
Total - General Fund	5	425,563	5	445,341	0	0	0	0

Background

Pursuant to CGS, Chapter 420f, Section 21a-408, patients who are currently receiving medical treatment for a debilitating medical condition set out in the law may qualify for a registration certificate for the palliative marijuana program. To qualify, a patient must also be at least 18 years of age and a Connecticut resident. Each patient may also register one primary caregiver if the need for a caregiver is documented by the patient's physician. Fees for growing, distribution and use of palliative marijuana are deposited into the Palliative Marijuana Administration Account.

Governor

Transfer \$425,563 in FY 16 and \$445,341 in FY 17 and five corresponding positions from the Palliative Marijuana Administration account to the General Fund. Fees associated with palliative marijuana would be deposited in the General Fund rather than Palliative Marijuana Administration account.

Legislative

Same as Governor

Rollout of FY 15 Rescissions

Personal Services	0	(312,807)	0	(312,807)	0	0	0	0
Other Expenses	0	(76,006)	0	(60,805)	0	(15,201)	0	0
Total - General Fund	0	(388,813)	0	(373,612)	0	(15,201)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$373,612 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$373,612 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$15,201 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(30,535)	0	(64,440)	0	0	0	0
Total - General Fund	0	(30,535)	0	(64,440)	0	0	0	0

Governor

Reduce various accounts by \$30,535 in FY 16 and \$64,440 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(87,970)	0	(87,970)	0	0	0	0
Total - General Fund	0	(87,970)	0	(87,970)	0	0	0	0

Governor

Reduce funding by \$87,970 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Vacant Positions

Personal Services	(4)	(400,000)	(4)	(400,000)	(4)	(400,000)	(4)	(400,000)
Total - General Fund	(4)	(400,000)	(4)	(400,000)	(4)	(400,000)	(4)	(400,000)

Legislative

Funding of \$400,000 and an associated four vacant positions are eliminated.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Above Ground Pool Licensing

Personal Services	2	102,000	2	102,000	2	102,000	2	102,000
Total - General Fund	2	102,000	2	102,000	2	102,000	2	102,000

Background

The Department of Consumer Protection (DCP) regulates and provides for the licensing of various occupations.

Legislative

Provide funding of \$102,000 for a DCP Inspector and a DCP Inspection Aide to license and inspect above ground swimming pool installers. It is estimated that 2,500 such pools are installed in the state each year. Section 405 of PA 15-5 of the JSS, the budget implementer, implements this change.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	235	16,662,977	235	16,662,977	0	0	0	0
Current Services	0	775,142	0	923,512	0	0	0	0
Policy Revisions	4	(156,111)	4	(52,415)	(2)	(313,201)	(2)	(298,000)
Total Recommended - GF	239	17,282,008	239	17,534,074	(2)	(313,201)	(2)	(298,000)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$50,896, a Statewide Hiring Reduction of \$244,897, a General Employee Lapse of \$37,585, and Overtime Savings of \$2,310. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$20,193. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	15,935,765	(331,657)	15,604,108	2.08%
Other Expenses	1,346,243	(24,224)	1,322,019	1.80%

Labor Department

DOL40000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	185	191	191	191	191	191
Permanent Full-Time - WF	0	0	2	2	2	2

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	8,383,453	7,632,998	9,434,317	9,515,435	9,434,317	9,515,435
Other Expenses	977,465	952,381	1,132,381	1,132,381	1,268,588	1,128,588
Equipment	0	1	0	0	0	0
Other Current Expenses						
CETC Workforce	759,894	767,367	469,017	470,618	686,938	707,244
Workforce Investment Act	27,260,963	31,284,295	31,284,295	31,284,295	32,104,008	32,104,008
Job Funnels Projects	746,952	853,750	500,000	500,000	224,700	230,510
Connecticut's Youth Employment Program	4,465,001	5,500,000	3,750,000	3,750,000	5,156,250	5,225,000
Jobs First Employment Services	18,302,668	18,581,271	18,040,423	18,043,703	18,036,623	18,039,903
STRIDE	523,498	590,000	560,500	560,500	518,094	532,475
Apprenticeship Program	560,828	565,501	583,896	584,977	583,896	584,977
Spanish-American Merchants Association	570,000	570,000	0	0	500,531	514,425
Connecticut Career Resource Network	143,480	160,054	166,061	166,909	166,061	166,909
21st Century Jobs	419,166	0	0	0	0	0
Incumbent Worker Training	372,201	830,678	403,339	403,339	725,688	725,688
STRIVE	270,000	270,000	0	0	237,094	243,675
Customized Services	0	500,000	0	0	439,062	451,250
Intensive Support Services	300,000	304,000	0	0	0	0
Opportunities for Long Term Unemployed	0	3,600,000	0	0	3,161,250	3,249,000
Veterans' Opportunity Pilot	0	600,000	0	0	526,875	541,500
Second Chance Initiatives	0	0	1,500,000	1,500,000	1,425,000	1,425,000
Cradle To Career	0	0	0	0	200,000	200,000
2Gen - TANF	0	0	0	0	1,500,000	1,500,000
ConnectiCorps	0	0	0	0	100,000	200,000
New Haven Jobs Funnel	0	0	0	0	525,000	540,000
Employment Services	0	0	2,131,250	2,131,250	0	0
Nonfunctional - Change to Accruals	(44,630)	83,809	0	0	0	0
Agency Total - General Fund	64,010,939	73,646,105	69,955,479	70,043,407	77,519,975	77,825,587
Opportunity Industrial Centers	500,000	500,000	500,000	500,000	475,000	475,000
Individual Development Accounts	200,000	200,000	200,000	200,000	190,000	190,000
Customized Services	1,000,000	1,000,000	1,000,000	1,000,000	950,000	950,000
Agency Total - Banking Fund	1,700,000	1,700,000	1,700,000	1,700,000	1,615,000	1,615,000
Occupational Health Clinics	670,189	683,653	686,418	687,148	686,418	687,148
Nonfunctional - Change to Accruals	(380)	310	0	0	0	0
Agency Total - Workers' Compensation Fund	669,809	683,963	686,418	687,148	686,418	687,148
Total - Appropriated Funds	66,380,748	76,030,068	72,341,897	72,430,555	79,821,393	80,127,735

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Additional Funds Available						
Carry Forward Funding	0	0	0	0	12,208,616	0
Employment Security Administration	104,563,973	112,968,969	112,968,969	112,968,969	112,968,969	112,968,969
Employment Security-Special Administration	3,050,000	2,800,000	2,800,000	2,800,000	2,800,000	2,800,000
Ind Dev Account Reserve Fund	790	333,286	333,286	333,286	333,286	333,286
Federal Funds	152,493	393,039	393,039	393,039	393,039	393,039
Private Contributions & Other Restricted	1,986,393	2,648,933	2,648,933	2,648,933	2,648,933	2,648,933
Agency Grand Total	176,134,397	195,174,295	191,486,124	191,574,782	211,174,236	199,271,962

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Reflect Impact of FY 15 WIA Carryforward

Personal Services	0	1,345,600	0	1,345,600	0	0	0	0
Total - General Fund	0	1,345,600	0	1,345,600	0	0	0	0

Background

The FY 15 Revised Budget reduced funding for Personal Services by \$1,345,600 to reflect the transfer of Workforce Investment Act (WIA) carry forward funding. This amount represents the aggregate difference between the total funding the state has appropriated for WIA and the total funding received through the federal WIA grant.

Governor

Provide funding of \$1,345,600 in both FY 16 and FY 17 in lieu of WIA carryforward funding.

Legislative

Same as Governor

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	455,719	0	536,837	0	0	0	0
CETC Workforce	0	13,094	0	14,695	0	0	0	0
Jobs First Employment Services	0	25,352	0	28,632	0	0	0	0
Apprenticeship Program	0	18,395	0	19,476	0	0	0	0
Connecticut Career Resource Network	0	6,007	0	6,855	0	0	0	0
Total - General Fund	0	518,567	0	606,495	0	0	0	0
Occupational Health Clinics	0	2,765	0	3,495	0	0	0	0
Total - Workers' Compensation Fund	0	2,765	0	3,495	0	0	0	0

Governor

Provide funding of \$521,332 in FY 16 and \$609,990 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Position Count for Workers' Compensation Fund

Permanent Full-Time	2	0	2	0	0	0	0	0
Total - Workers' Compensation Fund	2	0	2	0	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Increase the authorized position count by two in both FY 16 and FY 17 to reflect the number of Labor Department employees funded through the Workers' Compensation Fund.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	22,581	0	50,440	0	0	0	0
Total - General Fund	0	22,581	0	50,440	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$22,581 in FY 16 and an additional \$27,859 in FY 17 (for a cumulative total of \$50,440 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Transfer Funding for Wethersfield Facility from DAS

Other Expenses	0	176,207	0	176,207	0	(3,793)	0	(3,793)
Total - General Fund	0	176,207	0	176,207	0	(3,793)	0	(3,793)

Background

In October 2014 the Department of Labor (DOL), the Department of Administrative Services (DAS), and the Office of Policy and Management executed a memorandum of understanding transferring responsibility for property management services of the DOL's Wethersfield facility from DAS to DOL effective 12/1/14.

Governor

Transfer funding of \$180,000 in both FY 16 and FY 17 from DAS to DOL for the property management costs associated with DOL's Wethersfield facility.

Legislative

Transfer funding of \$176,207 in both FY 16 and FY 17 from DAS to DOL for the property management costs associated with DOL's Wethersfield facility.

Reduce Jobs First Employment Services Funding for I-BEST

Jobs First Employment Services	0	(555,000)	0	(555,000)	0	0	0	0
Total - General Fund	0	(555,000)	0	(555,000)	0	0	0	0

Background

The Integrated Basic Education and Skills Training (I-BEST) program is an evidence-based model that provides vocational skills training simultaneous with adult basic education. The FY 14 and FY 15 Budget provided \$555,000 in FY 15 for the administration and evaluation of an I-BEST pilot program within the Jobs First Employment Services account.

Governor

Reduce funding of \$555,000 in both FY 16 and FY 17 in the Jobs First Employment Services account to eliminate funding provided for the administration and evaluation of the I-BEST pilot program in FY 15.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for Incumbent Worker Training

Incumbent Worker Training	0	(24,000)	0	(24,000)	0	0	0	0
Total - General Fund	0	(24,000)	0	(24,000)	0	0	0	0

Background

PA 13-140, "AAC Technical and Other Changes to the Labor Department Statutes," consolidated the 21st Century Jobs and Incumbent Worker Training programs. The FY 15 Revised Budget transferred funding from the 21st Century Jobs account to the Incumbent Worker Training account to enact this change. The FY 15 Revised Budget also increased funding to the Incumbent Worker Training by \$24,000 to reflect the amount of lapse in the 21st Century Jobs account in FY 14.

Governor

Reduce funding for Incumbent Worker Training by \$24,000 in both FY 16 and FY 17 to reflect the elimination of funding provided in the FY 15 Revised Budget related to the transfer of funding from the 21st Century Jobs account to the Incumbent Worker Training account.

Legislative

Same as Governor

Policy Revisions

Provide TANF Funding for Two-Generational Approach Pilot

2Gen - TANF	0	1,500,000	0	1,500,000	0	1,500,000	0	1,500,000
Total - General Fund	0	1,500,000	0	1,500,000	0	1,500,000	0	1,500,000

Background

The two-generational approach to social services focuses on the family by, among other things, linking the employment-related services that adults need with the early-childhood assistance that their children need.

Legislative

Provide funding of \$1.5 million in both FY 16 and FY 17 from the Temporary Assistance to Needy Families (TANF) federal grant for a Two-Generational Approach pilot program to decrease poverty and increase employment within New Haven, Greater Hartford, Norwalk, Meriden, Colchester, and Bridgeport. The program includes a collaboration between an interagency working group and the United Way.

Provide Funding For Second Chance Society Initiatives

Second Chance Initiatives	0	1,425,000	0	1,425,000	0	(75,000)	0	(75,000)
Total - General Fund	0	1,425,000	0	1,425,000	0	(75,000)	0	(75,000)

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$1.5 million in both FY 16 and FY 17 to expand the Integrated Basic Education and Skills (I-BEST) training program in the Hartford region to serve approximately 125 participants. The I-BEST program, which is currently a pilot program coordinated by the regional Workforce Investment Boards, is an evidence-based model that provides vocational skills training simultaneously with adult basic education.

Legislative

Provide funding of \$1,425,000 in both FY 16 and FY 17 to implement the Second Chance Society initiative at 95% of the Governor's recommended level.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Increase WIA to Reflect Anticipated Federal Appropriation

Workforce Investment Act	0	819,713	0	819,713	0	819,713	0	819,713
Total - General Fund	0	819,713	0	819,713	0	819,713	0	819,713

Legislative

Increase funding for the Workforce Investment Act (WIA) by \$819,713 in both FY 16 and FY 17 to reflect an anticipated increase in the federal WIA grant.

Transfer Funding to New Haven Jobs Funnel Account

Job Funnels Projects	0	(525,000)	0	(540,000)	0	(525,000)	0	(540,000)
New Haven Jobs Funnel	0	525,000	0	540,000	0	525,000	0	540,000
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Job Funnels Projects are a collaboration between the Workforce Investment Boards, community-based organizations, labor unions and philanthropy offering the opportunity to place qualified individuals in urban centers into careers in specific industries, such as construction.

Legislative

Transfer funding of \$525,000 in FY 16 and \$540,000 in FY 17 from the Job Funnels Projects account to the New Haven Jobs Funnel account. Funding within the New Haven Jobs Funnel account is to be provided to New Haven Works for any purpose related to connecting New Haven resident job applicants with employers, including for a construction work pre-apprenticeship program.

Provide Funding for Cradle to Career Program

Cradle To Career	0	200,000	0	200,000	0	200,000	0	200,000
Total - General Fund	0	200,000	0	200,000	0	200,000	0	200,000

Legislative

Provide funding of \$200,000 in both FY 16 and FY 17 for the Cradle to Career program in Bridgeport, Norwalk, Stamford, and Waterbury.

Provide Funding for Paid Family Medical Leave Study

Other Expenses	0	140,000	0	0	0	140,000	0	0
Total - General Fund	0	140,000	0	0	0	140,000	0	0

Background

Section 413 of PA 15-5 JSS, a budget implementer, requires the Labor commissioner to contract with a consultant to create an implementation plan for a paid Family and Medical Leave (FML) program by October 1, 2015, including an actuarial analysis and report on the employee contribution level needed to ensure sustainable funding and administration for the program.

Legislative

Provide funding of \$140,000 in FY 16 for consulting costs associated with establishing the procedures necessary to implement a paid FML program pursuant to Section 413 of PA 15-5 JSS, a budget implementer.

Provide Funding for Connecticorps Program

ConnectiCorps	0	100,000	0	200,000	0	100,000	0	200,000
Total - General Fund	0	100,000	0	200,000	0	100,000	0	200,000

Legislative

Provide funding of \$100,000 in FY 16 and \$200,000 in FY 17, which is to be matched by private funds, to establish a Connecticorps program. The program will be administered by the Quinebaug Valley Community College and Three Rivers Community College in collaboration with Serve Here Connecticut.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for Various Programs

CETC Workforce	0	(45,563)	0	(36,450)	0	227,513	0	236,626
Job Funnels Projects	0	(50,691)	0	(40,553)	0	(24,211)	0	(14,073)
Connecticut's Youth Employment Program	0	(343,750)	0	(275,000)	0	1,406,250	0	1,475,000
STRIDE	0	(35,031)	0	(28,025)	0	(35,031)	0	(28,025)
Spanish-American Merchants Association	0	(33,844)	0	(27,075)	0	317,656	0	324,425
Incumbent Worker Training	0	(63,457)	0	(63,457)	0	322,349	0	322,349
STRIVE	0	(16,031)	0	(12,825)	0	150,469	0	153,675
Customized Services	0	(29,688)	0	(23,750)	0	278,645	0	284,583
Intensive Support Services	0	(15,000)	0	(15,000)	0	(3,800)	0	(3,800)
Opportunities for Long Term Unemployed	0	(213,750)	0	(171,000)	0	2,006,250	0	2,049,000
Veterans' Opportunity Pilot	0	(35,625)	0	(28,500)	0	334,375	0	341,500
Total - General Fund	0	(882,430)	0	(721,635)	0	4,980,465	0	5,141,260
Opportunity Industrial Centers	0	(25,000)	0	(25,000)	0	(25,000)	0	(25,000)
Individual Development Accounts	0	(10,000)	0	(10,000)	0	(10,000)	0	(10,000)
Customized Services	0	(50,000)	0	(50,000)	0	(50,000)	0	(50,000)
Total - Banking Fund	0	(85,000)	0	(85,000)	0	(85,000)	0	(85,000)

Background

The Youth Employment Program provides job opportunities and work experiences for economically disadvantaged youth from ages 14-21 whose family income is below 185% of the federal poverty level.

The Veterans' Opportunity Pilot and Opportunities for the Long-Term Unemployed programs were established in the FY 15 Revised budget to assist veterans seeking job opportunities and provide training and subsidized employment for residents who have exhausted their unemployment benefits, respectively.

The Jobs Funnel program works with the Workforce Investment Boards, community-based organizations, and labor unions in urban centers to place qualified individuals into careers in specific industries, such as construction.

The STRIVE program, which is run by community-based organizations in Bridgeport, New Haven, and Hartford, is an intensive job-readiness program that includes training, orientation, case management, workplace preparation, personalized job search assistance, and subsequent support services.

The Intensive Support Services program provides employment services to recipients of Temporary Assistance for Needy Families (TANF) state assistance.

The Spanish American Merchant Association account provides a range of technical assistance, training, and support services to Latino/minority-owned small businesses.

The Customized Services account supports funding for the Mortgage Crisis Job Training program that provides employment assistance and other services to individuals who are experiencing difficulty with their mortgage payments.

The Incumbent Worker Training program provides training for currently employed workers whose employers have determined that the workers require training in order to keep their skills competitive.

The Connecticut Employment and Training Commission (CETC) has oversight responsibility for employment and training efforts provided by the Workforce Investment Boards statewide.

Governor

Reduce funding for various employment-related programs by \$5,862,895 in both FY 16 and FY 17 to achieve savings.

Legislative

Reduce funding for various employment-related programs by \$967,430 in FY 16 and \$806,635 in FY 17 to achieve savings in the General Fund and Banking Fund.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Jobs Programs

Job Funnels Projects	0	0	0	0	0	284,583	0	284,583
Spanish-American Merchants Association	0	0	0	0	0	190,000	0	190,000
STRIVE	0	0	0	0	0	90,000	0	90,000
Customized Services	0	0	0	0	0	166,667	0	166,667
Opportunities for Long Term Unemployed	0	0	0	0	0	1,200,000	0	1,200,000
Veterans' Opportunity Pilot	0	0	0	0	0	200,000	0	200,000
Employment Services	0	0	0	0	0	(2,131,250)	0	(2,131,250)
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Veterans' Opportunity Pilot and Opportunities for the Long-Term Unemployed programs were established in the FY 15 Revised budget to assist veterans seeking job opportunities and provide training and subsidized employment for residents who have exhausted their unemployment benefits, respectively.

The Jobs Funnel program works with the Workforce Investment Boards, community-based organizations, and labor unions in urban centers to place qualified individuals into careers in specific industries, such as construction.

The STRIVE program, which is run by community-based organizations in Bridgeport, New Haven, and Hartford, is an intensive job-readiness program that includes training, orientation, case management, workplace preparation, personalized job search assistance, and subsequent support services.

The Spanish American Merchant Association account provides a range of technical assistance, training, and support services to Latino/minority-owned small businesses.

The Customized Services account supports funding for the Mortgage Crisis Job Training program that provides employment assistance and other services to individuals who are experiencing difficulty with their mortgage payments.

Governor

Transfer total funding of \$2,131,250 in both FY 16 and FY 17 from various accounts to the new Employment Services account to reflect the consolidation of vocational training, job subsidy, and employment-related programs into one account which is administered at the discretion of the DOL commissioner.

Legislative

Maintain funding of \$2,131,250 in both FY 16 and FY 17 for various jobs programs within separate accounts.

Transfer Intensive Support Services Funding to Jobs First

Jobs First Employment Services	0	285,000	0	285,000	0	(3,800)	0	(3,800)
Intensive Support Services	0	(285,000)	0	(285,000)	0	3,800	0	3,800
Total - General Fund	0	0	0	0	0	0	0	0

Background

The FY 14 and FY 15 Budget transferred funding of \$304,000 in each year from the Jobs First Employment Services account to a new Intensive Support Services account.

Governor

Transfer funding of \$288,800 in both FY 16 and FY 17 from the Intensive Support Services account to the Jobs First Employment Services account to reflect the elimination of the Intensive Support Services account.

Legislative

Transfer funding of \$285,000 in both FY 16 and FY 17 from the Intensive Support Services account to the Jobs First Employment Services account to reflect the elimination of the Intensive Support Services account.

Rollout of FY 15 Rescissions and Reduce Various Accounts

CETC Workforce	0	(47,960)	0	(38,368)	0	(9,592)	0	0
Job Funnels Projects	0	(53,359)	0	(42,687)	0	(10,672)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Jobs First Employment Services	0	(300,000)	0	(300,000)	0	0	0	0
STRIDE	0	(36,875)	0	(29,500)	0	(7,375)	0	0
Spanish-American Merchants Association	0	(35,625)	0	(28,500)	0	(7,125)	0	0
Incumbent Worker Training	0	(17,533)	0	(17,533)	0	0	0	0
STRIVE	0	(16,875)	0	(13,500)	0	(3,375)	0	0
Customized Services	0	(31,250)	0	(25,000)	0	(6,250)	0	0
Intensive Support Services	0	(4,000)	0	(4,000)	0	0	0	0

Rollout of FY 15 Rescissions and Reduce Various Accounts (continued)

Opportunities for Long Term Unemployed	0	(225,000)	0	(180,000)	0	(45,000)	0	0
Veterans' Opportunity Pilot	0	(37,500)	0	(30,000)	0	(7,500)	0	0
Total - General Fund	0	(805,977)	0	(709,088)	0	(96,889)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$709,088 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$709,088 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$96,889 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(83,809)	0	(83,809)	0	0	0	0
Total - General Fund	0	(83,809)	0	(83,809)	0	0	0	0
Nonfunctional - Change to Accruals	0	(310)	0	(310)	0	0	0	0
Total - Workers' Compensation Fund	0	(310)	0	(310)	0	0	0	0

Governor

Reduce funding by \$84,119 in both FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(22,581)	0	(50,440)	0	0	0	0
Total - General Fund	0	(22,581)	0	(50,440)	0	0	0	0

Governor

Reduce Other Expenses by \$22,581 in FY 16 and \$50,440 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward Workforce Investment Act Funds

Workforce Investment Act	0	12,208,616	0	0	0	12,208,616	0	0
Total - Carry Forward Funding	0	12,208,616	0	0	0	12,208,616	0	0

Legislative

Pursuant to CGS Sec. 4-89(h) funding of \$12,208,616 is carried forward from FY 15 into FY 16 in the Workforce Investment Act (WIA) account. This amount represents the portion of federal funds provided under the WIA grant that were appropriated but unspent in FY 15; CGS Sec. 4-89(h) specifies that unspent WIA grant funds are automatically carried forward into subsequent fiscal years.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	191	73,646,105	191	73,646,105	0	0	0	0
Current Services	0	1,483,955	0	1,599,742	0	(3,793)	0	(3,793)
Policy Revisions	0	2,389,915	0	2,579,740	0	7,568,289	0	7,785,973
Total Recommended - GF	191	77,519,975	191	77,825,587	0	7,564,496	0	7,782,180
Governor Estimated - BF	0	1,700,000	0	1,700,000	0	0	0	0
Policy Revisions	0	(85,000)	0	(85,000)	0	(85,000)	0	(85,000)
Total Recommended - BF	0	1,615,000	0	1,615,000	0	(85,000)	0	(85,000)
Governor Estimated - WF	0	683,963	0	683,963	0	0	0	0
Current Services	2	2,765	2	3,495	0	0	0	0
Policy Revisions	0	(310)	0	(310)	0	0	0	0
Total Recommended - WF	2	686,418	2	687,148	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$93,517, a Statewide Hiring Reduction of \$144,984, a General Employee Lapse of \$53,067, and Overtime Savings of \$327. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$29,332. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	9,434,317	(220,824)	9,213,493	2.34%
Other Expenses	1,268,588	(22,827)	1,245,761	1.80%
CETC Workforce	686,938	(14,052)	672,886	2.05%
Jobs First Employment Services	18,036,623	(55,800)	17,980,823	0.31%
Apprenticeship Program	583,896	(2,941)	580,955	0.50%
Connecticut Career Resource Network	166,061	(759)	165,302	0.46%
Incumbent Worker Training	725,688	(2,173)	723,515	0.30%
Veterans' Opportunity Pilot	526,875	(1,851)	525,024	0.35%

Commission on Human Rights and Opportunities

HRO41100

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	79	79	79	79	85	85

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	5,227,850	5,894,110	6,218,520	6,284,805	6,664,520	6,721,805
Other Expenses	321,262	299,055	319,255	319,255	369,255	369,255
Equipment	0	1	0	0	0	0
Other Current Expenses						
Martin Luther King, Jr. Commission	2,957	6,318	6,318	6,318	6,318	6,318
Nonfunctional - Change to Accruals	32,061	36,407	0	0	0	0
Agency Total - General Fund	5,584,130	6,235,891	6,544,093	6,610,378	7,040,093	7,097,378
Additional Funds Available						
Federal Funds	141,394	200,784	233,500	233,500	233,500	233,500
Private Contributions & Other Restricted	6,472	2,500	2,500	2,500	2,500	2,500
Agency Grand Total	5,731,997	6,439,175	6,780,093	6,846,378	7,276,093	7,333,378

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	324,410	0	390,695	0	0	0	0
Total - General Fund	0	324,410	0	390,695	0	0	0	0

Governor

Provide funding of \$324,410 in FY 16 and \$390,695 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funds for Court Reporting

Other Expenses	0	25,000	0	25,000	0	0	0	0
Total - General Fund	0	25,000	0	25,000	0	0	0	0

Governor

Provide funding of \$25,000 in both FY 16 and FY 17 in Other Expenses to reflect anticipated expenditure requirements for court reporting and transcription.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	6,956	0	15,728	0	0	0	0
Total - General Fund	0	6,956	0	15,728	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$6,956 in FY 16 and an additional \$8,772 in FY 17 (for a cumulative total of \$15,728 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Eliminate Vacant Positions

Personal Services	(5)	(274,000)	(5)	(283,000)	(5)	(274,000)	(5)	(283,000)
Total - General Fund	(5)	(274,000)	(5)	(283,000)	(5)	(274,000)	(5)	(283,000)

Legislative

Reduce Personal Services funding by \$274,000 in FY 16 and \$283,000 in FY 17 to reflect the elimination of five vacant positions including a Human Rights and Opportunities Representative, Human Rights Attorney 2, Human Rights Referee, Office Assistant, and Secretary 1.

Provide Funding for Set-Aside Program Administration

Personal Services	11	720,000	11	720,000	11	720,000	11	720,000
Other Expenses	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	11	770,000	11	770,000	11	770,000	11	770,000

Background

PA 15-5 JSS, a budget implementer, established contract set-aside requirements for certain municipal and quasi-public agency contracts funded at least partially by the state. CHRO is the administering agency for this program.

Legislative

Provide 11 positions and funding of \$770,000 in both FY 16 and FY 17 for the establishment and administration of the municipal and quasi-public agency contract set-aside program in accordance with PA 15-5 JSS.

Eliminate Inflationary Increases

Other Expenses	0	(6,956)	0	(15,728)	0	0	0	0
Total - General Fund	0	(6,956)	0	(15,728)	0	0	0	0

Governor

Reduce various accounts by \$6,956 in FY 16 and \$15,728 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	(4,800)	0	(4,800)	0	0	0	0
Total - General Fund	0	(4,800)	0	(4,800)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$4,800 in both FY 16 and FY 17 in Other Expenses to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(36,407)	0	(36,407)	0	0	0	0
Total - General Fund	0	(36,407)	0	(36,407)	0	0	0	0

Governor

Reduce funding by \$36,407 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	79	6,235,891	79	6,235,891	0	0	0	0
Current Services	0	356,366	0	431,423	0	0	0	0
Policy Revisions	6	447,836	6	430,064	6	496,000	6	487,000
Total Recommended - GF	85	7,040,093	85	7,097,378	6	496,000	6	487,000

Other Significant Legislation**PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services.**

Sections 58-71 establish a contract set-aside program for certain municipal and quasi-public projects that are partially or wholly funded by the state. The sections require CHRO to administer certain portions of the set-aside program for such affected contracts.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$19,597, a Statewide Hiring Reduction of \$102,419, a General Employee Lapse of \$14,164, and Overtime Savings of \$3,522. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$5,632. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	6,664,520	(139,702)	6,524,818	2.10%
Other Expenses	369,255	(5,538)	363,717	1.50%
Martin Luther King, Jr. Commission	6,318	(94)	6,224	1.49%

Protection and Advocacy for Persons with Disabilities

OPA41200

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	31	31	31	31	31	31

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,176,038	2,262,291	2,339,429	2,354,131	2,339,429	2,354,131
Other Expenses	190,865	200,674	194,654	194,654	194,654	194,654
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	20,840	9,815	0	0	0	0
Agency Total - General Fund	2,387,743	2,472,781	2,534,083	2,548,785	2,534,083	2,548,785
Additional Funds Available						
Federal Funds	1,603,569	1,639,240	1,672,021	1,705,459	1,672,021	1,705,459
Private Contributions & Other Restricted	24,515	46,281	47,206	48,150	47,206	48,150
Agency Grand Total	4,015,827	4,158,302	4,253,310	4,302,394	4,253,310	4,302,394

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	102,138	0	116,840	0	0	0	0
Total - General Fund	0	102,138	0	116,840	0	0	0	0

Governor

Provide funding of \$102,138 in FY 16 and \$116,840 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	4,645	0	10,529	0	0	0	0
Total - General Fund	0	4,645	0	10,529	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$4,645 in FY 16 and an additional \$5,884 in FY 17 (for a cumulative total of \$10,529 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Rollout of FY 15 Rescissions

Personal Services	0	(25,000)	0	(25,000)	0	0	0	0
Other Expenses	0	(6,020)	0	(6,020)	0	0	0	0
Total - General Fund	0	(31,020)	0	(31,020)	0	0	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$31,020 (including \$25,000 in Personal Services and \$6,020 in Other Expenses) in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(4,645)	0	(10,529)	0	0	0	0
Total - General Fund	0	(4,645)	0	(10,529)	0	0	0	0

Governor

Reduce Other Expenses by \$4,645 in FY 16 and \$10,529 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(9,815)	0	(9,815)	0	0	0	0
Total - General Fund	0	(9,815)	0	(9,815)	0	0	0	0

Governor

Reduce funding by \$9,815 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	31	2,472,781	31	2,472,781	0	0	0	0
Current Services	0	106,783	0	127,369	0	0	0	0
Policy Revisions	0	(45,481)	0	(51,365)	0	0	0	0
Total Recommended - GF	31	2,534,083	31	2,548,785	0	0	0	0

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$7,464, a Statewide Hiring Reduction of \$35,952, a General Employee Lapse of \$5,335, and Overtime Savings of \$196. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,919. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,339,429	(48,364)	2,291,065	2.07%
Other Expenses	194,654	(3,502)	191,152	1.80%

Workers' Compensation Commission

WCC42000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - WF	117	117	117	117	117	117

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	8,749,581	9,459,729	10,044,172	10,240,361	10,044,172	10,240,361
Other Expenses	2,166,761	4,769,747	4,828,747	4,269,747	4,828,747	4,269,747
Equipment	26,469	52,000	107,500	41,000	107,500	41,000
Other Current Expenses						
Fringe Benefits	6,510,150	7,756,978	8,035,338	8,192,289	8,035,338	8,192,289
Indirect Overhead	575,535	244,904	464,028	464,028	464,028	464,028
Nonfunctional - Change to Accruals	(167,679)	329,284	0	0	0	0
Agency Total - Workers' Compensation Fund	17,860,816	22,612,642	23,479,785	23,207,425	23,479,785	23,207,425
Additional Funds Available						
Carry Forward Workers' Compensation Fund	0	0	0	0	1,000,000	0
Private Contributions & Other Restricted	300,670	102,548	102,548	102,548	102,548	102,548
Agency Grand Total	18,161,485	22,715,190	23,582,333	23,309,973	24,582,333	23,309,973

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	584,443	0	780,632	0	0	0	0
Total - Workers' Compensation Fund	0	584,443	0	780,632	0	0	0	0

Governor

Provide funding of \$584,443 in FY 16 and \$780,632 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	110,714	0	251,587	0	0	0	0
Total - Workers' Compensation Fund	0	110,714	0	251,587	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$110,714 in FY 16 and an additional \$140,873 in FY 17 (for a cumulative total of \$251,587 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	278,360	0	435,311	0	0	0	0
Indirect Overhead	0	219,124	0	219,124	0	0	0	0
Total - Workers' Compensation Fund	0	497,484	0	654,435	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$497,484 in FY 16 and \$654,435 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Adjust Funding for Replacement Equipment

Equipment	0	55,500	0	(11,000)	0	0	0	0
Total - Workers' Compensation Fund	0	55,500	0	(11,000)	0	0	0	0

Governor

Provide funding of \$55,500 in FY 16 and reduce funding by \$11,000 in FY 17 for replacement equipment in this agency.

Legislative

Same as Governor

Adjust Funding for the WCC Computer and E-File System

Other Expenses	0	59,000	0	(500,000)	0	0	0	0
Total - Workers' Compensation Fund	0	59,000	0	(500,000)	0	0	0	0

Background

Funding was provided in PA 13-184 to facilitate the implementation of E-File at the Workers' Compensation Commission (WCC) and to complete the commission's computer conversion project to support the E-File initiative.

Governor

Provide funding of \$59,000 in FY 16 and reduce funding by \$500,000 in FY 17 to reflect the actual cost of implementing E-File and the anticipated completion of the project in FY 17.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(329,284)	0	(329,284)	0	0	0	0
Total - Workers' Compensation Fund	0	(329,284)	0	(329,284)	0	0	0	0

Governor

Reduce funding by \$329,284 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(110,714)	0	(251,587)	0	0	0	0
Total - Workers' Compensation Fund	0	(110,714)	0	(251,587)	0	0	0	0

Governor

Reduce Other Expenses account by \$110,714 in FY 16 and \$251,587 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for IT Project Expenses

Other Expenses	0	1,000,000	0	0	0	1,000,000	0	0
Total - Carry Forward Workers' Compensation Fund	0	1,000,000	0	0	0	1,000,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$1,000,000 is carried forward from FY 15 into FY 16 to fund IT project expenses due to a delayed implementation.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - WF	117	22,612,642	117	22,612,642	0	0	0	0
Current Services	0	1,307,141	0	1,175,654	0	0	0	0
Policy Revisions	0	(439,998)	0	(580,871)	0	0	0	0
Total Recommended - WF	117	23,479,785	117	23,207,425	0	0	0	0

Department of Agriculture

DAG42500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	49	49	49	49	50	50
Permanent Full-Time - RF	7	7	7	7	7	7

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	3,519,543	3,741,285	3,973,923	4,024,226	4,023,923	4,074,226
Other Expenses	712,368	723,103	723,103	723,103	783,103	783,103
Equipment	0	1	0	0	0	0
Other Current Expenses						
Vibrio Bacterium Program	0	1	0	0	0	0
Senior Food Vouchers	348,458	363,016	364,857	364,928	364,857	364,928
Environmental Conservation	85,500	0	0	0	0	0
Other Than Payments to Local Governments						
Collection of Agricultural Statistics	0	975	0	0	0	0
Tuberculosis and Brucellosis Indemnity	0	855	855	855	100	100
Fair Testing	0	3,838	0	0	0	0
WIC Coupon Program for Fresh Produce	165,918	174,886	174,886	174,886	174,886	174,886
Nonfunctional - Change to Accruals	58,557	21,268	0	0	0	0
Agency Total - General Fund	4,890,344	5,029,228	5,237,624	5,287,998	5,346,869	5,397,243
Personal Services	390,121	399,028	425,294	430,138	425,294	430,138
Other Expenses	514,988	273,007	273,007	273,007	273,007	273,007
Equipment	0	1	0	0	0	0
Fringe Benefits	323,822	348,809	357,247	361,316	357,247	361,316
Nonfunctional - Change to Accruals	(16,228)	8,428	0	0	0	0
Agency Total - Regional Market Operation Fund	1,212,703	1,029,273	1,055,548	1,064,461	1,055,548	1,064,461
Total - Appropriated Funds	6,103,047	6,058,501	6,293,172	6,352,459	6,402,417	6,461,704
Additional Funds Available						
Federal Funds	7,045,925	8,385,544	9,037,419	9,440,315	9,037,419	9,440,315
Private Contributions & Other Restricted	5,344,898	5,451,782	5,560,821	5,672,037	5,560,821	5,672,037
Agency Grand Total	18,493,869	19,895,827	20,891,412	21,464,811	21,000,657	21,574,056

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	232,638	0	282,941	0	0	0	0
Senior Food Vouchers	0	1,841	0	1,912	0	0	0	0
Total - General Fund	0	234,479	0	284,853	0	0	0	0
Personal Services	0	26,266	0	31,110	0	0	0	0
Total - Regional Market Operation Fund	0	26,266	0	31,110	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$234,479 in FY 16 and \$284,853 in FY 17 in the General Fund and \$26,266 in FY 16 and \$31,110 in FY 17 in the Regional Market Operation Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	8,438	0	12,507	0	0	0	0
Total - Regional Market Operation Fund	0	8,438	0	12,507	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$8,438 in FY 16 and \$12,507 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	17,304	0	37,574	0	0	0	0
Total - General Fund	0	17,304	0	37,574	0	0	0	0
Other Expenses	0	4,940	0	10,400	0	0	0	0
Total - Regional Market Operation Fund	0	4,940	0	10,400	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$17,304 in FY 16 and \$20,270 in the General Fund and \$4,940 in FY 16 and \$5,460 in FY 17 in the Regional Market Operation Fund to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Provide Funding for New Haven Land Trust**

Personal Services	1	50,000	1	50,000	1	50,000	1	50,000
Total - General Fund	1	50,000	1	50,000	1	50,000	1	50,000

Background

The New Haven Land Trust is a non-profit that operates 45 community gardens and six nature preserves in the City of New Haven.

Legislative

Provide funding of \$50,000 in each of FY 16 and FY 17 to manage New Haven Land Trust community gardens and nature preserves.

Provide Funding For Urban Oaks & Auer Farm

Other Expenses	0	60,000	0	60,000	0	60,000	0	60,000
Total - General Fund	0	60,000	0	60,000	0	60,000	0	60,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$60,000 in both FY 16 and FY 17 for the following purposes: \$30,000 to Urban Oaks Organic Farm in New Britain in each of FY 16 and FY 17; and \$30,000 to Auer Farm in Bloomfield in each of FY 16 and FY 17.

Reduce Funding for Tuberculosis & Brucellosis Indemnity

Tuberculosis and Brucellosis Indemnity	0	(755)	0	(755)	0	(755)	0	(755)
Total - General Fund	0	(755)	0	(755)	0	(755)	0	(755)

Background

This account is used to partially compensate private owners of cattle destroyed due to tuberculosis and brucellosis.

Legislative

Reduce funding by \$755 in each of FY 16 and FY 17 to achieve savings.

Eliminate Various Other Current Expense Accounts

Vibrio Bacterium Program	0	(1)	0	(1)	0	0	0	0
Collection of Agricultural Statistics	0	(975)	0	(975)	0	0	0	0
Fair Testing	0	(3,838)	0	(3,838)	0	0	0	0
Total - General Fund	0	(4,814)	0	(4,814)	0	0	0	0

Background

The Vibrio Bacterium Program funds laboratory expenses associated with monitoring, in accordance with FGA regulations under the National Shellfish Sanitation Program Model Ordinance, for the presence of pathogenic forms of vibrio bacterium in shellfish beds to avoid the closure of the beds where the presence of pathogenic vibrio has been identified.

The Collection of Agricultural Statistics account compiles statistical information on agricultural usage, needs and trends through surveys of local growers.

The Fair Testing - Exhibits and Demonstrations account is responsible for drug testing of animals used in drawing contests. Costs may be at the expense of the owner of the animal.

Governor

Reduce funding by \$4,814 in both FY 16 and FY 17 for Fair Testing, Collection of Agricultural Statistics, and the Vibrio Bacterium Program to reflect the elimination of these three accounts. Of this total, funding is reduced in each year of the biennium by: (1) \$1 in the Vibrio Bacterium Program; (2) \$975 in the Collection of Agricultural Statistics; and (3) \$3,838 in the Fair Testing accounts.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(21,268)	0	(21,268)	0	0	0	0
Total - General Fund	0	(21,268)	0	(21,268)	0	0	0	0
Nonfunctional - Change to Accruals	0	(8,428)	0	(8,428)	0	0	0	0
Total - Regional Market Operation Fund	0	(8,428)	0	(8,428)	0	0	0	0

Governor

Reduce funding by \$21,268 in both FY 16 and FY 17 in the General Fund and \$8,428 in both FY 16 and FY 17 in the Regional Market Operation Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0
Equipment	0	(1)	0	(1)	0	0	0	0
Total - Regional Market Operation Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(17,304)	0	(37,574)	0	0	0	0
Total - General Fund	0	(17,304)	0	(37,574)	0	0	0	0
Other Expenses	0	(4,940)	0	(10,400)	0	0	0	0
Total - Regional Market Operation Fund	0	(4,940)	0	(10,400)	0	0	0	0

Governor

Reduce Other Expenses by \$17,304 in FY 16 and \$37,574 in FY 17 in the General Fund and \$4,940 in FY 16 and \$10,400 in FY 17 in the Regional Market Operation Fund to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	49	5,029,228	49	5,029,228	0	0	0	0
Current Services	0	251,783	0	322,427	0	0	0	0
Policy Revisions	1	65,858	1	45,588	1	109,245	1	109,245
Total Recommended - GF	50	5,346,869	50	5,397,243	1	109,245	1	109,245
Governor Estimated - RF	7	1,029,273	7	1,029,273	0	0	0	0
Current Services	0	39,644	0	54,017	0	0	0	0
Policy Revisions	0	(13,369)	0	(18,829)	0	0	0	0
Total Recommended - RF	7	1,055,548	7	1,064,461	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$11,812, a Statewide Hiring Reduction of \$61,839, a General Employee Lapse of \$13,822, and Overtime Savings of \$3,388. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$11,746. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	4,023,923	(90,861)	3,933,062	2.26%
Other Expenses	783,103	(11,746)	771,357	1.50%

Department of Energy and Environmental Protection

DEP43000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	669	670	642	642	644	644
Permanent Full-Time - TF	0	0	28	28	28	28
Permanent Full-Time - PF	127	127	127	127	127	127

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	30,503,823	31,723,787	30,953,707	31,127,987	31,059,897	31,266,085
Other Expenses	3,696,839	4,919,978	3,089,978	3,089,978	2,999,978	2,999,978
Equipment	0	1	0	0	0	0
Other Current Expenses						
Stream Gaging	189,583	0	0	0	0	0
Mosquito Control	251,015	262,547	272,597	272,841	272,597	272,841
State Superfund Site Maintenance	431,022	514,046	488,344	488,344	481,918	488,344
Laboratory Fees	160,520	161,794	153,705	153,705	151,683	153,705
Dam Maintenance	133,497	138,760	142,981	143,144	142,981	143,144
Emergency Spill Response	7,129,194	7,007,403	7,278,320	7,326,885	7,278,320	7,326,885
Solid Waste Management	2,753,284	3,941,419	3,833,992	3,848,128	3,384,724	3,448,128
Underground Storage Tank	948,308	995,885	1,040,293	1,047,927	1,040,293	1,047,927
Clean Air	4,413,136	4,567,543	4,512,197	4,543,783	4,455,103	4,543,783
Environmental Conservation	9,193,994	9,427,480	7,223,185	7,261,945	9,083,811	9,122,571
Environmental Quality	9,959,601	10,055,366	10,247,411	10,315,610	10,047,411	10,115,610
Pheasant Stocking Account	160,000	160,000	0	0	0	0
Greenways Account	0	2	0	0	2	2
Conservation Districts & Soil and Water Councils	300,000	300,000	0	0	266,250	270,000
Other Than Payments to Local Governments						
Interstate Environmental Commission	48,783	48,783	48,783	48,783	48,783	48,783
Agreement USGS - Hydrological Study	147,683	0	0	0	0	0
New England Interstate Water Pollution Commission	28,827	28,827	28,827	28,827	28,827	28,827
Northeast Interstate Forest Fire Compact	3,295	3,295	3,295	3,295	3,295	3,295
Connecticut River Valley Flood Control Commission	32,395	32,395	32,395	32,395	32,395	32,395
Thames River Valley Flood Control Commission	48,281	48,281	48,281	48,281	48,281	48,281
Agreement USGS-Water Quality Stream Monitoring	204,641	0	0	0	0	0
Nonfunctional - Change to Accruals	461,464	365,943	0	0	0	0
Agency Total - General Fund	71,199,186	74,703,535	69,398,291	69,781,858	70,826,549	71,360,584
Personal Services	0	0	1,993,313	2,031,640	1,993,313	2,031,640
Other Expenses	0	0	750,000	750,000	750,000	750,000
Agency Total - Special Transportation Fund	0	0	2,743,313	2,781,640	2,743,313	2,781,640
Personal Services	10,236,262	11,495,649	12,030,389	12,110,378	12,030,389	12,110,378
Other Expenses	2,996,794	1,479,367	1,479,367	1,479,367	1,479,367	1,479,367
Equipment	105,154	19,500	19,500	19,500	19,500	19,500

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Fringe Benefits	7,878,676	9,311,476	9,383,703	9,446,095	9,383,703	9,446,095
Indirect Overhead	(449,490)	261,986	467,009	467,009	467,009	467,009
Operation Fuel	1,100,000	0	0	0	0	0
Nonfunctional - Change to Accruals	119,533	187,173	0	0	0	0
Agency Total - Consumer Counsel and Public Utility Control Fund	21,986,929	22,755,151	23,379,968	23,522,349	23,379,968	23,522,349
Total - Appropriated Funds	93,186,114	97,458,686	95,521,572	96,085,847	96,949,830	97,664,573
Additional Funds Available						
Carry Forward Funding	0	0	0	0	1,582,000	0
Carry Forward Public Utility Control Fund	0	0	0	0	455,000	0
Siting Council	1,785,891	2,407,096	2,441,130	2,574,380	2,441,130	2,574,380
Federal Funds	40,347,733	35,434,518	34,648,734	34,123,734	34,648,734	34,123,734
Private Contributions & Other Restricted	38,331,700	34,330,475	34,442,475	34,442,475	34,442,475	34,442,475
Agency Grand Total	173,651,440	169,630,775	167,053,911	167,226,436	170,519,169	168,805,162

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,638,575	0	1,851,182	0	0	0	0
Mosquito Control	0	10,050	0	10,294	0	0	0	0
Dam Maintenance	0	4,221	0	4,384	0	0	0	0
Emergency Spill Response	0	270,917	0	319,482	0	0	0	0
Solid Waste Management	0	89,643	0	103,779	0	0	0	0
Underground Storage Tank	0	44,408	0	52,042	0	0	0	0
Clean Air	0	173,031	0	204,617	0	0	0	0
Environmental Conservation	0	206,331	0	245,091	0	0	0	0
Environmental Quality	0	342,045	0	410,244	0	0	0	0
Total - General Fund	0	2,779,221	0	3,201,115	0	0	0	0
Personal Services	0	534,740	0	614,729	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	534,740	0	614,729	0	0	0	0

Governor

Provide funding of \$2,779,221 in FY 16 and \$3,201,115 in FY 17 in the General Fund and funding of \$534,740 in FY 16 and \$614,729 in FY 17 in the PUC Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, minimum wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	134,766	0	267,459	0	0	0	0
State Superfund Site Maintenance	0	11,926	0	27,126	0	0	0	0
Laboratory Fees	0	3,754	0	8,538	0	0	0	0
Pheasant Stocking Account	0	3,712	0	8,443	0	0	0	0
Conservation Districts & Soil and Water Councils	0	6,960	0	15,831	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases (continued)

Interstate Environmental Commission	0	1,132	0	2,574	0	0	0	0
New England Interstate Water Pollution Commission	0	669	0	1,521	0	0	0	0
Northeast Interstate Forest Fire Compact	0	76	0	174	0	0	0	0
Connecticut River Valley Flood Control Commission	0	752	0	1,709	0	0	0	0
Thames River Valley Flood Control Commission	0	1,120	0	2,548	0	0	0	0
Total - General Fund	0	164,867	0	335,923	0	0	0	0
Other Expenses	0	33,091	0	75,252	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	33,091	0	75,252	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various General Fund accounts by \$164,867 in FY 16 and an additional \$171,056 (for a total of \$335,923) in FY 17 to reflect inflationary increases. Increase funding for various PUC Fund accounts by \$33,091 in FY 16 and additional \$42,161 (for a total of \$75,252) in FY 17 to reflect inflationary increases.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	72,227	0	134,619	0	0	0	0
Indirect Overhead	0	205,023	0	205,023	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	277,250	0	339,642	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Governor

Provide funding of \$277,250 in FY 16 and \$339,642 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions

Adjust Funding Based on Current Requirements

Other Expenses	0	(100,000)	0	(100,000)	0	(100,000)	0	(100,000)
Solid Waste Management	0	(400,000)	0	(400,000)	0	(400,000)	0	(400,000)
Environmental Conservation	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
Environmental Quality	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
Total - General Fund	0	(900,000)	0	(900,000)	0	(900,000)	0	(900,000)

Legislative

Reduce funding by \$900,000 in each of FY 16 and FY 17 for various accounts to achieve savings. Of this total, funding is reduced in both FY 16 and FY 17 by: (1) \$100,000 in Other Expenses; (2) \$400,000 in Solid Waste Management; (3) \$200,000 in Environmental Conservation; and (4) \$200,000 in the Environmental Quality accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for One-Time Grants

Other Expenses	0	(1,080,000)	0	(1,080,000)	0	0	0	0
Total - General Fund	0	(1,080,000)	0	(1,080,000)	0	0	0	0

Background

The revised FY 15 budget included one-time grants totaling \$1.1 million for the following organizations and activities: (1) West River Comprehensive Watershed Plan; (2) West River Neighborhood Services Corp.; (3) ABCD Weatherization; (4) Stonington Harbor Break Wall; (5) drinking water; (6) Aquatic Invasive Species Management; (7) Auer Farm; and (8) New London 4H.

Governor

Eliminate funding of \$1,080,000 in each of FY 16 and 17 for various one-time grants awarded in FY 15.

Legislative

Same as Governor

Transfer Boating Fund to Special Transportation Fund

Personal Services	(28)	(1,993,313)	(28)	(2,031,640)	0	0	0	0
Other Expenses	0	(750,000)	0	(750,000)	0	0	0	0
Total - General Fund	(28)	(2,743,313)	(28)	(2,781,640)	0	0	0	0
Personal Services	28	1,993,313	28	2,031,640	0	0	0	0
Other Expenses	0	750,000	0	750,000	0	0	0	0
Total - Special Transportation Fund	28	2,743,313	28	2,781,640	0	0	0	0

Background

In FY 10, the boating account was transferred from a non-appropriated account to the General Fund. Before this change could take effect, Section 21 of PA 09-8 SSS, *An Act Implementing the Provisions of the Budget Concerning Revenue*, restored the boating account as a separate, non-lapsing General Fund account.

Governor

Transfer funding of \$2,743,313 in FY 16 and \$2,781,640 in FY 17 and 28 positions to reflect the transfer of the Boating Division from the General Fund to the Special Transportation Fund. Of this total, funding of \$1,993,313 in FY 16 and \$2,031,640 in FY 17 is for Personal Services and \$750,000 in each of FY 16 and FY 17 is for operational expenses associated with regulation and enforcement of boating laws.

Legislative

Same as Governor

Reduce Funding for State Parks

Environmental Conservation	0	(100,000)	0	(100,000)	0	1,900,000	0	1,900,000
Total - General Fund	0	(100,000)	0	(100,000)	0	1,900,000	0	1,900,000

Governor

Reduce funding by \$2,000,000 in each of FY 16 and FY 17 for state parks.

Legislative

Reduce funding by \$100,000 in each of FY 16 and FY 17 for state parks.

Provide Funding for Recycling Coordinator

Personal Services	1	27,356	1	57,219	1	27,356	1	57,219
Total - General Fund	1	27,356	1	57,219	1	27,356	1	57,219

Legislative

Provide funding of \$27,356 in FY 16 and \$57,219 in FY 17 for a Recycling Coordinator position, effective October 1, 2015.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Invasive Plants Coordinator

Personal Services	1	43,492	1	45,537	1	43,492	1	45,537
Other Expenses	0	10,000	0	10,000	0	10,000	0	10,000
Total - General Fund	1	53,492	1	55,537	1	53,492	1	55,537

Background

Invasive plants are non-native species that have a tendency to spread, causing potential damage to the environment, economy, and/or human health. The Invasive Plants Coordinator works with the Invasive Plant Working Group, the Invasive Plants Council, and other entities for management of these non-native plants.

Legislative

Provide funding of \$53,492 in FY 16 and \$55,537 in FY 17 and one position for an Invasive Plants Coordinator. Of this total, \$43,492 in FY 16 and \$45,537 in FY 17 is provided for Personal Services, and \$10,000 is provided in both FY 16 and FY 17 for Other Expenses.

Adjust Funding for Kensington Fish Hatchery

Personal Services	0	0	0	0	0	35,342	0	35,342
Environmental Conservation	0	0	0	0	0	160,626	0	160,626
Total - General Fund	0	0	0	0	0	195,968	0	195,968

Background

Fish hatcheries are used to cultivate and breed large numbers of fish used for stocking lakes and rivers to enhance recreational fishing opportunities. In Connecticut, three hatcheries in Burlington, Berlin (the Kensington Fish Hatchery), and Plainfield (the Quinebaug Valley Fish Hatchery) raise trout and salmon for stocking.

Governor

Reduce funding by \$195,968 in both FY 16 and FY 17 to reflect the closure of the Kensington Fish Hatchery.

Legislative

Maintain funding of \$195,968 in each of FY 16 and FY 17 for the Kensington Fish Hatchery.

Adjust Funding for Pheasant Stocking

Pheasant Stocking Account	0	(152,000)	0	(152,000)	0	0	0	0
Total - General Fund	0	(152,000)	0	(152,000)	0	0	0	0

Legislative

Reduce funding by \$152,000 in each of FY 16 and FY 17 for the pheasant stocking program. Section 43 of PA 15-244, the FY 16 and FY 17 budget, carries forward funding of \$152,000 in each of FY 16 and FY 17 for this purpose.

Adjust Funding for Soil & Water Conservation Districts

Conservation Districts & Soil and Water Councils	0	(15,000)	0	(15,000)	0	270,000	0	270,000
Total - General Fund	0	(15,000)	0	(15,000)	0	270,000	0	270,000

Background

The account provides funding, through the \$60 land use fee, for soil erosion and sediment control activities that are conducted by the five Soil & Water Conservation Districts. The Districts provide unbiased technical and environmental consulting services to municipalities, agricultural producers, and private landowners. These Districts also serve as wetlands agents in municipalities.

Governor

Reduce funding by \$285,000 in each of FY 16 and FY 17 to reflect the elimination of the Soil & Water Conservation Districts account.

Legislative

Reduce funding by \$15,000 in each of FY 16 and FY 17 for the Soil and Water Conservation Districts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Greenways Account

Greenways Account	0	0	0	0	0	2	0	2
Total - General Fund	0	0	0	0	0	2	0	2

Governor

Reduce funding by \$2 in each of FY 16 and FY 17 to reflect the elimination of the Greenways Account.

Legislative

Maintain funding of \$2 in each of FY 16 and FY 17 for the Greenways account.

Eliminate Inflationary Increases

Other Expenses	0	(134,766)	0	(267,459)	0	0	0	0
State Superfund Site Maintenance	0	(11,926)	0	(27,126)	0	0	0	0
Laboratory Fees	0	(3,754)	0	(8,538)	0	0	0	0
Pheasant Stocking Account	0	(3,712)	0	(8,443)	0	0	0	0
Conservation Districts & Soil and Water Councils	0	(6,960)	0	(15,831)	0	0	0	0
Interstate Environmental Commission	0	(1,132)	0	(2,574)	0	0	0	0
New England Interstate Water Pollution Commission	0	(669)	0	(1,521)	0	0	0	0
Northeast Interstate Forest Fire Compact	0	(76)	0	(174)	0	0	0	0
Connecticut River Valley Flood Control Commission	0	(752)	0	(1,709)	0	0	0	0
Thames River Valley Flood Control Commission	0	(1,120)	0	(2,548)	0	0	0	0
Total - General Fund	0	(164,867)	0	(335,923)	0	0	0	0
Other Expenses	0	(33,091)	0	(75,252)	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	(33,091)	0	(75,252)	0	0	0	0

Governor

Reduce funding in various accounts by \$164,867 in FY 16 and \$335,923 in FY 17 in the General Fund and by \$33,091 in FY 16 and \$75,252 in FY 17 in the PUC Fund to reflect inflationary increases.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(380,000)	0	(380,000)	0	0	0	0
State Superfund Site Maintenance	0	(32,128)	0	(25,702)	0	(6,426)	0	0
Laboratory Fees	0	(10,111)	0	(8,089)	0	(2,022)	0	0
Solid Waste Management	0	(246,338)	0	(197,070)	0	(49,268)	0	0
Clean Air	0	(285,471)	0	(228,377)	0	(57,094)	0	0
Environmental Conservation	0	(250,000)	0	(250,000)	0	0	0	0
Environmental Quality	0	(150,000)	0	(150,000)	0	0	0	0
Pheasant Stocking Account	0	(8,000)	0	(8,000)	0	0	0	0
Conservation Districts & Soil and Water Councils	0	(18,750)	0	(15,000)	0	(3,750)	0	0
Total - General Fund	0	(1,380,798)	0	(1,262,238)	0	(118,560)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding of \$1,262,238 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$1,262,238 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$118,560 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(365,943)	0	(365,943)	0	0	0	0
Total - General Fund	0	(365,943)	0	(365,943)	0	0	0	0
Nonfunctional - Change to Accruals	0	(187,173)	0	(187,173)	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	(187,173)	0	(187,173)	0	0	0	0

Governor

Reduce funding by \$365,943 in each of FY 16 and FY 17 in the General Fund and by \$187,173 in each of FY 16 and FY 17 in the PUC Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding of \$1 in each of FY 16 and FY 17 for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward**Carry Forward Funding for Pheasant Stocking**

Other Expenses	0	152,000	0	0	0	152,000	0	0
Total - Carry Forward Funding	0	152,000	0	0	0	152,000	0	0

Legislative

Section 43 of PA 15-244, the FY 16 and FY 17 budget, carries forward funding of up to \$152,000 in the Solid Waste Management account and transfers to the Other Expenses account for purchasing pheasants in FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward Funding for Various Grants

Other Expenses	0	705,000	0	0	0	705,000	0	0
Total - Carry Forward Funding	0	705,000	0	0	0	705,000	0	0

Legislative

Section 89(a) of PA 15-5 JSS, a budget implementer, carries forward funding of up to \$705,000 for one-time grants in FY 16 for various grants as follows: (1) \$40,000 to the New London 4-H County Camp in North Franklin; (2) \$135,000 for the West River Watershed Plan; (3) \$300,000 for ABCD Weatherization; (4) \$50,000 for drinking water for certain residents with contaminated groundwater; and (5) \$180,000 for aquatic invasive species management. Funds are carried forward from the following accounts:

- Up to \$100,000 is carried forward in Other Expenses;
- Up to \$205,000 is carried forward in Solid Waste and is transferred to Other Expenses;
- Up to \$200,000 is carried forward in Environmental Conservation and is transferred to Other Expenses; and
- Up to \$200,000 is carried forward in Environmental Quality and is transferred to Other Expenses.

Carry Forward Funds for Lily Pond Dam Repairs

Other Expenses	0	150,000	0	0	0	150,000	0	0
Total - Carry Forward Funding	0	150,000	0	0	0	150,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$150,000 is carried forward from FY 15 into FY 16 in the Other Expenses account to fund the remaining repairs to Lily Pond Dam.

Carry Forward for Solid Waste Management Plan

Solid Waste Management	0	535,000	0	0	0	535,000	0	0
Total - Carry Forward Funding	0	535,000	0	0	0	535,000	0	0

Background

The solid waste management plan, last updated by DEEP in 2006, examines solid waste management, establishes goals and objectives, identifies problems and barriers, and outlines strategies for achieving the goals. The plan serves as the basis for solid waste management planning and decision-making for a twenty year planning horizon.

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$535,000 is carried forward from FY 15 into FY 16 in the Solid Waste Management account to fund completion of the Solid Waste Management Plan.

Carry Forward Funding for Hydrology Studies

Dam Maintenance	0	40,000	0	0	0	40,000	0	0
Total - Carry Forward Funding	0	40,000	0	0	0	40,000	0	0

Legislative

Section 90 of PA15-5 JSS, a budget implementer, carries forward funding up to \$40,000 in the Solid Waste Management account and transfers to the Dam Maintenance account in FY 16 to provide \$20,000 for a hydrology study in East Hampton and \$20,000 for a hydrology study in Ledyard.

Carry Forward Various PUC Fund Accounts

Other Expenses	0	115,000	0	0	0	115,000	0	0
Equipment	0	340,000	0	0	0	340,000	0	0
Total - Carry Forward Public Utility Control Fund	0	455,000	0	0	0	455,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$455,000 is carried forward from FY 15 into FY 16 (\$115,000 in the Other Expenses account and \$340,000 in the Equipment account) to fund the remainder of the hearing room modifications and associated equipment.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	670	74,703,535	670	74,703,535	0	0	0	0
Current Services	0	2,944,088	0	3,537,038	0	0	0	0
Policy Revisions	(26)	(6,821,074)	(26)	(6,879,989)	2	1,428,258	2	1,578,726
Total Recommended - GF	644	70,826,549	644	71,360,584	2	1,428,258	2	1,578,726
Totals (continued)								
Governor Estimated - TF	0	0	0	0	0	0	0	0
Policy Revisions	28	2,743,313	28	2,781,640	0	0	0	0
Total Recommended - TF	28	2,743,313	28	2,781,640	0	0	0	0
Governor Estimated - PF	127	22,755,151	127	22,755,151	0	0	0	0
Current Services	0	845,081	0	1,029,623	0	0	0	0
Policy Revisions	0	(220,264)	0	(262,425)	0	0	0	0
Total Recommended - PF	127	23,379,968	127	23,522,349	0	0	0	0

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$206,767, a Statewide Hiring Reduction of \$477,320, a General Employee Lapse of \$196,916, and Overtime Savings of \$39,468. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$300,183. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	31,059,897	(764,351)	30,295,546	2.46%
Other Expenses	2,999,978	(53,982)	2,945,996	1.80%
Mosquito Control	272,597	(1,981)	270,616	0.73%
State Superfund Site Maintenance	481,918	(7,228)	474,690	1.50%
Laboratory Fees	151,683	(2,275)	149,408	1.50%
Dam Maintenance	142,981	(2,932)	140,049	2.05%
Emergency Spill Response	7,278,320	(146,092)	7,132,228	2.01%
Solid Waste Management	3,384,724	(63,939)	3,320,785	1.89%
Underground Storage Tank	1,040,293	(18,719)	1,021,574	1.80%
Clean Air	4,455,103	(84,508)	4,370,595	1.90%
Environmental Conservation	9,083,811	(35,999)	9,047,812	0.40%
Environmental Quality	10,047,411	(37,485)	10,009,926	0.37%
Interstate Environmental Commission	48,783	(731)	48,052	1.50%
New England Interstate Water Pollution Commission	28,827	(432)	28,395	1.50%

Council on Environmental Quality

CEQ45000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	2	2	0	0	2	2

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	162,907	170,396	0	0	181,253	182,657
Other Expenses	1,676	1,789	0	0	1,789	1,789
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	1,172	944	0	0	0	0
Agency Total - General Fund	165,755	173,130	0	0	183,042	184,446

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	10,857	0	12,261	0	0	0	0
Total - General Fund	0	10,857	0	12,261	0	0	0	0

Governor

Provide funding of \$10,857 in FY 16 and \$12,261 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	40	0	93	0	0	0	0
Total - General Fund	0	40	0	93	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$40 in FY 16 and an additional \$53 in FY 17 (for a cumulative total of \$93 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Adjust Funding for the Council on Environmental Quality

Personal Services	0	0	0	0	2	181,253	2	182,657
Other Expenses	0	0	0	0	0	1,789	0	1,789
Total - General Fund	0	0	0	0	2	183,042	2	184,446

Background

The Council on Environmental Quality (CEQ) monitors, analyzes and reports the status of Connecticut's air, water, land and wildlife and recommends improvements to correct deficiencies in state environmental laws and programs. The council reviews state agency projects for environmental impact and investigates citizen complaints regarding environmental matters.

Governor

Transfer \$183,042 in FY 16 and \$184,446 in FY 17 and two positions to the Office of Legislative Management to reflect the transfer of the Council on Environmental Quality.

Legislative

Maintain funding of \$183,042 in FY 16 and \$184,446 in FY 17 and two positions for CEQ. CEQ remains an independent agency.

Eliminate Inflationary Increases

Other Expenses	0	(40)	0	(93)	0	0	0	0
Total - General Fund	0	(40)	0	(93)	0	0	0	0

Governor

Reduce Other Expenses by \$40 in FY 16 and \$93 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(944)	0	(944)	0	0	0	0
Total - General Fund	0	(944)	0	(944)	0	0	0	0

Governor

Reduce funding by \$944 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2	173,130	2	173,130	0	0	0	0
Current Services	0	10,897	0	12,354	0	0	0	0
Policy Revisions	0	(985)	0	(1,038)	2	183,042	2	184,446
Total Recommended - GF	2	183,042	2	184,446	2	183,042	2	184,446

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$543. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$26. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	181,253	(543)	180,710	0.30%
Other Expenses	1,789	(26)	1,763	1.45%

Department of Economic and Community Development

ECD46000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	91	91	89	89	89	89

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	7,977,806	8,172,510	8,410,102	8,476,385	8,410,102	8,476,385
Other Expenses	629,471	1,027,717	587,717	567,717	1,072,065	1,052,065
Equipment	0	1	0	0	0	0
Other Current Expenses						
Statewide Marketing	12,016,248	12,000,000	10,000,000	10,000,000	9,500,000	9,500,000
Small Business Incubator Program	387,093	387,093	367,739	367,739	339,916	349,352
Hartford Urban Arts Grant	359,776	400,000	0	0	395,000	400,000
New Britain Arts Council	71,956	71,956	0	0	63,187	64,941
Main Street Initiatives	162,305	162,450	0	0	152,297	154,328
Office of Military Affairs	181,636	250,000	243,473	243,712	216,598	219,962
Hydrogen/Fuel Cell Economy	175,000	175,000	166,250	166,250	153,671	157,937
CCAT-CT Manufacturing Supply Chain	732,256	732,256	695,644	695,644	843,013	860,862
Capital Region Development Authority	9,620,145	8,464,370	9,064,370	9,064,370	7,864,370	7,864,370
Neighborhood Music School	50,000	150,000	0	0	126,375	128,250
Other Than Payments to Local Governments						
Nutmeg Games	24,000	74,000	0	0	64,075	65,000
Discovery Museum	359,776	359,776	0	0	315,930	324,699
National Theatre of the Deaf	143,910	143,910	0	0	126,371	129,879
CONNSTEP	588,382	588,382	558,963	558,963	495,712	503,067
Development Research and Economic Assistance	137,902	137,902	131,007	131,007	121,095	124,457
CT Trust for Historic Preservation	199,876	199,876	0	0	0	0
Connecticut Science Center	599,073	599,073	0	0	542,512	550,000
CT Flagship Producing Theaters Grant	474,996	475,000	0	0	417,108	428,687
Women's Business Center	500,000	500,000	0	0	393,750	400,000
Performing Arts Centers	1,439,104	1,439,104	0	0	1,263,714	1,298,792
Performing Theaters Grant	452,857	532,857	0	0	492,915	505,904
Arts Commission	1,788,312	1,797,830	5,707,939	5,707,939	1,578,720	1,622,542
Art Museum Consortium	0	525,000	0	0	461,014	473,812
CT Invention Convention	0	25,000	0	0	19,687	20,000
Litchfield Jazz Festival	0	50,000	0	0	46,875	47,500
Connecticut River Museum	0	0	0	0	25,000	25,000
Arte Inc.	0	0	0	0	25,000	25,000
CT Virtuosi Orchestra	0	0	0	0	25,000	25,000
Barnum Museum	0	0	0	0	25,000	25,000
Other Than Payments to Local Governments						
Greater Hartford Arts Council	89,943	89,943	0	0	88,982	91,174
Stepping Stones Museum for Children	42,079	42,079	0	0	36,951	37,977
Maritime Center Authority	504,949	554,949	0	0	487,315	500,842
Tourism Districts	1,435,770	1,435,772	0	0	1,260,788	1,295,785
Amistad Committee for the Freedom Trail	45,000	45,000	0	0	39,514	40,612
Amistad Vessel	359,776	359,776	359,776	359,776	315,929	324,698
New Haven Festival of Arts and Ideas	757,423	757,423	0	0	665,111	683,574

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
New Haven Arts Council	89,943	89,943	0	0	78,982	81,174
Beardsley Zoo	372,539	372,539	0	0	327,136	336,217
Mystic Aquarium	589,106	589,106	0	0	517,308	531,668
Quinebaug Tourism	39,457	39,457	0	0	34,649	35,611
Northwestern Tourism	39,457	39,457	0	0	34,649	35,611
Eastern Tourism	39,457	39,457	0	0	34,649	35,611
Central Tourism	39,457	39,457	0	0	34,649	35,611
Twain/Stowe Homes	90,890	90,890	0	0	98,864	100,000
Cultural Alliance of Fairfield	89,943	89,943	0	0	78,982	81,174
Nonfunctional - Change to Accruals	59,136	41,387	0	0	0	0
Agency Total - General Fund	43,756,205	44,157,641	36,292,980	36,339,502	39,710,530	40,070,130
Additional Funds Available						
Carry Forward Funding	0	0	0	0	865,354	0
Economic Assistance Bond Fund	95,592,413	101,274,339	100,000,000	100,000,000	100,000,000	100,000,000
Federal Funds	3,958,375	3,748,976	2,908,611	2,920,736	2,908,611	2,920,736
Private Contributions & Other Restricted	17,932,964	27,805,472	15,398,330	15,404,120	15,398,330	15,404,120
Agency Grand Total	161,239,957	176,986,428	154,599,921	154,664,358	158,882,825	158,394,986

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	405,592	0	471,875	0	0	0	0
Office of Military Affairs	0	5,973	0	6,212	0	0	0	0
Total - General Fund	0	411,565	0	478,087	0	0	0	0

Governor

Provide funding of \$411,565 in FY 16 and \$478,087 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Transfer One Position to DAS

Personal Services	(1)	(113,000)	(1)	(113,000)	0	0	0	0
Total - General Fund	(1)	(113,000)	(1)	(113,000)	0	0	0	0

Background

In October 2013, the Department entered into an agreement with the Department of Administrative Services (DAS) to join the Small Agency Resource Team ("SmART" unit). As part of the agreement, the Department agrees to transfer one human resource position to DAS.

Governor

Transfer funding of \$113,000 in both FY 16 and FY 17 and one associated Human Resources position to the Department of Administrative Services.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding to Support IT Maintenance

Other Expenses	0	20,000	0	0	0	0	0	0
Total - General Fund	0	20,000	0	0	0	0	0	0

Governor

Provide funding of \$20,000 in FY 16 to support IT software maintenance and support including Microsoft Office upgrades.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	13,402	0	29,579	0	0	0	0
Total - General Fund	0	13,402	0	29,579	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$13,402 in FY 16 and an additional \$16,177 in FY 17 (for a cumulative total of \$29,579 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding to Support the CT Tennis Tournament

Capital Region Development Authority	0	600,000	0	600,000	0	0	0	0
Total - General Fund	0	600,000	0	600,000	0	0	0	0

Background

Under CGS 32-600, one of the project duties of the Capitol Region Development Authority (CRDA) is the promotion of and attraction to in-state professional and amateur sports and sporting events. In October 2013, the CRDA voted to purchase the Connecticut Tennis Tournament for \$618,000, funded through state bond funds.

PA 13-184, the FY 14 and FY 15 Biennial Budget, included \$400,000 to support the Tennis Tournament in FY 14.

Governor

Provide funding of \$600,000 in both FY 16 and FY 17 for general financial operations assistance in support of the Connecticut Tennis Tournament.

Legislative

Same as Governor

Policy Revisions

Reduce Statewide Marketing

Statewide Marketing	0	(2,500,000)	0	(2,500,000)	0	(500,000)	0	(500,000)
Total - General Fund	0	(2,500,000)	0	(2,500,000)	0	(500,000)	0	(500,000)

Background

The Statewide Marketing account funds the state's tourism marketing activities including the "Still Revolutionary" campaign and marketing challenge grants available to local organizations to promote tourism.

Governor

Reduce funding of \$2 million for the "Statewide Marketing" account to achieve savings.

Legislative

Reduce funding of \$2.5 million for the "Statewide Marketing" account to achieve savings.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for the XL Center

Capital Region Development Authority	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
Total - General Fund	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)

Background

The City of Hartford owns the XL Center and leases the facility to the Capital Region Development Authority (CRDA). The new ten year agreement entered into as of April 26, 2013 by the City of Hartford and the state requires the state to provide up to \$3 million in lease payments in the first two years of the agreement. The lease provides up to \$3 million in rent payments in both FY 14 and FY 15 and up to \$2.6 million per year thereafter from net operating revenue from the facility.

A total of \$3 million was provided to finance the XL Center lease in FY 14 through a deficiency appropriation under PA 14-47. The revised FY 15 budget provides funding of \$1.5 million to CRDA to finance the XL Center lease.

Legislative

Reduce funding for the XL Center by \$500,000 in FY 16 and FY 17 to achieve savings. The total appropriation available for the lease is \$1 million in each year of the biennium.

Reduce Funding for the Convention Center

Capital Region Development Authority	0	(400,000)	0	(400,000)	0	(400,000)	0	(400,000)
Total - General Fund	0	(400,000)	0	(400,000)	0	(400,000)	0	(400,000)

Background

The Capital Region Development Authority (CRDA) is charged with the operation, maintenance, and marketing of the Connecticut Convention Center. The state provides a subsidy of approximately \$4.6 million annually through an appropriation to CRDA to assist in the operations of the Center.

Legislative

Reduce funding for the operations of the Connecticut Convention Center by \$400,000 in each of FY 16 and FY 17 to achieve savings.

Reduce Funding for CRDA Operational Budget

Capital Region Development Authority	0	(300,000)	0	(300,000)	0	(300,000)	0	(300,000)
Total - General Fund	0	(300,000)	0	(300,000)	0	(300,000)	0	(300,000)

Background

The Capital Region Development Authority (CRDA) is a quasi-public state agency in charge of directing and managing state-supported economic development in and around Hartford as well as regional sports activities. Since its inception in 1998 (formerly the Capital City Economic Development Authority), the Authority has received a line-item appropriation for annual operating funding from the state.

Legislative

Reduce funding for CRDA operations by \$300,000 in each of FY 16 and FY 17 to achieve savings.

Provide Funding for CCAT Technical Assistance

CCAT-CT Manufacturing Supply Chain	0	200,000	0	200,000	0	200,000	0	200,000
Total - General Fund	0	200,000	0	200,000	0	200,000	0	200,000

Background

Connecticut Center for Advanced Technology, Inc. (CCAT) is a nonprofit economic development organization founded in 2004 and headquartered in East Hartford. The mission of CCAT is to strengthen competitiveness and high-tech business development in the state..

Legislative

Provide funding of \$200,000 in FY 16 and FY 17 to CCAT-CT for technical assistance activities. This appropriation is in lieu of providing \$250,000 to the University of Connecticut for a similar purpose.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding For Economic Development Programs

Small Business Incubator Program	0	(22,984)	0	(18,387)	0	(22,984)	0	(18,387)
Office of Military Affairs	0	(23,750)	0	(23,750)	0	(23,750)	0	(23,750)
Hydrogen/Fuel Cell Economy	0	(10,391)	0	(8,313)	0	(10,391)	0	(8,313)
CCAT-CT Manufacturing Supply Chain	0	(43,478)	0	(34,782)	0	(43,478)	0	(34,782)
CONNSTEP	0	(55,896)	0	(55,896)	0	(55,896)	0	(55,896)
Development Research and Economic Assistance	0	(8,188)	0	(6,550)	0	(8,188)	0	(6,550)
Women's Business Center	0	(75,000)	0	(75,000)	0	400,000	0	400,000
Total - General Fund	0	(239,687)	0	(222,678)	0	235,313	0	252,322

Governor

Eliminate funding for the Women's Business Center to achieve savings of \$475,000 in FY 16 and FY 17.

Legislative

Reduce funding for various economic development programs by \$239,687 in FY 16 and \$222,678 FY 17 for economic development programs to achieve savings.

Adjust Funding for Arts/Culture Accounts

Other Expenses	0	24,348	0	24,348	0	484,348	0	484,348
Hartford Urban Arts Grant	0	20,000	0	20,000	0	185,763	0	185,763
New Britain Arts Council	0	(4,273)	0	(3,418)	0	25,547	0	26,402
Main Street Initiatives	0	0	0	0	0	154,328	0	154,328
Neighborhood Music School	0	(14,250)	0	(14,250)	0	128,250	0	128,250
Nutmeg Games	0	(5,300)	0	(5,300)	0	65,000	0	65,000
Discovery Museum	0	(21,361)	0	(17,089)	0	127,734	0	132,006
National Theatre of the Deaf	0	(8,545)	0	(6,836)	0	51,093	0	52,802
CT Trust for Historic Preservation	0	(11,868)	0	(9,494)	0	70,963	0	73,337
Connecticut Science Center	0	(19,120)	0	(19,120)	0	229,141	0	229,141
CT Flagship Producing Theaters Grant	0	(28,204)	0	(22,563)	0	168,640	0	174,281
Performing Arts Centers	0	(85,446)	0	(68,357)	0	510,931	0	528,020
Performing Theaters Grant	0	(6,639)	0	(311)	0	214,182	0	220,510
Arts Commission	0	(106,746)	0	(85,397)	0	(106,746)	0	(85,397)
Art Museum Consortium	0	(31,173)	0	(24,938)	0	186,391	0	192,626
CT Invention Convention	0	(3,750)	0	(3,750)	0	20,000	0	20,000
Litchfield Jazz Festival	0	0	0	0	0	47,500	0	47,500
Connecticut River Museum	0	25,000	0	25,000	0	25,000	0	25,000
Arte Inc.	0	25,000	0	25,000	0	25,000	0	25,000
CT Virtuosi Orchestra	0	25,000	0	25,000	0	25,000	0	25,000
Barnum Museum	0	25,000	0	25,000	0	25,000	0	25,000
Greater Hartford Arts Council	0	4,660	0	5,728	0	41,933	0	43,001
Stepping Stones Museum for Children	0	(2,499)	0	(1,999)	0	14,939	0	15,439
Maritime Center Authority	0	(32,950)	0	(26,360)	0	197,026	0	203,616
Amistad Committee for the Freedom Trail	0	(2,673)	0	(2,138)	0	15,975	0	16,510
Amistad Vessel	0	(21,361)	0	(17,089)	0	(21,361)	0	(17,089)
New Haven Festival of Arts and Ideas	0	(44,973)	0	(35,978)	0	268,909	0	277,904

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
New Haven Arts Council	0	(5,340)	0	(4,272)	0	31,933	0	33,001

Adjust Funding for Arts/Culture Accounts (continued)

Beardsley Zoo	0	(22,120)	0	(17,696)	0	132,264	0	136,688
Mystic Aquarium	0	(34,979)	0	(27,983)	0	209,152	0	216,148
Twain/Stowe Homes	0	13,654	0	13,654	0	51,320	0	51,320
Cultural Alliance of Fairfield	0	(5,340)	0	(4,272)	0	31,932	0	33,000
Total - General Fund	0	(356,248)	0	(254,880)	0	3,637,087	0	3,738,455

Governor

Reduce funding of \$3,094,957 in both FY 16 and FY 17 in various arts and culture direct line-item grant accounts to achieve savings. This reduction amounts to a 41.4% cut to these accounts.

In addition, eliminate funding for various legislatively directed grants, which include Neighborhood Music School, Litchfield Jazz Festival, CT Invention Convention, Main Street Initiatives, and Nutmeg Games, as well as the following grants funded through the "Other Expenses" account: OpSail, Schooner Inc, Dream It. Do It., Stamford Parade, New Haven Symphony. This results in an additional savings of \$898,378 in FY 16 and FY 17.

Legislative

Adjust funding for arts/culture organizations as follows.

(1) Reduce funding by \$594,902 in FY 16 and \$493,534 in FY 17 for various arts/culture accounts to achieve savings.

(2) Increase funding for the following organizations:

- \$40,000 for the New Haven Symphony in each of FY 16 and FY 17 under the "Other Expenses" account.
- \$13,654 for Mark Twain/Harriet Beecher Stowe accounts in each of FY 16 and FY 17. The additional funding will be equally distributed between the two organizations.
- \$20,000 for the West Indian Foundation of Hartford in each of FY 16 and FY 17 under the "Hartford Urban Arts Grant" account.

(3) Provide funding for the following new organizations:

- \$30,000 for the Blackwell School of Music in Bridgeport in each of FY 16 and FY 17 under the "Other Expenses" account.
- \$25,000 for the Playhouse on Park in West Hartford in each of FY 16 and FY 17 under the "Performing Theaters Grant" account.
- \$25,000 for the Connecticut River Museum in Essex in each of FY 16 and FY 17.
- \$25,000 for the Barnum Museum in Bridgeport in each of FY 16 and FY 17.
- \$25,000 for the CT Virtuosi Orchestra in New Britain in each of FY 16 and FY 17.
- \$25,000 for Arte, Inc. in New Haven in each of FY 16 and FY 17.
- \$10,000 for the Hartford Jazz Festival in each of FY 16 and FY 17 under the "Greater Hartford Arts Council" account.

The table provided at the end of the Department of Economic and Development budget provides details on the distribution of accounts under which multiple organizations shall receive funding.

Consolidate Funding for Historic Preservation in CIA

CT Trust for Historic Preservation	0	(175,517)	0	(180,389)	0	(175,517)	0	(180,389)
Total - General Fund	0	(175,517)	0	(180,389)	0	(175,517)	0	(180,389)

Background

The Community Investment Act account (CIA) provides funding for: 1) agricultural sustainability; 2) historic preservation; 3) municipal Open Space grants; and 4) various other agricultural activities, including grants to nonprofit organizations. The account is funded through land use recording fees (the account receives \$26 of every \$30 recording fee imposed with municipalities retaining \$4). The account was established by PA 05-3 and does not lapse funds. On a quarterly basis funds are distributed according to CGS 4-66aa.

Under current law, the Connecticut Trust for Historic Preservation receives \$200,000 annually through the Community Investment Act account.

PA 15-244, the FY 16 and FY 17 budget, diverts 50% of revenues for the CIA between January 1, 2016 and June 30, 2017.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Eliminate funding of \$175,517 in FY 16 and \$180,389 in FY 17 for the Connecticut Trust for Historic Preservation. PA 15-5 JSS, a budget implementer, designates additional funding of \$180,000 annually from the Community Investment Account in lieu of a General Fund appropriation.

Provide Funding to the CT Grizzlies**Background**

The Grizzlies are an Intercollegiate Club Football Federation team in southwestern Connecticut that pull Connecticut community college athletes into a single football team to compete with college club teams in the northeast. The mission of the Grizzlies is to keep former high school athletes, most of whom would otherwise not go to college, involved in sports and school. The program is funded through private donations as well as players fees.

Legislative

Provide \$10,000 in both FY 16 and FY 17 from the resources of the Other Expenses account to fund a grant for the Connecticut Grizzlies.

Transfer Arts/Culture Grants to Competitive Grant Account

Hartford Urban Arts Grant	0	0	0	0	0	214,237	0	214,237
New Britain Arts Council	0	0	0	0	0	38,539	0	38,539
Discovery Museum	0	0	0	0	0	192,693	0	192,693
National Theatre of the Deaf	0	0	0	0	0	77,077	0	77,077
CT Trust for Historic Preservation	0	0	0	0	0	107,052	0	107,052
Connecticut Science Center	0	0	0	0	0	320,859	0	320,859
CT Flagship Producing Theaters Grant	0	0	0	0	0	254,406	0	254,406
Performing Arts Centers	0	0	0	0	0	770,772	0	770,772
Performing Theaters Grant	0	0	0	0	0	285,394	0	285,394
Arts Commission	0	0	0	0	0	(4,000,000)	0	(4,000,000)
Art Museum Consortium	0	0	0	0	0	281,186	0	281,186
Greater Hartford Arts Council	0	0	0	0	0	48,173	0	48,173
Stepping Stones Museum for Children	0	0	0	0	0	22,538	0	22,538
Maritime Center Authority	0	0	0	0	0	297,226	0	297,226
Amistad Committee for the Freedom Trail	0	0	0	0	0	24,102	0	24,102
New Haven Festival of Arts and Ideas	0	0	0	0	0	405,670	0	405,670
New Haven Arts Council	0	0	0	0	0	48,173	0	48,173
Beardsley Zoo	0	0	0	0	0	199,529	0	199,529
Mystic Aquarium	0	0	0	0	0	315,520	0	315,520
Twain/Stowe Homes	0	0	0	0	0	48,680	0	48,680
Cultural Alliance of Fairfield	0	0	0	0	0	48,174	0	48,174
Total - General Fund	0	0	0	0	0	0	0	0

Background

The "Arts Commission" account funds competitive grants available to arts and cultural institutions in the state in conjunction with federal funding provided through the National Endowment of the Arts.

The Department conducts a panel review of applications and provides recommendations to the Connecticut Arts Council for consideration. The Connecticut Arts Council, a thirteen member committee established under PA 13-247, reviews and discusses the panel recommendations and makes final decisions on all grant awards.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer funding of \$4 million in FY 16 and FY 17 from the direct line-item arts and culture accounts into the "Arts Commission" competitive grant account. Those organizations with direct line-item account may compete for funding available through the "Arts Commission" account.

Legislative

Maintain the arts and culture direct line-items as individual non-competitive grant accounts.

Reduce Funding for Tourism Districts

Tourism Districts	0	(85,249)	0	(68,199)	0	1,278,735	0	1,295,785
Quinebaug Tourism	0	(2,343)	0	(1,874)	0	35,142	0	35,611
Northwestern Tourism	0	(2,343)	0	(1,874)	0	35,142	0	35,611
Eastern Tourism	0	(2,343)	0	(1,874)	0	35,142	0	35,611
Central Tourism	0	(2,343)	0	(1,874)	0	35,142	0	35,611
Total - General Fund	0	(94,621)	0	(75,695)	0	1,419,303	0	1,438,229

Background

CGS 10-397 establishes three regional tourism districts: the eastern regional district, the central regional district, and the western district. The state provides funding to these regional tourism districts through these accounts.

Governor

Eliminate funding for the tourism districts for a savings of \$1,513,924 in FY 16 and FY 17.

Legislative

Reduce funding for the Tourism Districts by \$94,621 in FY 16 and \$75,695 in FY 17 to achieve savings.

Rollout of FY 15 Rescissions

Small Business Incubator Program	0	(24,193)	0	(19,354)	0	(4,839)	0	0
Hartford Urban Arts Grant	0	(25,000)	0	(20,000)	0	(5,000)	0	0
New Britain Arts Council	0	(4,496)	0	(3,597)	0	(899)	0	0
Main Street Initiatives	0	(10,153)	0	(8,122)	0	(2,031)	0	0
Office of Military Affairs	0	(15,625)	0	(12,500)	0	(3,125)	0	0
Hydrogen/Fuel Cell Economy	0	(10,938)	0	(8,750)	0	(2,188)	0	0
CCAT-CT Manufacturing Supply Chain	0	(45,765)	0	(36,612)	0	(9,153)	0	0
Neighborhood Music School	0	(9,375)	0	(7,500)	0	(1,875)	0	0
Nutmeg Games	0	(4,625)	0	(3,700)	0	(925)	0	0
Discovery Museum	0	(22,485)	0	(17,988)	0	(4,497)	0	0
National Theatre of the Deaf	0	(8,994)	0	(7,195)	0	(1,799)	0	0
CONNSTEP	0	(36,774)	0	(29,419)	0	(7,355)	0	0
Development Research and Economic Assistance	0	(8,619)	0	(6,895)	0	(1,724)	0	0
CT Trust for Historic Preservation	0	(12,491)	0	(9,993)	0	(2,498)	0	0
Connecticut Science Center	0	(37,441)	0	(29,953)	0	(7,488)	0	0
CT Flagship Producing Theaters Grant	0	(29,688)	0	(23,750)	0	(5,938)	0	0
Women's Business Center	0	(31,250)	0	(25,000)	0	(6,250)	0	0
Performing Arts Centers	0	(89,944)	0	(71,955)	0	(17,989)	0	0
Performing Theaters Grant	0	(33,303)	0	(26,642)	0	(6,661)	0	0
Arts Commission	0	(112,364)	0	(89,891)	0	(22,473)	0	0
Art Museum Consortium	0	(32,813)	0	(26,250)	0	(6,563)	0	0
CT Invention Convention	0	(1,563)	0	(1,250)	0	(313)	0	0
Litchfield Jazz Festival	0	(3,125)	0	(2,500)	0	(625)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Rollout of FY 15 Rescissions (continued)

Greater Hartford Arts Council	0	(5,621)	0	(4,497)	0	(1,124)	0	0
Stepping Stones Museum for Children	0	(2,629)	0	(2,103)	0	(526)	0	0
Maritime Center Authority	0	(34,684)	0	(27,747)	0	(6,937)	0	0
Tourism Districts	0	(89,735)	0	(71,788)	0	(17,947)	0	0
Amistad Committee for the Freedom Trail	0	(2,813)	0	(2,250)	0	(563)	0	0
Amistad Vessel	0	(22,486)	0	(17,989)	0	(22,486)	0	(17,989)
New Haven Festival of Arts and Ideas	0	(47,339)	0	(37,871)	0	(9,468)	0	0
New Haven Arts Council	0	(5,621)	0	(4,497)	0	(1,124)	0	0
Beardsley Zoo	0	(23,283)	0	(18,626)	0	(4,657)	0	0
Mystic Aquarium	0	(36,819)	0	(29,455)	0	(7,364)	0	0
Quinebaug Tourism	0	(2,465)	0	(1,972)	0	(493)	0	0
Northwestern Tourism	0	(2,465)	0	(1,972)	0	(493)	0	0
Eastern Tourism	0	(2,465)	0	(1,972)	0	(493)	0	0
Central Tourism	0	(2,465)	0	(1,972)	0	(493)	0	0
Twain/Stowe Homes	0	(5,680)	0	(4,544)	0	(1,136)	0	0
Cultural Alliance of Fairfield	0	(5,621)	0	(4,497)	0	(1,124)	0	0
Total - General Fund	0	(903,215)	0	(722,568)	0	(198,636)	0	(17,989)

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$704,579 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$704,579 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$198,636 in FY 16 and \$17,989 in FY17.

Transfer Architects to the Department of Housing

Personal Services	(1)	(55,000)	(1)	(55,000)	0	0	0	0
Total - General Fund	(1)	(55,000)	(1)	(55,000)	0	0	0	0

Background

PA 13-247 transferred the housing functions under the Department of Community Development to the Department of Housing.

Governor

Transfer funding of \$55,000 and two architect positions to the Department of Housing. One position is funded through the General Fund while the other is funded through the Housing Repayment Revolving Loan Fund.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(13,402)	0	(29,579)	0	0	0	0
Total - General Fund	0	(13,402)	0	(29,579)	0	0	0	0

Governor

Reduce various accounts by \$13,402 in FY 16 and \$29,579 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing General Obligation (GO) bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(41,387)	0	(41,387)	0	0	0	0
Total - General Fund	0	(41,387)	0	(41,387)	0	0	0	0

Governor

Reduce funding by \$41,387 in FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for Statewide Marketing

Statewide Marketing	0	865,354	0	0	0	865,354	0	0
Total - Carry Forward Funding	0	865,354	0	0	0	865,354	0	0

Legislative

Pursuant to CGS Sec. 4-89(e), an estimated \$865,354 is carried forward from FY 15 in the Statewide Marketing account.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	91	44,157,641	91	44,157,641	0	0	0	0
Current Services	(1)	931,967	(1)	994,666	0	0	0	0
Policy Revisions	(1)	(5,379,078)	(1)	(5,082,177)	0	3,417,550	0	3,730,628
Total Recommended - GF	89	39,710,530	89	40,070,130	0	3,417,550	0	3,730,628

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$28,534, a Statewide Hiring Reduction of \$129,244, a General Employee Lapse of \$39,180, and Overtime Savings of \$928. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$16,080. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	8,410,102	(192,324)	8,217,778	2.29%
Other Expenses	1,072,065	(19,290)	1,052,775	1.80%
Office of Military Affairs	216,598	(2,352)	214,246	1.09%

The following table provides the intended distribution of accounts under which multiple organizations are to receive funding. Funding in all other arts/culture grant accounts is to be provided to the organization listed in the respective account name.

Detailed Grants Schedule for FY 16 - 17 Biennium

Account	Recipient	FY 16 \$	FY 17 \$
Other Expenses	Total	494,348	494,348
	Dream It. Do It. (CCAT)	217,392	217,392
	Op Sail (New London)	100,000	100,000
	Schooner Inc. (New Haven)	43,478	43,478
	Stamford Parade	43,478	43,478
	New Haven Symphony	50,000	50,000
	Blackwell School of Music (Bridgeport)	30,000	30,000
	CT Grizzlies	10,000	10,000
Hartford Urban Arts Grant	Total	395,000	400,000
	Real Arts Ways	187,500	190,000
	Artist Collective	187,500	190,000

Account	Recipient	FY 16 \$	FY 17 \$
	West Indian Foundation	20,000	20,000
Main Street Initiatives	Total	152,297	154,328
	Westville Village Renaissance Alliance	130,031	131,765
	Ansonia Nature	22,266	22,563
Flagship Producing Theaters	Total	417,108	428,687
	Long Wharf Theatre	69,518	71,448
	Hartford Stage	69,518	71,448
	Eugene O'Neill Theater Center	69,518	71,448
	Goodspeed Opera House	69,518	71,448
	Yale Repertory Theatre	69,518	71,448
	Westport County Playhouse	69,518	71,448
Performing Arts Center	Total	1,263,714	1,298,792
	Shubert Theater	315,929	324,698
	Bushnell Theater	315,929	324,698
	Stamford Center	315,929	324,698
	Palace Theater	315,929	324,698
Performing Theater Grant	Total	492,915	505,904
	Garde Arts Theater	250,266	257,213
	Ivoryton Playhouse	87,812	90,250
	Warner Theater	59,587	61,241
	Unified Theater	30,734	31,588
	HartBeat Ensemble	21,953	22,563
	Seven Angels	17,562	18,050
	Playhouse on Park	25,000	25,000
Greater Hartford Arts Council	Total	88,982	91,174
	Greater Hartford Arts Council	78,982	81,174
	Hartford Jazz Festival	10,000	10,000
Arts Museum Consortium	Total	461,014	473,812
	Aldrich Contemporary	65,859	67,687
	Bruce Museum	65,859	67,687
	Florence Griswold	65,859	67,687
	Hill-Stead Museum	65,859	67,687
	Lyman Allen Art Museum	65,859	67,687
	Mattatuck Museum	65,859	67,687
	New Britain Museum	65,859	67,687
Twain/Stowe Homes	Total	98,864	100,000
	Mark Twain House	49,432	50,000
	Harriet Beecher Stowe	49,432	50,000

Department of Housing

DOH46900

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	20	21	23	23	23	23

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,849,132	2,035,008	2,234,652	2,242,842	2,234,652	2,242,842
Other Expenses	174,831	173,266	173,266	194,266	173,266	194,266
Other Current Expenses						
Elderly Rental Registry and Counselors	1,052,360	1,196,144	1,058,144	1,058,144	1,196,144	1,196,144
Fair Housing	293,313	0	0	0	0	0
Other Than Payments to Local Governments						
Tax Relief For Elderly Renters	21,607,330	0	0	0	0	0
Subsidized Assisted Living Demonstration	2,178,000	2,345,000	2,406,000	2,455,000	2,255,625	2,332,250
Congregate Facilities Operation Costs	7,105,908	7,784,420	7,783,636	8,054,279	7,783,636	8,054,279
Housing Assistance and Counseling Program	438,400	438,500	416,575	416,575	411,094	416,575
Elderly Congregate Rent Subsidy	2,167,081	2,162,504	2,162,504	2,162,504	2,162,504	2,162,504
Housing/Homeless Services	52,937,732	63,740,480	68,700,306	74,919,513	69,107,806	75,227,013
Other Than Payments to Local Governments						
Tax Abatement	1,444,646	1,444,646	0	0	1,118,580	1,153,793
Payment In Lieu Of Taxes	1,873,400	1,873,400	0	0	0	0
Housing/Homeless Services - Municipality	640,398	640,398	640,398	640,398	640,398	640,398
Nonfunctional - Change to Accruals	12,420	511,608	0	0	0	0
Agency Total - General Fund	93,774,951	84,345,374	85,575,481	92,143,521	87,083,705	93,620,064
Fair Housing	168,639	500,000	500,000	500,000	670,000	670,000
Agency Total - Banking Fund	168,639	500,000	500,000	500,000	670,000	670,000
Total - Appropriated Funds	93,943,590	84,845,374	86,075,481	92,643,521	87,753,705	94,290,064
Additional Funds Available						
Economic Development Fund	3,084,290	3,005,000	3,010,000	3,015,000	3,010,000	3,015,000
Housing Assistance Bond Fund - Taxable	21,110,634	32,000,000	32,000,000	32,000,000	32,000,000	32,000,000
Housing Assistance Bond Fd -Tax Exempt	5,537,326	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
Federal Funds	118,383,287	119,787,803	120,708,055	121,202,704	120,708,055	121,202,704
Private Contributions & Other Restricted	283,750	3,650,000	4,362,600	4,362,600	4,362,600	4,362,600
Agency Grand Total	242,342,877	249,288,177	252,156,136	259,223,825	253,834,360	260,870,368

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	82,225	0	90,415	0	0	0	0
Total - General Fund	0	82,225	0	90,415	0	0	0	0

Governor

Provide funding of \$82,225 in FY 16 and \$90,415 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding and Position for Security Deposit Program

Personal Services	1	62,419	1	62,419	0	0	0	0
Housing/Homeless Services	0	(35,000)	0	(35,000)	0	(35,000)	0	(35,000)
Total - General Fund	1	27,419	1	27,419	0	(35,000)	0	(35,000)

Background

PA 13-234 consolidated various housing programs across state agencies into the Department of Housing, including the Security Deposit Guarantee and Rent Bank programs from the Department of Social Services (DSS). DSS previously administered the programs using in-house staff. Currently the Department utilizes contractors to administer the program; however, the contracts do not include all services related to these programs.

Governor

Provide funding of \$62,419 and one position for the administration of the Security Deposit Guarantee and Rent Bank program. This position would perform a portion of the duties currently contracted to a third party vendor, resulting in a savings related to that contract.

Legislative

Provide funding of \$62,419 and one position for the administration of the Security Deposit Guarantee and Rent Bank program. This position would perform a portion of the duties currently contracted to a third party vendor, resulting in a savings related to that contract. In addition, decrease funding for the Housing/Homeless Services account by \$35,000 in FY 16 and FY 17 from achieved savings resulting from the position. It is anticipated that this staff can perform the work currently provided through a contractor currently funded through the Other Expenses account.

Provide Funding to Support IT Maintenance

Other Expenses	0	0	0	21,000	0	0	0	0
Total - General Fund	0	0	0	21,000	0	0	0	0

Governor

Provide funding of \$21,000 in FY 17 to support IT software maintenance and support.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	1,413	0	3,205	0	0	0	0
Total - General Fund	0	1,413	0	3,205	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$1,413 in FY 16 and an additional \$1,792 in FY 17 (for a cumulative total of \$3,205 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Funding for the Rental Assistance Program

Housing/Homeless Services	0	4,159,826	0	9,379,033	0	0	0	0
Total - General Fund	0	4,159,826	0	9,379,033	0	0	0	0

Background

The Rental Assistance Program is the major state-funded program for assisting very-low-income families to afford decent, safe, and sanitary housing in the private market.

Governor

Provide funding of \$4,159,826 in FY 16 and an additional \$5,219,207 in FY 17 (for a cumulative total of \$9,379,033 in the second year) to reflect the annualization and caseload adjustments related to the Rental Assistance Program.

Legislative

Same as Governor

Adjust Funding for Subsidized Assist. Living Demonstration

Subsidized Assisted Living Demonstration	0	61,000	0	110,000	0	0	0	0
Total - General Fund	0	61,000	0	110,000	0	0	0	0

Background

Under the Subsidized Assisted Living Demonstration program, the Department of Housing (DOH) provides grants to owners/managers of affordable housing units in the program on behalf of low or very-low income elderly residents. Pursuant to CGS 17b-347e, DECD joined a Memorandum of Agreement with the Office of Policy and Management, the Department of Public Health, and the Connecticut Housing Finance Authority to fund the Subsidized Assisted Living Demonstration program. DOH may set the rental subsidy in a manner consistent with the program.

Governor

Increase funding by \$61,000 in FY 16 and an additional \$49,000 in FY 17 (for a cumulative total of \$110,000 in the second year) for the Subsidized Assisted Living Demonstration to reflect the current need of the program.

Legislative

Same as Governor

Adjust Funding for the Congregate Housing Facilities Program

Congregate Facilities Operation Costs	0	(784)	0	269,859	0	0	0	0
Total - General Fund	0	(784)	0	269,859	0	0	0	0

Background

Connecticut currently has 24 state-funded elderly congregate housing facilities for low- and moderate-income seniors age 62 and older who are able to live independently but require some assistance (three additional facilities are anticipated in the FY 16-17 biennium). Funding from this account provides grants to housing authorities who own/operate state-financed congregate rental housing for the elderly, to offset the cost of social and supplementary services that would have occurred if they were prematurely placed in a nursing home.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$784 in FY 16 and increase funding by \$270,643 in FY 17 (for a cumulative total of \$269,859 in the second year) for the Congregate Facilities Operation Costs account to reflect current program requirements.

Legislative

Same as Governor

Policy Revisions**Eliminate Funding for the Moderate Rental PILOT Program**

Payment In Lieu Of Taxes	0	(1,779,730)	0	(1,779,730)	0	0	0	0
Total - General Fund	0	(1,779,730)	0	(1,779,730)	0	0	0	0

Background

The Payment-in-lieu-of-taxes (PILOT) account provides funding for local housing authorities to keep family rental units under the Moderate Rental Housing Program affordable. The Department of Housing makes PILOT payments to the municipality on land and improvements owned or leased by the local housing authority.

Governor

Eliminate funding of \$1,779,730 in FY 16 and FY 17 for the Moderate Rental PILOT program.

Legislative

Same as Governor.

Adjust Funding for the Tax Abatement Program

Tax Abatement	0	(235,776)	0	(218,621)	0	1,136,638	0	1,153,793
Total - General Fund	0	(235,776)	0	(218,621)	0	1,136,638	0	1,153,793

Background

Through the Tax Abatement program, the state makes partial tax payments to municipalities on behalf of non-profit owners of eligible rental housing in order to maintain rent at an affordable level for tenants. The program funds only those municipalities originally in the program; no new applicants are currently accepted.

Governor

Eliminate funding of \$1,372,414 in FY 16 and FY 17 for the Tax Abatement program.

Legislative

Reduce funding by \$235,776 in FY 16 and \$218,621 in FY 17 to achieve savings.

Provide Funding For Second Chance Society Initiatives

Housing/Homeless Services	0	900,000	0	1,800,000	0	(100,000)	0	(200,000)
Total - General Fund	0	900,000	0	1,800,000	0	(100,000)	0	(200,000)

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$1 million in FY 16 and \$2 million in FY 17 for Rental Assistance Program subsidies to support the CT Collaboration on Re-Entry for Second Chance Society Initiative. This funding will support 100 additional rental units in the first year of the biennium and 200 in the second year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$900,000 in FY 16 and \$1.8 million in FY 17 for Rental Assistance Program subsidies to support the CT Collaboration on Re-Entry for the Second Chance Society Initiative.

Provide Funding for the Medical Respite at Columbus House

Housing/Homeless Services	0	400,000	0	400,000	0	400,000	0	400,000
Total - General Fund	0	400,000	0	400,000	0	400,000	0	400,000

Background

The FY 14 budget included \$400,000 for the implementation of a medical respite program for homeless persons in the city of New Haven. While the budget did not explicitly include funding for the program in FY 15, the Department of Housing continued the program through FY 15.

Legislative

Provide funding of \$400,000 in FY 16 and FY 17 each to reflect the implementation of an ongoing medical respite program for homeless persons in the city of New Haven.

Provide Funding for Foreclosure Prevention

Fair Housing	0	170,000	0	170,000	0	170,000	0	170,000
Total - Banking Fund	0	170,000	0	170,000	0	170,000	0	170,000

Background

In 2012, the state received funding from the National Mortgage Settlement. Per a Memorandum of Understanding (MOU) between the Department of Banking and the Department of Economic and Community Development from 2012, \$510,000 was provided to the Connecticut Fair Housing Center for two staff attorney for a three year duration to support foreclosure prevention activities. On an annual basis, the funding equated to \$170,000 in each of FY 13-FY 15. This MOU and the funding agreement was transferred to the Department of Housing when it was established in FY 14.

Legislative

Provide \$170,000 in each of FY 16 and FY 17 to the Connecticut Fair Housing Center to continue funding foreclosure prevention activities.

Adjust Funding for Supportive Housing Facilities

Elderly Rental Registry and Counselors	0	0	0	0	0	138,000	0	138,000
Total - General Fund	0	0	0	0	0	138,000	0	138,000

Background

PA 14-47, the revised FY 15 budget, provides funding of \$138,000 in FY 15 for the Columbus House to support the costs associated with Resident Service Coordinator/Case Manager services at the Jefferson Complex in New Britain and the Horace Bushnell Apartments in Hartford.

Governor

Eliminate funding of \$138,000 in FY 16 and FY 17 for supportive housing assistance for the Jefferson Complex and Horace Bushnell Apartments to achieve savings.

Legislative

Maintain funding in FY 16 and FY 17 for supportive housing assistance for the Jefferson Complex and Horace Bushnell Apartments.

Transfer Architects from DECD

Personal Services	1	55,000	1	55,000	0	0	0	0
Total - General Fund	1	55,000	1	55,000	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

PA 13-247 transferred the housing functions under the Department of Community Development to the Department of Housing.

Governor

Transfer funding of \$55,000 and two architect positions from the Department of Economic and Community Development. One position is funded through the General Fund while the other is funded through the Housing Repayment Revolving Loan Fund.

Legislative

Same as Governor

Reduce Funding for Subsidized Assist. Living Demonstration

Subsidized Assisted Living Demonstration	0	(150,375)	0	(122,750)	0	(150,375)	0	(122,750)
Total - General Fund	0	(150,375)	0	(122,750)	0	(150,375)	0	(122,750)

Background

Under the Subsidized Assisted Living Demonstration program, the Department of Housing (DOH) provides grants to owners/managers of affordable housing units in the program on behalf of low or very-low income elderly residents. Pursuant to CGS 17b-347e, the Department of Economic and Community Development (predecessor to DOH) joined a Memorandum of Agreement (MOA) with the Office of Policy and Management, the Department of Public Health, and the Connecticut Housing Finance Authority to fund the Subsidized Assisted Living Demonstration program. The DOH may set the rental subsidy in a manner consistent with the program.

Legislative

Reduce funding for the Subsidized Assisted Living Demonstration by \$150,375 in FY 16 and \$122,750 to achieve savings.

Adjust Funding for the Public Housing Resident Network

Housing/Homeless Services	0	(7,500)	0	(7,500)	0	142,500	0	142,500
Total - General Fund	0	(7,500)	0	(7,500)	0	142,500	0	142,500

Background

The Public Housing Resident Network is a statewide 501(c)(3) nonprofit organization of residents working to preserve and improve their publicly-assisted communities. PA 14-47, the revised FY 15 budget, provides \$150,000 to PHRN in FY 15.

Governor

Eliminate funding of \$150,000 for a grant to the Public Housing Resident Network.

Legislative

Reduce funding for the grant to the Public Housing Resident Network by \$7,500 in both FY 16 and FY 17. The total net appropriation is \$142,500 in each fiscal year.

Rollout of FY 15 Rescissions

Housing Assistance and Counseling Program	0	(27,406)	0	(21,925)	0	(5,481)	0	0
Housing/Homeless Services	0	(50,000)	0	(50,000)	0	0	0	0
Tax Abatement	0	(90,290)	0	(72,232)	0	(18,058)	0	0
Payment In Lieu Of Taxes	0	(93,670)	0	(93,670)	0	0	0	0
Total - General Fund	0	(261,366)	0	(237,827)	0	(23,539)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$237,827 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce funding of \$237,827 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$23,539 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(1,413)	0	(3,205)	0	0	0	0
Total - General Fund	0	(1,413)	0	(3,205)	0	0	0	0

Governor

Reduce various accounts by \$1,413 in FY 16 and \$3,205 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(511,608)	0	(511,608)	0	0	0	0
Total - General Fund	0	(511,608)	0	(511,608)	0	0	0	0

Governor

Reduce funding by \$511,608 in FY 16 and FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	21	84,345,374	21	84,345,374	0	0	0	0
Current Services	1	4,331,099	1	9,900,931	0	(35,000)	0	(35,000)
Policy Revisions	1	(1,592,768)	1	(626,241)	0	1,543,224	0	1,511,543
Total Recommended - GF	23	87,083,705	23	93,620,064	0	1,508,224	0	1,476,543
Governor Estimated - BF	0	500,000	0	500,000	0	0	0	0
Policy Revisions	0	170,000	0	170,000	0	170,000	0	170,000
Total Recommended - BF	0	670,000	0	670,000	0	170,000	0	170,000

Other Significant Legislation**PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services**

Sections 418 – 419 transfer from the Department of Children and Families (DCF) to the Department of Housing (DOH) the responsibility for administering the state's homeless youth program in FY 18. DOH must run the program within available appropriations, just as DCF must do under existing law.

The bill expands program eligibility to include homeless youth age 23 or younger, instead of only those under age 21. By law, the program may provide public outreach, respite housing, or transitional living services to youth who are homeless or at risk of homelessness.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$6,560, a Statewide Hiring Reduction of \$34,342, and a General Employee Lapse of \$9,688. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$17,942. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,234,652	(50,590)	2,184,062	2.26%
Elderly Rental Registry and Counselors	1,196,144	(17,942)	1,178,202	1.50%

Agricultural Experiment Station

AES48000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	69	70	69	69	69	69

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	5,677,338	6,267,427	6,475,649	6,590,800	6,385,305	6,496,579
Other Expenses	888,723	1,000,197	1,000,197	1,000,197	1,134,017	1,134,017
Equipment	0	1	0	0	10,000	10,000
Other Current Expenses						
Mosquito Control	445,858	488,200	503,987	507,516	503,987	507,516
Wildlife Disease Prevention	87,963	93,062	0	0	98,515	100,158
Nonfunctional - Change to Accruals	34,478	44,302	0	0	0	0
Agency Total - General Fund	7,134,360	7,893,189	7,979,833	8,098,513	8,131,824	8,248,270
Additional Funds Available						
Federal Funds	3,739,083	3,814,000	3,871,500	3,923,000	3,871,500	3,923,000
Private Contributions & Other Restricted	184,251	189,000	195,000	199,000	195,000	199,000
Agency Grand Total	11,057,695	11,896,189	12,046,333	12,220,513	12,198,324	12,370,270

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	282,370	0	323,373	0	0	0	0
Mosquito Control	0	15,787	0	19,316	0	0	0	0
Wildlife Disease Prevention	0	5,453	0	7,096	0	0	0	0
Total - General Fund	0	303,610	0	349,785	0	0	0	0

Governor

Provide funding of \$303,610 in FY 16 and \$349,785 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	24,105	0	53,412	0	0	0	0
Total - General Fund	0	24,105	0	53,412	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$24,105 in FY 16 and an additional \$29,307 in FY 17 (for a cumulative total of \$53,412 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Reduce Funding for Vacant Positions**

Personal Services	(2)	(136,524)	(2)	(142,940)	(2)	(136,524)	(2)	(142,940)
Total - General Fund	(2)	(136,524)	(2)	(142,940)	(2)	(136,524)	(2)	(142,940)

Legislative

Reduce funding by \$136,524 in FY 16 and \$142,940 in FY 17 to reflect elimination of two funded vacancies. One Executive Secretary position (\$58,891 in FY 16 and \$61,658 in FY 17) and one grants/contract manager position (\$77,633 in FY 16 and \$81,282 in FY 17) are eliminated.

Provide Funding for Lake Pocopataug Water Quality

Other Expenses	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	0	100,000	0	100,000	0	100,000	0	100,000

Legislative

Provide funding of \$100,000 in each of FY 16 and FY 17 for infrastructure improvements and a study of Lake Pocopataug in East Hampton. The agency is to work with the Town of East Hampton to determine the best course of action to improve the water quality of the lake and should consider catch basins, rain gardens, bio swails, storm water runoff remediation, and pervious pavement as well as other tools.

Adjust Wildlife Disease Prevention Account

Wildlife Disease Prevention	0	0	0	0	1	98,515	1	100,158
Total - General Fund	0	0	0	0	1	98,515	1	100,158

Background

The Wildlife Disease Prevention Account funds the work of one lead scientist engaged in research on tick-borne diseases and the Lyme Disease control program. The position is currently filled by a certified wildlife biologist.

Governor

Reduce funding by \$98,515 in FY 16 and \$100,158 in FY 17 and eliminate one position to reflect the elimination of the Wildlife Disease Prevention Account.

Legislative

Maintain funding of \$98,515 in FY 16 and \$100,158 in FY 17 and one position for the Wildlife Disease Prevention account.

Provide Funding for Invasive Aquatic Plant Program

Personal Services	1	46,180	1	48,719	1	46,180	1	48,719
Other Expenses	0	33,820	0	33,820	0	33,820	0	33,820
Equipment	0	10,000	0	10,000	0	10,000	0	10,000
Total - General Fund	1	90,000	1	92,539	1	90,000	1	92,539

Legislative

Provide funding of \$90,000 in FY 16 and \$92,539 in FY 17 for a Technician position to conduct the Invasive Aquatic Plant Program. Of this total: \$46,180 in FY 16 and \$48,719 in FY 17 is provided for Personal Services, \$33,820 is provided in each of FY 16 and FY 17 for Other Expenses, and \$10,000 is provided in each of FY 16 and FY 17 for Equipment.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(44,302)	0	(44,302)	0	0	0	0
Total - General Fund	0	(44,302)	0	(44,302)	0	0	0	0

Governor

Reduce funding by \$44,302 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(24,105)	0	(53,412)	0	0	0	0
Total - General Fund	0	(24,105)	0	(53,412)	0	0	0	0

Governor

Reduce Other Expenses by \$24,105 in FY 16 and \$53,412 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Reduce Funding to Reflect Deferred Hiring

Personal Services	0	(74,148)	0	0	0	0	0	0
Total - General Fund	0	(74,148)	0	0	0	0	0	0

Governor

Reduce funding by \$74,148 in FY 16 to reflect savings achieved by delaying the filling of the currently vacant Grants and Contracts Manager position.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	70	7,893,189	70	7,893,189	0	0	0	0
Current Services	0	327,715	0	403,197	0	0	0	0
Policy Revisions	(1)	(89,080)	(1)	(48,116)	0	151,991	0	149,757
Total Recommended - GF	69	8,131,824	69	8,248,270	0	151,991	0	149,757

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$23,646, a Statewide Hiring Reduction of \$98,128, a General Employee Lapse of \$28,124, and Overtime Savings of \$234. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$17,160. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	6,385,305	(143,917)	6,241,388	2.25%
Other Expenses	1,134,017	(20,406)	1,113,611	1.80%
Equipment	10,000	(150)	9,850	1.50%
Mosquito Control	503,987	(2,819)	501,168	0.56%

Department of Public Health

DPH48500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	476	481	479	479	479	479
Permanent Full-Time - IF	0	3	5	5	5	5

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	32,972,025	34,391,334	38,431,341	38,761,411	38,464,503	38,812,372
Other Expenses	6,724,513	6,775,690	7,010,230	7,250,597	7,162,820	7,478,436
Equipment	0	1	0	0	0	0
Other Current Expenses						
Needle and Syringe Exchange Program	459,416	459,416	0	0	0	0
Children's Health Initiatives	2,480,518	2,057,286	1,968,685	1,972,746	1,942,969	1,972,746
Childhood Lead Poisoning	59,485	72,362	0	0	67,839	68,744
AIDS Services	4,718,255	4,975,686	0	0	85,000	85,000
Breast and Cervical Cancer Detection and Treatment	2,177,405	2,213,575	0	0	0	0
Children with Special Health Care Needs	1,217,297	1,220,505	1,037,429	1,037,429	1,022,173	1,037,429
Medicaid Administration	2,409,565	2,773,467	0	0	0	0
Immunization Services	30,074,419	0	0	0	0	0
Maternal Mortality Review	0	104,000	0	0	0	1,000
Other Than Payments to Local Governments						
Community Health Services	6,212,732	6,213,866	1,508,515	1,508,515	1,930,842	2,008,515
Rape Crisis	421,986	622,008	422,008	422,008	617,008	617,008
X-Ray Screening and Tuberculosis Care	703,580	1,195,148	0	0	0	0
Genetic Diseases Programs	794,335	837,072	211,066	211,066	237,895	237,895
Other Than Payments to Local Governments						
Local and District Departments of Health	4,669,172	4,685,779	4,692,648	4,692,648	4,458,648	4,692,648
Venereal Disease Control	187,362	197,171	0	0	0	0
School Based Health Clinics	11,742,500	12,048,716	11,024,576	10,783,602	11,747,498	11,898,107
Nonfunctional - Change to Accruals	627,746	140,792	0	0	0	0
Agency Total - General Fund	108,652,309	80,983,874	66,306,498	66,640,022	67,737,195	68,909,900
Needle and Syringe Exchange Program	0	0	459,416	459,416	459,416	459,416
AIDS Services	0	0	4,890,686	4,890,686	4,890,686	4,890,686
Breast and Cervical Cancer Detection and Treatment	0	0	2,145,586	2,150,565	2,145,586	2,150,565
Immunization Services	0	31,509,441	32,728,052	34,000,718	32,728,052	34,000,718
X-Ray Screening and Tuberculosis Care	0	0	1,115,148	1,115,148	1,115,148	1,115,148
Venereal Disease Control	0	0	197,171	197,171	197,171	197,171
Agency Total - Insurance Fund	0	31,509,441	41,536,059	42,813,704	41,536,059	42,813,704
Total - Appropriated Funds	108,652,309	112,493,315	107,842,557	109,453,726	109,273,254	111,723,604
Additional Funds Available						
Federal Funds	89,550,103	110,537,814	106,632,326	105,799,638	106,632,326	105,799,638
Private Contributions & Other Restricted	61,734,214	55,962,331	52,043,942	52,541,299	52,043,942	52,541,299
Agency Grand Total	259,936,626	278,993,460	266,518,825	267,794,663	267,949,522	270,064,541

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,730,747	0	2,038,248	0	0	0	0
Children's Health Initiatives	0	14,263	0	18,324	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	9,538	0	12,317	0	0	0	0
Medicaid Administration	0	23,093	0	45,662	0	0	0	0
Total - General Fund	0	1,777,641	0	2,114,551	0	0	0	0
Immunization Services	0	20,886	0	27,162	0	0	0	0
Total - Insurance Fund	0	20,886	0	27,162	0	0	0	0

Governor

Provide funding of \$1,777,641 in FY 16 and \$2,114,551 in FY 17 in the General Fund, and \$20,886 in FY 16 and \$27,162 in FY 17 in the Insurance Fund, to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	153,504	0	349,774	0	0	0	0
AIDS Services	0	23,094	0	48,846	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	1,183	0	2,691	0	0	0	0
Medicaid Administration	0	3,417	0	7,772	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	35,288	0	72,599	0	0	0	0
Total - General Fund	0	216,486	0	481,682	0	0	0	0
Immunization Services	0	1,197,725	0	2,464,115	0	0	0	0
Total - Insurance Fund	0	1,197,725	0	2,464,115	0	0	0	0

Governor

Increase funding for various accounts by \$216,486 in FY 16, and an additional \$265,196 in FY 17 in the General Fund (for a cumulative total of \$481,682 in the second year), to reflect inflationary increases. Increase funding for Immunization Services by \$1,197,725 in FY 16, and an additional \$1,266,390 in FY 17 in the Insurance Fund (for a cumulative total of \$2,464,115 in the second year).

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	262,240	0	502,607	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	(80,000)	0	(80,000)	0	0	0	0
Local and District Departments of Health	0	6,869	0	6,869	0	0	0	0
Total - General Fund	0	189,109	0	429,476	0	0	0	0

Governor

Provide funding of \$189,109 in FY 16 and \$429,476 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These include: (1) increased Other Expenses account funding of \$262,240 in FY 16 and \$502,607 in FY 17, (2) decreased X-Ray Screening and Tuberculosis Care account funding of \$80,000 in both FY 16 and FY 17 to reflect anticipated costs for residents of the state afflicted with tuberculosis who require and apply for medical care, and (3) increased Local and District Departments of Health account funding of \$6,869 in both FY 16 and FY 17 to reflect updated population estimates.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Policy Revisions**Transfer Various Accounts to the Insurance Fund**

Needle and Syringe Exchange Program	0	(459,416)	0	(459,416)	0	0	0	0
AIDS Services	0	(4,890,686)	0	(4,890,686)	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	(2)	(2,023,286)	(2)	(2,026,065)	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	(1,115,148)	0	(1,115,148)	0	0	0	0
Venereal Disease Control	0	(197,171)	0	(197,171)	0	0	0	0
Total - General Fund	(2)	(8,685,707)	(2)	(8,688,486)	0	0	0	0
Needle and Syringe Exchange Program	0	459,416	0	459,416	0	0	0	0
AIDS Services	0	4,890,686	0	4,890,686	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	2	2,145,586	2	2,150,565	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	1,115,148	0	1,115,148	0	0	0	0
Venereal Disease Control	0	197,171	0	197,171	0	0	0	0
Total - Insurance Fund	2	8,808,007	2	8,812,986	0	0	0	0

Governor

Eliminate General Fund support of \$8,685,707 in FY 16 and \$8,688,486 in FY 17, and provide Insurance Fund support of \$8,808,007 in FY 16 and \$8,812,986 in FY 17, to reflect the transfer of various DPH accounts from the General Fund to the Insurance Fund: the Needle and Syringe Exchange Program, AIDS Services, Breast and Cervical Cancer Detection and Treatment, X-Ray Screening and Tuberculosis care, and Venereal Disease Control. A corresponding General Fund reduction of \$122,300 in FY 16 and \$124,500 in FY 17 is included in the Office of the State Comptroller (OSC) - Fringe Benefits accounts (see the write-up titled, "Adjusting Funding for the Reduction of Positions"). Fringe benefit funding included in the Insurance Fund, and reduced in the General Fund, support two full-time employees under the Breast and Cervical Cancer Detection and Treatment (BCCDT) account. The total General Fund reduction across both agencies (DPH and OSC) is \$8,808,007 in FY 16 and \$8,812,986 in FY 17. Section 345 of PA 15-5 JSS, a budget implementer, establishes a Public Health Fee to recoup the cost of these accounts. This fee will be assessed annually by the Insurance Commissioner to domestic insurers, or health care centers, doing health insurance business in the state.

Legislative

Same as Governor

Adjust Community Health Center (CHC) Funding in DPH

Community Health Services	0	(3,894,157)	0	(3,894,157)	0	500,000	0	500,000
Total - General Fund	0	(3,894,157)	0	(3,894,157)	0	500,000	0	500,000

Governor

Transfer CHC funding under DPH's Community Health Services account of \$4,394,157 in both FY 16 and FY 17 to the Department of Social Services (DSS). Due to net budgeting, \$1,750,000 is appropriated to DSS' Medicaid account in both FY 16 and FY 17 to reflect this transfer, which assumes a federal reimbursement rate of approximately 60%.

Legislative

Transfer CHC funding under DPH's Community Health Services account of \$3,894,157 in both FY 16 and FY 17 to DSS. Due to net budgeting, \$1,550,000 is appropriated to DSS' Medicaid account in both FY 16 and FY 17 to reflect this transfer, which assumes a federal reimbursement rate of approximately 60%. Funding of \$500,000 is retained in DPH in both FY 16 and FY 17. Pursuant to Section 402(b) of PA 15-5 JSS, \$422,327 of the \$500,000 is to be used to provide grants to CHCs in both FY 16 and FY 17. DPH may consider the following when establishing the grants: (1) the amount of funding received by such centers in grants disbursed by DPH in FY 15, and (2) the amount of uncompensated care provided by the centers.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Divert Increased Newborn Screening Fee Revenue to DPH

Personal Services	0	(600,000)	0	(600,000)	0	0	0	0
Other Expenses	0	(175,000)	0	(175,000)	0	0	0	0
Genetic Diseases Programs	0	(599,177)	0	(599,177)	0	0	0	0
Total - General Fund	0	(1,374,177)	0	(1,374,177)	0	0	0	0

Background

CGS Sec. 19a-55 requires that all newborns delivered in Connecticut be screened for selected genetic and metabolic disorders. PA 09-3 JSS increased the fee for newborn screening from \$28 per infant to \$56 per infant. Between 2012 and 2014, there were approximately 37,000 births in Connecticut annually and approximately \$2 million in revenue was collected from newborn screening fees each year. A portion of newborn screening revenue is made available to DPH to cover the cost of screening (performed at the Katherine A. Kelley State Public Health Laboratory) pursuant to CGS Sec. 19a-55a. The remainder is deposited into the General Fund as unrestricted revenue. Section 11 of PA 14-47 provided newborn screening revenue of \$1,735,000 to DPH in FY 15.

Governor

Reduce funding by \$1,374,177 in both FY 16 and FY 17 due to increased fees. The newborn screening fee will be increased from \$56 to \$98 per infant. Section 346 of PA 15-5 JSS, a budget implementer, makes this change. From the revenue generated from increasing the fee, in both FY 16 and FY 17, provide ongoing support to DPH of: (a) \$775,000 to cover the cost of conducting newborn screening (\$600,000 of which reflects personnel costs, and \$175,000 of which reflects other expenses), and (b) \$599,177 for grants to newborn regional and sickle cell disease treatment centers. Corresponding General Fund savings are recommended under the Personal Services, Other Expenses, and Genetic Diseases Programs accounts. Section 15 of PA 15-244, the FY 16 and FY 17 budget credits \$3,109,177 from the aggregate newborn screening fee revenue to the Newborn Screening account. This represents an increase of \$1,374,177 from the amount credited to the Newborn Screening account in FY 15 (\$1,735,000).

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Children's Health Initiatives	0	(128,580)	0	(102,864)	0	(25,716)	0	0
Childhood Lead Poisoning	0	(4,523)	0	(3,618)	0	(905)	0	0
Children with Special Health Care Needs	0	(76,281)	0	(61,025)	0	(15,256)	0	0
Maternal Mortality Review	0	(6,200)	0	(5,200)	0	(1,000)	0	0
Community Health Services	0	(388,366)	0	(310,693)	0	(77,673)	0	0
Genetic Diseases Programs	0	(26,829)	0	(26,829)	0	0	0	0
School Based Health Clinics	0	(753,044)	0	(602,435)	0	(150,609)	0	0
Total - General Fund	0	(1,383,823)	0	(1,112,664)	0	(271,159)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$1,112,664 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$1,112,664 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions, and additionally reduce various accounts by \$271,159 in FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Various Rescissions

Genetic Diseases Programs	0	26,829	0	26,829	0	26,829	0	26,829
School Based Health Clinics	0	451,826	0	451,826	0	451,826	0	451,826
Total - General Fund	0	478,655	0	478,655	0	478,655	0	478,655

Legislative

Provide funding of \$26,829 in both FY 16 and FY 17 to restore the FY 15 rescission in the Genetic Diseases program. Provide funding of \$451,826 in both FY 16 and FY 17 to restore 75% of the FY 15 rescission to School Based Health Clinics.

Adjust Funding Related to Insured Population

Breast and Cervical Cancer Detection and Treatment	0	(199,827)	0	(199,827)	0	0	0	0
School Based Health Clinics	0	0	0	0	0	421,705	0	662,679
Total - General Fund	0	(199,827)	0	(199,827)	0	421,705	0	662,679

Governor

Reduce funding for the School Based Health Clinics account by \$421,705 in FY 16 and \$662,679 in FY 17, and the Breast and Cervical Cancer Detection and Treatment account by \$199,827 in both FY 16 and FY 17, to reflect an increase in the insured population.

Legislative

Reduce funding by \$199,827 in both FY 16 and FY 17 for the Breast and Cervical Cancer Detection and Treatment account, to reflect an increase in the insured population. Maintain funding of \$421,705 in FY 16 and \$662,679 in FY 17 for School Based Health Clinics.

Adjust Funding for Various Accounts

AIDS Services	0	0	0	0	0	85,000	0	85,000
Children with Special Health Care Needs	0	(122,051)	0	(122,051)	0	0	0	0
Community Health Services	0	(501)	0	(501)	0	0	0	0
Rape Crisis	0	(5,000)	0	(5,000)	0	195,000	0	195,000
Local and District Departments of Health	0	(234,000)	0	0	0	(234,000)	0	0
Total - General Fund	0	(361,552)	0	(127,552)	0	46,000	0	280,000

Background

The FY 15 Revised Budget provided new funding of \$200,000 in the Rape Crisis account. Of this amount, \$175,000 was directed to support the expansion of: (1) sexual violence prevention activities, and (2) direct services for rape and other sexual assault victims and their families in Connecticut. The remaining \$25,000 was directed to address the prevention of sexual violence within the constituent units of the state system of higher education. The FY 12 and FY 13 Biennial Budget transferred \$500 from the AIDS Services account to the Community Health Services account for printing and mailing a lupus-related health care services directory, and educational materials, pursuant to CGS Sec. 19a-6k. The Trumbull Monroe Health District was dissolved the effective 7/1/15. Both the Town of Trumbull and the Town of Monroe will not be eligible for per capita funding separately, resulting in a cost savings to the Local and District Departments of Health account of approximately \$104,000 annually. The cost of Lebanon joining the Uncas Health District is approximately \$13,000 annually.

Governor

In both FY 16 and FY 17: (1) reduce AIDS Services account funding by \$85,000 through the elimination of emergency financial assistance and the reduction of support for human immunodeficiency virus (HIV) supplies and/or education and training activities, (2) reduce Children with Special Health Care Needs account funding by \$122,051, decreasing respite and advocacy services, (3) reduce Community Health Services account by \$501, and (4) reduce Rape Crisis account funding by \$200,000 to maintain account funding at its FY 14 appropriated level.

Legislative

In both FY 16 and FY 17: (1) maintain funding for AIDS Services (the balance of AIDS Services funding is now funded through the Insurance Fund), (2) reduce Children with Special Health Care Needs account funding by \$122,051, decreasing respite and advocacy services, (3) reduce Community Health Services account by \$501 and (4) reduce Rape Crisis account funding by \$5,000 to maintain account funding at 97.5% of its FY 15 appropriated level. In FY 16, reduce funding in the Local and District Departments of Health by

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

\$234,000. This provision is implemented by Section 50 of PA 15-244, the FY 16 and FY 17 budget, which requires DPH to reduce, on a pro rata basis, payments to full-time municipal health departments, and to health districts, in an aggregate amount equal to \$234,000.

Adjust Funding for Various Other Current Expenses Accounts

Childhood Lead Poisoning	0	0	0	0	0	68,744	0	68,744
Maternal Mortality Review	0	(97,800)	0	(97,800)	0	1,000	0	1,000
Total - General Fund	0	(97,800)	0	(97,800)	0	69,744	0	69,744

Background

Funding of \$104,000 was transferred into a new Maternal Mortality Review account in the FY 15 Revised Budget to support chart review of mothers that die in childbirth. This amount included \$19,000 from the Fetal and Infant Mortality Review account and \$85,000 from the Community Health Services account that was originally intended to fund a charitable dental program. Through January 2015, \$448 has been expended by DPH under this account.

The FY 15 contract with the New Haven Public School District under the Childhood Lead Poisoning account requires the development of a process for the implementation of procedures that: (1) identify and monitor children with a history of lead exposure, (2) select those who would benefit for special services, and (3) educate school personnel responsible for preventing lead poisoning, identifying its symptoms, and making appropriate referrals to ameliorate its deleterious effects. A total of \$1,334,456 was committed by DPH in FY 15 under its Children's Health Initiative account to provide financial assistance to local health departments for lead poisoning prevention and control, and to support Regional Childhood Lead Treatment Centers.

Governor

Eliminate funding of \$68,744 for the Childhood Lead Poisoning account, and \$98,800 for Maternal Mortality Review account, in both FY 16 and FY 17.

Legislative

Maintain funding of \$68,744 in both FY 16 and FY 17 for Childhood Lead Poisoning and reduce funding by \$97,800 in both FY 16 and FY 17 for Maternal Mortality Review.

Provide Support for EMS Council Coordinators

Personal Services	0	285,000	0	285,000	0	0	0	0
Total - General Fund	0	285,000	0	285,000	0	0	0	0

Background

Beginning in FY 10, funding for Connecticut Regional Emergency Medical Services (EMS) Council coordinators has been authorized under the Tobacco and Health Trust Fund, instead of the General Fund.

Governor

Provide funding of \$285,000 in both FY 16 and FY 17 for Connecticut Regional EMS Council coordinators under the General Fund.

Legislative

Same as Governor

Consolidate Funding for Medicaid Admin under PS and OE

Personal Services	0	2,624,260	0	2,646,829	0	0	0	0
Other Expenses	0	147,300	0	147,300	0	0	0	0
Medicaid Administration	0	(2,796,560)	0	(2,819,129)	0	0	0	0
Total - General Fund	0	(25,000)	0	(25,000)	0	0	0	0

Background

The Medicaid Administration account supports the cost of staff salaries and other expenses dedicated to the regulation (inspection and complaint investigation) of long term care facilities (i.e. nursing homes) and intermediate care facilities for the mentally retarded (ICF-MRs) to ensure their compliance with certification standards for participation in the federal Medicaid (Title XIX) program and the federal Medicare (Title XVIII) program. The Medicaid Administration account lapsed \$227,998 in FY 14.

Governor

Consolidate funding for the Medicaid Administration account under the Personal Services and Other Expenses accounts to achieve savings of \$25,000 in both FY 16 and FY 17.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Provide Funding for Genetic Counselor Licensure

Personal Services	0	16,495	0	24,961	0	16,495	0	24,961
Other Expenses	0	1,580	0	2,839	0	1,580	0	2,839
Total - General Fund	0	18,075	0	27,800	0	18,075	0	27,800

Legislative

Provide funding of \$16,495 in FY 16 and \$24,961 in FY 17 in the Personal Services account for one half-time Office Assistant to process genetic counselor license applications and renewals. Provide funding of \$1,580 in FY 16 and \$2,839 in FY 17 in the Other Expenses account to support consulting costs for complaint investigations. Sections 362 to 368 of PA15-5 JSS, a budget implementer, establish this new licensure category within DPH.

Provide Funding for EMS Pilot Program

Personal Services	0	16,667	0	26,000	0	16,667	0	26,000
Other Expenses	0	1,010	0	0	0	1,010	0	0
Total - General Fund	0	17,677	0	26,000	0	17,677	0	26,000

Background

SB 800, *An Act Concerning a Pilot Program Allowing Emergency Medical Services (EMS) Personnel to Provide Community-Based Health Care Services*, was proposed to establish a pilot program, in up to three municipalities, to allow Emergency Medical Services (EMS) personnel to provide community-based health care services.

Legislative

Provide funding of \$16,667 in FY 16 and \$26,000 in FY 17 in the Personal Services account, and \$1,010 in FY 16 in the Other Expenses account, to support one durational, half-time Office Assistant to: (1) establish the pilot program described in SB 800, (2) track the work of the EMS organizations chosen for the pilot program, and (3) create a final report for the General Assembly. The proposed legislation establishing the pilot program was not adopted.

Provide Funding for Lyme Disease Prevention

Other Expenses	0	100,000	0	225,000	0	100,000	0	225,000
Total - General Fund	0	100,000	0	225,000	0	100,000	0	225,000

Legislative

Provide funding of \$100,000 in FY 16 and \$225,000 in FY 17 to support Lyme disease prevention as follows: (1) contract for one full-time statewide education coordinator based in the Ridgefield Health Department who is currently the director of the Blast Program in both FY 16 and FY 17, and (2) contract for three part-time health education coordinators (\$105,000) to implement a regional community prevention program for Lyme disease in locations determined by DPH and provide materials (\$20,000) in FY 17.

Provide Funding for Shellfish Lab Consultant

Other Expenses	0	50,000	0	0	0	50,000	0	0
Total - General Fund	0	50,000	0	0	0	50,000	0	0

Legislative

Funding of \$50,000 is provided in FY 16 for the agency to hire a consultant to research and report on the need for, and viability of, establishing a laboratory located east of the Connecticut River for shellfish testing.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(140,792)	0	(140,792)	0	0	0	0
Total - General Fund	0	(140,792)	0	(140,792)	0	0	0	0

Governor

Reduce funding by \$140,792 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(153,504)	0	(349,774)	0	0	0	0
AIDS Services	0	(23,094)	0	(48,846)	0	0	0	0
Breast and Cervical Cancer Detection and Treatment	0	(1,183)	0	(2,691)	0	0	0	0
Medicaid Administration	0	(3,417)	0	(7,772)	0	0	0	0
X-Ray Screening and Tuberculosis Care	0	(35,288)	0	(72,599)	0	0	0	0
Total - General Fund	0	(216,486)	0	(481,682)	0	0	0	0

Governor

Reduce various accounts by \$216,486 in FY 16 and \$481,682 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Provide Funding for Easy Breathing

Background

Section 20 of PA 13-184, the FY 14 and FY 15 budget, transferred \$550,000 from the Tobacco and Health Trust Fund (THTF) to DPH in both FY 14 and FY 15 for: (1) a \$400,000 grant for the Easy Breathing Program to be distributed as follows: \$150,000 for an adult asthma program and \$250,000 for a children's asthma program, and (2) a grant of \$150,000 to the Connecticut Coalition for Environmental Justice for its Asthma Outreach and Education Program.

Legislative

Transfer \$550,000 from the THTF to DPH in both FY 16 and FY 17 for: (1) a \$400,000 grant for the Easy Breathing Program to be distributed as follows: \$150,000 for an adult asthma program and \$250,000 for a children's asthma program, and (2) a grant of \$150,000 to the Connecticut Coalition for Environmental Justice for its Asthma Outreach and Education Program. Section 39(a) of PA 15-244, the FY 16 and FY 17 budget, implements this transfer.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	481	80,983,874	481	80,983,874	0	0	0	0
Current Services	0	2,183,236	0	3,025,709	0	0	0	0
Policy Revisions	(2)	(15,429,915)	(2)	(15,099,683)	0	1,430,697	0	2,269,878

Totals (continued)

Total Recommended - GF	479	67,737,195	479	68,909,900	0	1,430,697	0	2,269,878
Governor Estimated - IF	3	31,509,441	3	31,509,441	0	0	0	0
Current Services	0	1,218,611	0	2,491,277	0	0	0	0
Policy Revisions	2	8,808,007	2	8,812,986	0	0	0	0
Total Recommended - IF	5	41,536,059	5	42,813,704	0	0	0	0

Other Significant Legislation**PA 15-244, An Act Concerning The State Budget For The Biennium Ending June 30, 2017, And Making Appropriations Therefor, And Other Provisions Related To Revenue, Deficiency Appropriations And Tax Fairness And Economic Development**

Sections 112 through 135 increase license renewal fees by \$5 for various professionals licensed by the Department of Public Health (DPH). Revenue collected from the increase is to be transferred to a newly established professional assistance program account, per Section 136, for DPH to provide grants-in-aid to program providers, and medical review committees, for the provision of education, prevention, intervention, referral assistance, rehabilitation, or support services to health care professionals who have a chemical dependency, emotional or behavioral disorder or physical or mental illness, pursuant to Section 137. The effective date of the fee increase is October 1, 2016. (PA 15-5 JSS, a budget implementer, changed the effective date from July 1 to October 1.) The FY 16 revenue gain is estimated to be \$450,000 with an annualized revenue gain of \$700,000 in FY 17.

PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services

Section 228 creates a men's health commemorative number license plate, and sets a \$60 fee that the Department of Motor Vehicles (DMV) must charge for the plates, of which \$15 is for DMV's administrative costs, and the remainder is to be deposited into a newly established Men's Health account in DPH. This is anticipated to result in a revenue gain of less than \$200 to DMV, and a potential revenue gain of less than \$500 to the Men's Health account in DPH, based on the agency's share of the plate fee (\$45) for approximately 10 plates in FY 16 and FY 17. Funds available in the Men's Health account will be used by DPH to enhance public awareness of efforts to treat and cure prostate cancer, and to support research for the treatment of prostate cancer.

Sections 474 through 479 change the effective date of the \$5 increase in DPH license renewal fees established in PA 15-244 from July 1, 2015 to October 1, 2015. This results in estimated revenue of \$450,000 in FY 16, a decrease of approximately \$150,000.

Section 480 expands the reporting of impaired health care professionals to cover all licensed, or permitted health care professionals. This is estimated to result in a cost to DPH of \$138,048 in FY 16, and \$202,362 in FY 17, for necessary staff to undertake increased investigations due to the expanded reporting of impaired health care professionals. No funding for this provision is provided to DPH.

Section 499 requires DPH to provide \$250,000 from the department's Other Expenses account to the Connecticut Umbilical Cord Blood Collection Board to deposit in the Umbilical Cord Blood Collection account in FY 16. This will require DPH to reduce budgeted Other Expenses by this amount.

Section 506 requires the commissioner of DPH to execute an agreement with the New York State Department of Health to conduct a screening test of newborns for adrenoleukodystrophy (ALD) using dried blood spots, as well as the development of quality assurance methodology for such a test. The cost would be dependent on the terms of the agreement between DPH and New York State. Section 507 requires DPH to provide up to \$100,000 from the department's Other Expenses account in FY 16 for conducting a screening test of newborns for ALD. This will require DPH to reduce budgeted other expenses by this amount.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$140,390, a Statewide Hiring Reduction of \$591,112, a General Employee Lapse of \$90,834, and Overtime Savings of \$3,977. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$107,442. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	38,464,503	(799,047)	37,665,456	2.08%
Other Expenses	7,162,820	(128,890)	7,033,930	1.80%
Children's Health Initiatives	1,942,969	(5,818)	1,937,151	0.30%

Office of the Chief Medical Examiner

CME49500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	53	50	50	50	50	50

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	4,153,749	4,607,399	4,825,259	4,857,946	4,825,259	4,857,946
Other Expenses	1,203,791	1,129,054	1,129,054	1,129,054	1,340,167	1,340,167
Equipment	0	19,226	19,226	19,226	19,226	19,226
Other Current Expenses						
Medicolegal Investigations	27,295	27,417	26,047	26,047	25,704	26,047
Nonfunctional - Change to Accruals	48,763	23,816	0	0	0	0
Agency Total - General Fund	5,433,597	5,806,912	5,999,586	6,032,273	6,210,356	6,243,386

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	217,860	0	250,547	0	0	0	0
Total - General Fund	0	217,860	0	250,547	0	0	0	0

Governor

Provide funding of \$217,860 in FY 16 and \$250,547 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	27,144	0	60,039	0	0	0	0
Total - General Fund	0	27,144	0	60,039	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for the Other Expenses account by \$27,144 in FY 16 and an additional \$32,895 in FY 17 (for a cumulative total of \$60,039 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Update Other Expenses Based on Current Services

Other Expenses	0	211,113	0	211,113	0	211,113	0	211,113
Total - General Fund	0	211,113	0	211,113	0	211,113	0	211,113

Legislative

Increase funding for the Other Expenses account by \$211,113 in both FY 16 and FY 17 to reflect updated current services requirements.

Policy Revisions

Eliminate Inflationary Increases

Other Expenses	0	(27,144)	0	(60,039)	0	0	0	0
Total - General Fund	0	(27,144)	0	(60,039)	0	0	0	0

Governor

Reduce the Other Expenses account by \$27,144 in FY 16 and \$60,039 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Account

Medicolegal Investigations	0	(1,713)	0	(1,370)	0	(343)	0	0
Total - General Fund	0	(1,713)	0	(1,370)	0	(343)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$1,370 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce Medicolegal Investigations account funding by \$1,370 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce funding by \$343 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(23,816)	0	(23,816)	0	0	0	0
Total - General Fund	0	(23,816)	0	(23,816)	0	0	0	0

Governor

Reduce funding by \$23,816 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	50	5,806,912	50	5,806,912	0	0	0	0
Current Services	0	456,117	0	521,699	0	211,113	0	211,113
Policy Revisions	0	(52,673)	0	(85,225)	0	(343)	0	0
Total Recommended - GF	50	6,210,356	50	6,243,386	0	210,770	0	211,113

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$18,171, a Statewide Hiring Reduction of \$74,153, a General Employee Lapse of \$12,033, and Overtime Savings of \$10,863. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$20,775. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	4,825,259	(111,207)	4,714,052	2.30%
Other Expenses	1,340,167	(24,115)	1,316,052	1.80%
Equipment	19,226	(288)	18,938	1.50%
Medicolegal Investigations	25,704	(385)	25,319	1.50%

Department of Developmental Services

DDS50000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3,327	3,327	3,305	3,305	3,318	3,318

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	241,314,311	261,124,459	267,209,799	269,307,937	262,989,799	265,087,937
Other Expenses	21,944,496	21,994,085	20,894,381	20,894,381	20,619,455	20,894,381
Equipment	0	1	0	0	0	0
Other Current Expenses						
Human Resource Development	198,361	198,361	0	0	0	0
Family Support Grants	3,609,767	3,460,287	3,738,222	3,738,222	3,738,222	3,738,222
Cooperative Placements Program	22,991,677	23,982,113	24,544,841	24,477,566	24,544,841	24,477,566
Clinical Services	3,934,413	4,300,720	3,493,844	3,493,844	3,440,085	3,493,844
Early Intervention	39,511,737	39,186,804	0	0	0	0
Community Temporary Support Services	60,753	60,753	0	0	0	0
Community Respite Care Programs	527,828	558,137	0	0	0	0
Workers' Compensation Claims	15,317,509	15,246,035	15,246,035	15,246,035	14,994,475	14,994,475
Autism Services	1,394,704	2,637,528	2,552,272	2,848,961	2,802,272	3,098,961
Behavioral Services Program	32,376,861	32,719,305	12,986,713	18,889,987	29,731,164	30,818,643
Supplemental Payments for Medical Services	5,278,480	5,278,116	5,108,116	5,108,116	4,908,116	4,908,116
Other Than Payments to Local Governments						
Rent Subsidy Program	5,026,227	5,150,212	5,130,212	5,130,212	5,130,212	5,130,212
Family Reunion Program	78,800	82,349	0	0	0	0
Employment Opportunities and Day Services	212,127,956	223,293,347	222,545,262	225,053,762	227,626,162	237,650,362
Community Residential Services	440,306,474	458,629,020	480,961,682	493,447,748	483,871,682	502,596,014
Nonfunctional - Change to Accruals	8,596,531	2,764,167	0	0	0	0
Agency Total - General Fund	1,054,596,885	1,100,665,799	1,064,411,379	1,087,636,771	1,084,396,485	1,116,888,733
Additional Funds Available						
Carry Forward Funding	0	0	0	0	60,460	0
Federal Funds	5,572,555	5,610,691	1,935,393	1,935,393	1,935,393	1,935,393
Private Contributions & Other Restricted	47,776	6,330,079	5,466,888	3,888,154	5,466,888	3,888,154
Agency Grand Total	1,060,217,215	1,112,606,569	1,071,813,660	1,093,460,318	1,091,859,226	1,122,712,280

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	11,673,694	0	13,774,138	0	0	0	0
Total - General Fund	0	11,673,694	0	13,774,138	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$11,673,694 in FY 16 and \$13,774,138 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Cooperative Placements Program	0	67,275	0	0	0	0	0	0
Behavioral Services Program	0	360,641	0	540,860	0	0	0	0
Community Residential Services	0	1,325,914	0	0	0	0	0	0
Total - General Fund	0	1,753,830	0	540,860	0	0	0	0

Governor

Provide funding of \$1,753,830 in FY 16 and \$540,860 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include leap year costs for per diem expenses.

Legislative

Same as Governor

Annualize Previous Year Partial Funding

Cooperative Placements Program	0	495,453	0	495,453	0	0	0	0
Behavioral Services Program	0	102,075	0	102,075	0	0	0	0
Employment Opportunities and Day Services	0	1,859,415	0	1,859,415	0	0	0	0
Community Residential Services	0	11,416,860	0	11,416,860	0	0	0	0
Total - General Fund	0	13,873,803	0	13,873,803	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

Governor

Provide funding of \$13,873,803 in both FY 16 and FY 17 to reflect full year funding for FY 15 caseload for the following: Waiting List Initiative, High School Graduates, Age Outs, Community Placements (Southbury and Long Term Care), Voluntary Services, Cooperative Placements and Personal Care Attendants Contracts.

Legislative

Same as Governor

Provide Funding for Age Outs

Employment Opportunities and Day Services	0	2,392,500	0	4,901,000	0	0	0	0
Community Residential Services	0	9,589,888	0	23,401,868	0	0	0	0
Total - General Fund	0	11,982,388	0	28,302,868	0	0	0	0

Background

In accordance with interagency agreements, DDS is responsible for developing residential placements and day services for individuals who are aging out of the Department of Children and Families and residential schools.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$11,982,388 in FY 16 and \$28,302,868 in FY 17 to fund individuals aging out of DCF and residential schools. Funding will support day programs for 99 individuals and residential programs for 110 individuals in FY 16 and day programs for 84 individuals and residential programs for 96 individuals in FY 17. The funding reflects an average of 10 months funding for day programs and an average of 7 months funding for residential programs. Additionally, the state receives a 50% federal reimbursement for this Medicaid waived program.

Legislative

Same as Governor

Provide Funding for New High School Graduates

Employment Opportunities and Day Services	0	6,201,000	0	14,274,000	0	0	0	0
Total - General Fund	0	6,201,000	0	14,274,000	0	0	0	0

Background

DDS funds programs in a community based setting that give individuals an opportunity to perform work in an integrated setting or pursue skill building and community activities. Each year individuals completing special education programs with the school system are graduating and in need of day programs supported by the department.

Governor

Provide funding of \$6,201,000 in FY 16 and \$14,274,000 in FY 17 to fund day programs for new high school graduates. Funding supports 318 high school graduates in FY 16 and 308 in FY 17 with an average starting date of October 1 in each year. Additionally, the state receives 50% federal reimbursement for this Medicaid waived program. FY 17 funding includes the full cost of placements started in FY 16.

Legislative

Same as Governor

Provide Funding for Community Placements

Community Residential Services	0	4,262,500	0	12,060,333	0	0	0	0
Total - General Fund	0	4,262,500	0	12,060,333	0	0	0	0

Background

In 2010 a federal judge signed an Order approving the Settlement Agreement in the 1994 class action Messier v. Southbury Training School (STS). As a result of the Messier Settlement Agreement, DDS affirms the commitment that professional judgment will be rendered by each interdisciplinary team at STS for each class member, and will include recommendations for the "most integrated setting" appropriate to the individual's needs. Additionally, Money Follows the Person (MFP) is a federal demonstration grant, received by the Department of Social Services (DSS) from the Centers for Medicare and Medicaid Services. It was awarded to help rebalance the long-term care system so that individuals have the maximum independence and freedom of choice regarding where they live and receive care and services in the community.

Governor

Provide funding of \$4,262,500 in FY 16 and \$12,060,333 in FY 17 in the Community Residential Services account to fund community placements for individuals choosing to leave STS and other long term care or nursing home placements. Funding reflects 19 placements (12 Non-MFP and 7 MFP) for STS residents in both FY 16 and FY 17 and 53 MFP placements in FY 16 and 65 MFP placements in FY 17 for individuals in other long term care facilities currently funded through DSS. Additionally, the state receives 50% federal reimbursement for this Medicaid waived program. FY 17 funding includes the full cost of placements started in FY 16.

Legislative

Same as Governor

Transfer VSP Age Outs to the Autism Program

Autism Services	0	164,744	0	461,433	0	0	0	0
Behavioral Services Program	0	(164,744)	0	(461,433)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Department's Division of Autism Spectrum Services provides case management and funds services to a limited number of Medicaid eligible individuals under the state's Autism waiver. Individuals served by the Division have a diagnosis of Autism Spectrum Disorder (ASD) but do not have a diagnosis of intellectual disabilities. Additionally, the state receives a 50% federal reimbursement for this Medicaid waived program.

Governor

Transfer funding of \$164,744 in FY 16 and \$461,433 in FY 17 from the Voluntary Services account to the Autism Services account to reflect the transfer of individuals aging out of the Voluntary Services Program and moving to the Autism Program.

Legislative

Same as Governor

Apply Inflationary Increase

Other Expenses	0	541,974	0	1,177,343	0	0	0	0
Total - General Fund	0	541,974	0	1,177,343	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$541,974 in FY 16 and an additional \$635,369 in FY 17 (for a cumulative total of \$1,177,343 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Transfer Birth to Three Program to Office of Early Childhood**

Personal Services	(7)	(688,354)	(7)	(690,660)	0	0	0	0
Early Intervention	0	(39,186,804)	0	(39,186,804)	0	0	0	0
Total - General Fund	(7)	(39,875,158)	(7)	(39,877,464)	0	0	0	0

Background

The Connecticut Birth to Three System assists and strengthens the capacity of families to meet the developmental and health-related needs of their infants and toddlers who have developmental delays or disabilities. The System ensures that all families have equal access to a coordinated program of comprehensive services and supports that foster collaborative partnerships, are family centered, occur in natural settings, recognize current best practices in early intervention and are built upon mutual respect and choice.

PA 11-181, AAC Early Childhood Education and the Establishment of a Coordinated System of Early Care and Education and Child Development, established provisions for a coordinated system of early care and education and child development.

Governor

Transfer funding of \$39,186,804 in both FY 16 and FY 17 for Birth to Three program funding to the Office of Early Childhood (OEC) and the Department of Social Services. Additionally transfer funding of \$688,354 in FY 16 and \$690,660 in FY 17 for Personal Services and 7 positions to the OEC to reflect the transferring of the agency's Birth to Three program staff to the OEC .

Legislative

Same as Governor

Adjust Funding for Behavioral Services Program

Personal Services	(2)	(120,000)	(2)	(120,000)	13	780,000	13	780,000
Behavioral Services Program	0	(3,286,113)	0	(2,082,164)	0	16,744,451	0	11,928,656
Total - General Fund	(2)	(3,406,113)	(2)	(2,202,164)	13	17,524,451	13	12,708,656

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Behavioral Services Program (formerly called the Voluntary Services Program) provides services to children who have either intellectual disability or autism spectrum disorder (ASD) and a mental health diagnosis. As of June 30, 2014, this program supported 540 children and their families, 506 children with intellectual disability and 34 children without an intellectual disability but with a diagnosis of ASD. Over 80% of the children are served with in-home supports through a network of private providers throughout the state. The program name change is made by section 358 of PA 15-5 JSS, a budget implementer.

Governor

Reduce funding for the Behavioral Services Program account by \$20,030,564 in FY 16 and \$14,010,820 in FY 17. Reduce funding for Personal Service by \$900,000 in both FY 16 and FY 17 and 15 positions to reflect the reduction in case management associated with the reduced VSP.

Legislative

Reduce funding for the Behavioral Services Program (BSP) account by \$3,286,113 in FY 16 and \$2,082,164 in FY 17. This maintains program funding of \$16,744,451 in FY 16 and \$11,928,656 in FY 17. Additionally, reduce funding for Personal Services by \$120,000 in both FY 16 and FY 17 to reflect the elimination of two positions. This maintains Personal Services funding of \$780,000 in both FY 16 and FY 17 and 13 positions to provide the case management associated with the BSP.

Adjust Funding for Day Programs for New High School Grads

Employment Opportunities and Day Services	0	(1,120,100)	0	(1,677,400)	0	5,080,900	0	12,596,600
Total - General Fund	0	(1,120,100)	0	(1,677,400)	0	5,080,900	0	12,596,600

Governor

Eliminate funding of \$6,201,000 in FY 16 and \$14,274,000 in FY 17 for day programs for new high school graduates. This eliminates funding that would support 318 high school graduates in FY 16 and 308 in FY 17 with an average starting date of October 1 in each year. (See the Current Services write-up titled, "Provide Funding for New High School Grads" for background information.)

Legislative

Reduce funding by \$1,120,100 in FY 16 and \$1,677,400 in FY 17 in the Employment and Day Services account for day programs for new high school graduates. This provides funding of \$5,080,900 in FY 16 and \$12,596,600 in FY 17 for new high school graduates.

Adjust Funding For Community Placements

Community Residential Services	0	(1,352,500)	0	(2,912,067)	0	2,910,000	0	9,148,266
Total - General Fund	0	(1,352,500)	0	(2,912,067)	0	2,910,000	0	9,148,266

Governor

Reduce funding of \$4,262,500 in FY 16 and \$12,060,333 in FY 17 in the Community Residential Services account to fund community placements for individuals choosing to leave STS and other long term care or nursing home placements. Funding reflects 19 placements (12 Non- MFP and 7 MFP) for STS residents in both FY 16 and FY 17 and 53 MFP placements in FY 16 and 65 MFP placements in FY 17 for individuals in other long term care facilities currently funded through DSS. (See the Current Services write-up titled, "Provide Funding for Community Placements" for background information.)

Legislative

Reduce funding by \$1,352,500 in FY 16 and \$2,912,067 in FY 17 in the Community Residential Services account for community placements for individuals choosing to leave STS and other long term care or nursing home placements. This allows for funding of \$2,910,000 in FY 16 and \$9,148,266 in FY 17 for community placements.

Reduce Funding to Reflect Overtime Savings Initiatives

Personal Services	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
Total - General Fund	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)

Legislative

Reduce funding by \$5 million in both FY 16 and FY 17 to reflect the implementation of overtime savings initiatives in the Department of Developmental Services.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding in Various In-Home Family Support Grants

Family Support Grants	0	277,935	0	277,935	0	0	0	0
Community Temporary Support Services	0	(57,716)	0	(57,716)	0	0	0	0
Community Respite Care Programs	0	(558,137)	0	(558,137)	0	0	0	0
Family Reunion Program	0	(78,232)	0	(78,232)	0	0	0	0
Total - General Fund	0	(416,150)	0	(416,150)	0	0	0	0

Background

The agency provides funding to families of DDS consumers who live in their family home from the following four accounts: Community Respite Care Program, Community Temporary Support Services, Family Reunion Program and Family Support Grants.

Governor

Eliminate funding in both FY 16 and FY 17 in the following three accounts: \$558,137 in the Community Respite Care Program, \$78,232 in the Family Reunion Program and \$57,716 in the Community Temporary Support Services Program. Provide funding of \$277,935 in both FY 16 and FY 17 in the Family Support Grants account to reflect the consolidation of four in-home family support accounts into the Family Support Grants account which results in an overall reduction of \$416,150 in both FY 16 and FY 17.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(4,000,000)	0	(4,000,000)	0	0	0	0
Other Expenses	0	(1,374,630)	0	(1,099,704)	0	(274,926)	0	0
Human Resource Development	0	(9,918)	0	(9,918)	0	0	0	0
Clinical Services	0	(268,795)	0	(215,036)	0	(53,759)	0	0
Community Temporary Support Services	0	(3,037)	0	(3,037)	0	0	0	0
Supplemental Payments for Medical Services	0	(170,000)	0	(170,000)	0	0	0	0
Rent Subsidy Program	0	(20,000)	0	(20,000)	0	0	0	0
Family Reunion Program	0	(4,117)	0	(4,117)	0	0	0	0
Employment Opportunities and Day Services	0	(5,000,000)	0	(5,000,000)	0	0	0	0
Total - General Fund	0	(10,850,497)	0	(10,521,812)	0	(328,685)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$10,521,812 million in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$10,521,812 million in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$328,685 in FY 16.

Adjust Funding for Early Childhood Autism Waiver

Autism Services	0	0	0	0	0	1,000,000	0	1,000,000
Total - General Fund	0	0	0	0	0	1,000,000	0	1,000,000

Background

The DDS Early Childhood Autism Waiver is designed to serve young children aged three and four who are diagnosed with Autism Spectrum Disorder and who have significant impairments in adaptive behaviors as well as severe maladaptive behaviors. To be eligible for one of the thirty waiver slots, children must be between the ages of three and 4.6 years of age and be Medicaid eligible. Effective January 1, 2015, the Department of Social Services expanded its coverage to include Autism Spectrum Disorder (ASD)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

evaluation and treatment services for Medicaid enrolled members under the age of 21 for whom ASD services are medically necessary. Since the services are now covered under Medicaid, the waiver is no longer necessary.

Governor

Reduce funding by \$1 million in both FY 16 and FY 17 to reflect the elimination of the Early Childhood Autism waiver as ASD treatment is now a Medicaid covered service.

Legislative

Maintain funding of \$1 million in both FY 16 and FY 17 for the Early Childhood Autism waiver so children who may not be Medicaid eligible when not on the waiver could continue to receive services.

Adjust Funding for Autism Initiatives

Autism Services	0	0	0	0	0	(750,000)	0	(750,000)
Total - General Fund	0	0	0	0	0	(750,000)	0	(750,000)

Background

PA 13-84 Sec. 20(c) transferred funding of \$750,000 in FY 15 from the Tobacco and Health Trust Fund to DDS to implement recommendations of the Autism Feasibility Study to improve services and supports for individuals with autism.

Governor

Provide funding of \$750,000 in both FY 16 and FY 17 for Autism initiatives previously funded through the Tobacco and Health Trust Fund.

Legislative

Funding of \$750,000 in both FY 16 and FY 17 is not provided from the General Fund for autism initiatives but instead is provided in both FY 16 and FY 17 from the Tobacco and Health Trust Fund. Section 39(b) of PA 15-244, the FY 16 and FY 17 budget, implements this provision.

Reduce Funding for Clinical Services

Clinical Services	0	(591,840)	0	(591,840)	0	0	0	0
Total - General Fund	0	(591,840)	0	(591,840)	0	0	0	0

Background

The Clinical Services account funds goods and services related to the dental, nursing, pharmaceutical, medical, allied health and mental health service needs of DDS clients.

Governor

Reduce funding by \$591,840 in both FY 16 and FY 17 to reflect the elimination of a contracted Medical Director at Southbury Training School and limiting the use of contracted and nursing pool services.

Legislative

Same as Governor

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(251,560)	0	(251,560)	0	(251,560)	0	(251,560)
Total - General Fund	0	(251,560)	0	(251,560)	0	(251,560)	0	(251,560)

Legislative

Reduce funding by \$251,560 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Reduce Funding for Supplemental Payments

Supplemental Payments for Medical Services	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
Total - General Fund	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Since FY 12, the Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID) User Fee is assessed by and paid to the Department of Revenue Services from the DDS Supplemental Payments for Medical Services account. The Department of Social Services claims revenue on the DDS payments.

Legislative

Reduce funding by \$200,000 in both FY 16 and FY 17 in the Supplemental Payments for Medical Services account due to a declining residential census at Southbury Training School.

Eliminate Human Resources Development Training

Human Resource Development	0	(188,443)	0	(188,443)	0	0	0	0
Total - General Fund	0	(188,443)	0	(188,443)	0	0	0	0

Background

The Human Resource Development Account funds training and related supports to both public and private sector service staff. Provides funding for education and training, educational services and supplies, conferences, seminars and workshops and travel and mileage reimbursement.

Governor

Reduce funding by \$188,443 in both FY 16 and FY 17 to reflect the elimination of the Human Resources Development account.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,764,167)	0	(2,764,167)	0	0	0	0
Total - General Fund	0	(2,764,167)	0	(2,764,167)	0	0	0	0

Governor

Reduce funding by \$2,764,167 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment Through CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Eliminate Inflationary Increase.

Other Expenses	0	(541,974)	0	(1,177,343)	0	0	0	0
Total - General Fund	0	(541,974)	0	(1,177,343)	0	0	0	0

Governor

Reduce the Other Expenses account by \$541,974 in FY 16 and \$1,177,343 in FY 17 to reflect the elimination of inflationary increases.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Carry Forward**Carry Forward Funding in Other Expenses**

Other Expenses	0	60,460	0	0	0	60,460	0	0
Total - Carry Forward Funding	0	60,460	0	0	0	60,460	0	0

Background

Section 4-89(c) CGS allows for the unexpended balances of special appropriations for special programs whose work has not been completed to be continued during the following fiscal year as order by the Secretary of the Office of Policy and Management.

Legislative

Pursuant to CGS 4-89(c), funding of \$60,460, is carried forward from FY 15 to FY 16 to pay for the Frontier Telecom November bill which has yet to be settled.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3,327	1,100,665,799	3,327	1,100,665,799	0	0	0	0
Current Services	0	50,289,189	0	84,003,345	0	0	0	0
Policy Revisions	(9)	(66,558,503)	(9)	(67,780,411)	13	19,985,106	13	29,251,962
Total Recommended - GF	3,318	1,084,396,485	3,318	1,116,888,733	13	19,985,106	13	29,251,962

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$903,816, a Statewide Hiring Reduction of \$4,041,557, a General Employee Lapse of \$238,923, and Overtime Savings of \$2,037,023. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$309,291. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	262,989,799	(7,086,079)	255,903,720	2.69%
Other Expenses	20,619,455	(371,034)	20,248,421	1.80%
Cooperative Placements Program	24,544,841	(73,497)	24,471,344	0.30%

Department of Mental Health and Addiction Services

MHA53000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3,309	3,309	3,438	3,438	3,438	3,438

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	179,941,338	192,414,701	205,578,670	208,141,328	205,578,670	208,141,328
Other Expenses	29,836,996	28,570,424	28,716,563	28,752,852	28,716,563	28,752,852
Equipment	0	1	0	0	0	0
Other Current Expenses						
Housing Supports and Services	15,831,798	20,721,576	23,221,576	24,221,576	23,221,576	24,221,576
Managed Service System	52,594,416	59,034,913	61,471,758	61,618,442	62,596,523	62,743,207
Legal Services	995,819	995,819	546,029	546,029	995,819	995,819
Connecticut Mental Health Center	8,664,154	8,865,721	7,637,002	7,637,002	8,398,341	8,509,163
Professional Services	13,032,507	11,788,898	11,488,898	11,488,898	11,488,898	11,488,898
General Assistance Managed Care	114,792,045	40,774,875	41,991,862	43,075,573	41,991,862	43,075,573
Workers' Compensation Claims	11,990,126	10,594,566	11,990,126	11,990,126	11,792,289	11,792,289
Nursing Home Screening	591,645	591,645	591,645	591,645	591,645	591,645
Young Adult Services	69,605,486	74,537,055	80,206,667	85,961,827	80,206,667	85,961,827
TBI Community Services	12,556,715	16,641,445	10,400,667	10,412,737	10,400,667	10,412,737
Jail Diversion	4,395,579	4,504,601	4,595,351	4,617,881	4,595,351	4,617,881
Behavioral Health Medications	5,705,547	6,169,095	5,860,641	5,860,641	5,783,527	5,860,641
Prison Overcrowding	6,591,995	6,699,982	6,330,189	6,352,255	6,330,189	6,352,255
Medicaid Adult Rehabilitation Option	4,803,175	4,803,175	4,816,334	4,803,175	4,816,334	4,803,175
Discharge and Diversion Services	17,408,589	20,062,660	24,447,924	27,347,924	24,447,924	27,347,924
Home and Community Based Services	9,104,716	16,032,096	20,566,913	26,901,275	19,612,854	25,947,617
Persistent Violent Felony Offenders Act	669,664	675,235	500,000	500,000	675,235	675,235
Nursing Home Contract	422,989	485,000	485,000	485,000	485,000	485,000
Pre-Trial Account	349,997	775,000	0	0	689,750	699,437
Other Than Payments to Local Governments						
Grants for Substance Abuse Services	20,596,092	17,567,934	17,567,934	17,567,934	22,667,934	22,667,934
Grants for Mental Health Services	66,134,709	58,909,714	58,325,041	58,325,041	72,280,480	73,780,480
Employment Opportunities	10,522,204	10,522,428	10,417,204	10,417,204	10,417,204	10,417,204
Nonfunctional - Change to Accruals	1,216,622	2,201,244	0	0	0	0
Agency Total - General Fund	658,354,923	614,939,803	637,753,994	657,616,365	658,781,302	680,341,697
Managed Service System	435,000	435,000	435,000	435,000	435,000	435,000
Agency Total - Insurance Fund	435,000	435,000	435,000	435,000	435,000	435,000
Total - Appropriated Funds	658,789,923	615,374,803	638,188,994	658,051,365	659,216,302	680,776,697
Additional Funds Available						
Carry Forward Funding	0	0	0	0	59,148	0
Federal Funds	46,119,352	44,502,795	42,567,798	42,055,768	42,567,798	42,055,768
Private Contributions & Other Restricted	18,898,100	20,851,455	19,009,742	18,478,294	19,009,742	18,478,294
Agency Grand Total	723,807,375	680,729,053	699,766,534	718,585,427	720,852,990	741,310,759

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage and Compensation Related Cost

Personal Services	0	13,007,184	0	15,569,842	0	0	0	0
Managed Service System	0	536,845	0	683,529	0	0	0	0
General Assistance Managed Care	0	47,272	0	52,687	0	0	0	0
Young Adult Services	0	1,590,186	0	1,960,986	0	0	0	0
TBI Community Services	0	44,672	0	56,742	0	0	0	0
Jail Diversion	0	100,750	0	123,280	0	0	0	0
Prison Overcrowding	0	90,205	0	112,271	0	0	0	0
Home and Community Based Services	0	55,608	0	65,866	0	0	0	0
Total - General Fund	0	15,472,722	0	18,625,203	0	0	0	0

Governor

Provide funding of \$15,472,722 in FY 16 and \$18,625,203 in FY 17 to reflect current services wage-related adjustments such as annual increments, cost of living adjustments (COLAs) and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Authorized Position Count

Permanent Full-Time	66	0	66	0	0	0	0	0
Total - General Fund	66	0	66	0	0	0	0	0

Governor

Increase position count by 66 to reflect programmatic changes resulting from FY 14- FY 15 budget initiatives (Assertive Community Treatment teams and Behavioral Health Homes), which are supported by the Managed Service System line item.

Legislative

Same as Governor

Adjust Operating Expenses to reflect Current Requirements

Other Expenses	0	896,139	0	932,428	0	0	0	0
Professional Services	0	(300,000)	0	(300,000)	0	0	0	0
General Assistance Managed Care	0	67,749	0	0	0	0	0	0
Medicaid Adult Rehabilitation Option	0	13,159	0	0	0	0	0	0
Home and Community Based Services	0	50,687	0	0	0	0	0	0
Total - General Fund	0	727,734	0	632,428	0	0	0	0

Governor

Provide funding of \$727,734 in FY 16 and \$632,428 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include lease increases, leap year adjustments and various other adjustments based on FY 15 expenditures.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	733,075	0	1,647,072	0	0	0	0
Behavioral Health Medications	0	236,893	0	478,105	0	0	0	0
Total - General Fund	0	969,968	0	2,125,177	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses and Behavioral Health Medications by \$969,968 in FY 16 and an additional \$1,155,209 in FY 17 (for a cumulative total of \$2,125,177 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Fee for Service Increase

Medicaid Adult Rehabilitation Option	0	110,507	0	223,225	0	0	0	0
Total - General Fund	0	110,507	0	223,225	0	0	0	0

Background

Funds are to be used to support Mental Health Group Homes that are reimbursable through the Medicaid Rehabilitation Option program.

Governor

Provide funding of \$110,507 in FY 16 and \$223,225 in FY 17 to support the fee for service increase for the Medicaid Adult Rehabilitation Option line item.

Legislative

Same as Governor

Annualize Previous Year Partial Funding

Housing Supports and Services	0	500,000	0	500,000	0	0	0	0
Managed Service System	28	3,000,000	28	3,000,000	0	0	0	0
Total - General Fund	28	3,500,000	28	3,500,000	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

Governor

Provide funding of \$3.5 million in both FY 16 and FY 17 and 28 positions to reflect full year funding for the Governor's Mental Health Initiative as well as wrap around services for supportive housing units.

Legislative

Same as Governor

Update Expenditures for General Assistance Managed Care

General Assistance Managed Care	0	1,101,966	0	2,248,011	0	0	0	0
Total - General Fund	0	1,101,966	0	2,248,011	0	0	0	0

Background

Through a collaboration among the Department of Mental Health and Addiction Services and the Department of Social Services, recipients of General Assistance are assessed by behavioral health professionals for psychiatric illnesses and/or substance abuse problems and are referred to appropriate treatment. The agencies collaboratively manage all of the mental health and substance abuse care for these clients, including providing authorization and utilization review of the treatment as well as working with local providers to provide accessible services. Prior to the deappropriation of funding associated with the MCLIP program, formerly referred to as MLIA, approximately 80% of the appropriation supported the low-income Medicaid adult population.

Governor

Provide funding of \$1,101,966 in FY 16 and \$2,248,011 in FY 17 to support 4% caseload growth in the General Assistance Managed Care line item.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Update Expenditures for Workers' Compensation Claims

Workers' Compensation Claims	0	1,395,560	0	1,395,560	0	0	0	0
Total - General Fund	0	1,395,560	0	1,395,560	0	0	0	0

Governor

Provide funding of \$1,395,560 in both FY 16 and FY 17 to reflect anticipated funding requirements based on FY 15 expenditure levels.

Legislative

Same as Governor

Update Expenditures for Young Adult Services

Young Adult Services	23	6,771,606	23	12,155,966	0	0	0	0
Total - General Fund	23	6,771,606	23	12,155,966	0	0	0	0

Background

Special population funding was created for high-risk youths who are transitioning from the Department of Children and Families. These youth are diagnosed with high-risk behaviors, such as Pervasive Developmental Disorders, that require close community supervision along with specialized clinical programming. These specialized services include 24-hour supervision, case management, education, daily living and vocational training, behavior monitoring and sex offender treatment.

Governor

Provide funding of \$6,771,606 in FY 16 and \$12,155,966 in FY 17 and 23 positions for Young Adult Services to support the annualization of FY 15 caseload (\$1,387,246) as well as 50 new clients in each year.

Legislative

Same as Governor

Update Expenditures for Discharge and Diversion Services

Discharge and Diversion Services	0	4,385,264	0	7,285,264	0	0	0	0
Total - General Fund	0	4,385,264	0	7,285,264	0	0	0	0

Background

Discharge and Diversion Services support the transition of DMHAS clients from inpatient settings to various levels of care.

Governor

Provide funding of \$4,385,264 in FY 16 and \$7,285,264 in FY 17 to reflect the annualization of FY 15 expenditures (\$1,010,264) as well as support for 50 additional placements across both years.

Legislative

Same as Governor

Update Expenditures for Home and Community Based Services

Home and Community Based Services	0	4,548,284	0	10,723,075	0	(1,400,000)	0	(1,600,000)
Total - General Fund	0	4,548,284	0	10,723,075	0	(1,400,000)	0	(1,600,000)

Background

Home and Community Based Services assist individuals transitioning out of institutional settings and into community settings with appropriate supports. DMHAS, which receives a transfer from the Department of Social Services, provides housing subsidies to money follows the person clients as part of these services.

Governor

Provide funding of \$5,928,284 in FY 16 and \$12,323,075 in FY 17 to reflect the annualization of FY 15 caseload (\$2,802,622) as well as additional support for Mental Health Waiver and Money Follows the Person placements.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$5,048,284 and \$11,423,075 in FY 17 to reflect the annualization of updated FY 15 expenditures, as well as additional support for Mental Health Waiver and Money Follows the Person placements.

Update Expenditures for TBI Community Services

TBI Community Services	0	(3,000,000)	0	(3,000,000)	0	0	0	0
Total - General Fund	0	(3,000,000)	0	(3,000,000)	0	0	0	0

Background

Funds provide support to DMHAS clients with acquired or traumatic brain injuries.

Governor

Reduce funding by \$3 million in both FY 16 and FY 17 to reflect funding requirements based on FY 15 expenditures.

Legislative

Same as Governor

Transfer Funding for the Acquired Brain Injury Waiver to DSS

TBI Community Services	0	(3,085,450)	0	(3,085,450)	0	0	0	0
Total - General Fund	0	(3,085,450)	0	(3,085,450)	0	0	0	0

Governor

Transfer funding of \$3,085,450 to the Department of Social Services to reflect support for the Acquired Brain Injury Waiver (ABI Waiver II) in the administering agency.

Legislative

Same as Governor

Transfer Staff to Central Contracting Unit

Personal Services	7	555,211	7	555,211	0	0	0	0
Total - General Fund	7	555,211	7	555,211	0	0	0	0

Governor

Transfer funding of \$555,211 in both FY 16 and FY 17 and seven positions from the Department of Social Services (DSS) to support the central contracting unit under DMHAS. This transfer is related to bonding projects for DSS. The unit also services the Office of Early Childhood (OEC), the Department of Rehabilitative Services (DORS), Department of Housing (DOH), and State Department on Aging (SDA).

Legislative

Same as Governor

Policy Revisions**Reduce Funding for Managed Service System**

Managed Service System	0	(175,235)	0	(175,235)	0	(175,235)	0	(175,235)
Total - General Fund	0	(175,235)	0	(175,235)	0	(175,235)	0	(175,235)

Legislative

Reduce funding by \$175,235 in both FY 16 and FY 17 to reflect a reduction to the Managed Service System line item.

Provide Grants for Acute Care & Emergency Behavioral Health

Grants for Mental Health Services	0	1,500,000	0	3,000,000	0	1,500,000	0	3,000,000
Total - General Fund	0	1,500,000	0	3,000,000	0	1,500,000	0	3,000,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$1.5 million in FY 16 and \$3 million in FY 17 to support a new grant program for entities providing acute care and emergency behavioral health services. Section 355 of PA 15-5 JSS, a budget implementer, establishes the grant program and requires DMHAS to establish eligibility criteria as well as an application process.

Support Expiring IMD Pilot Beds at Natchaug Hospital

Managed Service System	0	1,200,000	0	1,200,000	0	1,200,000	0	1,200,000
Total - General Fund	0	1,200,000	0	1,200,000	0	1,200,000	0	1,200,000

Background

The Medicaid Emergency Psychiatric Demonstration, established under the Affordable Care Act to, aimed to test whether Medicaid programs could support higher quality care at a lower total cost by reimbursing private psychiatric hospitals for certain services. Historically, Medicaid does not reimburse psychiatric institutions, referred to in Medicaid as "institutions for mental disease" (IMDs) for services provided to Medicaid enrollees aged 21 to 64 (known as Medicaid's IMD exclusion. Chosen states were identified in 2012 and the program was scheduled to end December 2015; however, an April 2015 announcement stated the program would end early in June 2015.

Legislative

Provide funding of \$1.2 million in both FY 16 and FY 17 to support approximately 30 beds at Natchaug Hospital, previously supported via the Medicaid IMD pilot program.

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(197,837)	0	(197,837)	0	(197,837)	0	(197,837)
Total - General Fund	0	(197,837)	0	(197,837)	0	(197,837)	0	(197,837)

Legislative

Reduce funding by \$197,837 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Support Grants for Mental Health & Substance Abuse Services

Grants for Substance Abuse Services	0	5,100,000	0	5,100,000	0	5,100,000	0	5,100,000
Grants for Mental Health Services	0	11,900,000	0	11,900,000	0	11,900,000	0	11,900,000
Total - General Fund	0	17,000,000	0	17,000,000	0	17,000,000	0	17,000,000

Background

Funding for the DMHAS grant accounts was reduced in the FY 14 and FY 15 Biennial Budget to reflect the anticipated reduction in need for services for the under and uninsured as of January 1, 2014 due to the provisions of the Affordable Care Act (ACA). Funding was reduced by \$15,262,500 in FY 14 and \$25,525,000 in FY 15. Section 21 of PA 14-47, the FY 15 Revised Budget, transferred funding of \$10 million for Grants for Substance Abuse Services (\$3 million) and Grants for Mental Health Services (\$7 million) from the Tobacco Settlement Fund. Additionally, \$4.2 million was provided in the Department of Social Services (DSS) to increase Medicaid rates for outpatient mental health services. This rate increase has not yet been approved by the Centers for Medicare and Medicaid Services (CMS).

Legislative

Provide funding of \$17 million in both FY 16 and FY 17 to support Grants for Mental Health Services and Grants for Substance Abuse Services. The majority of funds are anticipated to support mental health outpatient services.

Provide Funding For Second Chance Society Initiatives

Housing Supports and Services	0	1,000,000	0	2,000,000	0	0	0	0
Total - General Fund	0	1,000,000	0	2,000,000	0	0	0	0

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$1 million in FY16 and \$2 million in FY 17 to support wrap-around services for 100 units of supportive housing in FY 16 and 200 units in FY 17.

Legislative

Same as Governor

Fund Wrap-Around Services for Chronically Homeless

Housing Supports and Services	0	1,000,000	0	1,000,000	0	0	0	0
Total - General Fund	0	1,000,000	0	1,000,000	0	0	0	0

Background

Zero: 2016 is the second step in a two-part national campaign to meet federal goals set by the President to eliminate chronic homelessness by 2016. Connecticut was among the four states whose application was selected for this initiative. The state is not required to provide matching funds.

Governor

Provide funding of \$1 million in both FY 16 and FY 17 to support wrap-around services for chronically homeless individuals.

Legislative

Same as Governor

Provide Funding for Latino Behavioral Health Services

Connecticut Mental Health Center	0	126,000	0	126,000	0	126,000	0	126,000
Total - General Fund	0	126,000	0	126,000	0	126,000	0	126,000

Legislative

Provide funding of \$126,000 in both FY 16 and FY 17 to support Latino behavioral health services at the Connecticut Mental Health Center (CMHC).

Reduce Funding for YAS Caseload Growth

Young Adult Services	0	(2,692,180)	0	(2,692,180)	0	0	0	0
Total - General Fund	0	(2,692,180)	0	(2,692,180)	0	0	0	0

Governor

Reduce funding by \$2,692,180 in both FY 16 and FY 17 for Young Adult Services caseload growth.

Legislative

Same as Governor

Adjust Funding for Connecticut Mental Health Center (CMHC)

Connecticut Mental Health Center	0	(39,272)	0	(39,272)	0	746,161	0	746,161
Total - General Fund	0	(39,272)	0	(39,272)	0	746,161	0	746,161

Background

Funds support a contract with Yale University for management and operation of the Connecticut Mental Health Center.

Governor

Reduce funding by \$785,433 in both FY 16 and FY 17 for the Connecticut Mental Health Center.

Legislative

Reduce funding by \$39,272 in both FY 16 and FY 17 to reflect a 5% reduction to the Connecticut Mental Health Center (CMHC).

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust the General Fund Pre-Trial Account Line Item

Pre-Trial Account	0	(36,812)	0	(36,813)	0	699,438	0	699,437
Total - General Fund	0	(36,812)	0	(36,813)	0	699,438	0	699,437

Background

The non-appropriated Pre-Trial Account supports alcohol and drug education programs, as well as the Governor's Partnership to Protect Connecticut's Workforce and Regional Action Councils (RACs). The General Fund line item was created in the FY 14-FY 15 Budget to supplement the non-appropriated account.

Governor

Reduce funding of \$736,250 in FY 16 and FY 17 to reflect the elimination of General Fund support for the Pre-Trial Account line item.

Legislative

Reduce funding by \$36,813 in both FY 16 and FY 17 to reflect a 5% reduction to the General Fund Pre-Trial Account line item.

Adjust Funding for the Gatekeeper Program

Home and Community Based Services	0	(71,816)	0	(71,816)	0	646,342	0	646,342
Total - General Fund	0	(71,816)	0	(71,816)	0	646,342	0	646,342

Background

The Gatekeeper Program is an evidence-based program that educates the community (such as postal workers and hairdressers.) to identify and refer older adults who may be in need of assistance to remain safe in their homes. The program is located in the five mental health regions across the state via four organizations.

Governor

Reduce funding by \$718,158 in both FY 16 and FY 17 to reflect the elimination of the Gatekeeper Program.

Legislative

Reduce funding by \$71,816 in both FY 16 and FY 17 to reflect a ten percent reduction to the Gatekeeper program.

Adjust Funding for Regional Mental Health Boards

Grants for Mental Health Services	0	(29,234)	0	(29,234)	0	555,439	0	555,439
Total - General Fund	0	(29,234)	0	(29,234)	0	555,439	0	555,439

Background

Regional Mental Health Boards are located in the five mental health regions across the state. They are known as the Southwest (Norwalk), South Central (Middletown), Eastern (Norwich), North Central (Newington), and Northwest (Waterbury) Regional Mental Health Boards. The boards involve community members in the mental health services provided by the Department of Mental Health and Addiction Services (DMHAS).

Governor

Reduce funding by \$584,673 in both FY 16 and FY 17 for regional mental health boards.

Legislative

Reduce funding by \$29,234 in both FY 16 and FY 17 to reflect a five percent reduction to the Regional Mental Health Boards.

Reduce Funding for Legal Services

Legal Services	0	0	0	0	0	400,000	0	400,000
Total - General Fund	0	0	0	0	0	400,000	0	400,000

Background

Funding is provided to the Connecticut Legal Rights Project, Inc. as a result of a consent order to provide legal advocacy services to the Department's inpatient facilities.

Governor

Reduce funding by \$400,000 in both FY 16 and FY 17 for Legal Services.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Maintain funding of \$400,000 in both FY 16 and FY 17 for Legal Services.

Reduce Funding for Prison Overcrowding

Prison Overcrowding	0	(359,998)	0	(359,998)	0	0	0	0
Total - General Fund	0	(359,998)	0	(359,998)	0	0	0	0

Governor

Reduce funding by \$359,998 in both FY 16 and FY 17 for Prison Overcrowding.

Legislative

Same as Governor

Adjust Funding for Pilot for Alcohol Dependent Individuals

Managed Service System	0	0	0	0	0	100,000	0	100,000
Total - General Fund	0	0	0	0	0	100,000	0	100,000

Background

The FY 14-FY 15 Budget provided funding to support services for alcohol dependent persons who are discharged from hospitals in the New Haven area, per section 74 of PA 13-247, the general government implementer. The program connects individuals with outpatient and community supports, including housing.

Governor

Reduce funding by \$100,000 in both FY 16 and FY 17 to reflect the elimination of funding for a pilot program for alcohol-dependent individuals.

Legislative

Maintain funding of \$100,000 in both FY 16 and FY 17 for a pilot program for alcohol-dependent individuals.

Adjust Funding for Persistent Violent Offenders

Persistent Violent Felony Offenders Act	0	0	0	0	0	175,235	0	175,235
Total - General Fund	0	0	0	0	0	175,235	0	175,235

Governor

Reduce funding by \$175,235 in both FY 16 and FY 17 to reflect funding a portion of the Persistent Violent Felony Offenders Act line item via Pre-Trial education receipts.

Legislative

Maintain the current funding source for the Persistent Violent Felony Offenders Act line item.

Transfer DVA's Substance Abuse Program to DMHAS

Personal Services	5	351,574	5	351,574	0	0	0	0
Total - General Fund	5	351,574	5	351,574	0	0	0	0

Governor

Transfer funding of \$351,574 and five positions in both FY 16 and FY 17 from the Department of Veterans' Affairs to reflect their Substance Abuse Program under DMHAS. The substance abuse program within the Department of Veterans Affairs deals with approximately 33-38 clients per day.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Remove Funding for Fee for Service Increase

Medicaid Adult Rehabilitation Option	0	(110,507)	0	(223,225)	0	0	0	0
Total - General Fund	0	(110,507)	0	(223,225)	0	0	0	0

Governor

Reduce funding by \$110,507 in FY 16 and \$223,225 in FY 17 to reflect the elimination of the fee for service increase under the Medicaid Adult Rehabilitation Option.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(750,000)	0	(750,000)	0	0	0	0
Other Expenses	0	(750,000)	0	(750,000)	0	0	0	0
Managed Service System	0	(1,000,000)	0	(1,000,000)	0	0	0	0
Legal Services	0	0	0	0	0	49,790	0	49,790
Connecticut Mental Health Center	0	(554,108)	0	(443,286)	0	(110,822)	0	0
TBI Community Services	0	(200,000)	0	(200,000)	0	0	0	0
Jail Diversion	0	(10,000)	0	(10,000)	0	0	0	0
Behavioral Health Medications	0	(385,568)	0	(308,454)	0	(77,114)	0	0
Prison Overcrowding	0	(100,000)	0	(100,000)	0	0	0	0
Home and Community Based Services	0	(1,002,005)	0	(801,604)	0	(200,401)	0	0
Pre-Trial Account	0	(48,438)	0	(38,750)	0	(9,688)	0	0
Employment Opportunities	0	(105,224)	0	(105,224)	0	0	0	0
Total - General Fund	0	(4,905,343)	0	(4,507,318)	0	(348,235)	0	49,790

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$4,557,108 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$4,507,318 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$398,025 in FY 16. Funding for Legal Services is maintained.

Eliminate Inflationary Increases

Other Expenses	0	(733,075)	0	(1,647,072)	0	0	0	0
Behavioral Health Medications	0	(236,893)	0	(478,105)	0	0	0	0
Total - General Fund	0	(969,968)	0	(2,125,177)	0	0	0	0

Governor

Reduce funding by \$969,968 in FY 16 and \$2,125,177 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,201,244)	0	(2,201,244)	0	0	0	0
Total - General Fund	0	(2,201,244)	0	(2,201,244)	0	0	0	0

Governor

Reduce funding by \$2,201,244 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Obtain Equipment Through CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward Other Expenses Funding

Other Expenses	0	59,148	0	0	0	59,148	0	0
Total - Carry Forward Funding	0	59,148	0	0	0	59,148	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$59,148 is carried forward for the Frontier Telecom November bill.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3,309	614,939,803	3,309	614,939,803	0	0	0	0
Current Services	124	33,453,372	124	53,383,670	0	(1,400,000)	0	(1,600,000)
Policy Revisions	5	10,388,127	5	12,018,224	0	22,427,308	0	24,325,332
Total Recommended - GF	3,438	658,781,302	3,438	680,341,697	0	21,027,308	0	22,725,332
Governor Estimated - IF	0	435,000	0	435,000	0	0	0	0
Total Recommended - IF	0	435,000	0	435,000	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$1,613,193, a Statewide Hiring Reduction of \$4,345,953, a General Employee Lapse of \$480,953, and Overtime Savings of \$2,065,680. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$607,632. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	205,578,670	(7,541,984)	198,036,686	3.67%
Other Expenses	28,716,563	(524,522)	28,192,041	1.83%
Managed Service System	62,596,523	(195,524)	62,400,999	0.31%
General Assistance Managed Care	41,991,862	(134,273)	41,857,589	0.32%
Workers' Compensation Claims	11,792,289	(176,884)	11,615,405	1.50%
Young Adult Services	80,206,667	(389,603)	79,817,064	0.49%
TBI Community Services	10,400,667	(31,144)	10,369,523	0.30%
Jail Diversion	4,595,351	(16,167)	4,579,184	0.35%
Behavioral Health Medications	5,783,527	(17,318)	5,766,209	0.30%
Prison Overcrowding	6,330,189	(21,684)	6,308,505	0.34%
Home and Community Based Services	19,612,854	(64,308)	19,548,546	0.33%

Psychiatric Security Review Board

PSR56000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3	3	3	3	3	3

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	238,679	252,955	261,587	262,916	261,587	262,916
Other Expenses	31,080	31,079	29,525	29,525	29,136	29,525
Equipment	0	1	0	0	0	0
Nonfunctional - Change to Accruals	698	1,242	0	0	0	0
Agency Total - General Fund	270,457	285,277	291,112	292,441	290,723	292,441

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	12,632	0	13,961	0	0	0	0
Total - General Fund	0	12,632	0	13,961	0	0	0	0

Governor

Provide funding of \$12,632 in FY 16 and \$13,961 in FY 17 to reflect current services wage-related adjustments such as annual increments, cost of living adjustments, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	550	0	1,253	0	0	0	0
Total - General Fund	0	550	0	1,253	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Provide funding of \$550 in FY 16 and an additional \$703 in FY 17 (for a cumulative total of \$1,253 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Eliminate Inflationary Increases

Other Expenses	0	(550)	0	(1,253)	0	0	0	0
Total - General Fund	0	(550)	0	(1,253)	0	0	0	0

Governor

Reduce various accounts by \$550 in FY 16 and \$1,253 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Rollout FY 15 Rescissions

Personal Services	0	(4,000)	0	(4,000)	0	0	0	0
Other Expenses	0	(1,943)	0	(1,554)	0	(389)	0	0
Total - General Fund	0	(5,943)	0	(5,554)	0	(389)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$5,554 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Obtain Equipment through CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,242)	0	(1,242)	0	0	0	0
Total - General Fund	0	(1,242)	0	(1,242)	0	0	0	0

Governor

Reduce funding by \$1,242 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3	285,277	3	285,277	0	0	0	0
Current Services	0	13,182	0	15,214	0	0	0	0
Policy Revisions	0	(7,736)	0	(8,050)	0	(389)	0	0
Total Recommended - GF	3	290,723	3	292,441	0	(389)	0	0

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$776 and a General Employee Lapse of \$2,443. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$437. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	261,587	(3,219)	258,368	1.23%
Other Expenses	29,136	(437)	28,699	1.50%

Department of Transportation

DOT57000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - TF	3,085	3,188	3,282	3,355	3,279	3,353

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	158,709,484	165,908,804	178,994,582	183,129,519	177,942,169	181,396,243
Other Expenses	61,634,289	53,569,517	56,309,517	56,409,517	56,169,517	56,169,517
Equipment	1,873,962	1,336,113	2,419,007	1,327,886	1,629,076	1,423,161
Minor Capital Projects	580,538	449,639	475,000	475,000	449,639	449,639
Highway and Bridge Renewal- Equipment	6,434,180	0	0	0	0	0
Other Current Expenses						
Highway Planning And Research	2,751,406	3,246,823	3,246,823	3,246,823	3,246,823	3,246,823
Rail Operations	143,267,416	172,279,937	181,871,446	168,262,955	181,071,446	167,262,955
Bus Operations	147,390,226	146,972,169	152,681,619	157,914,575	150,802,948	155,410,904
Highway and Bridge Renewal	4,430,715	0	0	0	0	0
Tweed-New Haven Airport Grant	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
ADA Para-transit Program	30,852,218	32,935,449	34,928,044	37,041,190	34,928,044	37,041,190
Non-ADA Dial-A-Ride Program	576,361	576,361	576,361	576,361	576,361	576,361
Pay-As-You-Go Transportation Projects	14,920,014	19,700,000	32,822,153	32,839,106	29,572,153	29,589,106
CAA Related Funds	0	3,272,322	3,272,322	3,272,322	3,272,322	3,000,000
Air Service Expansion	0	0	5,000,000	0	0	0
Port Authority	0	0	119,506	239,011	119,506	239,011
Transit Corridor Development Authority	0	0	0	100,000	0	0
Plow Truck Fleet	0	0	10,000,000	0	0	0
Other Than Payments to Local Governments						
Emergency Relief - Town Repairs	(45,753)	0	0	0	0	0
Nonfunctional - Change to Accruals	3,079,304	2,015,215	0	0	0	0
Agency Total - Special Transportation Fund	577,954,361	603,762,349	664,216,380	646,334,265	641,280,004	637,304,910
Additional Funds Available						
Carry Forward Transportation Fund	0	0	0	0	19,252,897	0
Agency Grand Total	577,954,361	603,762,349	664,216,380	646,334,265	660,532,901	637,304,910

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect the FY 15 Deficiency

Rail Operations	0	(20,000,000)	0	(20,000,000)	0	0	0	0
Total - Special Transportation Fund	0	(20,000,000)	0	(20,000,000)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Section 52 of PA 15-244, the FY 16 and FY 17 budget, provides General Fund FY 15 deficiency appropriations of \$121.7 million. In Section 53, these deficiency appropriations are offset by appropriation reductions of \$121.7 million; resulting in no net impact to the General Fund. Section 54 provides Transportation Fund FY 15 deficiency appropriations of \$20 million. PA 15-244 includes \$18 million in deficiency funding in FY 15 for this agency which consists of \$13.6 million in the Personal Services account and \$4.4 million in the Rail Operations account. This funding is required due to: (1) higher than budget overtime costs for storms and the March 5, 2015 FAC transfer of \$10.7 million from Personal Services to Other Expenses for snow and ice removal (2) a retroactive wage settlement between Metro North and Metro North's union workers and (3) safety initiative improvements to the New Haven Line.

Governor

Remove retroactive wage settlement costs and current year safety initiative costs to the New Haven rail line. Ongoing funding requirements for these obligations are reflected in the explanation below.

Legislative

Same as Governor

Increase Funding for Rail Operation Expenses

Rail Operations	0	27,261,146	0	13,448,136	0	(800,000)	0	(1,000,000)
Total - Special Transportation Fund	0	27,261,146	0	13,448,136	0	(800,000)	0	(1,000,000)

Background

The Rail Operations account is used for the operating subsidy for rail passenger and freight service on the Shore Line East rail line and the Metro North run New Haven line which consists of the New Canaan, Danbury and Waterbury branches lines. Currently DOT has a contract with the Metro North railroad to pay 65% of the operating deficit.

Governor

Provide funding of \$28,061,146 in FY 16 and \$14,448,136 in FY 17 for rail operation expenses.

Legislative

Provide funding of \$27,261,146 in FY 16 and \$13,448,136 in FY 17 for rail operation expenses. These expenses consist of: (1) a union wage settlement agreement with Metro North, (2) increased operating costs on the New Haven line, (3) increased operating costs on the Shore Line East Rail Line, and (4) safety and maintenance improvements on Metro North.

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	8,563,148	0	9,949,360	0	0	0	0
Rail Operations	0	30,363	0	34,882	0	0	0	0
Bus Operations	0	1,354	0	1,670	0	0	0	0
Pay-As-You-Go Transportation Projects	0	122,153	0	139,106	0	0	0	0
Total - Special Transportation Fund	0	8,717,018	0	10,125,018	0	0	0	0

Governor

Provide funding of \$8,717,018 in FY 16 and \$10,125,018 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Increase Funding for ADA Para Transit Costs

ADA Para-transit Program	0	1,992,595	0	4,105,741	0	0	0	0
Total - Special Transportation Fund	0	1,992,595	0	4,105,741	0	0	0	0

Background

The Americans with Disability Act (ADA) Para Transit Program is designed to meet the ADA act service criteria established by the Federal government to provide transportation services for disabled persons in all areas with local fixed transit routes. Service is provided only to individuals found eligible by a Connecticut regional ADA service provider.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$1,992,595 in FY16 and \$4,105,741 in FY17 to reflect an annual 6% growth to the ADA Para Transit program.

Legislative

Save as Governor.

Increase Funding for Bus Operations

Bus Operations	0	6,829,425	0	11,437,065	0	0	0	0
Total - Special Transportation Fund	0	6,829,425	0	11,437,065	0	0	0	0

Background

The Job Access Program is a Federal grant that provides public transportation for the welfare-to-work effort to access jobs that are not reachable through existing fixed bus routes and schedules. In 2013 the Federal grant was eliminated as of July 1, 2015.

Governor

Provide funding of \$6,829,425 in FY 16 and \$11,437,065 in FY 17 for increased operational costs for transit services. The increased expenses are for: (1) subsidizing CT Fastrak, (2) increased operational costs for CT Transit and (3) the Jobs Access Program.

Legislative

Same as Governor.

Increase Funding for Road Salt

Other Expenses	0	1,950,000	0	1,950,000	0	0	0	0
Total - Special Transportation Fund	0	1,950,000	0	1,950,000	0	0	0	0

Background

The Department of Transportation (DOT) road salt funding level is determined based on the tons required for an average winter multiplied by the current (FY 15) price per ton, which increased by 24% since FY 14.

Governor

Provide funding of \$1,950,000 in both FY 16 and FY 17 to reflect the increase in the average winter usage of road salt by DOT.

Legislative

Same as Governor

Annualize Previous Year Positions

Personal Services	0	870,130	0	870,130	0	0	0	0
Total - Special Transportation Fund	0	870,130	0	870,130	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year. In FY 15, the Department of Transportation was provided funding for engineer and transit oriented development positions as of January 1, 2015 for a Long Term Expanded Capital Program.

Governor

Provide funding of \$870,130 in both FY 16 and FY 17 to reflect full year funding for 53 positions.

Legislative

Same as Governor

Reduce Various Accounts to Reflect Current Requirements

Other Expenses	0	(300,000)	0	(300,000)	0	0	0	0
Equipment	0	(265,000)	0	(265,000)	0	0	0	0
Total - Special Transportation Fund	0	(565,000)	0	(565,000)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$265,000 in both FY 16 and FY 17 in the Equipment account to reflect a decrease in anticipated expenditure requirements. These reductions include: (1) \$130,000 for OSHA compliance and (2) \$135,000 for new and replacement equipment. Reduce funding by \$300,000 in both FY 16 and FY 17 in the Other Expenses account to reflect a decrease in expenditure requirements for software and new/replacement equipment.

Legislative

Same as Governor

Adjust Funding for Equipment Requirements

Equipment	0	0	0	0	0	(251,894)	0	(256,773)
Total - Special Transportation Fund	0	0	0	0	0	(251,894)	0	(256,773)

Governor

Provide funding of \$251,894 in FY 16 and \$256,773 in FY 17 for the staggered purchase of information technology equipment for Department of Transportation employees.

Legislative

Do not provide funding for information technology equipment.

Adjust Funding for Minor Capital Program

Minor Capital Projects	0	0	0	0	0	(25,361)	0	(25,361)
Total - Special Transportation Fund	0	0	0	0	0	(25,361)	0	(25,361)

Background

The Department of Transportation (DOT) Minor Capital Program account uses funding for minor capital improvements to DOT's approximately 100 employee-occupied buildings and the Department's additional 200 plus support facilities.

Governor

Provide additional funding of \$25,361 in both FY 16 and FY 17 for minor capital improvements to the Department of Transportation's facilities.

Legislative

Do not provide additional funding for minor capital improvements.

Adjust Funding for Heating Oil Costs

Other Expenses	0	0	0	0	0	(150,000)	0	(150,000)
Total - Special Transportation Fund	0	0	0	0	0	(150,000)	0	(150,000)

Governor

Provide additional funding of \$150,000 in both FY 16 and FY 17 for heating oil in various Department of Transportation facilities.

Legislative

Do not provide additional funding for heating oil.

Increase Funding for Winter Storm Contractor Costs

Other Expenses	0	850,000	0	850,000	0	0	0	0
Total - Special Transportation Fund	0	850,000	0	850,000	0	0	0	0

Background

Winter contractor trucks are used by the Department of Transportation (DOT) when additional trucks are needed to clear roads and highways during major snow events. The contractor costs are based on usage during an average winter and the current projected cost per truck per hour.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$850,000 in both FY 16 and FY 17 for increased winter contractor truck costs.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	1,345,055	0	2,794,680	0	0	0	0
Total - Special Transportation Fund	0	1,345,055	0	2,794,680	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in the Other Expenses account by \$1,345,055 in FY 16 and an additional \$1,449,625 in FY 17 (for a cumulative total of \$2,794,680 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Reduce Funding for the Connecticut Airport Authority**

CAA Related Funds	0	0	0	(272,322)	0	0	0	(272,322)
Total - Special Transportation Fund	0	0	0	(272,322)	0	0	0	(272,322)

Background

P.A. 11-84, created the Connecticut Airport Authority (CAA). The CAA was established in July 2011 to develop, improve and operate Bradley International Airport and the state's five general aviation airports (Danielson, Groton-New London, Hartford-Brainard, Waterbury-Oxford, and Windham airports).

Legislative

Reduce funding by \$272,322 in FY 17 for the Connecticut Airport Authority.

Provide Funding for Groton/New London Water Taxi

Other Expenses	0	100,000	0	100,000	0	100,000	0	100,000
Total - Special Transportation Fund	0	100,000	0	100,000	0	100,000	0	100,000

Legislative

Provide funding of \$100,000 in both FY 16 and FY 17 for operating expenses to connect the cities of Groton and New London at the Thames River Heritage Park.

Increase Staffing for The Let's Go CT! Initiative

Personal Services	89	2,600,087	163	4,667,949	(3)	(1,052,413)	(2)	(1,733,276)
Equipment	0	557,963	0	352,048	0	(538,037)	0	352,048
Total - Special Transportation Fund	89	3,158,050	163	5,019,997	(3)	(1,590,450)	(2)	(1,381,228)

Background

Let's Go CT! is the Governor's proposed 30 year initiative for the future of transportation infrastructure in Connecticut. Included in this initiative is a ramp up transportation capital plan totaling \$2.8 billion over five years and funding of \$3.7 million in FY 16 for 92 positions and \$6.4 million in FY 17 for an additional 73 positions.

Governor

Provide funding of \$3,652,500 in the Personal Services account for 92 positions and funding of \$1,096,000 in the Equipment account in FY 16 and \$6,401,225 for 73 positions in FY 17 to reflect the roll out of the Let's Go CT! Initiative. The positions consist of: (1) 45 engineers FY 16 and 37 in FY 17, (2) 8 property agents in FY 16 and 2 in FY 17 (3) 3 accountants in FY 16, (4) 2 secretary's in FY 16, (5) 31 maintainers in FY 16 and 32 in FY 17, (6) 2 supervisors in FY 16 and 1 in FY 17 and (7) 1 planner in both FY 16 and FY 17.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Provide funding of \$3,158,050 for 89 positions in FY 16 and \$5,019,997 for 74 positions in FY 17 to reflect the roll out of the Let's Go CT! Initiative. The positions include: (1) 45 engineers in FY 16 and 37 in FY 17, (2) 7 property agents in FY 16 and 3 in FY 17 (3) 31 maintainers in FY 16 and 32 in FY 17 (4) 1 planner in FY 16 and FY 17 (5) 2 supervisors in FY 16 and 1 in FY 17, (6) 1 secretary in FY 16 and (7) 2 accountants in FY 16. In FY 16, 44 positions are funded as of July 1, 2015 and 45 positions are funded as of January 1, 2016. In FY 17, 38 positions are funded as of July 1, 2016 and 36 positions are funded as of January 1, 2017.

Reflect Federal Subsidy for CT Fastrak

Bus Operations	0	(3,000,000)	0	(3,000,000)	0	0	0	0
Total - Special Transportation Fund	0	(3,000,000)	0	(3,000,000)	0	0	0	0

Background

The Congesting Mitigation and Air Quality Improvement Program (CMAQ) was created under the Intermodal Surface Transportation Efficiency Act of 1991. The purpose of the CMAQ program is to fund transportation projects or programs that will contribute to attainment or maintenance of the National Ambient Air Quality Standards (NAAQS) for ozone, carbon monoxide, and particulate matter. The CMAQ program supports two goals of the U.S. Department of Transportation: (1) improving air quality and (2) relieving congestion.

Governor

Reduce funding for the operations of CT Fastrak by \$3 million in both FY 16 and FY 17 due to project eligibility for Congestion Mitigation Air Quality Federal funding.

Legislative

Same as Governor

Increase Funding for Tree Trimming

Rail Operations	0	1,500,000	0	1,500,000	0	0	0	0
Total - Special Transportation Fund	0	1,500,000	0	1,500,000	0	0	0	0

Governor

Provide funding of \$1,500,000 in both FY 16 and FY 17 for an expanded tree trimming program around the state's two rail lines (New Haven and Shore Line East).

Legislative

Same as Governor

Increase Funding for Bridge Maintenance and Rehabilitation

Pay-As-You-Go Transportation Projects	0	9,750,000	0	9,750,000	0	(3,250,000)	0	(3,250,000)
Total - Special Transportation Fund	0	9,750,000	0	9,750,000	0	(3,250,000)	0	(3,250,000)

Background

The Pay As You Go Transportation Projects account is primarily used for bridge and highway maintenance, bridge inspections and the operation of the Department of Transportation's (DOT) Incident Management Centers and CHAMP program. The Connecticut Highway Assistance Motorist Patrol Program (CHAMP) is a roadway service operated by DOT on I-84, Route 15 and I-95. CHAMP provides motorist assistance such as changing flat tires, providing fuel, clearing roads after motor vehicle accidents and other motor vehicle support. The Highway Operation Centers in Bridgeport and Newington inform state police of accidents, and medical and fire emergencies on the state highways.

Governor

Provide funding of \$13,000,000 in FY 16 and FY 17 for increased bridge maintenance and rehabilitation throughout the state.

Legislative

Provide funding of \$9,750,000 in FY 16 and FY 17 for bridge maintenance and rehabilitation.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Maintain Transportation for Employment Independence in DSS

Bus Operations	0	0	0	0	0	(1,878,671)	0	(1,878,671)
Total - Special Transportation Fund	0	0	0	0	0	(1,878,671)	0	(1,878,671)

Background

The Transportation for Employment Independence program is run by the Department of Social Services (DSS) is intended to assist Temporary Family Assistance (TFA) and other TFA eligible adults with transportation services needed to reach self-sufficiency through work and work-related activities. The Department of Transportation ridership surveys (self-reported) have indicated that only 20% of riders under this program receive TFA or are TFA eligible. As a result, funding for the program is eliminated within DSS. TFA recipients that are active in the Department of Labor's Jobs First Employment Services (JFES) program will continue to have access to bus passes and other transportation supports under JFES. Under DSS, the program appropriation is \$2,402,238.

Governor

Provide funding of \$1,878,681 in both FY 16 and FY 17 to maintain the bus routes that were previously funded through the Department of Social Services.

Legislative

Maintain the Transportation for Employment Independence program within the Department of Social Services.

Adjust Funding to Expand Air Service At Bradley Airport

Air Service Expansion	0	0	0	0	0	(5,000,000)	0	0
Total - Special Transportation Fund	0	0	0	0	0	(5,000,000)	0	0

Background

PA 11-84, created the Connecticut Airport Authority (CAA). The CAA was established in July 2011 to develop, improve and operate Bradley International Airport and the state's five general aviation airports (Danielson, Groton-New London, Hartford-Brainard, Waterbury-Oxford, and Windham airports).

Governor

Provide \$5 million in FY 16 for a subsidy to the Connecticut Airport Authority to expand air service from Bradley International Airport by partnering with airlines to establish new flyable routes.

Legislative

Do not provide funding in FY 16 to the Connecticut Airport Authority to expand air service from Bradley International Airport.

Provide Funding to Administer the New Port Authority

Port Authority	2	119,506	2	239,011	0	0	0	0
Total - Special Transportation Fund	2	119,506	2	239,011	0	0	0	0

Background

PA14-222, created the Connecticut Port Authority as a quasi-public agency to coordinate the development of Connecticut's ports. The act required the Department of Economic and Community Development after consulting with specified agencies, to (1) develop a plan to move the (a) Connecticut Maritime Commission and (b) Department of Transportation's (DOT) maritime functions to the Port Authority and (2) review and recommend state policies affecting the ports. Currently, DOT's state maritime office is responsible for maritime operations and staffs the Maritime Commission.

Governor

Provide funding of \$119,506 in FY 16 and \$239,011 in FY 17 for two positions beginning January 1, 2016 for the Port Authority. This funding will be used for 1 executive director at \$180,000 and one secretary at \$59,011.

Legislative

Same as Governor.

Adjust Funding for Transit Corridor Development Authority

Transit Corridor Development Authority	0	0	0	0	0	0	0	(100,000)
Total - Special Transportation Fund	0	0	0	0	0	0	0	(100,000)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The purposes of the Connecticut Transit Corridor Development Authority is to coordinate economic development within one-half mile of passenger rail or bus rapid transit stations by: (1) stimulating new investment, economic and transit-oriented development within development districts and (2) stimulating tourism, art, culture, history, education and entertainment in such development districts through cooperation and coordination within municipalities and regional organizations.

Governor

Provide funding of \$100,000 in FY 17 to support operations of the new Transit Corridor Development Authority.

Legislative

Do not provide funding in FY 17 for the Connecticut Transit Corridor Development Authority.

Adjust Funding for New Plow Trucks to Bonding

Plow Truck Fleet	0	0	0	0	0	(10,000,000)	0	0
Total - Special Transportation Fund	0	0	0	0	0	(10,000,000)	0	0

Background

The Department of Transportation has approximately 630 trucks in its fleet with a 12 year useful lifecycle.

Governor

Increase funding of \$10 million in FY 16 for additional plow trucks.

Legislative

Do not provide appropriations for new plow trucks. Sec. 40(a)(10) of PA 15-1, the FY 16 and FY 17 bond bill, authorizes \$10 million in FY 16 for new plow trucks.

Adjust Funding for Snow Removal

Other Expenses	0	0	0	0	0	(90,000)	0	(190,000)
Total - Special Transportation Fund	0	0	0	0	0	(90,000)	0	(190,000)

Background

The CT Fastrak (New Britain to Hartford Busway) is a 9.4 mile dedicated roadway for bus transit between New Britain and Hartford, which began operation in March 2015

Governor

Provide funding of \$90,000 in FY 16 and \$190,000 in FY 17 for rental trucks to perform snow removal on CT Fastrak.

Legislative

Do not provide funding for rental trucks to perform snow removal on CT Fastrak.

Adjust Funding for Bus Service

Bus Operations	0	0	0	0	0	0	0	(625,000)
Total - Special Transportation Fund	0	0	0	0	0	0	0	(625,000)

Background

Connecticut Transit (CTTRANSIT) is the Department of Transportation's (DOT) owned bus service. Several companies under contract to DOT operate services in metropolitan areas throughout Connecticut which include Hartford, New Haven, Stamford, Waterbury, New Britain, Bristol, Meriden and Wallingford.

Governor

Provide funding of \$625,000 in FY17 for half year funding to expand CT Transit bus service routes. A study will be conducted in FY 16 in order to determine the best strategic approach to the expansion.

Legislative

Do not provide funding for bus service expansion.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(1,345,055)	0	(2,794,680)	0	0	0	0
Total - Special Transportation Fund	0	(1,345,055)	0	(2,794,680)	0	0	0	0

Governor

Reduce various accounts by \$1,345,055 in FY 16 and \$2,794,680 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,015,215)	0	(2,015,215)	0	0	0	0
Total - Special Transportation Fund	0	(2,015,215)	0	(2,015,215)	0	0	0	0

Governor

Reduce funding by \$2,015,215 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Carry Forward**Carry Forward Funding for Various Projects**

Other Expenses	0	168,850	0	0	0	168,850	0	0
Equipment	0	51,255	0	0	0	51,255	0	0
Minor Capital Projects	0	252,151	0	0	0	252,151	0	0
Highway Planning And Research	0	1,821,236	0	0	0	1,821,236	0	0
Rail Operations	0	4,400,000	0	0	0	4,400,000	0	0
Pay-As-You-Go Transportation Projects	0	11,687,613	0	0	0	11,687,613	0	0
Emergency Relief - Town Repairs	0	871,792	0	0	0	871,792	0	0
Total - Carry Forward Transportation Fund	0	19,252,897	0	0	0	19,252,897	0	0

Legislative

Pursuant to CGS 4-89(b) and (e) and 13a-175j funding of \$19,252,897 is carried forward from FY 15 into FY 16 for ongoing Department of Transportation (DOT) operations.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - TF	3,188	603,762,349	3,188	603,762,349	0	0	0	0
Current Services	0	29,250,369	0	25,015,770	0	(1,227,255)	0	(1,432,134)
Policy Revisions	91	8,267,286	165	8,526,791	(3)	(21,709,121)	(2)	(7,597,221)
Total Recommended - TF	3,279	641,280,004	3,353	637,304,910	(3)	(22,936,376)	(2)	(9,029,355)

Department of Social Services

DSS60000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	1,982	1,982	1,947	1,947	1,975	1,976

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	117,465,832	133,576,093	133,204,508	131,516,031	134,527,508	133,178,052
Other Expenses	122,377,752	128,408,621	148,127,650	155,200,842	148,435,174	155,619,366
Equipment	0	1	0	0	0	0
Other Current Expenses						
HUSKY Performance Monitoring	208,050	208,050	0	0	182,043	187,245
HUSKY Information and Referral	159,393	0	0	0	0	0
Genetic Tests in Paternity Actions	87,060	181,585	122,506	122,506	120,236	122,506
State-Funded Supplemental Nutrition Assistance Program	659,153	725,059	483,100	460,800	483,100	460,800
HUSKY B Program	29,050,736	28,036,000	33,690,000	36,250,000	6,550,000	4,350,000
Charter Oak Health Plan	6,279,878	0	0	0	0	0
Other Than Payments to Local Governments						
Medicaid	2,451,456,880	2,399,268,579	2,446,290,000	2,505,490,000	2,468,415,500	2,542,788,000
Old Age Assistance	36,631,129	38,849,252	37,636,440	37,779,320	37,944,440	38,347,320
Aid To The Blind	712,183	755,251	743,550	741,289	750,550	755,289
Aid To The Disabled	60,395,812	63,838,417	60,387,585	60,134,440	61,115,585	61,475,440
Temporary Assistance to Families - TANF	107,076,327	107,458,614	102,625,380	102,058,030	99,425,380	98,858,030
Emergency Assistance	0	1	1	1	1	1
Food Stamp Training Expenses	4,898	12,000	11,400	11,400	11,250	11,400
CT Pharmaceutical Assistance Contract to the Elderly	(461,075)	0	0	0	0	0
Healthy Start	1,430,311	1,430,311	0	0	1,251,522	1,287,280
DMHAS-Disproportionate Share	108,935,000	108,935,000	108,935,000	108,935,000	108,935,000	108,935,000
Connecticut Home Care Program	44,499,385	48,024,196	41,230,000	38,040,000	43,430,000	40,590,000
Human Resource Development-Hispanic Programs	965,739	945,739	0	0	886,630	898,452
Protective Services to the Elderly	367,621	324,737	476,599	478,300	476,599	478,300
Safety Net Services	2,681,422	2,814,792	885,358	705,452	2,462,943	2,533,313
Transportation for Employment Independence Program	3,028,670	2,528,671	0	0	0	0
Refunds Of Collections	109,132	150,000	112,500	112,500	110,625	112,500
Services for Persons With Disabilities	572,907	602,013	353,865	353,865	526,762	541,812
Child Care Services-TANF/CCDBG	96,451,647	0	0	0	0	0
Nutrition Assistance	473,875	479,666	329,637	302,811	449,687	455,683
Housing/Homeless Services	5,210,676	5,210,676	4,826,384	4,698,287	0	0
State Administered General Assistance	19,025,610	18,966,800	22,342,040	24,005,550	23,154,540	24,818,050
Child Care Quality Enhancements	563,286	0	0	0	0	0
Connecticut Children's Medical Center	15,579,200	15,579,200	15,579,200	15,579,200	14,605,500	14,800,240
Community Services	1,075,010	1,125,199	803,226	803,226	1,100,730	1,128,860
Human Service Infrastructure						
Community Action Program	3,002,887	3,453,326	0	0	3,021,660	3,107,994
Teen Pregnancy Prevention	1,837,378	1,837,378	0	0	1,607,707	1,653,641
Fatherhood Initiative	371,652	566,656	0	0	0	0

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Family Programs - TANF	0	0	0	0	541,600	415,166
Domestic Violence Shelters	0	0	0	0	5,210,676	5,210,676
Other Than Payments to Local Governments						
Human Resource Development- Hispanic Programs - Municipality	5,364	5,364	0	0	5,029	5,096
Teen Pregnancy Prevention - Municipality	137,826	137,826	0	0	120,598	124,044
Community Services - Municipality	83,761	83,761	71,616	71,616	78,526	79,573
Nonfunctional - Change to Accruals	(52,833,260)	0	0	0	0	0
Agency Total - General Fund	3,185,679,108	3,114,518,834	3,159,267,545	3,223,850,466	3,165,937,101	3,243,329,129
Family Programs - TANF	0	0	0	0	2,244,195	2,370,629
Agency Total - Special Transportation Fund	0	0	0	0	2,244,195	2,370,629
Total - Appropriated Funds	3,185,679,108	3,114,518,834	3,159,267,545	3,223,850,466	3,168,181,296	3,245,699,758
Additional Funds Available						
Carry Forward Funding	0	0	0	0	3,649,404	517,500
Federal Funds	3,435,958,187	3,904,373,924	3,941,778,633	3,956,500,189	3,941,778,633	3,956,500,189
Private Contributions & Other Restricted	20,545,121	9,443,067	5,298,418	5,387,361	5,298,418	5,387,361
Agency Grand Total	6,642,182,415	7,028,335,825	7,106,344,596	7,185,738,016	7,118,907,751	7,208,104,808

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	(7)	2,951,415	(7)	1,457,938	0	0	0	0
Total - General Fund	(7)	2,951,415	(7)	1,457,938	0	0	0	0

Governor

Provide funding of \$2,951,415 in FY 16 and \$1,457,938 in FY 17, and reduce seven positions, to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments. This also reflects the transfer of positions to the Department of Mental Health and Addiction Services for the central contracting unit.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	22,900,448	0	35,095,211	0	0	0	0
Total - General Fund	0	22,900,448	0	35,095,211	0	0	0	0

Governor

Provide funding of \$22,900,448 in FY 16 and \$35,095,211 in FY 17 in Other Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include various reductions in contracts, increased costs for new leases and software licenses, and an adjustment to reflect anticipated FY 15 expenses, which is largely due to increases in DSS's share of expenses related to the Exchange.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reconcile Expenses between DSS and the Exchange

Other Expenses	0	97,368	0	(2,445,166)	0	0	0	0
Total - General Fund	0	97,368	0	(2,445,166)	0	0	0	0

Governor

Adjust funding by \$97,368 in FY 16 and \$2,445,166 in FY 17 to reflect the reconciliation of costs between DSS and the Exchange. Adjustments include the reduction of one-time expenses, as well as an increase in funding related to the revised attribution of expenses.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	503,296	0	1,156,328	0	0	0	0
Total - General Fund	0	503,296	0	1,156,328	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$503,296 in FY 16 and an additional \$653,032 in FY 17 (for a cumulative total of \$1,156,328 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Medicaid Net Funding Re-Estimate

Medicaid	0	(67,600,000)	0	(54,200,000)	0	(67,600,000)	0	(54,200,000)
Total - General Fund	0	(67,600,000)	0	(54,200,000)	0	(67,600,000)	0	(54,200,000)

Background

In FY 14, the Medicaid account became established as a net rather than a gross appropriation.

Legislative

Reduce funding by \$67.6 million in FY 16 and \$54.2 million in FY 17 to accurately reflect the state's share of Medicaid expenditures.

Update Expenditure Estimates for Medicaid

Medicaid	0	50,311,421	0	66,686,421	0	0	0	0
Total - General Fund	0	50,311,421	0	66,686,421	0	0	0	0

Background

DSS' Medicaid program provides remedial, preventive, and long-term medical care for income eligible aged, blind or disabled individuals, low income adults and families with children. Payment is made directly to health care providers, by the department, for services delivered to eligible individuals. The program complies with federal Medicaid law (Title XIX of the Social Security Act) and regulations. Prior to FY 13, Medicaid expenditures reflected both the state and federal share. Due to the net appropriation of the Medicaid account adopted in FY 14, Medicaid adjustments reflected below only represent the state's share.

Governor

Provide funding of \$50,311,421 in FY 16 and \$62,176,421 in FY 17 to reflect expenditure trends in the Medicaid program. This represents an increase of 2% in FY 16 and an additional 0.6% in FY 17.

Legislative

Same as Governor.

Update Medicaid Caseload and Utilization Estimates

Medicaid	0	77,060,000	0	154,530,000	0	0	0	0
Total - General Fund	0	77,060,000	0	154,530,000	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$77,060,000 in FY 16 and \$154,530,000 in FY 17 to reflect Medicaid caseload and utilization trends. Total Medicaid enrollment in January 2015 was 723,769, which represents an 11% increase over the previous year.

Legislative

Same as Governor

Reflect Annualization of Autism Coverage

Medicaid	0	10,450,000	0	23,400,000	0	(2,000,000)	0	(1,000,000)
Total - General Fund	0	10,450,000	0	23,400,000	0	(2,000,000)	0	(1,000,000)

Background

In July 2014, the federal Centers for Medicare and Medicaid Services (CMS) issued a bulletin indicating that state Medicaid programs must cover treatment for Autism Spectrum Disorder (ASD) for individuals under the age of 21. As a result, the department will be covering autism services that are medically necessary based on individualized comprehensive diagnostic evaluation behavior assessments and individualized plans of care.

Governor

Provide Medicaid funding of \$12,450,000 in FY 16 and \$24.4 million in FY 17 to reflect the annualization of costs for the new ASD coverage.

Legislative

Provide funding of \$10,450,000 in FY 16 and \$23.4 million in FY 17 to reflect the annualization of costs for the new ASD coverage.

Reflect Annualization of Savings - Pharmacy

Medicaid	0	(4,740,000)	0	(4,740,000)	0	0	0	0
Total - General Fund	0	(4,740,000)	0	(4,740,000)	0	0	0	0

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$4,740,000 in FY 16 and FY 17 to reflect the annualization of savings from implementing prior authorization and/or revising rebate agreements for certain high cost drugs, such as the Hepatitis C treatment, Sovaldi.

Legislative

Same as Governor

Reflect Annualization of Savings - Reimbursement Codes

Medicaid	0	(4,390,000)	0	(4,600,000)	0	0	0	0
Total - General Fund	0	(4,390,000)	0	(4,600,000)	0	0	0	0

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$4,390,000 in FY 16 and \$4.6 million in FY 17 to reflect the annualization of savings from reimbursement changes for certain laboratory, x-ray and mammography screening codes.

Legislative

Same as Governor

Reflect Annualization of Savings - Radiology

Medicaid	0	(3,730,000)	0	(3,870,000)	0	0	0	0
Total - General Fund	0	(3,730,000)	0	(3,870,000)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$3,730,000 in FY 16 and \$3,870,000 in FY 17 to reflect the annualization of savings from changing physician radiology rates. This represents a reduction in rates to 57.5% of the 2007 Medicare professional rate levels.

Legislative

Same as Governor

Reflect Annualization of Savings - Other

Medicaid	0	(2,415,000)	0	(2,685,000)	0	0	0	0
Total - General Fund	0	(2,415,000)	0	(2,685,000)	0	0	0	0

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$2,415,000 in FY 16 and \$2,685,000 in FY 17 to reflect the annualization of savings from other initiatives.

Legislative

Same as Governor

Reflect Annualization of Community First Choice

Medicaid	0	(750,000)	0	(750,000)	0	0	0	0
Total - General Fund	0	(750,000)	0	(750,000)	0	0	0	0

Background

The federal Affordable Care Act authorizes the Community First Choice Option, which offers states a 6% increase in federal reimbursement on personal care assistance (PCA) services if the program meets certain criteria. The state will provide coverage of self-directed PCAs as a Medicaid state plan service for individuals at institutional level of care.

Governor

Reduce funding by \$750,000 in FY 16 and FY 17 to reflect the increased federal share from implementing the Community First Choice Option.

Legislative

Same as Governor

Restructure Hospital Related Revenue Diversion

Medicaid	0	(13,320,000)	0	(13,320,000)	0	0	0	0
Total - General Fund	0	(13,320,000)	0	(13,320,000)	0	0	0	0

Background

The FY 14-15 biennial budget assumed that approximately \$80 million in supplemental hospital payments would be made (related to the partial distribution of the hospital tax receipts). While the majority of these payments would be reimbursed by the federal government at the normal 50% Medicaid cost share, a portion could be attributable to the Affordable Care Act Expansion population, and therefore eligible for 100% federal reimbursement. The FY 14-15 biennial budget assumed that the additional federal revenue from the portion that receives the 100% federal match would be redistributed to the hospitals.

These additional funds have yet to be distributed to the hospitals. The Governor's proposed FY 15 deficit mitigation plan assumes that these payments will not be made and the enhanced revenue will be available to offset other Medicaid expenses.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce Medicaid funding by \$13,320,000 in FY 16 and FY 17. The additional federal revenue from the enhanced match on the Medicaid supplemental hospital payments related to the expansion population will be retained by the state and used to offset other Medicaid expenses.

Legislative

Same as Governor

Reflect Adjustments for School Based Child Health

Medicaid	0	2,130,000	0	2,080,000	0	0	0	0
Total - General Fund	0	2,130,000	0	2,080,000	0	0	0	0

Background

The Medicaid School Based Child Health (SBCH) Program enables participating school districts to seek federal Medicaid reimbursement for covered services provided to an eligible student pursuant to the student's Individualized Education Plan (IEP). Services include assessment, audiology, clinical diagnostic laboratory, medical, mental health, nursing, occupational therapy, physical therapy, respiratory care, speech/language, and optometric services. Administrative activities supporting such Medicaid health services are also eligible for reimbursement.

Governor

Provide funding of \$2,130,000 in FY 16 and \$2,080,000 in FY 17 to reflect expenditure requirements in the School Based Child Health Program.

Legislative

Same as Governor

Provide Funding for the Duals Demonstration

Medicaid	0	10,500,000	0	15,000,000	0	0	0	0
Total - General Fund	0	10,500,000	0	15,000,000	0	0	0	0

Background

The Duals Demonstration is a proposal the Department of Social Services submitted to the federal Centers for Medicare and Medicaid Services (CMS) in 2012 to provide integrated care for individuals who are enrolled in both Medicaid and Medicare in an effort to improve quality of care, care management, and cost of care.

Governor

Provide funding of \$10.5 million in FY 16 and \$15 million in FY 17 for the Duals Demonstration. Please note, this funding is eliminated in a subsequent policy revision.

Legislative

Same as Governor

Provide Funding for Rate Increases for Long Term Care

Medicaid	0	7,940,000	0	19,970,000	0	0	0	0
Total - General Fund	0	7,940,000	0	19,970,000	0	0	0	0

Governor

Provide funding of \$7,940,000 in FY 16 and \$19,970,000 in FY 17 for statutory rate increases for long term care facilities. Please note, \$6,940,000 in FY 16 and \$17,940,000 in FY 17 is eliminated in a subsequent policy revision. The remaining funding of \$1 million in FY 16 and \$2 million in FY 17, provides fair rent increase for long term care facilities.

Legislative

Same as Governor

Provide Funding for the New ABI Waiver II

Medicaid	0	1,790,000	0	2,210,000	0	0	0	0
Total - General Fund	0	1,790,000	0	2,210,000	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$1,790,000 in FY 16 and \$2,210,000 in FY 17 to reflect the transfer of funding from the Department of Mental Health and Addiction Services (DMHAS) to support the new Acquired Brain Injury Waiver (ABI Waiver II).

Legislative

Same as Governor

Update Expenditure Estimates for Money Follows the Person

Other Expenses	0	(2,257,987)	0	(2,126,024)	0	0	0	0
Medicaid	0	(3,610,000)	0	(9,130,000)	0	0	0	0
Total - General Fund	0	(5,867,987)	0	(11,256,024)	0	0	0	0

Governor

Reduce funding by \$5,867,987 in FY 16 and \$11,256,024 in FY 17 to reflect current services requirements for the Money Follows the Person (MFP) program.

Legislative

Same as Governor

Update Expenditure Estimates for HUSKY B

HUSKY B Program	0	5,654,000	0	8,214,000	0	0	0	0
Total - General Fund	0	5,654,000	0	8,214,000	0	0	0	0

Background

The HUSKY B Program provides health coverage for children of families with incomes in excess of 201% of the Federal Poverty Level (FPL). Children of families with incomes up to 323% FPL receive premium subsidies from the state. HUSKY program expenditures typically receive 65% federal reimbursement. As of October 1, 2015, the reimbursement rate will increase to 88%.

Governor

Provide funding of \$5,654,000 in FY 16 and \$8,214,000 in FY 17 to reflect anticipated requirements for HUSKY B. This supports average caseload growth of 4.4% in FY 16 and 4.1% in FY 17.

Legislative

Same as Governor

Update Expenditure Estimates for Temporary Family Assistance

Temporary Assistance to Families - TANF	0	(8,033,231)	0	(8,600,583)	0	(3,200,000)	0	(3,200,000)
Total - General Fund	0	(8,033,231)	0	(8,600,583)	0	(3,200,000)	0	(3,200,000)

Background

The Temporary Family Assistance (TFA) program provides cash assistance to eligible low-income families. The TFA program limits assistance to 21 months for non-exempt cases, with possible six month extensions for good cause. Individuals in the TFA program are usually eligible for health care services provided under the state's Medicaid program. The average monthly caseload under this (and its predecessor) program has declined from over 60,000 families in FY 95 to approximately 15,948 families at the end of the calendar year 2014.

Governor

Reduce funding by \$4,833,231 in FY 16 and \$5,400,583 in FY 17 to reflect anticipated expenditure requirements in the TFA program.

Legislative

Reduce funding by \$8,033,231 in FY 16 and \$8,600,583 in FY 17 to reflect anticipated expenditure requirements in the TFA program. A portion of the savings generated under the TFA account through this reduced caseload will be reinvested in Temporary Assistance to Needy Families (TANF) related programming under the new Family Programs - TANF account in DSS as well as in the Department of Labor.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Update Expenditure Estimates Home & Community Based Services

Connecticut Home Care Program	0	(2,474,196)	0	(1,664,196)	0	0	0	0
Total - General Fund	0	(2,474,196)	0	(1,664,196)	0	0	0	0

Background

The Connecticut Home Care Program for the Elders (CHCPE) assists the frail elderly in avoiding unnecessary or premature nursing home care by making available a variety of community-based services. The services covered include home-health aides, visiting nurses, homemaker aides, adult day care, personal assistance, and meals on wheels. The home care program is funded from two separate accounts: the Medicaid account, which is matched by federal funds; and the Connecticut Home Care account, which is funded solely by the state. The funds used to cover services depend upon client eligibility. Medicaid also provides additional home health services outside of CHCPE.

Governor

Reduce funding by \$2,474,196 in FY 16 and \$1,664,196 in FY 17 to reflect anticipated expenditure requirements. This represents 2% growth in each year.

Legislative

Same as Governor

Update Expenditure Estimates for SAGA

State Administered General Assistance	0	5,075,245	0	6,738,746	0	0	0	0
Total - General Fund	0	5,075,245	0	6,738,746	0	0	0	0

Background

The State Administered General Assistance (SAGA) program provides limited cash assistance to individuals who are unable to work for medical or other prescribed reasons. Enrollees can receive up to \$219 monthly.

Governor

Provide funding of \$5,075,245 in FY 16 and \$6,738,746 in FY 17 to reflect anticipated expenditure requirements. As of December 2014, caseload totaled 6,409 cases.

Legislative

Same as Governor

Update Expenditure Estimates for Supplemental Assistance

Old Age Assistance	0	(904,811)	0	(501,928)	0	0	0	0
Aid To The Blind	0	(4,700)	0	46	0	0	0	0
Aid To The Disabled	0	(2,722,828)	0	(2,362,981)	0	0	0	0
Total - General Fund	0	(3,632,339)	0	(2,864,863)	0	0	0	0

Background

Supplemental Assistance consists of Old Age Assistance, Aid to the Blind and Aid to the Disabled. These programs provide monthly financial assistance to low-income individuals. The supplemental assistance programs are entirely state funded, but operate under both state and federal guidelines. In order to receive benefits, an individual must have another source of income to supplement, such as the federal Social Security, Supplemental Security Income, or Veteran's Benefits. All recipients are automatically eligible for health care benefits under the state Medicaid program.

Governor

Reduce funding by \$3,632,339 in FY 16 and \$2,864,863 in FY 17 to reflect updated caseload estimates. As of December 2014, caseload totaled 15,421 individuals.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reflect Required Rate Increases

Old Age Assistance	0	823,803	0	1,750,505	0	0	0	0
Aid To The Blind	0	20,859	0	44,056	0	0	0	0
Aid To The Disabled	0	1,580,395	0	3,348,092	0	0	0	0
Total - General Fund	0	2,425,057	0	5,142,653	0	0	0	0

Governor

Provide funding of \$2,425,057 in FY 16 and \$5,142,653 in FY 17 to reflect statutory rate increases for the Aged, Blind, and Disabled. Please note, these increases are removed in a subsequent policy adjustment.

Legislative

Same as Governor

Provide Funding for Cost of Living Adjustments

Old Age Assistance	0	111,756	0	218,171	0	0	0	0
Aid To The Blind	0	830	0	1,646	0	0	0	0
Aid To The Disabled	0	247,651	0	476,842	0	0	0	0
Temporary Assistance to Families - TANF	0	1,744,617	0	3,291,969	0	0	0	0
Connecticut Home Care Program	0	280,000	0	280,000	0	0	0	0
State Administered General Assistance	0	341,955	0	702,454	0	0	0	0
Total - General Fund	0	2,726,809	0	4,971,082	0	0	0	0

Governor

Provide funding of \$2,726,809 in FY 16 and \$4,971,082 in FY 17 to reflect Cost of Living Adjustments (COLA) for various programs.

Legislative

Same as Governor

Update Expenditure Estimates for Various Programs

Genetic Tests in Paternity Actions	0	(50,000)	0	(50,000)	0	0	0	0
State-Funded Supplemental Nutrition Assistance Program	0	(241,959)	0	(264,259)	0	0	0	0
Protective Services to the Elderly	0	151,862	0	153,563	0	0	0	0
Refunds Of Collections	0	(30,000)	0	(30,000)	0	0	0	0
Total - General Fund	0	(170,097)	0	(190,696)	0	0	0	0

Governor

Reduce funding by \$170,097 in FY 16 and \$190,696 in FY 17 to meet the anticipated needs under various programs.

Legislative

Same as Governor

Transfer Private Provider COLA Funding to OEC and SDA

Community Services	0	(8,820)	0	(8,820)	0	0	0	0
Total - General Fund	0	(8,820)	0	(8,820)	0	0	0	0

Governor

Transfer total funding of \$8,820 in FY 16 and FY 17 to the Office of Early Childhood (\$2,000) and the State Department on Aging (\$6,820) to support cost of living adjustments (COLA) for private providers.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for the State Innovation Model

Medicaid	0	517,500	0	1,035,000	0	(517,500)	0	(1,035,000)
Total - General Fund	0	517,500	0	1,035,000	0	(517,500)	0	(1,035,000)

Background

The State Innovation Model (SIM) was initiated by the federal Affordable Care Act, through the Center for Medicare and Medicaid Innovation (CMMI). The state received a planning grant in March of 2013 to develop a SIM. The SIM's purpose is to align all healthcare payers in the state (e.g. Medicaid, the state employee and retiree health plan, Medicare, commercial and self-funded plans) around a value-based payment methodology, which focuses reimbursement on quality metrics, as opposed to simply volume and cost reduction measures, through various means.

Governor

Provide funding of \$1,035,000 in FY 16 and \$2,070,000 in FY 17 to support efforts of the Department of Social Services in development of the SIM.

Legislative

Provide funding of \$517,500 in FY 16 and \$1,035,000 in FY 17 to support efforts of the Department of Social Services in development of the SIM.

Adjust Funding for Physician Rates

Medicaid	0	(2,170,000)	0	(2,170,000)	0	0	0	0
Total - General Fund	0	(2,170,000)	0	(2,170,000)	0	0	0	0

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$2,170,000 in FY 16 and FY 17 to reflect the annualization of savings from changing physician reimbursement based on facility type code.

Legislative

Same as Governor.

Adjust Current Obstetrical Rates

Medicaid	0	(2,085,000)	0	(2,175,000)	0	3,085,000	0	3,175,000
Total - General Fund	0	(2,085,000)	0	(2,175,000)	0	3,085,000	0	3,175,000

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$5,170,000 in FY 16 and \$5,350,000 in FY 17 to reflect the annualization of savings from changing obstetrical rates.

Legislative

Reduce funding by \$2,085,000 in FY 16 and \$2,175,000 in FY 17. This funding reflects a restoration of half of the FY 15 obstetrical rate reduction, as well as funding to support obstetricians' involvement in high risk pregnancy imaging.

Policy Revisions

Transition Certain HUSKY A Adults to the Exchange

Medicaid	0	(2,400,000)	0	(43,500,000)	0	42,200,000	0	38,600,000
Total - General Fund	0	(2,400,000)	0	(43,500,000)	0	42,200,000	0	38,600,000

Background

The Connecticut Health Insurance Exchange (Access Health CT) was established as a quasi-public agency to satisfy requirements of the federal Affordable Care Act. Federally subsidized health insurance is available for low income individuals.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce Medicaid funding by \$44.6 million in FY 16 and \$82.1 million in FY 17 to reflect the elimination of Medicaid coverage for HUSKY A adults with incomes in excess of 138% of the federal poverty level. This assumes the eventual transition of 34,200 individuals to the Exchange.

Legislative

Reduce Medicaid funding by \$2.4 million in FY 16 and \$43.5 million in FY 17 to reflect the elimination of Medicaid coverage for non-pregnant adults in the HUSKY "A" program with incomes in excess of 150% of the federal poverty level (FPL). This is anticipated to eliminate coverage for approximately 23,700 individuals when annualized. Sections 370-374 PA 15-5 JSS, a budget implementer, are related to this change.

Reduce Medicaid Provider Rates

Medicaid	0	0	0	0	0	43,000,000	0	47,000,000
Total - General Fund	0	0	0	0	0	43,000,000	0	47,000,000

Governor

Reduce funding by \$43 million in FY 16 and \$47 million in FY 17 to reflect reductions in Medicaid rates for most providers. The department will have discretion as to the distribution of this reduction. This proposal does not impact federally qualified health centers which are reimbursed under a federally prescribed payment system. To help with access to primary care services, rates for primary care services are not expected to be reduced.

Legislative

Maintain funding of \$43 million FY 16 and \$47 million in FY 17 for Medicaid provider rates.

Reduce Medicaid Expenditures

Medicaid	0	(17,500,000)	0	(17,500,000)	0	(17,500,000)	0	(17,500,000)
Total - General Fund	0	(17,500,000)	0	(17,500,000)	0	(17,500,000)	0	(17,500,000)

Legislative

Reduce funding by \$17.5 million in both FY 16 and FY 17 for the Medicaid account.

Update Hospital Provider Tax

Medicaid	0	50,800,000	0	51,200,000	0	(4,470,000)	0	(4,450,000)
Total - General Fund	0	50,800,000	0	51,200,000	0	(4,470,000)	0	(4,450,000)

Background

Currently, hospitals pay taxes of 5.5% on inpatient revenue and 3.83% on outpatient revenue. A portion of these proceeds are returned to the hospitals as supplemental Medicaid payments.

Governor

Provide funding of \$55,270,000 in FY 16 and \$55,650,000 in FY 17 to reflect revisions to the hospital provider tax. This proposal: (1) updates the base year for the tax from 2009 to 2013 total net patient revenues; and (2) equalizes the tax rate on inpatient and outpatient services. The total user fee will increase an additional \$165,250,000, from \$349.1 million to \$514.4 million.

The \$165,250,000 in tax receipts are fully returned to hospitals via Medicaid supplemental payments, but due to the net budgeting of the Medicaid account, only the state's share of \$55,270,000 is reflected in the budget. The state budget therefore has a net gain of \$110,030,000 from this proposal.

Legislative

Provide funding of \$50.8 million in FY 16 and \$51.2 million in FY 17 to reflect revisions to the hospital provider tax. This amount reflects an updated estimate of the amount that will be generated by the provider tax changes. The net impact to the state and to the hospitals as a whole does not change with this update.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Establish New Small Hospital Pool

Medicaid	0	5,000,000	0	5,000,000	0	5,000,000	0	5,000,000
Total - General Fund	0	5,000,000	0	5,000,000	0	5,000,000	0	5,000,000

Legislative

Provide funding of \$5 million in both FY 16 and FY 17 to support a new small hospital pool. Eligible hospitals must: 1) have 160 or fewer beds, 2) not be part of a hospital group, and 3) not be located in contiguous towns with another hospital. Section 382 of PA 15-5 JSS, a budget implementer, is related to this change.

Eliminate Supplemental Payments for Low Cost Hospitals

Medicaid	0	(5,130,000)	0	(5,130,000)	0	0	0	0
Total - General Fund	0	(5,130,000)	0	(5,130,000)	0	0	0	0

Background

The FY 14 and FY 15 Biennial Budget included additional Medicaid funding for hospitals with a higher than average combined Medicare and Medicaid payer mix and less than average Medicaid expense per case.

Governor

Reduce Medicaid funding by \$5,130,000 in FY 16 and FY 17 to reflect the elimination of the low cost hospital payments.

Legislative

Same as Governor

Achieve Medication Administration Savings

Medicaid	0	(10,000,000)	0	(10,000,000)	0	0	0	0
Total - General Fund	0	(10,000,000)	0	(10,000,000)	0	0	0	0

Background

The 2014-15 Biennial Budget assumed gross savings of \$20 million in savings as a result of nurse delegation and greater use of assistive technology for medication administration.

Governor

Reduce funding by \$10 million in FY 16 and FY 17 to reflect reducing nursing rates to achieve the savings included in the enacted budget. No savings are anticipated in FY 14 or FY 15.

Legislative

Reduce funding by \$10 million in both FY 16 and FY 17 to reflect anticipated medication administration programmatic savings. Should the current efforts be shown to not achieve the anticipated savings, DSS may reduce rates after January 1, 2016 to meet the budgeted savings. Section 387 of PA 15-5 JSS, a budget implementer, is related to this change.

Adjust Current Orthodontia Coverage

Medicaid	0	(2,052,000)	0	(2,052,000)	0	3,078,000	0	3,258,000
Total - General Fund	0	(2,052,000)	0	(2,052,000)	0	3,078,000	0	3,258,000

Background

In response to the projected FY 15 Medicaid deficiency, DSS undertook several initiatives to lower Medicaid expenditures.

Governor

Reduce funding by \$5,130,000 in FY 16 and \$5,310,000 in FY 17 to reflect the annualization of savings from limiting orthodontia coverage. This reflects moving the minimum qualifying score on the Salzmann index from 24 to 29.

Legislative

Reduce funding by \$2,052,000 in both FY 16 and FY 17 to reflect moving the minimum qualifying score on the Salzmann index from 24 to 26. Section 390 of PA 15-5 JSS, a budget implementer, is related to this change.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Reimbursement Rates for Pharmacies

Medicaid	0	(2,150,000)	0	(2,250,000)	0	4,050,000	0	4,550,000
Total - General Fund	0	(2,150,000)	0	(2,250,000)	0	4,050,000	0	4,550,000

Background

Currently, the Department of Social Services provides two reimbursements to pharmacies for prescription drugs. First, pharmacies receive a payment equal to the Average Wholesale Price (AWP) less a discount factor dependent on whether the drug is a brand name drug or a generic. AWP is the standardized pharmacy pricing report. The current discount rate for brand name drugs is AWP minus 16%. Second, pharmacies receive a dispensing fee per prescription. The current dispensing fee is \$1.70 per prescription.

Governor

Reduce funding by \$6.2 million in FY 16 and \$6.8 million in FY 17 to reflect increasing the discount rate for brand name drugs from AWP minus 16% to AWP minus 18% and reducing the dispensing fee from \$1.70 to \$1.40 per prescription.

Legislative

Reduce funding by \$2,150,000 in FY 16 and \$2,250,000 in FY 17 to reflect increasing the discount rate from AWP minus 16% to AWP minus 16.5% and reducing the dispensing fee from \$1.70 to \$1.40 per prescription. Section 381 of PA 15-5 JSS, a budget implementer, is related to this change.

Reduce Crossover Ambulance Payments

Medicaid	0	(4,300,000)	0	(5,100,000)	0	0	0	0
Total - General Fund	0	(4,300,000)	0	(5,100,000)	0	0	0	0

Background

Current statute requires DSS to limit reimbursement to Medicaid providers for coinsurance and deductible payments under Medicare such that the combined Medicare and Medicaid payment to providers does not exceed the maximum allowable under the Medicaid program fee schedules. The statute, however, exempts ambulance providers whose rates are established by the Department of Public Health.

Governor

Reduce Medicaid funding by \$4.3 million in FY 16 and \$5.1 million in FY 17 to reflect lower payments for ambulance services. This proposal caps payments to ambulance providers to ensure that the combined Medicare and Medicaid payment to the provider does not exceed the allowable Medicaid rate. By doing so, these providers will be subject to the same standard that applies to all other Medicaid services.

Legislative

Reduce Medicaid funding by \$4.3 million in FY 16 and \$5.1 million in FY 17 to reflect lower payments for ambulance services. Sections 388 and 389 of PA 15-5 JSS, a budget implementer, revise the rate methodology for such services under Medicaid.

Reduce ASO and Home Care Medicaid Performance Payments

Medicaid	0	(850,000)	0	(850,000)	0	0	0	0
Total - General Fund	0	(850,000)	0	(850,000)	0	0	0	0

Background

The Department of Social Services contracts with Administrative Services Organizations (ASO) to manage the state's Medicaid program; the state is self-insured for all Medicaid claim expenditures. The state pays the ASOs an administrative fee per client. The current contract with the ASOs includes a provision which allows the department to withhold a percentage of each administrative payment contingent upon the ASOs meeting established performance measures. Currently, the ASOs for medical, behavioral health, dental and non-emergency medical transportation services are eligible to receive performance payments of approximately 7.5% of the contract amount.

In addition, the DSS has a performance payment pool under the Connecticut Home Care Program for Elders (CHCPE), created in FY 15, with payments made to contractors who have demonstrated quality outcomes for participants. The FY 15 pool is \$300,000.

Governor

Reduce funding by \$850,000 in FY 16 and FY 17 to reflect reducing the ASOs performance payments to 6% of the contract amount (a 1.5% reduction), and maintaining the CHCPE performance pool at \$300,000 (a \$200,000 reduction). The CHCPE pool was scheduled to increase to \$500,000.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Adjust Funding for Personal Needs Allowance

Medicaid	0	0	0	0	0	1,000,000	0	1,100,000
Total - General Fund	0	0	0	0	0	1,000,000	0	1,100,000

Background

Social Security and other income received by residents of long term care facilities are applied towards the cost of care except for a monthly personal needs allowance (PNA). The PNA is used for such things as clothing, personal phone, entertainment outside of the facility, etc. Prior to FY 11, the PNA was increased annually each July 1 by an amount equal to the inflation adjustment in Social Security Income. PA 11-44 eliminated the annual increases. The current PNA is \$60 per month.

Governor

Reduce funding of \$1 million in FY 16 and \$1.1 million in FY 17 to reflect reducing the PNA from \$60 to \$50 per month.

Legislative

Maintain funding for the current personal needs allowance of \$60 per month.

Eliminate Funding for Adult Chiropractic Services

Medicaid	0	(250,000)	0	(250,000)	0	0	0	0
Total - General Fund	0	(250,000)	0	(250,000)	0	0	0	0

Background

During the 2012 session, the legislature added funding to pay for services provided by independent chiropractors for adults under Medicaid. Because of concerns with the utilization and expenditures that could be incurred as a result of this expansion, expenditures are capped. As a result, the program is entirely state funded

Governor

Reduce funding by \$250,000 in FY 16 and FY 17 to reflect the elimination of Medicaid coverage for adult chiropractic services.

Legislative

Same as Governor

Require Dual Eligible Clients to Cover Medicare Part D Copay

Medicaid	0	(80,000)	0	(90,000)	0	0	0	0
Total - General Fund	0	(80,000)	0	(90,000)	0	0	0	0

Background

The state currently requires dually eligible clients (those clients both Medicare and Medicaid eligible), who are currently not receiving home and community based services under Medicaid, to pay up to \$15 per month in Medicare co-pays for Part D-covered drugs. The state currently covers any costs which exceed the \$15 cap. Medicare co-pays range from \$1.20 to \$6.60.

Governor

Reduce funding by \$80,000 in FY 16 and \$90,000 in FY 17 to reflect requiring dually eligible clients to cover 100% of all Medicare co-pays for Part D-covered drugs.

Legislative

Same as Governor. Section 386 of PA 15-5 JSS, a budget implementer, is related to this change.

Reduce Funding for the Duals Demonstration

Medicaid	0	(10,500,000)	0	(15,000,000)	0	0	0	0
Total - General Fund	0	(10,500,000)	0	(15,000,000)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Duals Demonstration is a proposal the Department of Social Services submitted to the federal Centers for Medicare and Medicaid Services (CMS) in 2012 to provide integrated care for individuals ages 18 to 64 and 65 or older who are enrolled in both Medicaid and Medicare in an effort to improve quality of care, care management, and cost of care.

Governor

Reduce funding by \$10.5 million in FY 16 and \$15 million in FY 17 to reflect elimination of the Duals Demonstration project.

Legislative

Same as Governor

Provide Rate Increase for Direct Care Workers

Medicaid	0	13,000,000	0	13,000,000	0	13,000,000	0	13,000,000
Total - General Fund	0	13,000,000	0	13,000,000	0	13,000,000	0	13,000,000

Legislative

Provide funding of \$13 million in both FY 16 and FY 17 to reflect increased personnel costs for direct and indirect care workers at long term care facilities. Section 377 of PA 15-5 JSS, a budget implementer, is related to this change.

Eliminate Statutory or Regulatory Rate Increases

Medicaid	0	(6,900,000)	0	(17,800,000)	0	0	0	0
Total - General Fund	0	(6,900,000)	0	(17,800,000)	0	0	0	0

Governor

Reduce funding by \$6.9 million in FY16 and \$17.8 million in FY 17 to reflect the elimination of scheduled increases for long-term care facilities.

Legislative

Same as Governor. Sections 377 and 378 of PA 15-5 JSS, a budget implementer, are related to this change.

Eliminate Rate Increases for Various Programs

Old Age Assistance	0	(935,560)	0	(1,968,680)	0	0	0	0
Aid To The Blind	0	(21,690)	0	(45,710)	0	0	0	0
Aid To The Disabled	0	(1,828,050)	0	(3,824,930)	0	0	0	0
Temporary Assistance to Families - TANF	0	(1,744,620)	0	(3,291,970)	0	0	0	0
State Administered General Assistance	0	(341,960)	0	(702,450)	0	0	0	0
Total - General Fund	0	(4,871,880)	0	(9,833,740)	0	0	0	0

Governor

Reduce funding by \$4,871,880 in FY 16 and \$9,833,740 in FY 17 to reflect the elimination of scheduled increases.

Legislative

Same as Governor. Sections 375 and 376 of PA 15-5 JSS, a budget implementer, eliminate cost of living increases (COLAs) under the Temporary Assistance to Families (TFA), State Administered General Assistance (SAGA) and supplemental assistance programs, totaling \$2,726,809 in FY 16 and \$4,971,082 in FY 17. Sections 379 and 380 of the implementer freeze statutory rate adjustments for boarding homes and residential care homes, totaling \$2.4 million in FY 16 and \$5.1 million in FY 17.

Adjust Unearned Income Disregard for Supplemental Assistance

Old Age Assistance	0	0	0	0	0	308,000	0	568,000
Aid To The Blind	0	0	0	0	0	7,000	0	14,000
Aid To The Disabled	0	0	0	0	0	728,000	0	1,341,000
Total - General Fund	0	0	0	0	0	1,043,000	0	1,923,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

In past years, any cost of living adjustments (COLA) received as part of an AABD client's Social Security benefit were considered an increase in income and applied to the client's cost of care. As a result of a legislative change, effective FY 06, AABD clients now retain their Social Security COLA (by increasing the unearned income disregard) without a concurrent reduction in their state benefit.

Governor

Reduce funding by \$1,043,000 in FY 16 and \$1,923,000 in FY 17 to reflect reinstituting the previous policy of applying any federal COLA to offset the cost of care.

Legislative

Maintain funding to allow clients to retain the federal COLA.

Net Appropriate HUSKY B Program

HUSKY B Program	0	(27,140,000)	0	(31,900,000)	0	(27,140,000)	0	(31,900,000)
Total - General Fund	0	(27,140,000)	0	(31,900,000)	0	(27,140,000)	0	(31,900,000)

Legislative

Reduce funding by \$27,140,000 in FY 16 and \$31.9 million in FY 17 to reflect the net appropriation of the HUSKY B Program.. Currently, HUSKY B is gross appropriated, meaning both the state and federal shares of the program are included in the state budget appropriation. State expenditures are matched with a federal reimbursement rate of 65% until October 1, 2015, at which point the rate increases to 88% through September 30, 2019. This reduction reflects removing funding associated with the federal share of the program and appropriating only the state's share of the program, estimated at \$6,550,000 in FY 16 and \$4,350,000 in FY 17.

Increase Cost Sharing under the CT Home Care Program

Connecticut Home Care Program	0	(700,000)	0	(750,000)	0	2,100,000	0	2,250,000
Total - General Fund	0	(700,000)	0	(750,000)	0	2,100,000	0	2,250,000

Background

PA 09-5 of the September special session introduced a client cost sharing requirement of 15% of the costs of his or her care under the state-funded Connecticut Home Care Program for Elders (CHCPE). This requirement was reduced to 6% pursuant to PA 10-179, and increased to 7% pursuant to PA 11-6.

Governor

Reduce funding by \$2.8 million in FY 16 and \$3 million in FY 17 to reflect an increase in the cost sharing requirement from 7% to 15% of the cost of care.

Legislative

Reduce funding by \$700,000 in FY 16 and \$750,000 in FY 17 to reflect an increase in the cost sharing requirement from 7% to 9% of the cost of care. Section 383 of PA 15-5 JSS, a budget implementer, is related to this change.

Freeze Intake to Category One of the CT Home Care Program

Connecticut Home Care Program	0	(1,700,000)	0	(5,300,000)	0	100,000	0	300,000
Total - General Fund	0	(1,700,000)	0	(5,300,000)	0	100,000	0	300,000

Background

The state-funded Connecticut Home Care Program for Elders (CHCPE) provides home and community-based services to elderly who are at risk of nursing home placement and meet the program's financial eligibility criteria. Category 1 is targeted to individuals who are at risk of hospitalization or short-term nursing facility placement if preventive home care services are not provided. Category 2 is targeted to individuals who are frail enough to require nursing facility care, but have resources that would prevent them from qualifying for Medicaid upon admission to a nursing facility.

Governor

Reduce funding for the CHCPE by \$1.8 million in FY 16 and \$5.6 million in FY 17 to reflect freezing intake to Category 1 under the state-funded program. This change applies only to new clients and does not impact existing clients.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce funding for the CHCPE by \$1.7 million in FY 16 and \$5.3 million in FY 17 to reflect placing a moratorium on intake to Category 1 under the state-funded program. This moratorium does not apply to individuals participating in the assisted living demonstration project, and will be lifted in FY 18. Section 383 of PA 15-5 JSS, a budget implementer, is related to this change.

Adjust State Administered General Assistance Burial Benefit

State Administered General Assistance	0	(887,500)	0	(887,500)	0	812,500	0	812,500
Total - General Fund	0	(887,500)	0	(887,500)	0	812,500	0	812,500

Background

The Department of Social Services provides up to \$1,800 for funeral and burial expenses of indigent persons who pass away without the ability to pay for the cost of a funeral and burial.

Governor

Reduce funding by \$1.7 million in both FY 16 and FY 17 to reflect reducing the burial benefit for the State Administered General Assistance Program from \$1,800 to \$1,000.

Legislative

Reduce funding by \$887,500 in both FY 16 and FY17 to reflect reducing the burial benefit under the state administered general assistance, state supplemental and temporary family assistance programs from \$1,800 to \$1,400. Sections 384 and 385 of PA 15-5 JSS, a budget implementer, are related to this change.

Adjust Funding for Various Programs

HUSKY Performance Monitoring	0	(13,004)	0	(10,403)	0	184,644	0	187,245
Healthy Start	0	(89,395)	0	(71,516)	0	1,269,401	0	1,287,280
Human Resource Development-Hispanic Programs	0	(59,109)	0	(47,287)	0	886,630	0	898,452
Safety Net Services	0	(175,925)	0	(140,740)	0	1,345,365	0	1,560,456
Transportation for Employment Independence Program	0	(158,043)	0	(126,434)	0	2,244,195	0	2,275,804
Services for Persons With Disabilities	0	(37,626)	0	(30,101)	0	123,231	0	130,756
Connecticut Children's Medical Center	0	(973,700)	0	(778,960)	0	(973,700)	0	(778,960)
Community Services	0	(70,325)	0	(56,260)	0	79,675	0	93,740
Human Service Infrastructure Community Action Program	0	(215,833)	0	(172,666)	0	3,064,827	0	3,107,994
Teen Pregnancy Prevention	0	(114,836)	0	(91,869)	0	1,630,674	0	1,653,641
Fatherhood Initiative	0	(35,416)	0	(28,333)	0	502,908	0	509,991
Human Resource Development-Hispanic Programs - Municipality	0	(335)	0	(268)	0	5,029	0	5,096
Teen Pregnancy Prevention - Municipality	0	(8,614)	0	(6,891)	0	122,321	0	124,044
Total - General Fund	0	(1,952,161)	0	(1,561,728)	0	10,485,200	0	11,055,539

Governor

Reduce funding by \$12,437,361 in FY 16 and \$12,617,267 in FY 17 to reflect the elimination of various grant programs. Such programs include: Children's Health Council (HUSKY Performance Monitoring), Fatherhood Initiative, Healthy Start, Transportation for Employment Independence, Human Resource Development for Hispanic Programs, Teen Pregnancy Prevention, Human Services Infrastructure Community Action Program (HSICAP), certain programs under Safety Net Services, Community Services, and support for advocacy services and the Family Support Grant under Services for Persons with Disabilities.

Legislative

Reduce funding by \$1,952,161 in FY 16 and \$1,561,728 in FY 17 for various programs.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for Various Grant Program

Safety Net Services	0	0	0	0	0	267,405	0	267,405
Services for Persons With Disabilities	0	0	0	0	0	57,191	0	57,191
Nutrition Assistance	0	0	0	0	0	45,568	0	45,568
Community Services	0	0	0	0	0	106,894	0	106,894
Community Services - Municipality	0	0	0	0	0	7,957	0	7,957
Total - General Fund	0	0	0	0	0	485,015	0	485,015

Governor

Reduce funding by \$485,015 in FY 16 and FY 17 to achieve savings in various grant accounts. Programs include Safety Net Services, Services for Persons with Disabilities, Nutrition Assistance, and Community Services.

Legislative

Maintain funding for grant programs.

Adjust Funding for Torrington Regional Office

Personal Services	0	0	0	0	28	1,323,000	28	1,518,000
Other Expenses	0	0	0	0	0	120,800	0	131,800
Total - General Fund	0	0	0	0	28	1,443,800	28	1,649,800

Governor

Reduce funding by \$1,443,800 in FY 16 and \$1,649,800 in FY 17, and eliminate 28 positions in both FY 16 and FY 17 to reflect closing the Torrington Regional Office. Current staff will be absorbed into other DSS vacancies.

Legislative

Maintain Torrington Regional Office operations.

Reflect Federal Reimbursement for SA Residential Detox

Medicaid	0	(2,230,000)	0	(2,230,000)	0	0	0	0
Total - General Fund	0	(2,230,000)	0	(2,230,000)	0	0	0	0

Governor

Reduce funding by \$2,230,000 in FY 16 and FY 17 to reflect anticipated federal reimbursement for certain substance abuse (SA) detox services. This requires a state plan change to include other drugs of dependence, which requires CMS approval. The state currently receives federal reimbursement on residential detoxification services related to alcohol.

Legislative

Same as Governor

Reduce Other Expenses by Establishing an Automated Interface

Other Expenses	0	(900,000)	0	(3,600,000)	0	0	0	0
Total - General Fund	0	(900,000)	0	(3,600,000)	0	0	0	0

Background

The Department of Social Services currently contracts with HP, who manually processes Medicaid eligibility applications that come from Access Health CT (the state's health insurance exchange).

Governor

Reduce funding of \$900,000 in FY 16 and \$3.6 million in FY 17 in the Other Expenses account to reflect contractual savings resulting from implementing an automated interface between Access Health CT and ImpaCT (DSS' eligibility management system), which is currently under development.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Other Expenses

Other Expenses	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
Total - General Fund	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)

Legislative

Reduce funding by \$500,000 in both FY 16 and FY 17 for Other Expenses.

Provide Funding for Person to Person in Darien

Community Services	0	125,000	0	125,000	0	125,000	0	125,000
Total - General Fund	0	125,000	0	125,000	0	125,000	0	125,000

Background

The Person-to-Person program provides support to low-income families via emergency assistance, scholarships, and a campership program.

Legislative

Provide funding of \$125,000 in both FY 16 and FY 17 to support the Person-to-Person program in Darien, Connecticut.

Provide Funding for Fair Hearings

Personal Services	0	0	1	144,021	0	0	1	144,021
Total - General Fund	0	0	1	144,021	0	0	1	144,021

Legislative

Provide funding of \$114,021 and one position to support fair hearings in FY 17.

Adjust SSBG/TANF Support for Certain Programs

Nutrition Assistance	0	0	0	0	0	80,478	0	107,304
Housing/Homeless Services	0	0	0	0	0	384,292	0	512,389
Total - General Fund	0	0	0	0	0	464,770	0	619,693

Background

Currently, 10% of the state's Temporary Assistance for Needy Families (TANF) block grant is transferred to the Social Services Block Grant (SSBG). Allocations under SSBG/TANF include Human Resource Development (\$251,779), Human Resource Development - Hispanic Programs (\$260,610), and Anti-Hunger Programs (\$107,304).

Governor

Reduce General Fund appropriations by \$464,770 in FY 16 and \$619,693 in FY 17 to reflect the transfer of a portion of funding for Domestic Violence Shelters and Nutrition Assistance to SSBG/TANF funding. To make resources available for this transfer, SSBG/TANF support for Human Resource Development, Human Resource Development - Hispanic and Anti-Hunger programs is eliminated.

Legislative

Maintain funding for programs under SSBG/TANF.

Transfer Funding to Domestic Violence Shelters Account

Housing/Homeless Services	0	(5,210,676)	0	(5,210,676)	0	(5,210,676)	0	(5,210,676)
Domestic Violence Shelters	0	5,210,676	0	5,210,676	0	5,210,676	0	5,210,676
Total - General Fund	0	0	0	0	0	0	0	0

Legislative

Transfer funding of \$5,210,676 in both FY 16 and FY 17 to the new Domestic Violence Shelters account.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Establish Family Services - TANF Account

Transportation for Employment Independence Program	0	(2,275,804)	0	(2,275,804)	0	(2,275,804)	0	(2,275,804)
Fatherhood Initiative	0	(509,991)	0	(509,991)	0	(509,991)	0	(509,991)
Family Programs - TANF	0	2,785,795	0	2,785,795	0	2,785,795	0	2,785,795
Total - General Fund	0	0	0	0	0	0	0	0

Legislative

Transfer funding of \$2,785,795 in both FY 16 and FY 17 from the Transportation for Employment Independence and Fatherhood Initiative Programs to the new Family Programs - TANF account. DSS shall utilize these funds as part of the claiming process for the federal TANF block grant.

Transfer Funding for Employment Transportation

Family Programs - TANF	0	(2,244,195)	0	(2,370,629)	0	(2,244,195)	0	(2,370,629)
Total - General Fund	0	(2,244,195)	0	(2,370,629)	0	(2,244,195)	0	(2,370,629)
Family Programs - TANF	0	2,244,195	0	2,370,629	0	2,244,195	0	2,370,629
Total - Special Transportation Fund	0	2,244,195	0	2,370,629	0	2,244,195	0	2,370,629

Legislative

Transfer funding of \$2,244,195 in FY 16 and \$2,370,629 in FY 17 to reflect supporting the Transportation for Employment Independence Program (TEIP) via the Transportation Fund, rather than the General Fund.

Transfer Medicaid Birth to Three Funding from DDS

Medicaid	0	7,250,000	0	7,250,000	0	0	0	0
Total - General Fund	0	7,250,000	0	7,250,000	0	0	0	0

Governor

Transfer funding of \$7,250,000 in FY16 and FY17 from the Department of Developmental Services (DDS) to reflect the Medicaid portion of funding in DSS. The remainder of the Birth to Three program is transferred to the Office of Early Childhood (OEC). It should be noted that the Medicaid account portion of the Birth to Three program funding is budgeted on a net basis in anticipation of a 50% Medicaid reimbursement. Total Birth to Three program funding of \$39.2 million will be available to provide services across both agencies.

Legislative

Same as Governor

Adjust Funding for Transfer of DPH Community Health Centers

Medicaid	0	1,550,000	0	1,550,000	0	(200,000)	0	(200,000)
Total - General Fund	0	1,550,000	0	1,550,000	0	(200,000)	0	(200,000)

Governor

Transfer funding of approximately \$4.4 million in both FY 16 and FY 17 from the Department of Public Health to the DSS Medicaid account for Community Health Centers (FQHC's). Due to net budgeting, \$1,750,000 is appropriated to the Medicaid account in FY 16 and FY 17 to reflect this transfer, and assumes a federal reimbursement rate of approximately 60%.

Legislative

Transfer funding of \$1,550,000 in both FY 16 and FY 17 from the Department of Public Health to the DSS Medicaid account for Community Health Centers (FQHC's).

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Bipartisan Health Care Plan

Other Expenses	0	800,000	0	900,000	0	800,000	0	900,000
Total - General Fund	0	800,000	0	900,000	0	800,000	0	900,000

Legislative

Provide funding of \$800,000 in FY 16 and \$900,000 in FY 17 to support the bipartisan hospital and healthcare plans related to PA 15-146, "AAC Hospitals, Insurers and Health Care Consumers."

Transfer Child Care to OEC

Other Expenses	0	(113,276)	0	(113,276)	0	(113,276)	0	(113,276)
Total - General Fund	0	(113,276)	0	(113,276)	0	(113,276)	0	(113,276)

Legislative

Transfer funding of \$113,276 in both FY 16 and FY 17 to the Office of Early Childhood (OEC) to accurately reflect child care funding in OEC.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(2,000,000)	0	(2,000,000)	0	0	0	0
HUSKY Performance Monitoring	0	(13,003)	0	(10,402)	0	(2,601)	0	0
Genetic Tests in Paternity Actions	0	(11,349)	0	(9,079)	0	(2,270)	0	0
Food Stamp Training Expenses	0	(750)	0	(600)	0	(150)	0	0
Healthy Start	0	(89,394)	0	(71,515)	0	(17,879)	0	0
Safety Net Services	0	(175,924)	0	(140,739)	0	(35,185)	0	0
Transportation for Employment Independence Program	0	(94,824)	0	(126,433)	0	31,609	0	0
Refunds Of Collections	0	(9,375)	0	(7,500)	0	(1,875)	0	0
Services for Persons With Disabilities	0	(37,625)	0	(30,100)	0	(7,525)	0	0
Nutrition Assistance	0	(29,979)	0	(23,983)	0	(5,996)	0	0

Rollout of FY 15 Rescissions and Reduce Various Accounts (continued)

Community Services	0	(70,324)	0	(56,259)	0	(14,065)	0	0
Human Service Infrastructure	0	(215,833)	0	(172,666)	0	(43,167)	0	0
Community Action Program	0	(114,835)	0	(91,868)	0	(22,967)	0	0
Teen Pregnancy Prevention	0	(21,249)	0	(28,332)	0	7,083	0	0
Fatherhood Initiative	0	(8,614)	0	(6,891)	0	(1,723)	0	0
Teen Pregnancy Prevention - Municipality	0	(5,235)	0	(4,188)	0	(1,047)	0	0
Community Services - Municipality	0	(5,235)	0	(4,188)	0	(1,047)	0	0
Total - General Fund	0	(2,898,313)	0	(2,780,555)	0	(117,758)	0	0

Background

The Governor Implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various state agencies.

Governor

Reduce funding by \$2,780,555 in FY 16 and FY17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$2,780,555 in FY 16 and FY17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$117,758 in FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(503,296)	0	(1,156,328)	0	0	0	0
Total - General Fund	0	(503,296)	0	(1,156,328)	0	0	0	0

Governor

Reduce the Other Expenses account by \$503,296 in FY 16 and \$1,156,328 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward Funding for Community Health Centers

Medicaid	0	0	0	517,500	0	0	0	517,500
Total - Carry Forward Funding	0	0	0	517,500	0	0	0	517,500

Background

The Connecticut Medicaid Quality Improvement and Shared Savings Program (MQISSP) aims to improve health outcomes and care experience of single-eligible Medicaid beneficiaries through arrangements with competitively selected, participating providers (FQHCs and "advanced networks") that will receive care coordination payments (FQHCs only) and a portion of any savings that are achieved (FQHCs and advanced networks), on the condition that they meet benchmarks on identified quality measures.

Legislative

Carry forward funding of \$517,500 from FY 16 to FY 17 for the Medicaid shared savings program for community health centers. Section 402 of PA 15-5 JSS, a budget implementer, authorized this carryforward.

Carry Forward for Other Expenses

Other Expenses	0	1,930,115	0	0	0	1,930,115	0	0
Total - Carry Forward Funding	0	1,930,115	0	0	0	1,930,115	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$1,930,115 is carried forward for contractual expenses incurred in FY 15 but were not paid due to processing delays. Funding of \$1,136,722 will support Maximus the AHCT Call Center, and \$793,933 will support UCONN for Money Follows the Person.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward Medicaid

Medicaid	0	1,205,650	0	0	0	1,205,650	0	0
Total - Carry Forward Funding	0	1,205,650	0	0	0	1,205,650	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$1,205,650 is carried forward to fund \$903,500 in FY15 performance payments and \$302,510 for prior year obligations related to the Paid Time Off and Training funds under the PCA labor agreement.

Carry Forward Connecticut Home Care Program Funding

Connecticut Home Care Program	0	25,700	0	0	0	25,700	0	0
Total - Carry Forward Funding	0	25,700	0	0	0	25,700	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$25,700 is carried forward to fund prior year obligations related to the Paid Time Off and Training funds required under the PCA labor agreement. Payments were delayed due to amendments to the contract with the fiscal intermediary.

Carry Forward for Christian Community Action

Community Services	0	37,500	0	0	0	37,500	0	0
Total - Carry Forward Funding	0	37,500	0	0	0	37,500	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$37,500 is carried forward to support the contract with Christian Community Action.

Carry Forward Funding for HSI Community Action Program

Human Service Infrastructure Community Action Program	0	450,439	0	0	0	450,439	0	0
Total - Carry Forward Funding	0	450,439	0	0	0	450,439	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$450,439 is carried forward to assist the new community action agency replacing Norwalk Economic Opportunity Now (NEON) in building an initial infrastructure.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,982	3,114,518,834	1,982	3,114,518,834	0	0	0	0
Current Services	(7)	81,645,889	(7)	232,147,031	0	(70,232,500)	0	(56,260,000)
Policy Revisions	0	(30,227,622)	1	(103,336,736)	28	76,902,056	29	75,738,663
Total Recommended - GF	1,975	3,165,937,101	1,976	3,243,329,129	28	6,669,556	29	19,478,663
Governor Estimated - TF	0	0	0	0	0	0	0	0
Policy Revisions	0	2,244,195	0	2,370,629	0	2,244,195	0	2,370,629
Total Recommended - TF	0	2,244,195	0	2,370,629	0	2,244,195	0	2,370,629

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$839,494, a Statewide Hiring Reduction of \$2,067,383, a General Employee Lapse of \$191,528, and Overtime Savings of \$347,590. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,228,186. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	134,527,508	(3,001,523)	131,525,985	2.23%
Other Expenses	148,435,174	(2,670,999)	145,764,175	1.80%
Refunds Of Collections	110,625	(1,659)	108,966	1.50%

Estimated Medicaid Expenditures by Category of Service

Category of Service	FY 16 \$	FY 17 \$
Hospital Services		
Hospital Inpatient	919,859,248	950,767,390
Hospital Outpatient	812,023,031	841,731,482
Hospital Supplemental	247,540,928	247,599,752
Hospital Retro	48,750,000	-
Total Expenditures	2,028,173,207	2,040,098,624
Professional Medical Care		
Physician	439,557,183	456,229,900
Clinic	416,929,332	456,104,924
Dental	224,926,435	233,590,004
Vision	41,998,963	43,604,068
Other Practitioner	95,792,744	125,295,124
Total Expenditures	1,219,204,657	1,314,824,020
Other Medical Services		
Pharmacy	1,099,635,445	1,182,821,643
Pharmacy Rebates	(606,167,834)	(661,244,819)
Transportation	33,312,439	32,948,828
Non-Emergency Transportation (NEMT)	49,439,222	50,454,149
Lab & X-Ray	51,112,590	53,062,827

Category of Service	FY 16 \$	FY 17 \$
Durable Medical Equipment	95,005,990	98,160,312
Alcohol & Drug Services	18,988,955	19,700,301
Medicare Part D Clawback	149,646,940	157,179,655
Total Expenditures	890,973,749	933,082,896
Home and Community Based		
Home Health	259,598,840	263,384,532
Mental Health Waiver	1,260,000	1,680,000
CHC / PCA Assessments	1,681,946	1,752,928
CT Home Care (CHC) Waiver	289,654,016	304,082,817
Acquired Brain Injury (ABI) Waiver	64,700,158	74,016,273
Personal Care Assistance (PCA) Waiver	36,264,238	40,831,079
Waiver Related Services	5,178,854	6,795,209
Hospice Services	3,254,633	3,300,684
Total Expenditures	661,592,685	695,843,522
Long-Term Care Facilities		
Nursing Home - Chronic Care	1,249,518,381	1,244,085,613
Nursing Home - Rest Home	8,057,857	8,174,689
Intermediate Care Facility - IID	62,850,879	62,701,787
Chronic Disease Hospital	70,191,639	70,931,682
Hospice Services	32,833,013	32,789,693
Total Expenditures	1,423,451,769	1,418,683,465
Administrative Services & Adjustments		
Medicare Part B Premiums	215,123,948	223,797,402
PCA Waiver - Administration	2,272,056	2,272,056
ABI Waiver - Administration	931,320	931,320
Behavioral Health Partnership ASO	15,296,968	15,588,077
Medical ASO	85,533,424	88,584,845
Dental ASO	8,504,185	8,695,680
NEMT Broker	6,822,241	7,022,267
TPL Admin Fees	1,320,000	1,320,000
Other CoreCT Medicaid Expenditures	(17,842,598)	(26,842,598)
Non-Claim Specific Adjustments	(179,319,879)	(239,027,839)
Medicaid Expenditure Offsets		
School Based Child Health	(15,727,470)	(15,819,252)
Medicare Premiums Offsets	(216,199,567)	(224,916,389)
Total Expenditures	(93,285,372)	(158,394,431)
Medicaid - Grand Total	6,130,110,695	6,244,138,096
Federal Share	3,661,695,195	3,701,350,095
State Appropriation	2,468,415,500	2,542,788,000

State Department on Aging

SDA62500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	28	30	28	28	28	28

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,967,407	2,432,236	2,427,209	2,450,501	2,427,209	2,450,501
Other Expenses	88,062	233,905	222,210	222,210	219,286	222,210
Equipment	0	1	0	0	0	0
Other Than Payments to Local Governments						
Programs for Senior Citizens	6,370,065	6,390,065	5,695,980	5,695,980	6,150,914	6,150,914
Nonfunctional - Change to Accruals	11,455	19,319	0	0	0	0
Agency Total - General Fund	8,436,989	9,075,526	8,345,399	8,368,691	8,797,409	8,823,625
Other Current Expenses						
Fall Prevention	395,250	475,000	475,000	475,000	475,000	475,000
Agency Total - Insurance Fund	395,250	475,000	475,000	475,000	475,000	475,000
Total - Appropriated Funds	8,832,239	9,550,526	8,820,399	8,843,691	9,272,409	9,298,625
Additional Funds Available						
Federal Funds	17,441,149	17,798,224	17,170,227	17,170,227	17,170,227	17,170,227
Private Contributions & Other Restricted	530,000	465,000	465,000	465,000	465,000	465,000
Agency Grand Total	26,803,388	27,813,750	26,455,626	26,478,918	26,907,636	26,933,852

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	128,901	0	153,262	0	0	0	0
Total - General Fund	0	128,901	0	153,262	0	0	0	0

Governor

Provide funding of \$128,901 in FY 16 and \$153,262 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Annualize Previous Year Partial Funding

Personal Services	0	25,075	0	26,383	0	0	0	0
Total - General Fund	0	25,075	0	26,383	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$25,075 in FY 16 and \$26,383 in FY 17 to reflect full year funding for Personal Services.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	5,633	0	12,436	0	0	0	0
Total - General Fund	0	5,633	0	12,436	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$5,633 in FY 16 and an additional \$6,803 in FY 17 (for a cumulative total of \$12,436 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Reallocate Private Provider COLA from DSS

Programs for Senior Citizens	0	6,820	0	6,820	0	0	0	0
Total - General Fund	0	6,820	0	6,820	0	0	0	0

Governor

Transfer \$6,820 in FY 16 and FY 17 from the Department of Social Services to more accurately reflect the location of the provision of services.

Legislative

Same as Governor

Policy Revisions**Reduce Funding for Vacant Positions**

Personal Services	(2)	(130,988)	(2)	(133,097)	0	0	0	0
Total - General Fund	(2)	(130,988)	(2)	(133,097)	0	0	0	0

Governor

Reduce funding of \$130,988 in FY 16 and \$133,097 in FY 17 to reflect the elimination of 2 positions that are currently vacant.

Legislative

Same as Governor.

Eliminate Support for Pilot Community Ombudsman Program

Personal Services	0	(28,015)	0	(28,283)	0	0	0	0
Total - General Fund	0	(28,015)	0	(28,283)	0	0	0	0

Governor

Reduce funding by \$28,015 in FY 16 and \$28,283 in FY 17 to reflect the elimination of state support for the Community Ombudsman Program.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Rollout of FY 15 Rescissions and Reduce Various Accounts

Other Expenses	0	(14,619)	0	(11,695)	0	(2,924)	0	0
Programs for Senior Citizens	0	(136,988)	0	(136,988)	0	0	0	0
Total - General Fund	0	(151,607)	0	(148,683)	0	(2,924)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$148,683 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$148,683 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce Other Expenses by \$2,924 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(5,633)	0	(12,436)	0	0	0	0
Total - General Fund	0	(5,633)	0	(12,436)	0	0	0	0

Governor

Reduce various accounts by \$5,633 in FY 16 and \$12,436 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Maintain Grant to Las Perlas Hispanas Senior Center

Programs for Senior Citizens	0	0	0	0	0	19,000	0	19,000
Total - General Fund	0	0	0	0	0	19,000	0	19,000

Background

Las Perlas Hispanas Senior Center, in New Britain, has been providing health and social support for low-income, Spanish-speaking elders since 1981.

Governor

Removing funding of \$19,000 in FY 16 and FY 17 to reflect the elimination of a grant to Las Perlas Hispanas.

Legislative

Maintain current funding for Las Perlas Hispanas.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Support for Alzheimer's Respite Care Program

Programs for Senior Citizens	0	(108,983)	0	(108,983)	0	435,934	0	435,934
Total - General Fund	0	(108,983)	0	(108,983)	0	435,934	0	435,934

Background

The Alzheimer's Respite Care Program offers relief to stressed caregivers by providing information, support, the development of an appropriate plan of care, and services for the individual with Alzheimer's Disease or related dementias. There is a maximum of \$7,500 in services available per year to each applicant (subject to the Care Manager's discretion), and a maximum of 30 days of out of home respite care services (excluding Adult Day Care) available per year to each applicant.

Governor

Reduce funding by \$544,917 in FY 16 and FY 17 to reflect a reduction in the Alzheimer's Respite program. This represents a 25% reduction in program resources. Due to this reduction, either fewer individuals will be able to access services, or those who are enrolled in the program will receive less service.

Legislative

Reduce funding by \$108,983 in FY 16 and FY 17 to reflect a reduction in the Alzheimer's Respite program. This represents a 5% reduction in program resources. Due to this reduction, either fewer individuals will be able to access services, or those who are enrolled in the program will receive less service.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(19,319)	0	(19,319)	0	0	0	0
Total - General Fund	0	(19,319)	0	(19,319)	0	0	0	0

Governor

Reduce funding by \$19,319 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	30	9,075,526	30	9,075,526	0	0	0	0
Current Services	0	166,429	0	198,901	0	0	0	0
Policy Revisions	(2)	(444,546)	(2)	(450,802)	0	452,010	0	454,934
Total Recommended - GF	28	8,797,409	28	8,823,625	0	452,010	0	454,934
Governor Estimated - IF	0	475,000	0	475,000	0	0	0	0
Total Recommended - IF	0	475,000	0	475,000	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$7,137, a Statewide Hiring Reduction of \$37,301, and a General Employee Lapse of \$6,503. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$3,289. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	2,427,209	(50,941)	2,376,268	2.10%
Other Expenses	219,286	(3,289)	215,997	1.50%

Department of Rehabilitation Services

SDR63500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	118	118	118	118	118	118
Permanent Full-Time - WF	6	6	6	6	6	6

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	5,619,867	6,662,045	5,191,611	5,231,501	5,191,611	5,231,501
Other Expenses	1,612,623	1,616,205	1,576,205	1,576,205	1,576,205	1,576,205
Equipment	0	1	0	0	0	0
Other Current Expenses						
Part-Time Interpreters	51,151	1,522	1,522	1,522	1,522	1,522
Educational Aid for Blind and Visually Handicapped Children	3,603,169	3,945,388	4,514,363	4,553,755	4,514,363	4,553,755
Employment Opportunities - Blind & Disabled	653,399	653,416	1,246,294	1,246,294	1,340,729	1,340,729
Other Than Payments to Local Governments						
Vocational Rehabilitation - Disabled	7,460,892	7,460,892	6,845,892	6,845,892	6,994,586	7,087,847
Supplementary Relief and Services	99,749	99,749	74,762	74,762	93,515	94,762
Vocational Rehabilitation - Blind	899,402	899,402	699,402	699,402	843,189	854,432
Special Training for the Deaf Blind	269,383	286,581	286,581	286,581	286,581	286,581
Connecticut Radio Information Service	83,258	83,258	0	0	78,055	79,096
Employment Opportunities	762,064	757,878	0	0	0	0
Independent Living Centers	528,680	528,680	0	0	495,637	502,246
Nonfunctional - Change to Accruals	67,047	277,368	0	0	0	0
Agency Total - General Fund	21,710,683	23,272,385	20,436,632	20,515,914	21,415,993	21,608,676
Personal Services	448,254	506,819	529,629	534,113	529,629	534,113
Other Expenses	24,460	53,822	53,822	53,822	53,822	53,822
Rehabilitative Services	1,143,337	1,261,913	1,261,913	1,261,913	1,261,913	1,261,913
Fringe Benefits	365,582	354,875	407,053	410,485	407,053	410,485
Nonfunctional - Change to Accruals	2,264	6,490	0	0	0	0
Agency Total - Workers' Compensation Fund	1,983,897	2,183,919	2,252,417	2,260,333	2,252,417	2,260,333
Total - Appropriated Funds	23,694,580	25,456,304	22,689,049	22,776,247	23,668,410	23,869,009
Additional Funds Available						
Carry Forward Funding	0	0	0	0	863,027	0
Federal Funds	53,724,815	53,846,489	53,659,374	53,644,943	53,659,374	53,644,943
Private Contributions & Other Restricted	2,200,279	2,193,286	2,178,286	2,178,286	2,178,286	2,178,286
Agency Grand Total	79,619,675	81,496,079	78,526,709	78,599,476	80,369,097	79,692,238

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	316,068	0	367,003	0	0	0	0
Educational Aid for Blind and Visually Handicapped Children	0	99,473	0	127,820	0	0	0	0
Total - General Fund	0	415,541	0	494,823	0	0	0	0
Personal Services	0	22,810	0	27,294	0	0	0	0
Total - Workers' Compensation Fund	0	22,810	0	27,294	0	0	0	0

Governor

Provide funding of \$415,541 in FY 16 and \$494,823 in FY 17 in the General Fund and \$22,810 in FY 16 and \$27,294 in FY 17 in the Workers' Compensation Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	37,211	0	84,149	0	0	0	0
Total - General Fund	0	37,211	0	84,149	0	0	0	0
Other Expenses	0	1,277	0	2,853	0	0	0	0
Total - Workers' Compensation Fund	0	1,277	0	2,853	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$37,211 in FY 16 and an additional \$46,938 in FY 17 (for a cumulative total of \$84,149 in the second year) and by \$1,277 in FY 16 and an additional \$1,576 in FY 17 for a cumulative total of \$2,853 in the second year) in the Workers' Compensation Fund to reflect inflationary increases.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Educational Aid for Blind and Visually Handicapped Children	0	76,330	0	97,721	0	0	0	0
Total - General Fund	0	76,330	0	97,721	0	0	0	0

Governor

Provide funding of \$76,330 in FY 16 and \$97,721 in FY 17 in the Educational Aid for Blind Children account to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs include fringe benefit costs for positions funded in this account.

Legislative

Same as Governor

Adjust Fringe Benefits and Indirect Overhead

Fringe Benefits	0	52,178	0	55,610	0	0	0	0
Total - Workers' Compensation Fund	0	52,178	0	55,610	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$52,178 in FY 16 and \$55,610 in FY 17 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Policy Revisions**Adjust Funding for Independent Living Centers**

Independent Living Centers	0	0	0	0	0	502,246	0	502,246
Total - General Fund	0	0	0	0	0	502,246	0	502,246

Background

The Independent Living Centers provide comprehensive independent living services including peer counseling, skills training and case management. Connecticut has five community-based independent living centers in Naugatuck, West Haven, Stratford, Hartford and Norwich. The state funding for the Independent Living Centers represents approximately 35% of the basic operational funding for each center with federal funding providing the balance.

Governor

Eliminate funding of \$502,246 in both FY 16 and FY 17 for Independent Living Centers to reflect the elimination of state funding for each of the five centers.

Legislative

Maintain funding of \$502,246 in both FY 16 and FY 17 for the Independent Living Centers.

Adjust Funding for Connecticut Radio Information Service

Connecticut Radio Information Service	0	0	0	0	0	79,096	0	79,096
Total - General Fund	0	0	0	0	0	79,096	0	79,096

Background

The Connecticut Radio Information Service, Inc. (CRIS) is a not-for-profit organization established to provide radio broadcasting of news and other written media to individuals who, because of vision loss, learning disability or physical handicap, are unable to read printed material. This grant to CRIS is for the purchase of receivers and for costs related to the operation of the radio reading service.

Governor

Eliminate funding of \$79,096 in both FY 16 and FY 17 for Connecticut Radio Information Service to reflect the elimination of state funding for this service.

Legislative

Maintain funding of \$79,096 in both FY 16 and FY 17 for the Connecticut Radio Information Service.

Transfer Employment Opportunities Funding

Employment Opportunities - Blind & Disabled	0	757,878	0	757,878	0	0	0	0
Employment Opportunities	0	(757,878)	0	(757,878)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Enhanced Employment Opportunities account currently funds rehabilitation programs for individuals served by the Department's Bureau of Education and Services for the Blind.

The Employment Opportunities grant account currently funds the Employment Opportunities Program which assists individuals with a wide range of significant physical, mental and intellectual disabilities, who are otherwise not eligible for DDS or DMHAS services and enables individuals with significant disabilities to engage in and retain integrated, competitive employment.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer funding of \$757,878 in both FY 16 and FY 17 from the Employment Opportunities grant account to the Enhanced Employment Opportunities (renamed Employment Opportunities - Blind & Disabled) account.

Legislative

Same as Governor

Adjust Employment Opportunities Funding

Employment Opportunities - Blind & Disabled	0	(70,565)	0	(70,565)	0	94,435	0	94,435
Total - General Fund	0	(70,565)	0	(70,565)	0	94,435	0	94,435

Governor

Reduce funding by \$165,000 in both FY 16 and FY 17 in the Enhanced Employment Opportunities (renamed Employment Opportunities - Blind & Disabled) account to reflect anticipated savings after the consolidation of the two Employment Opportunities accounts.

Legislative

Reduce funding by \$70,565 in both FY 16 and FY 17 in the Employment Opportunities - Blind & Disabled account to reflect a 5% reduction in the overall employment opportunities funding.

Consolidate Children's Services Program

Personal Services	0	(1,736,502)	0	(1,747,547)	0	0	0	0
Educational Aid for Blind and Visually Handicapped Children	0	393,172	0	382,826	0	0	0	0
Total - General Fund	0	(1,343,330)	0	(1,364,721)	0	0	0	0

Background

The Children's Services Program within the Department's Bureau of Education and Services for the Blind provides specialized training, adaptive materials, and services to children who are legally blind, deaf-blind, or visually impaired from birth through high school graduation or age 21. Teachers and consultants of the program provide specialized instruction in Braille literacy, access to adapted technologies, and independent living and social skills training.

Governor

Transfer funding of \$1,736,502 in FY 16 and \$1,747,547 in FY 17 from the Personal Services account to the Educational Aid for Blind Children account to reflect the consolidation of Children's Services funding in this Other Current Expense account. Funding of \$1,343,330 in FY 16 and \$1,364,721 in FY 17 for fringe benefit costs is transferred to the Office of the State Comptroller (OSC) as all fringe benefits associated with the Children's Services Program are to be paid from the OSC's centralized fringe benefit accounts. This results in a net increase of \$393,172 in FY 16 and \$382,826 in FY 17 in the Educational Aid for Blind Children account.

Legislative

Same as Governor

Adjust Funding for Vocational Rehabilitation - Disabled

Vocational Rehabilitation - Disabled	0	(466,306)	0	(373,045)	0	148,694	0	241,955
Total - General Fund	0	(466,306)	0	(373,045)	0	148,694	0	241,955

Background

The Vocational Rehabilitation - Disabled program in the Department's Bureau of Rehabilitation Services assists persons with significant physical, intellectual and mental disabilities to prepare for and engage in competitive employment by providing services under an Individualized Plan for Employment.

Governor

Reduce funding by \$615,000 in both FY 16 and FY 17 to achieve savings in the account.

Legislative

Reduce funding by \$466,306 in FY 16 and \$373,045 in FY 17 in the Vocational Rehabilitation - Disabled account.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Vocational Rehabilitation - Blind

Vocational Rehabilitation - Blind	0	(56,213)	0	(44,970)	0	143,787	0	155,030
Total - General Fund	0	(56,213)	0	(44,970)	0	143,787	0	155,030

Background

The Vocational Rehabilitation - Blind account provides adults who are legally blind with training, adaptive equipment, job placement and on-the-job supports to enable successful achievement and maintaining of employment. The account is used to purchase services from community rehabilitation providers, educational institutions, and vendors of adaptive technology for the blind to enable eligible clients to acquire vocational skills that are necessary to achieve their individualized career goals.

Governor

Reduce funding by \$200,000 in both FY 16 and FY 17 to achieve savings in this account.

Legislative

Reduce funding by \$56,213 in FY 16 and \$44,970 in FY 17 in the Vocational Rehabilitation - Blind account.

Adjust Funding for Supplementary Relief Services

Supplementary Relief and Services	0	0	0	0	0	20,000	0	20,000
Total - General Fund	0	0	0	0	0	20,000	0	20,000

Background

The Supplementary Relief Services account is used to provide legally blind adults with independent living aids such as low vision magnifiers, glasses and equipment, adaptive home management and cooking aids, and mobility travel canes to enable safe functioning within the home and in the community.

Governor

Reduce funding by \$20,000 in both FY 16 and FY 17 to achieve savings in this account.

Legislative

Maintain funding of \$20,000 in both FY 16 and FY 17 in the Supplemental Services account.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Personal Services	0	(50,000)	0	(50,000)	0	0	0	0
Other Expenses	0	(40,000)	0	(40,000)	0	0	0	0
Supplementary Relief and Services	0	(6,234)	0	(4,987)	0	(1,247)	0	0
Connecticut Radio Information Service	0	(5,203)	0	(4,162)	0	(1,041)	0	0
Independent Living Centers	0	(33,043)	0	(26,434)	0	(6,609)	0	0
Total - General Fund	0	(134,480)	0	(125,583)	0	(8,897)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$125,583 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$125,583 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce funding in various accounts by \$8,897 in FY 16.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases

Other Expenses	0	(37,211)	0	(84,149)	0	0	0	0
Total - General Fund	0	(37,211)	0	(84,149)	0	0	0	0
Other Expenses	0	(1,277)	0	(2,853)	0	0	0	0
Total - Workers' Compensation Fund	0	(1,277)	0	(2,853)	0	0	0	0

Governor

Reduce Other Expenses by \$37,211 in FY 16 and \$84,149 in FY 17 in the General Fund and by \$1,277 in FY 16 and \$2,853 in FY 17 in the Workers' Compensation Fund to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment Through CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(277,368)	0	(277,368)	0	0	0	0
Total - General Fund	0	(277,368)	0	(277,368)	0	0	0	0
Nonfunctional - Change to Accruals	0	(6,490)	0	(6,490)	0	0	0	0
Total - Workers' Compensation Fund	0	(6,490)	0	(6,490)	0	0	0	0

Governor

Reduce funding by \$277,368 in both FY 16 and FY 17 in the General Fund and by \$6,490 in both FY 16 and FY 17 in the Workers' Compensation Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Carry Forward**Carry Forward Funding for Interpreting Services**

Part-Time Interpreters	0	863,027	0	0	0	863,027	0	0
Total - Carry Forward Funding	0	863,027	0	0	0	863,027	0	0

Legislative

Pursuant to CGS 4-89(g), the unexpended balance in the Part-Time Interpreters account, estimated to be \$863,027, is carried forward from FY 15 to FY 16 to support interpreting services.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	118	23,272,385	118	23,272,385	0	0	0	0
Current Services	0	529,082	0	676,693	0	0	0	0
Policy Revisions	0	(2,385,474)	0	(2,340,402)	0	979,361	0	1,092,762
Total Recommended - GF	118	21,415,993	118	21,608,676	0	979,361	0	1,092,762
Governor Estimated - WF	6	2,183,919	6	2,183,919	0	0	0	0
Current Services	0	76,265	0	85,757	0	0	0	0
Policy Revisions	0	(7,767)	0	(9,343)	0	0	0	0
Total Recommended - WF	6	2,252,417	6	2,260,333	0	0	0	0

Other Significant Legislation**PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services**

Section 196 allows a person who is eligible for the DORS driver training program for persons with disabilities to operate a motor vehicle while their license is withdrawn if they are driving with an instructor from the program and has no fiscal impact to the agency.

Section 425 requires state agencies without interpreting staff to request interpreting services from DORS and is not anticipated to result in a fiscal impact as it codifies current practice.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$33,488, a Statewide Hiring Reduction of \$79,783, a General Employee Lapse of \$18,827, and Overtime Savings of \$179. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$23,643. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,191,611	(114,039)	5,077,572	2.20%
Other Expenses	1,576,205	(28,363)	1,547,842	1.80%
Educational Aid for Blind and Visually Handicapped Children	4,514,363	(13,518)	4,500,845	0.30%

Department of Education

SDE64000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	1,685	1,779	1,800	1,809	1,806	1,815

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	18,067,022	18,859,588	19,998,653	20,166,925	20,397,903	20,615,925
Other Expenses	3,315,613	3,766,142	3,766,142	3,766,142	3,926,142	3,916,142
Equipment	0	1	0	0	0	0
Other Current Expenses						
Basic Skills Exam Teachers in Training	1,213,190	0	0	0	0	0
Teachers' Standards Implementation Program	2,936,746	0	0	0	0	0
Admin - Magnet Schools	194,163	0	0	0	0	0
Admin - Adult Education	835,162	0	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	13,236,948	18,886,122	17,024,201	17,037,108	15,149,111	15,610,253
Admin-Interdistrict Cooperation	91,464	0	0	0	0	0
Primary Mental Health	427,209	427,209	427,209	427,209	427,209	427,209
Admin - Youth Service Bureaus	59,785	0	0	0	0	0
Leadership, Education, Athletics in Partnership (LEAP)	726,750	726,750	0	0	681,329	690,413
Adult Education Action	161,726	240,687	240,687	240,687	240,687	240,687
Connecticut Pre-Engineering Program	262,500	262,500	0	0	246,094	249,375
Connecticut Writing Project	45,000	50,000	0	0	69,375	70,000
Resource Equity Assessments	168,061	168,064	159,661	159,661	157,560	159,661
Neighborhood Youth Centers	1,356,379	1,271,386	0	0	1,129,425	1,157,817
Longitudinal Data Systems	1,263,193	1,263,197	1,206,490	1,208,477	1,190,700	1,208,477
School Accountability	1,287,067	1,852,749	1,786,639	1,794,808	1,500,000	1,500,000
Sheff Settlement	9,010,419	20,953,473	11,861,044	12,192,038	11,861,044	12,192,038
Admin - After School Programs	180,000	0	0	0	0	0
CommPACT Schools	0	0	0	0	350,000	350,000
Parent Trust Fund Program	500,000	500,000	0	0	468,750	475,000
Regional Vocational-Technical School System	146,433,464	156,741,661	166,779,468	170,902,813	167,029,468	171,152,813
Science Program for Educational Reform Districts	454,995	455,000	0	0	0	0
Wrap Around Services	441,365	450,000	0	0	19,375	25,000
Parent Universities	487,498	487,500	0	0	0	0
School Health Coordinator Pilot	190,000	190,000	0	0	0	0
Commissioner's Network	9,231,100	17,500,000	12,800,000	12,800,000	12,800,000	12,800,000
Technical Assistance for Regional Cooperation	95,000	95,000	0	0	0	0
New or Replicated Schools	0	900,000	339,000	420,000	339,000	420,000
Bridges to Success	601,652	601,652	0	0	242,479	250,000
K-3 Reading Assessment Pilot	2,699,941	3,199,941	2,619,944	2,619,944	2,869,949	2,947,947
Talent Development	6,886,452	9,518,564	9,552,199	9,559,701	9,302,199	9,309,701
Common Core	6,403,766	6,300,000	5,985,000	5,985,000	5,906,250	5,985,000
Alternative High School and Adult Reading Incentive Program	1,200,000	1,200,000	0	0	185,000	200,000

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Special Master	1,989,643	2,116,169	1,510,361	1,010,361	1,483,909	1,010,361
School-Based Diversion Initiative	0	0	1,000,000	1,000,000	1,000,000	1,000,000
Other Than Payments to Local Governments						
American School For The Deaf	10,659,030	10,659,030	10,659,030	10,659,030	9,992,840	10,126,078
Regional Education Services	1,166,026	1,166,026	1,107,725	1,107,725	1,093,150	1,107,725
Family Resource Centers	7,582,414	8,051,914	8,051,914	8,051,914	8,161,914	8,161,914
Youth Service Bureau Enhancement	620,300	620,300	0	0	715,300	715,300
Child Nutrition State Match	2,354,627	2,354,000	2,354,000	2,354,000	2,354,000	2,354,000
Health Foods Initiative	3,861,051	4,806,300	0	0	4,326,300	4,326,300
Other Than Payments to Local Governments						
Vocational Agriculture	9,485,565	10,985,565	11,017,600	11,017,600	11,017,600	11,017,600
Transportation of School Children	24,884,748	24,884,748	24,884,748	24,884,748	23,329,451	23,329,451
Adult Education	19,983,219	21,045,036	20,635,200	20,637,392	21,035,200	21,037,392
Health and Welfare Services Pupils						
Private Schools	4,297,500	4,297,500	4,297,500	4,297,500	3,867,750	3,867,750
Education Equalization Grants	2,067,196,234	2,130,644,892	2,142,371,422	2,151,603,422	2,155,833,601	2,172,454,969
Bilingual Education	1,888,327	1,916,130	1,916,130	1,916,130	2,991,130	3,491,130
Priority School Districts	47,427,206	47,197,022	40,702,571	40,702,571	43,747,208	44,837,171
Young Parents Program	229,330	229,330	229,330	229,330	229,330	229,330
Interdistrict Cooperation	9,180,887	9,242,379	4,576,590	4,576,644	7,164,885	7,164,966
School Breakfast Program	2,296,164	2,379,962	2,379,962	2,379,962	2,379,962	2,379,962
Excess Cost - Student Based	139,805,731	139,805,731	139,805,731	139,805,731	139,805,731	139,805,731
Non-Public School Transportation	3,595,500	3,595,500	3,595,500	3,595,500	3,451,500	3,451,500
School To Work Opportunities	213,750	213,750	0	0	0	0
Youth Service Bureaus	2,929,483	2,989,268	0	0	2,839,805	2,839,805
Open Choice Program	30,488,160	38,116,736	38,796,250	43,714,700	38,296,250	43,214,700
Magnet Schools	287,171,942	293,750,025	329,604,896	327,035,401	328,419,980	324,950,485
After School Program	4,320,000	5,393,286	5,063,286	5,063,286	5,363,286	5,363,286
Nonfunctional - Change to Accruals	904,728	1,079,910	0	0	0	0
Agency Total - General Fund	2,914,995,195	3,034,407,695	3,049,106,083	3,064,923,460	3,075,389,131	3,100,190,364
Additional Funds Available						
Carry Forward Funding	0	0	0	0	10,158,885	50,000
Federal Funds	454,548,788	461,406,188	468,327,285	473,010,553	468,327,285	473,010,553
Private Contributions & Other Restricted	16,586,421	2,170,666	2,203,227	2,225,259	2,203,227	2,225,259
Agency Grand Total	3,386,130,404	3,497,984,549	3,519,636,595	3,540,159,272	3,556,078,528	3,575,476,176

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	950,195	0	1,115,245	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	82,385	0	95,292	0	0	0	0
Longitudinal Data Systems	0	6,452	0	8,439	0	0	0	0
School Accountability	0	26,527	0	34,696	0	0	0	0
Sheff Settlement	0	111,044	0	142,038	0	0	0	0
Regional Vocational-Technical School System	0	7,741,524	0	9,800,903	0	0	0	0
Talent Development	0	33,635	0	41,137	0	0	0	0
Total - General Fund	0	8,951,762	0	11,237,750	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$8,951,762 in FY 16 and \$11,237,750 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Increase Funding for Magnet Schools

Magnet Schools	0	25,578,091	0	28,330,946	0	(3,000,000)	0	(3,000,000)
Total - General Fund	0	25,578,091	0	28,330,946	0	(3,000,000)	0	(3,000,000)

Background

The Interdistrict Magnet Schools grant is designed to support racial, ethnic and economic diversity through a high-quality curriculum. Magnet Schools provide a range of themes including performing arts, math, science and technology, international studies, early childhood and multicultural education. The program also provides transportation to interdistrict school students who reside outside the district in which the school is located. Eligibility for a grant is dependent upon a cooperative arrangement involving two or more local or regional boards of education or a regional educational service center and approval of the operations plan by the State Department of Education.

Governor

Provide funding of \$28,578,091 in FY 16 and \$31,330,946 in FY 17 for magnet schools. The additional funding allows for the expansion of 1,836 additional students in FY 16 and an additional 819 students in FY 17. Additionally, the increased funding allows for \$9.7 million in supplemental transportation to be paid to the Connecticut Regional Education Center (CREC), in FY 16 (for transportation costs incurred in FY 15) and \$3.0 million in each FY 16 and FY 17 for pre-kindergarten tuition.

Legislative

Provide funding of \$25,578,091 in FY 16 and \$28,330,946 in FY 17 for magnet schools. The additional funding allows for the expansion of 1,836 additional students in FY 16 and an additional 819 students in FY 17. Additionally, the increased funding allows for \$9.7 million in supplemental transportation to be paid to the Connecticut Regional Education Center (CREC), in FY 16 (for transportation costs incurred in FY 15). The funding provided does not include \$3.0 million for Pre-K tuition. Sections 307-322 of PA 15-5 JSS, a budget implementer, implement various provisions related to magnet schools.

Increase Funding for State Charter Schools

Education Equalization Grants	0	12,426,530	0	19,658,530	0	0	0	0
Total - General Fund	0	12,426,530	0	19,658,530	0	0	0	0

Background

In FY 15, the number of state funded charter school seats totaled approximately 8,177, across 21 schools. The state provides a grant of \$11,000 per student to each state funded charter school.

Governor

Provide funding of \$12,426,530 in FY 16 and \$19,658,530 in FY 17 to expand charter school seats. The increased funding is anticipated to fund approximately 1,235 additional seats in FY 16 and an additional 612 seats in FY 17, for a total of approximately 1,847 over the biennium. Additionally, the new funding will allow for two new schools to open: The Stamford Charter School for Excellence and Capital Prep Harbor School in Bridgeport.

Legislative

Same as Governor.

Provide Funding for Open Choice

Open Choice Program	0	679,514	0	5,597,964	0	0	0	0
Total - General Fund	0	679,514	0	5,597,964	0	0	0	0

Background

The Open Choice program allows public school students from Hartford, New London, New Haven or Bridgeport to attend school in another school district in the region. It also allows students who live in school districts in those regions to attend school in the urban centers. The program is designed to reduce racial and economic isolation while providing improved educational choices for students

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

and their parents. The program is administered by the regional education service centers serving Hartford, New London, New Haven and Bridgeport. There are approximately 2,800 students participating in the Open Choice program, statewide.

Grants for Open Choice are based on the number of students participating:

- \$3,000 per student if the number of Open Choice students is less than 2% of the total population of the receiving district;
- \$4,000 if the number of Open Choice students is greater than or equal to 2% but less than 3% of the total population of the receiving district;
- \$6,000 if the number of Open Choice students is greater or equal to 3% of the total population of the receiving district;
- \$6,000 if enrollment is greater than 4,000 and has increased the number of students by 50%;
- \$8,000 if the number of Open Choice students is greater than or equal to 4% of total population of the receiving districts.

Governor

Provide funding of \$679,514 in FY 16 and \$5,597,964 in FY 17 to increase the number of Open Choice seats, by 500 seats in FY 16 and an additional 400 seats in FY 17, for a total of approximately 900 over the biennium.

Legislative

Same as Governor.

Transfer Sheff Settlement Funds

Sheff Settlement	0	(9,203,473)	0	(8,903,473)	0	0	0	0
Magnet Schools	0	9,203,473	0	8,903,473	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Sheff Settlement account allows the state to achieve the goals set forth in the court order and stipulation for Sheff v. O'Neill. Funds are spent to increase the participation of Hartford minority students in reduced isolation educational settings as defined by the agreement. The agreement allows the state to utilize the following programs to meet the goals outlined in the stipulation: Interdistrict Magnet Schools, CT State Technical Schools, Charter Schools, Open Choice, Regional Vocational-Agricultural programs, and Interdistrict Cooperative grants.

Governor

Transfer funding of \$9,203,473 in FY 16 and \$8,903,473 in FY 17 from the Sheff Settlement account to the Magnet School account to fund additional magnet school seats.

Legislative

Same as Governor

Provide Adjustments for Formula-Based Grants

Transportation of School Children	0	66,115,252	0	69,115,252	0	0	0	0
Adult Education	0	2,344,800	0	2,642,608	0	0	0	0
Health and Welfare Services Pupils Private Schools	0	2,002,500	0	2,102,500	0	0	0	0
Excess Cost - Student Based	0	51,194,269	0	59,194,269	0	0	0	0
Non-Public School Transportation	0	1,204,500	0	1,304,500	0	0	0	0
Total - General Fund	0	122,861,321	0	134,359,129	0	0	0	0

Governor

Provide funding of \$122,861,321 in FY 16 and \$134,359,129 in FY 17 to fund various formula-based grants.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Increase Funding and Position Count for JM Wright

Regional Vocational-Technical School System	21	1,466,234	30	3,516,042	0	0	0	0
Total - General Fund	21	1,466,234	30	3,516,042	0	0	0	0

Governor

Provide funding of \$1,466,234 in FY 16 and \$3,516,042 in FY 17 to increase funding for JM Wright Technical High School in Stamford.

- For FY 16, total enrollment at the school is expected to grow by approximately 150 new students as this year's freshman class transitions to their sophomore year and a new freshman class is accepted. The school will require approximately 21 new full-time positions and 5 new part-time positions at an approximate cost of \$1.25 million. Additionally \$200,000 is required for additional educational supplies, textbooks, and equipment.
- For FY 17, total enrollment at the school is expected to grow by another 150 students (bringing total enrollment to 450). Nine additional positions and equipment are required in FY 17, at an approximate total cost of \$3.5 million, for the two new cohorts of students.

Legislative

Same as Governor

Reduce Funding for the Special Master

Special Master	0	(500,000)	0	(1,000,000)	0	0	0	0
Total - General Fund	0	(500,000)	0	(1,000,000)	0	0	0	0

Background

Special Master funds have supported the work of Windham Public Schools and New London Public Schools, both of which have required additional resources in order to develop and execute their Strategic Operating Plans.

The Special Master is appointed by the State Board of Education (SBE) to collaborate with the board of education and school superintendent of a low-performing school district to (1) implement the district's improvement plan developed under the state education accountability law; (2) manage and allocate the district's federal, state, and local funds; and (3) report regularly to the SBE on the (a) district's progress in implementing its improvement plan and (b) effectiveness of its school board and superintendent. Appointments last for one year unless extended by SBE.

Governor

Reduce funding by \$500,000 in FY 16 and \$1.0 million in FY 17 for the Special Master account. Funding is reduced to reflect Windham's exit from special master oversight and New London's progression towards exiting oversight.

Legislative

Same as Governor

Reduce Funding for Local Charter Schools

New or Replicated Schools	0	(561,000)	0	(480,000)	0	0	0	0
Total - General Fund	0	(561,000)	0	(480,000)	0	0	0	0

Background

Charter schools are authorized by the State Board of Education. Local charter schools are primarily funded by local or regional boards of education. Charter schools provide small scale educational programs managed by a governing board comprised of teachers and parents or guardians of the students enrolled in the school and may include community members. Currently, there is only one local charter school, the Elm City Montessori School in New Haven, which opened during the 2014-2015 school year, and enrolls 70 students.

Governor

Reduce funding by \$561,000 in FY 16 and \$480,000 in FY 17 as there are no additional local charter schools planned to be opened at this time.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Annualize Previous Year Partial Funding

Personal Services	0	188,870	0	192,092	0	0	0	0
Regional Vocational-Technical School System	0	830,049	0	844,207	0	0	0	0
Total - General Fund	0	1,018,919	0	1,036,299	0	0	0	0

Background

Partial year funding may occur in the first year of implementation, when resources are provided for less than a 12-month period. Annualization refers to providing the amount of resources necessary to fund a full 12-month period of operation in the second year.

Governor

Provide funding of \$1,018,919 in FY 16 and \$1,036,299 in FY 17 to reflect full year funding for additional positions that were originally included in FY 15, in Personal Services and Regional-Vocational Technical Schools.

Legislative

Same as Governor

Eliminate MBR Aid to Bridgeport

Education Equalization Grants	0	(700,000)	0	(700,000)	0	0	0	0
Total - General Fund	0	(700,000)	0	(700,000)	0	0	0	0

Background

In FY 15 Bridgeport's ECS grant was increased by \$700,000 to assist the city in meeting their minimum budget requirement (MBR). The additional funding went to the city, rather than the Board of Education.

Governor

Reduce funding by \$700,000 in both FY 16 and FY 17 to eliminate this one-time payment to the City of Bridgeport.

Legislative

Same as Governor

Fund Vocational-Agriculture at Required Statutory Level

Vocational Agriculture	0	32,035	0	32,035	0	0	0	0
Total - General Fund	0	32,035	0	32,035	0	0	0	0

Background

The Vocational-Agriculture schools provide training for students planning a career in agriculture fields, including aquaculture and marine related employment. The State Board of Education has approved regional vocational agriculture centers in 19 comprehensive high schools throughout the state. The program prepares students for entry-level employment or higher education in these fields.

Governor

Provide funding of \$32,035 in both FY 16 and FY 17 to reflect current enrollments in the program.

Legislative

Same as Governor

Increase the Administrative Portion of Various Grants

Adult Education	0	10,164	0	12,356	0	0	0	0
Interdistrict Cooperation	0	2,802	0	2,910	0	0	0	0
Total - General Fund	0	12,966	0	15,266	0	0	0	0

Background

The administrative set-aside for Adult Education is defined in statute; CGS 10-73c allows for not more than 5% of the appropriation to be used for administration. The administrative set-aside for Interdistrict is defined in statute and bears no relationship to whether or not programs are Sheff or NonSheff. CGS 10-74d(c) allows for up to 1% of the appropriation to be used for administrative purposes.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$12,966 in FY 16 and \$15,266 in FY 17 to fund the administrative portions of the Adult Education and the Interdistrict Cooperation grant.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	75,808	0	172,408	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	1,448	0	3,293	0	0	0	0
Longitudinal Data Systems	0	26,435	0	60,128	0	0	0	0
School Accountability	0	11,717	0	26,653	0	0	0	0
Sheff Settlement	0	7,824	0	17,797	0	0	0	0
Regional Vocational-Technical School System	0	615,159	0	1,365,603	0	0	0	0
Talent Development	0	1,451	0	3,301	0	0	0	0
Common Core	0	12,957	0	29,473	0	0	0	0
Total - General Fund	0	752,799	0	1,678,656	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$752,799 in FY 16 and an additional \$925,857 in FY 17 (for a cumulative total of \$1,678,656 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions**Provide Additional ECS Funding**

Education Equalization Grants	0	12,401,000	0	12,401,000	0	12,401,000	0	12,401,000
Total - General Fund	0	12,401,000	0	12,401,000	0	12,401,000	0	12,401,000

Legislative

Provide funding of \$12,401,000 in both FY 16 and FY 17 for additional ECS support. Section 33 of PA 15-244, the FY 16 and FY 17 budget, provides the town-by-town distribution of the ECS grant.

Provide Additional ECS for Underfunded Municipalities

Education Equalization Grants	0	3,200,000	0	10,600,000	0	3,200,000	0	10,600,000
Total - General Fund	0	3,200,000	0	10,600,000	0	3,200,000	0	10,600,000

Legislative

Provide funding of \$3.2 million in FY 16 and \$10.6 million in FY 17 for ECS for municipalities funded at less than fifty-five percent of full formula funding. Section 33 of PA 15-244, the FY 16 and FY 17 budget, provides a town-by-town run of ECS grants.

Reduce ECS Funding by 1%

Education Equalization Grants	0	(2,138,821)	0	(2,149,453)	0	(2,138,821)	0	(2,149,453)
Total - General Fund	0	(2,138,821)	0	(2,149,453)	0	(2,138,821)	0	(2,149,453)

Legislative

Reduce funding for ECS by \$2,138,821 in FY 16 and \$2,149,453 in FY 17. Section 33 of PA 15-244, the FY 16 and FY 17 budget, provides a town-by-town distribution of the ECS grant.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate and Reduce Various Educational Programs

Leadership, Education, Athletics in Partnership (LEAP)	0	0	0	0	0	690,413	0	690,413
Connecticut Pre-Engineering Program	0	0	0	0	0	262,500	0	262,500
Connecticut Writing Project	0	22,500	0	22,500	0	70,000	0	70,000
Neighborhood Youth Centers	0	0	0	0	0	1,207,817	0	1,207,817
Parent Trust Fund Program	0	0	0	0	0	475,000	0	475,000
Science Program for Educational Reform Districts	0	(432,250)	0	(432,250)	0	0	0	0
Wrap Around Services	0	(427,500)	0	(427,500)	0	0	0	0
Parent Universities	0	(463,125)	0	(463,125)	0	0	0	0
School Health Coordinator Pilot	0	(180,500)	0	(180,500)	0	0	0	0
Technical Assistance for Regional Cooperation	0	(90,250)	0	(90,250)	0	0	0	0
Bridges to Success	0	(321,570)	0	(321,570)	0	250,000	0	250,000
Alternative High School and Adult Reading Incentive Program	0	(940,000)	0	(940,000)	0	200,000	0	200,000
School To Work Opportunities	0	(213,750)	0	(213,750)	0	0	0	0
Total - General Fund	0	(3,046,445)	0	(3,046,445)	0	3,155,730	0	3,155,730

Background

- The Leadership, Education, and Athletics in Partnership (LEAP) Program is a model mentoring program which matches children, ages 7-14, from high poverty urban neighborhoods in the City of New Haven with trained high school and college student counselors. LEAP provides programs and services to help children develop their academic skills, self-esteem, improve their ability to succeed in school, and to be involved in their community. LEAP also provides its college and high school counselors with opportunities to teach and mentor children, and offers them training and other experiences to develop their leadership skills and refine their career goals.
- The Connecticut Pre-Engineering Program, Inc. (CPEP) provides programs that motivate traditionally underrepresented minority students from urban districts to choose careers in mathematics, science, engineering and technology. When prepared at the precollege level, these students will have the opportunity to major in these fields in college and later assume positions in related careers.
- The Connecticut Writing Project (CWP) at Fairfield University provides opportunities for growth and professional development for teachers in the areas of reading and writing.
- The Neighborhood Youth Center Program is composed of two initiatives. One is the Boys & Girls Clubs Program funded at \$1,000,000. The purpose of the Boys & Girls Clubs Program is to continue to expand and provide safe haven programming to the youth of Connecticut through the addition of staff, services and innovative programming at the 16 Boys & Girls Clubs across Connecticut. This program requires a 100% cash match from the clubs. The second initiative is the Neighborhood Youth Center State Grant Program which supports specific local initiatives to increase positive experiences for youth ages 12 through 18 years in high need neighborhoods, primarily in New Haven. This program requires a 50% cash or in-kind match. The Neighborhood Youth Center Program provides funds to support neighborhood youth centers in Connecticut's seven largest cities--Bridgeport, Hartford, New Britain, New Haven, Norwalk, Stamford and Waterbury. The centers primarily serve children and youth ages 12-17 years.
- The Parent Trust fund is a family involved initiative focused on training parents in civic leadership skills to improve the health, safety and learning of children. The agency administers the program through the State Education and Resource Center (SERC).
- The Science Program for Education Reform Districts supports Reform Districts for the purpose of improving student academic performance in science, science literacy and science numeracy in kindergarten to grade 8.
- The Wraparound Services Grant program provides for the Commissioner of Education to establish a Wraparound Services Grant program that awards grants to educational reform districts, as defined in section 10-262u, for social-emotional behavioral supports, family involvement and support, student engagement, physical health and wellness, and social work and case management.
- Parent Universities assist local and regional boards of education to collaborate with parents to establish Parent Academies focused on enhancing the capacity of parents to support learning at home as well as at school.
- The School Health Coordinator Pilot is a state-funded grant to provide funding for two Educational Reform Districts to engage the services of Coordinated School Health (CSH) Directors to lead the implementation of a districtwide coordinated approach to

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

school health and address policies that reduce childhood obesity. This also provides incentive grants for school districts to regionalize goods and services.

- Bridges to Success is a program providing a bridge between high school and college for students who are considered to be at risk.
- The Early Literacy Pilot Study (assessment pilot), established July 2011, studied the impact of using an alternative reading assessment system in Grades K-3. Participating schools used Wireless Generation (now Amplify) mCLASS:Reading 3D (DIBELS Next and Text Reading Comprehension) as the alternative assessment system, which has purportedly reduced the achievement gap in several states with its teacher-friendly model and research-based intervention strategies. The primary purpose of the assessment pilot was to compare the results of the alternative assessment system to the current reading assessment, the Developmental Reading Assessment Second Edition (DRA2), and to ensure best practice in reading assessment and intervention.
- The School to Work Opportunities grant is utilized to support Connecticut Career Certificate programs in collaboration with the state Departments of Education, Labor, Higher Education, and Economic Development. The state grant allows the fostering of curriculum, in support of training, employment and quality jobs consistent with Connecticut's economic goals. This assistance provides school to career related activities and curricular elements leading to defined career cluster pathways in CT public secondary schools, Connecticut technical schools, and institutions of higher education. Major emphasis is for priority school districts in encouraging student preparation leading to high demand areas defined by the Department of Labor and Economic Development. Student participation in these programs coupled with structured work-based opportunities and academic skill proficiency provides for attainment of the Connecticut Career Certificate. The appropriation supports work-based learning experiences, program evaluation and student assessment that are integral factors in determining student preparedness for higher education and the workforce.

Governor

Reduce funding by \$6,202,175 in both FY 16 and FY 17 associated with the elimination of various education related programs.

Legislative

Reduce funding by \$3,046,445 in FY 16 and FY 17 associated with various educational programs. This maintains funding of \$3,155,730 in FY 16 and FY 17 and includes the following: \$60,000 for the CT Writing Project, \$20,000 for the Central Connecticut writing project, and \$40,000 for the Fairfield writing project.

Reduce Priority School District Grants

Priority School Districts	0	(500,000)	0	0	0	5,994,451	0	6,494,451
Total - General Fund	0	(500,000)	0	0	0	5,994,451	0	6,494,451

Background

The Priority School District (PSD) grant program assists the neediest districts in improving student achievement and enhancing educational opportunities. The focus is on improving educational programs, extending school hours and school accountability. Priority is also given to development or expansion of extended day kindergarten programs. Three grants are paid for out of the PSD grant: Priority School Districts, Extended Day School Hours and School Accountability/Summer School. There are currently 15 Priority School Districts.

Governor

Reduce funding by \$6,494,451 in both FY 16 and FY 17 to eliminate the Extended Day School Hours and School Accountability/Summer School sub-grants of the Priority School District account.

Legislative

Reduce funding for the Priority School District grant by \$500,000 in FY 16. Funding for FY 17 is maintained. Section 256 of PA 15-5 JSS, a budget implementer, implements this provision.

Adjust Funding for Youth Service Bureaus

Youth Service Bureau Enhancement	0	95,000	0	95,000	0	715,300	0	715,300
Youth Service Bureaus	0	0	0	0	0	2,989,268	0	2,989,268
Total - General Fund	0	95,000	0	95,000	0	3,704,568	0	3,704,568

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The purpose of the Youth Service Bureaus Program, funded under the State Department of Education (SDE), is to assist municipalities, and private youth serving agencies designated to act as agents for such municipalities, in establishing, maintaining, or expanding Youth Service Bureaus. Direct services provided by Youth Service Bureaus may include, among others:

- Individual and group counseling;
- Parent training and family therapy;
- Work placement and employment counseling;
- Alternative and special educational opportunities;
- Diversion from juvenile justice services; and
- Preventive programs including youth pregnancy, youth suicide, violence, alcohol and drug prevention.

There are 99 Youth Service Bureaus, serving 126 towns, participating in the SDE Youth Service Bureaus Program.

Governor

Reduce funding by \$3,609,568 in both FY 16 and FY 17 in SDE due to the following changes:

- Eliminate the Youth Service Bureau Enhancement grant, totaling \$620,300 in both FY 16 and FY 17;
- Reduce the Youth Service Bureau Grant by \$689,268 in both FY 16 and FY 17; and
- Transfer Youth Service Bureaus Program funding of \$2.3 million in both FY 16 and FY 17 from the State Department of Education to the Department of Children and Families.

Legislative

Funding for Youth Service Bureaus is maintained. Any region that does not currently have a Juvenile Review Board, must establish one, using youth service bureau funding.

Additionally, \$95,000 in both FY 16 and FY 17 is provided for the Bridge Family Center (\$40,000) for early childhood services, East Hartford Adventure Plus (\$30,000), and Virtuosi Orchestra in New Britain (\$25,000). Section 257 of PA 15-5 JSS, a budget implementer, implements this provision.

Reduce Funding for the Commissioner's Network

Commissioner's Network	0	(4,700,000)	0	(4,700,000)	0	0	0	0
Total - General Fund	0	(4,700,000)	0	(4,700,000)	0	0	0	0

Background

Sections 19-22 of PA 12-116, AAC Education Reform, established the Commissioner's Network. The Commissioner's Network may support turnaround schools, teacher and leader compensation related to low performing schools, and coordination of family services to low performing schools.

Governor

Reduce funding by \$4.7 million in both FY 16 and FY 17 associated with the Commissioner's Network. The reduced funding could impact the amount participating schools currently receive, as well as the total number of schools overall.

Legislative

Same as Governor

Adjust Health Foods Initiative

Health Foods Initiative	0	20,000	0	20,000	0	4,826,300	0	4,826,300
Total - General Fund	0	20,000	0	20,000	0	4,826,300	0	4,826,300

Background

The Health Foods Initiative is a grant program providing a per meal reimbursement of \$0.10 to participating schools. In FY 14 the program reimbursed 38.6 million meals at 279 participating schools.

Governor

Reduce funding by \$4,806,300 in both FY 16 and FY 17 associated with the elimination of the Health Foods Initiative.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Maintain funding of \$4,806,300 in both FY 16 and FY 17 for the Health Foods Initiative and add an additional \$20,000 in both FY 16 and FY 17 for the Growing Great Schools program.

Extend the Cap on Various Statutory Formula Grants

Transportation of School Children	0	(66,115,252)	0	(69,115,252)	0	0	0	0
Adult Education	0	(2,344,800)	0	(2,642,608)	0	0	0	0
Health and Welfare Services Pupils Private Schools	0	(2,002,500)	0	(2,102,500)	0	0	0	0
Excess Cost - Student Based	0	(51,194,269)	0	(59,194,269)	0	0	0	0
Non-Public School Transportation	0	(1,204,500)	0	(1,304,500)	0	0	0	0
Total - General Fund	0	(122,861,321)	0	(134,359,129)	0	0	0	0

Background

Various formulaic grants are established within statute, and these same grants can also be capped within statute. If the grants are capped, funding is distributed based on a proration of the anticipated formula. In FY 03, various grants administered by the State Department of Education were capped. For FY 09 the caps were lifted, which resulted in higher grant payments to some municipalities. However, from FY 10 to FY 15 the grants were once again capped.

Governor

Reduce funding by \$122,861,321 in FY 16 and \$134,359,129 in FY 17 to reflect an extension of caps on various statutory formula grants for FY 16 and FY 17. Sections 245-252 and 306 of PA 15-5 JSS, a budget implementer, implements this provision.

Legislative

Same as Governor

Reduce Public and Non Public School Transportation

Transportation of School Children	0	(1,555,297)	0	(1,555,297)	0	(1,555,297)	0	(1,555,297)
Non-Public School Transportation	0	(144,000)	0	(144,000)	0	(144,000)	0	(144,000)
Total - General Fund	0	(1,699,297)	0	(1,699,297)	0	(1,699,297)	0	(1,699,297)

Legislative

Reduce the Transportation of School Children grant by \$1,555,297 in both FY 16 and FY 17 and reduce the Non-Public Transportation of School Children grant by \$144,000 in both FY 16 and FY 17.

Adjust Various Programs

K-3 Reading Assessment Pilot	0	(20,000)	0	(20,000)	0	400,000	0	400,000
Adult Education	0	(20,000)	0	(20,000)	0	400,000	0	400,000
Interdistrict Cooperation	0	(42,000)	0	(42,000)	0	50,000	0	50,000
After School Program	0	(30,000)	0	(30,000)	0	300,000	0	300,000
Total - General Fund	0	(112,000)	0	(112,000)	0	1,150,000	0	1,150,000

Governor

Reduce funding by \$1,262,000 in both FY 16 and FY 17 associated with the elimination of various programs, including the following:

- \$420,000 for the Adult Education Pilot Program in Manchester, Meriden and New Haven;
- \$92,000 for Interdistrict Cooperation associated with the Sound School in New Haven;
- \$30,000 for the After School Program associated with the Queen Ann Nzinga program in Plainville;
- \$300,000 for the After School Program associated with a 5 week summer school pilot program; and
- \$420,000 for the K-3 Reading Assessment pilot.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Reduce funding by \$112,000 in both FY 16 and FY 17 associated with the reduction of various programs, including the following:

- \$20,000 for the Adult Education Pilot Program in Manchester, Meriden and New Haven;
- \$42,000 for Interdistrict Cooperation associated with the Sound School in New Haven;
- \$5,000 for the After School Program associated with the Queen Ann Nzinga program in Plainville;
- \$25,000 for the After School Program associated with a 5 week summer school pilot program; and
- \$20,000 for the K-3 Reading Assessment pilot.

In addition to the above requirements, funding for Solar Youth shall be maintained at FY 15 levels.

Reduce Funding to Youth Service Bureaus

Youth Service Bureaus	0	(149,463)	0	(149,463)	0	(149,463)	0	(149,463)
Total - General Fund	0	(149,463)	0	(149,463)	0	(149,463)	0	(149,463)

Legislative

Reduce funding for Youth Service Bureaus by \$149,463 in both FY 16 and FY 17.

Adjust Development of Mastery Exams to Reflect Savings

Development of Mastery Exams Grades 4, 6, and 8	0	(1,575,000)	0	(1,575,000)	0	(575,000)	0	(575,000)
Total - General Fund	0	(1,575,000)	0	(1,575,000)	0	(575,000)	0	(575,000)

Background

Testing and scoring of statewide exams as required by No Child Left Behind are funded from the Development of Mastery Exams account. The Connecticut Master Test (CMT) is administered to students in grades 3 to 8 and the Connecticut Academic Performance Test (CAPT) is administered to 10th graders. Approximately 15,000 additional special education and bilingual education students are now being assessed state-wide. Language arts/reading, mathematics and science are tested. Contract funding is provided for outside professional services.

Governor

Reduce funding by \$1.0 million in both FY 16 and FY 17 to account for savings as a result of the new Smarter Balanced Assessments.

Legislative

Reduce funding by \$1,575,000 in both FY 16 and FY 17 to account for savings as a result of the new Smarter Balanced Assessments.

Provide Funding For Second Chance Society Initiatives

School-Based Diversion Initiative	0	1,000,000	0	1,000,000	0	0	0	0
Total - General Fund	0	1,000,000	0	1,000,000	0	0	0	0

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$1.0 million in both FY 16 and FY 17 to allow for the expansion of the Second Chance Society Initiatives. The intent of the program is to reduce rates of in-school arrests, expulsions, and out-of-school arrests, to reach a total of three to four schools in six districts per year, for a total of 18-24 schools per year.

Legislative

Same as Governor.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Non-Sheff Interdistrict Grants

Interdistrict Cooperation	0	(2,038,296)	0	(2,038,323)	0	2,538,295	0	2,538,322
Total - General Fund	0	(2,038,296)	0	(2,038,323)	0	2,538,295	0	2,538,322

Background

The Interdistrict Cooperative Program serves elementary and secondary students in prekindergarten through Grade 12. This competitive grant program funds interdistrict programs designed to promote a greater understanding and appreciation of cultural diversity and to advance student achievement through these activities. Eligibility for a grant is dependent upon a cooperative arrangement involving two or more local or regional boards of education or a regional educational service center. Grant awards are based on the number of students involved, the number of face-to-face meetings between students, and the quality of the interaction and student experiences.

Governor

Reduce funding by \$4,576,591 in both FY 16 and FY 17 to reflect the elimination of non-Sheff interdistrict grants.

Legislative

Reduce funding by \$2,038,296 in both FY 16 and FY 17 to reflect the reduction of non-Sheff interdistrict grants. \$100,000 of funding, in both FY 16 and FY 17, is designated for Project Oceanology.

Increase FY 17 Funding for Charter Schools

Education Equalization Grants	0	0	0	2,000,000	0	0	0	0
Total - General Fund	0	0	0	2,000,000	0	0	0	0

Governor

Provide funding of \$2.0 million in FY 17 to increase funding for additional charter school seats. The \$2.0 million in additional funding will help to fund the projected 612 new seats in FY 17.

Legislative

Same as Governor

Extend Limits on Magnet School Growth

Magnet Schools	0	(1,926,693)	0	(6,949,043)	0	0	0	0
Total - General Fund	0	(1,926,693)	0	(6,949,043)	0	0	0	0

Background

Section 89 of PA 14-217 permits SDE to limit payment to an interdistrict magnet school to an amount the school was eligible to receive based on its enrollment level on October 1, 2013. It permits additional funding for additional students enrolling after October 1 based on priorities the act establishes. This means student enrollment increases after October 1 will not automatically increase student funding.

The act requires SDE to prioritize additional magnet school funding in the following order:

1. Increases in enrollment for a school adding planned new grade levels;
2. Increases in enrollment for a school moving into a permanent facility for the school year starting July 1, 2014;
3. Increases in enrollment for a school to ensure compliance with the state magnet school law's requirements for racial and economic diversity, special curriculum, and at least a half-time educational program; and
4. new enrollments for a new magnet school starting operation on or after July 1, 2014, to help meet the 2013 *Sheff* stipulation.

Governor

Reduce funding by \$1,926,693 in FY 16 and \$6,949,043 in FY 17 to extend the limits on magnet school growth.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce School Accountability

School Accountability	0	(286,639)	0	(294,808)	0	(286,639)	0	(294,808)
Total - General Fund	0	(286,639)	0	(294,808)	0	(286,639)	0	(294,808)

Background

School Accountability provides funding for the implementation of a new accountability system to raise academic achievement. Funding is used to work with schools that are not making Adequate Yearly Progress per the No Child Left Behind law.

Legislative

Reduce funding by \$286,639 in FY 16 and \$294,808 in FY 17 to reduce funding for the School Accountability program.

Reduce Funding for Talent Development

Talent Development	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)
Total - General Fund	0	(250,000)	0	(250,000)	0	(250,000)	0	(250,000)

Background

The Talent Development Account is used to develop and deploy talent management and human capital development strategies to districts and schools state-wide so that the most effective educators are in every school and classroom and all students are prepared for college, career and life. Programs supported by this account, include: professional learning for teachers, website development, teacher evaluation system, and technical assistance for educators.

Legislative

Reduce funding for Talent Development by \$250,000 in both FY 16 and FY 17.

Reduce Various Accounts to Achieve Savings

Development of Mastery Exams Grades 4, 6, and 8	0	(1,064,013)	0	(851,855)	0	(1,064,013)	0	(851,855)
Connecticut Pre-Engineering Program	0	(16,406)	0	(13,125)	0	(16,406)	0	(13,125)
Neighborhood Youth Centers	0	(62,500)	0	(50,000)	0	(62,500)	0	(50,000)
K-3 Reading Assessment Pilot	0	(189,996)	0	(151,997)	0	(189,996)	0	(151,997)
American School For The Deaf	0	(666,190)	0	(532,952)	0	(666,190)	0	(532,952)
Health Foods Initiative	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
Health and Welfare Services Pupils Private Schools	0	(429,750)	0	(429,750)	0	(429,750)	0	(429,750)
Priority School Districts	0	(2,949,814)	0	(2,359,851)	0	(2,949,814)	0	(2,359,851)
Total - General Fund	0	(5,878,669)	0	(4,889,530)	0	(5,878,669)	0	(4,889,530)

Legislative

Reduce funding by \$5,878,669 in FY 16 and \$4,889,530 in FY 17 to achieve savings in various accounts.

Provide Funding for New Haven Reads

K-3 Reading Assessment Pilot	0	80,000	0	80,000	0	80,000	0	80,000
Total - General Fund	0	80,000	0	80,000	0	80,000	0	80,000

Legislative

Provide funding of \$80,000 in both FY 16 and FY 17 for New Haven Reads.

Provide Additional Funding for Personal Services

Personal Services	3	124,750	3	174,500	3	124,750	3	174,500
Total - General Fund	3	124,750	3	174,500	3	124,750	3	174,500

Legislative

Provide funding of \$124,750 in FY 16 and \$174,500 in FY 17, associated with three new positions: an Education Service Specialist (\$75,000), a part-time Staff Attorney starting January 1, 2016 (\$24,875), a part-time Education Consultant, starting January 1, 2016

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

(\$24,875). These costs are related to expanded requirements for the State Department of Education (SDE) included in PA 15-205 and PA 15-225.

Transfer Funding for Surrogate Parents

Other Expenses	0	150,000	0	150,000	0	150,000	0	150,000
Total - General Fund	0	150,000	0	150,000	0	150,000	0	150,000

Legislative

Transfer funding of \$150,000 in both FY 16 and FY 17, from the Department of Children and Families, to extend surrogate parent requirements to a number of additional children. Sections 243 and 244 of PA 15-5 JSS, a budget implementer, implements this provision.

Provide Funding for Magnet Tuition Cap

Magnet Schools	0	915,084	0	915,084	0	915,084	0	915,084
Total - General Fund	0	915,084	0	915,084	0	915,084	0	915,084

Legislative

Provide funding of \$915,084 in both FY 16 and FY 17 for East Hartford, to supplement magnet school tuition payments that exceed seven percent of their resident student population. Sections 307-322 and Section 343 of PA 15-5 JSS, a budget implementer, implements this provision.

Provide Funding for a Family Resource Center

Family Resource Centers	0	110,000	0	110,000	0	110,000	0	110,000
Total - General Fund	0	110,000	0	110,000	0	110,000	0	110,000

Background

Family resource centers help communities prevent an array of childhood and adolescent problems. This is accomplished by strengthening effective family management practices and establishing a continuum of child-care and support services that children and parents need. By using public school buildings, family resource centers are able to take advantage of the geographic area served by the school. All families residing in the school's attendance area are eligible for services offered by the center. The components of the family resource centers are as follows: child care; adult education; families in training; school-age child care; positive youth development/teen pregnancy prevention; support and training to home day-care providers; and resource and referral.

Legislative

Provide funding of \$110,000 in both FY 16 and FY 17 for a new family resource center at the Chamberlain School in New Britain.

Provide Funding for Bilingual Education

Personal Services	2	175,000	2	175,000	2	175,000	2	175,000
Bilingual Education	0	1,075,000	0	1,575,000	0	1,075,000	0	1,575,000
Total - General Fund	2	1,250,000	2	1,750,000	2	1,250,000	2	1,750,000

Background

Each eligible district is entitled to receive a portion of the total amount appropriated for bilingual education, according to the ratio which the number of eligible bilingual education students in its schools bears to the total number of eligible students in the state.

Legislative

Provide funding of \$1.25 million in FY 16 and \$1.75 million in FY 17, for bilingual education, for classroom training and development. Sections 286-298 of PA 15-5 JSS, a budget implementer, implements this provision.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Rollout of FY 15 Rescissions and Various Other Accounts

Development of Mastery Exams Grades 4, 6, and 8	0	(1,180,383)	0	(944,306)	0	(236,077)	0	0
Leadership, Education, Athletics in Partnership (LEAP)	0	(45,421)	0	(36,337)	0	(9,084)	0	0
Connecticut Writing Project	0	(3,125)	0	(2,500)	0	(625)	0	0
Resource Equity Assessments	0	(10,504)	0	(8,403)	0	(2,101)	0	0
Neighborhood Youth Centers	0	(79,461)	0	(63,569)	0	(15,892)	0	0
Longitudinal Data Systems	0	(78,949)	0	(63,159)	0	(15,790)	0	0
School Accountability	0	(92,637)	0	(92,637)	0	0	0	0
Parent Trust Fund Program	0	(31,250)	0	(25,000)	0	(6,250)	0	0
Science Program for Educational Reform Districts	0	(22,750)	0	(22,750)	0	0	0	0
Wrap Around Services	0	(28,125)	0	(22,500)	0	(5,625)	0	0
Parent Universities	0	(24,375)	0	(24,375)	0	0	0	0
School Health Coordinator Pilot	0	(9,500)	0	(9,500)	0	0	0	0
Technical Assistance for Regional Cooperation	0	(4,750)	0	(4,750)	0	0	0	0
Bridges to Success	0	(37,603)	0	(30,082)	0	(7,521)	0	0
K-3 Reading Assessment Pilot	0	(199,996)	0	(159,997)	0	(39,999)	0	0
Common Core	0	(393,750)	0	(315,000)	0	(78,750)	0	0
Alternative High School and Adult Reading Incentive Program	0	(75,000)	0	(60,000)	0	(15,000)	0	0
Special Master	0	(132,260)	0	(105,808)	0	(26,452)	0	0
Regional Education Services	0	(72,876)	0	(58,301)	0	(14,575)	0	0
Total - General Fund	0	(2,522,715)	0	(2,048,974)	0	(473,741)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$2,048,974 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$2,048,974 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$473,741 in FY 16.

Provide Funding for iCARE

Wrap Around Services	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	0	25,000	0	25,000	0	25,000	0	25,000

Legislative

Provide funding of \$25,000 in both FY 16 and FY 17 for iCARE in Middletown.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,079,910)	0	(1,079,910)	0	0	0	0
Total - General Fund	0	(1,079,910)	0	(1,079,910)	0	0	0	0

Governor

Reduce funding by \$1,079,910 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(75,808)	0	(172,408)	0	0	0	0
Development of Mastery Exams Grades 4, 6, and 8	0	(1,448)	0	(3,293)	0	0	0	0
Longitudinal Data Systems	0	(26,435)	0	(60,128)	0	0	0	0
School Accountability	0	(11,717)	0	(26,653)	0	0	0	0
Sheff Settlement	0	(7,824)	0	(17,797)	0	0	0	0
Regional Vocational-Technical School System	0	(615,159)	0	(1,365,603)	0	0	0	0
Talent Development	0	(1,451)	0	(3,301)	0	0	0	0
Common Core	0	(12,957)	0	(29,473)	0	0	0	0
Total - General Fund	0	(752,799)	0	(1,678,656)	0	0	0	0

Governor

Reduce various accounts by \$752,799 in FY 16 and \$1,678,656 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Provide Funding for the Rogers Magnet School in Stamford

Magnet Schools	0	900,000	0	0	0	900,000	0	0
Total - General Fund	0	900,000	0	0	0	900,000	0	0

Legislative

Provide funding of \$900,000 in FY 16 for the Rogers International Magnet School in Stamford. Section 334 of PA 15-5 JSS, a budget implementer, implements this provision.

Provide Funding for CommPACT Schools

CommPACT Schools	0	350,000	0	350,000	0	350,000	0	350,000
Total - General Fund	0	350,000	0	350,000	0	350,000	0	350,000

Legislative

Provide funding of \$350,000 in both FY 16 and FY 17 for CommPACT schools. This program was previously funded within the University of Connecticut.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for the Career Pathways Collaborative

Regional Vocational-Technical School System	0	250,000	0	250,000	0	250,000	0	250,000
Total - General Fund	0	250,000	0	250,000	0	250,000	0	250,000

Legislative

Provide funding of \$250,000 in both FY 16 and FY 17 for the Career Pathways Collaborative, administered by the Justice Education Center, Inc., through the City of New Haven for after-school vocational preparation programming at Eli Whitney Technical High School.

Provide Funding for Charter School Transparency

Personal Services	1	99,500	1	99,500	1	99,500	1	99,500
Other Expenses	0	10,000	0	0	0	10,000	0	0
Total - General Fund	1	109,500	1	99,500	1	109,500	1	99,500

Legislative

Provide \$99,500 for Personal Services in both FY 16 and FY 17 and \$10,000 for Other Expenses in FY 16 for charter school transparency.

Adjust Funding for Open Choice

Open Choice Program	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
Total - General Fund	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)

Legislative

Reduce funding by \$500,000 in both FY16 and FY 17 to accurately reflect the number of students participating in the Open Choice program.

Implement Special Education Initiatives

Background

The Regional Planning Incentive Account is a non-lapsing account funded through a diversion of a portion of hotel tax and rental car surtax revenue. It primarily funds: 1) grants-in-aid to councils of government (COGs) and 2) competitive grants to COGs and groups of municipalities for the joint provision of public services, or studies regarding the joint provision of public services. The Office of Policy and Management administers the account.

Legislative

Sections 270 to 288, of PA 15-5 JSS, a budget implementer, requires the State Department of Education (SDE) to develop a new individualized education program (IEP) form, to be piloted in FY 16 and implemented statewide in FY 17. This is anticipated to result in a cost of \$1.6 million in FY 16 and \$2.0 million in FY 17.

Carry Forward

Carry Forward Funding for CCJEF Expenses

Other Expenses	0	614,589	0	0	0	614,589	0	0
Total - Carry Forward Funding	0	614,589	0	0	0	614,589	0	0

Background

The Connecticut Coalition for Justice in Education Funding (CCJEF) v. Rell is an ongoing lawsuit involving various school reform activities.

Legislative

Pursuant to CGS Sec. 4-89(c), \$614,589 is carried forward from FY 15 into FY 16 for purposes of expenses related to the CCJEF case.

Carry Forward Funding for Other Expenses

Other Expenses	0	50,000	0	50,000	0	50,000	0	50,000
Total - Carry Forward Funding	0	50,000	0	50,000	0	50,000	0	50,000

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Section 45(a) and (b) of PA 15-244, the FY 16 and FY 17 budget, carries forward \$100,000 from FY 15, of which \$50,000 may be used in both FY 16 and FY 17 to complete a multi-year comprehensive analysis of the state of African American, Latino, and poor children in Connecticut.

Carry Forward Funding for Alliance Districts

Education Equalization Grants	0	9,244,296	0	0	0	9,244,296	0	0
Total - Carry Forward Funding	0	9,244,296	0	0	0	9,244,296	0	0

Legislative

Pursuant to CGS Sec.10-262u(h) \$9,244,296 is carried forward from FY 15 into FY 16 for Alliance Districts.

Carry Forward Funds for the Priority School District Account

Priority School Districts	0	250,000	0	0	0	250,000	0	0
Total - Carry Forward Funding	0	250,000	0	0	0	250,000	0	0

Legislative

Section 336 of PA 15-5 JSS, a budget implementer, carries forward \$250,000 into FY 16 to be used for the Priority School District grant.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,779	3,034,407,695	1,779	3,034,407,695	0	0	0	0
Current Services	21	172,019,171	30	203,282,617	0	(3,000,000)	0	(3,000,000)
Policy Revisions	6	(131,037,735)	6	(137,499,948)	6	29,283,048	6	38,266,904
Total Recommended - GF	1,806	3,075,389,131	1,815	3,100,190,364	6	26,283,048	6	35,266,904

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$661,057, a Statewide Hiring Reduction of \$313,470, a General Employee Lapse of \$214,586, and Overtime Savings of \$54,253. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$599,612. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	20,397,903	(468,414)	19,929,489	2.30%
Other Expenses	3,926,142	(70,648)	3,855,494	1.80%
Development of Mastery Exams Grades 4, 6, and 8	15,149,111	(272,598)	14,876,513	1.80%
School Accountability	1,500,000	(4,492)	1,495,508	0.30%
Sheff Settlement	11,861,044	(35,517)	11,825,527	0.30%
Regional Vocational-Technical School System	167,029,468	(670,818)	166,358,650	0.40%
New or Replicated Schools	339,000	(5,085)	333,915	1.50%
Talent Development	9,302,199	(139,532)	9,162,667	1.50%
Common Core	5,906,250	(88,593)	5,817,657	1.50%
Special Master	1,483,909	(29,265)	1,454,644	1.97%
Health and Welfare Services Pupils Private Schools	3,867,750	(58,016)	3,809,734	1.50%

Office of Early Childhood

OEC64800

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	31	109	116	116	116	116

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	2,426,128	6,648,427	8,785,880	8,876,246	8,785,880	8,876,246
Other Expenses	422,180	8,649,093	349,943	349,943	349,943	349,943
Equipment	0	1	0	0	0	0
Other Current Expenses						
Children's Trust Fund	11,671,218	11,671,218	10,232,306	10,232,306	11,206,751	11,206,751
Early Childhood Program	6,747,998	11,235,264	10,609,270	10,609,270	10,840,145	10,840,145
Early Intervention	0	0	24,686,804	24,686,804	24,686,804	24,686,804
Early Childhood Advisory Cabinet	0	15,000	0	0	0	0
Community Plans for Early Childhood	599,982	750,000	0	0	703,125	712,500
Improving Early Literacy	150,000	150,000	0	0	140,625	142,500
Child Care Services	17,296,986	19,422,345	18,614,289	18,614,289	18,701,942	19,081,942
Evenstart	475,000	475,000	451,250	451,250	445,312	451,250
Other Than Payments to Local Governments						
Head Start Services	2,610,743	2,710,743	5,630,593	5,630,593	5,630,593	5,630,593
Head Start Enhancement	1,684,350	1,734,350	0	0	0	0
Child Care Services-TANF/CCDBG	0	116,717,658	125,816,808	127,016,808	120,930,084	122,130,084
Child Care Quality Enhancements	3,078,575	3,259,170	3,098,212	3,098,212	3,107,472	3,148,212
Head Start - Early Childhood Link	2,090,000	2,090,000	0	0	693,875	720,000
Early Head Start-Child Care Partnership	0	0	1,300,000	1,300,000	1,300,000	1,300,000
Other Than Payments to Local Governments						
School Readiness Quality Enhancement	2,160,408	5,195,645	4,935,863	4,935,863	4,111,135	4,676,081
School Readiness	73,050,289	78,203,282	81,630,709	81,630,709	83,399,834	83,399,834
Nonfunctional - Change to Accruals	149,313	1,959,671	0	0	0	0
Agency Total - General Fund	124,613,170	270,886,867	296,141,927	297,432,293	295,033,520	297,352,885
Additional Funds Available						
Carry Forward Funding	0	0	0	0	1,925,086	0
Federal Funds	15,452,606	16,374,961	20,039,843	20,044,730	20,039,843	20,044,730
Agency Grand Total	140,065,776	287,261,828	316,181,770	317,477,023	316,998,449	317,397,615

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	1,449,099	0	1,537,159	0	0	0	0
Total - General Fund	0	1,449,099	0	1,537,159	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$1,449,099 in FY 16 and \$1,537,159 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	23,041	0	52,414	0	0	0	0
Total - General Fund	0	23,041	0	52,414	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$23,041 in FY 16 and an additional \$29,373 in FY 17 (for a cumulative total of \$52,414 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding to Comply with Statutory Requirements

Early Childhood Program	0	761,195	0	761,195	0	0	0	0
Total - General Fund	0	761,195	0	761,195	0	0	0	0

Background

This is the competitive portion of the Early Childhood (School Readiness) Grant. Children, ages three and four, receive either a part-day, school-day or full-day school readiness program in school districts that have a severe need school in participating districts where 40% or more of students are in the reduced price or free lunch program. The grant floor is currently \$107,000.

Governor

Provide funding of \$761,195 in FY 16 and FY 17 to support administrative costs for grantees under the Early Childhood Program, per CGS 10-16p(g).

Legislative

Same as Governor

Annualize Funding for FY 15 Pre-K Seats

Early Childhood Program	0	(1,387,189)	0	(1,387,189)	0	0	0	0
School Readiness	0	3,427,427	0	3,427,427	0	0	0	0
Total - General Fund	0	2,040,238	0	2,040,238	0	0	0	0

Background

The FY 15 Revised Budget included funding to support 1,020 additional Pre-Kindergarten spaces and a 3.9 percent increase in the full-day, full-year rate (bringing the rate from \$8,346 to \$8,670 per space). Sections 14, 84 and 85 of PA 14-39, An Act Establishing the Office of Early Childhood, Expanding Opportunities for Early Childhood Education and Concerning Dyslexia and Special Education, are related to this change.

Governor

Adjust funding by \$2,040,238 in FY 16 and FY 17 to reflect expenditure requirements for Pre-K seats funded in FY 15.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Collective Bargaining Agreement

Child Care Services-TANF/CCDBG	0	2,100,000	0	3,300,000	0	0	0	0
Total - General Fund	0	2,100,000	0	3,300,000	0	0	0	0

Background

PA 12-33 enabled family child care providers and Personal Care Attendants (PCAs) working in state-funded programs to collectively bargain. Section 159 of PA 14-217, the budget implementer, approved the provisions of the collective bargaining agreement between the Office of Early Childhood and the Connecticut State Employees Association (CSEA-SEIU Local 2001).

Governor

Provide funding of \$2.1 million in FY 16 and \$3.3 million in FY 17 to the Care4Kids program to meet the requirements of the collective bargaining agreement.

Legislative

Same as Governor

Transfer Funding from DSS for Private Provider COLA

Child Care Quality Enhancements	0	2,000	0	2,000	0	0	0	0
Total - General Fund	0	2,000	0	2,000	0	0	0	0

Governor

Transfer funding of \$2,000 in FY 16 and FY 17 from the Department of Social Services (DSS) to reflect the COLA for private providers.

Legislative

Same as Governor

Policy Revisions

Reallocate Funding to Support School Readiness Rate Increase

Early Childhood Program	0	230,875	0	230,875	0	230,875	0	230,875
Child Care Services-TANF/CCDBG	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
School Readiness	0	1,769,125	0	1,769,125	0	1,769,125	0	1,769,125
Total - General Fund	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)	0	(3,000,000)

Background

In FY 15, the Child Care Services- TANF/CCDBG line item is estimated to lapse approximately \$5 million after a \$6 million rescission in April 2015, due to declining caseload and associated expenditures.

Legislative

Transfer funding of \$2 million in both FY 16 and FY 17 from Care4Kids to increase the full-day full-year school readiness rate from up to \$8,670 to up to \$8,927. Section 324 of PA 15-5 JSS, a budget implementer, is related to this change. In addition, reduce Care4Kids funding by \$3 million.

Provide Funding for Professional Development

Child Care Quality Enhancements	0	50,000	0	50,000	0	50,000	0	50,000
Total - General Fund	0	50,000	0	50,000	0	50,000	0	50,000

Legislative

Provide funding of \$50,000 in both FY 16 and FY 17 to Wintonbury Early Childhood Magnet school to provide teacher training materials for professional development.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Child Care from DSS

Child Care Services-TANF/CCDBG	0	113,276	0	113,276	0	113,276	0	113,276
Total - General Fund	0	113,276	0	113,276	0	113,276	0	113,276

Legislative

Transfer funding of \$113,276 in both FY 16 and FY 17 from DSS to support the Care4Kids program..

Reduce Funding for School Readiness Quality Enhancement

School Readiness Quality Enhancement	0	(759,782)	0	(259,782)	0	(759,782)	0	(259,782)
Total - General Fund	0	(759,782)	0	(259,782)	0	(759,782)	0	(259,782)

Legislative

Reduce funding by \$759,782 in FY 16 and \$259,782 in FY 17 to achieve savings in School Readiness and Quality Enhancement (SRQE).

Adjust Funding for Various Programs

Early Childhood Advisory Cabinet	0	(14,250)	0	(14,250)	0	0	0	0
Community Plans for Early Childhood	0	0	0	0	0	712,500	0	712,500
Improving Early Literacy	0	0	0	0	0	142,500	0	142,500
Head Start - Early Childhood Link	0	(80,000)	0	(80,000)	0	720,000	0	720,000
Total - General Fund	0	(94,250)	0	(94,250)	0	1,575,000	0	1,575,000

Background

- The Early Childhood Advisory Cabinet advises the Office of Early Childhood (OEC) and is situated in OEC for administrative purposes only. The OEC Commissioner serves as the co-chair.
- Community Plans for Early Childhood provides matching funds to 36 partnership grants for 40 Discovery communities to support their local early childhood councils or collaboratives. Funding is matched by the Graustein Memorial Fund and Connecticut Children's Fund. FY 14 expenditures totaled approximately \$600,000. The majority of individual grant awards ranged from \$15,789 (for 62.1% of recipients) and \$31,578 (for 27.6% of recipients).
- Improving Early Literacy aims to strengthen the relationship between school- and community-based early literacy efforts and improve kindergarten transitions. The program is also supported by the Graustein Memorial Fund. In FY 14, the following six grantees received \$25,000 each: Community Foundation for Greater New Britain, Education Connecticut in Litchfield, Middlesex United Way, Community Foundation for Greater New Haven, United Community and Family Services (Norwich), and United Way of Coastal Fairfield County.
- Head Start- Early Childhood Link supported 12 providers in FY 14 with approximately \$2.3 million. The Action for Bridgeport Community Development (ABCD) Program received 50% of this funding.

Governor

Funding is reduced by \$1,669,250 in FY 16 and FY 17 to reflect the elimination of funding to the ABCD Program in Bridgeport, as well as the Early Childhood Advisory Cabinet, Improving Early Literacy, and Community Plans for Early Childhood line items.

Legislative

Reduce funding by \$94,250 in both FY 16 and FY 17 to reflect the elimination of funding for the Early Childhood Advisory Cabinet and a 10% reduction to Head Start- Early Childhood Link. Up to \$400,000 of funding in this line item will support the Action for Bridgeport Community Development (ABCD) program, while the remaining funding will support other Head Start services. Funding of \$855,000 is maintained for Community Plans for Early Childhood and Improving Early Literacy.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding for Programs Under the Children's Trust Fund

Children's Trust Fund	0	(264,467)	0	(264,467)	0	974,445	0	974,445
Total - General Fund	0	(264,467)	0	(264,467)	0	974,445	0	974,445

Background

- Help Me Grow is a prevention initiative that identifies and refers young children with behavioral health, development and psychosocial needs to community-based services. The program serves children who may not be eligible for the state's Birth to Three or Preschool Special Education programs, yet are still at risk for developmental issues.
- Family School Connection provides home visitation and support services for families of children who have been identified as having truancy, academic, and/or behavior issues. The program works to improve parenting skills, address basic needs and improve family's functioning through home visits and participation in groups.
- The Family Empowerment Program includes seven prevention programs that assist high-risk groups of parents with children of various ages. The programs are co-located in various settings where families may be addressing other issues including a substance abuse treatment center, a prison, a domestic violence shelter, a child guidance clinic, and a hospital.

Governor

Reduce funding by \$1,238,912 in FY 16 and FY 17 to reflect the elimination of the Help Me Grow, Family School Connection, and Family Empowerment programs under the Children's Trust Fund (CTF). Remaining CTF funding supports the Nurturing Families Network program.

Legislative

Reduce funding by \$264,467 in both FY 16 and FY 17 to reflect a 10% reduction to programs under the Children's Trust Fund, as well as the elimination of funding associated with unidentified contracts.

Reduce Funding for Child Care Services

Child Care Services	0	(720,403)	0	(340,403)	0	87,653	0	467,653
Total - General Fund	0	(720,403)	0	(340,403)	0	87,653	0	467,653

Background

Child Care Services supports state-funded Child Day Care Centers, which serve low- to moderate-income families. The centers serve infants and toddlers, preschool age children, and school-age children. The majority of families must be working to receive child care assistance. The Child Day Care Centers also receive support under the Social Services Block Grant.

Governor

Reduce funding by \$808,056 in FY 16 and FY 17 to reflect the elimination of school age funding under the Child Care Services program. This applies to 366 spaces across approximately 22 sites.

Legislative

Reduce funding by \$720,403 in FY 16 and \$340,403 in FY 17 to reflect a reduction to the Child Care Services line item.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Children's Trust Fund	0	(200,000)	0	(200,000)	0	0	0	0
Early Childhood Advisory Cabinet	0	(750)	0	(750)	0	0	0	0
Community Plans for Early Childhood	0	(46,875)	0	(37,500)	0	(9,375)	0	0
Improving Early Literacy	0	(9,375)	0	(7,500)	0	(1,875)	0	0
Evenstart	0	(29,688)	0	(23,750)	0	(5,938)	0	0
Child Care Quality Enhancements	0	(203,698)	0	(162,958)	0	(40,740)	0	0
Head Start - Early Childhood Link	0	(130,625)	0	(104,500)	0	(26,125)	0	0
School Readiness Quality Enhancement	0	(324,728)	0	(259,782)	0	(64,946)	0	0
Total - General Fund	0	(945,739)	0	(796,740)	0	(148,999)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding by \$796,740 in FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$796,740 in FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$148,999 in FY 16.

Transfer Funding for Birth to Three Program from DDS

Personal Services	7	688,354	7	690,660	0	0	0	0
Early Intervention	0	24,686,804	0	24,686,804	0	0	0	0
Total - General Fund	7	25,375,158	7	25,377,464	0	0	0	0

Background

The Connecticut Birth to Three System assists and strengthens the capacity of families to meet the developmental and health-related needs of their infants and toddlers who have developmental delays or disabilities. The goal of the system is to ensure that all families have equal access to a coordinated program of comprehensive services and supports that foster collaborative partnerships, are family centered, occur in natural settings, recognize current best practices in early intervention, and are built upon mutual respect and choice.

Governor

Transfer funding of \$25,375,158 in FY 16 and \$25,377,464 in FY 17 to reflect the transfer of the Birth to Three program from the Department of Developmental Services (DDS). Funding supports seven staff and the overall programmatic functions of Birth to Three. It should be noted that associated Medicaid funding is transferred to the Department of Social Services (DSS).

Legislative

Same as Governor. Sections 259 through 261 of PA 15-5 JSS, a budget implementer, are related to this change.

Transfer Funding to New Child Care Partnership Grant

Child Care Services-TANF/CCDBG	0	(1,300,000)	0	(1,300,000)	0	0	0	0
Early Head Start-Child Care Partnership	0	1,300,000	0	1,300,000	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

Three Connecticut organizations (Training, Education and Manpower, Inc (TEAM) in Derby; LULAC Head Start Inc in New Haven; and United Way of Greater New Haven, Inc.) were awarded federal early head start Childcare Partnership funds. This funding allows each organization to receive a state child care grant for participating families who are not employed or in approved education and training program and therefore, they may not be eligible for Care4Kids. The child care grant would align with Care4Kids subsidy rates. The grant paid to the three organizations would continue as long as the child continues participation in the Early Head Start Community Partnership program until the child reaches age 3. Based on current and projected enrollment levels, the reallocation in funding will have no impact on the children being served in the Care4Kids program.

Governor

Transfer funding of \$1.3 million in FY 16 and FY 17 from the Care4Kids program to the new Early Head Start- Child Care Partnership line item.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Care4Kids Contract Funding from Other Expenses

Other Expenses	0	(8,299,150)	0	(8,299,150)	0	0	0	0
Child Care Services-TANF/CCDBG	0	8,299,150	0	8,299,150	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Transfer funding of \$8,299,150 in FY 16 and FY 17 from the Other Expenses line item to the Child Care Services- TANF/CCDBG line item. Funding supports a contract with United Way to administer the Care4Kids program, as well as a contract to maintain the IT platform.

Legislative

Same as Governor

Consolidate Separate Head Start Appropriations

Head Start Services	0	2,919,850	0	2,919,850	0	0	0	0
Head Start Enhancement	0	(1,734,350)	0	(1,734,350)	0	0	0	0
Head Start - Early Childhood Link	0	(1,185,500)	0	(1,185,500)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

Head Start is a child development program that serves children from birth to age five and their families. Connecticut programs are administered by community action agencies, local education agencies and other nonprofit agencies.

Governor

Consolidate funding for separate Head Start appropriations into one line item. Please note, funding for Head Start Early Childhood Link is reduced in a previous write-up.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(23,041)	0	(52,414)	0	0	0	0
Total - General Fund	0	(23,041)	0	(52,414)	0	0	0	0

Governor

Reduce various accounts by \$23,041 in FY 16 and \$52,414 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,959,671)	0	(1,959,671)	0	0	0	0
Total - General Fund	0	(1,959,671)	0	(1,959,671)	0	0	0	0

Governor

Reduce funding by \$1,959,671 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward

Carry Forward School Readiness Funding

School Readiness	0	1,000,000	0	0	0	1,000,000	0	0
Total - Carry Forward Funding	0	1,000,000	0	0	0	1,000,000	0	0

Legislative

Carry forward up to \$1 million for School Readiness pursuant to CGS 10-16p(e)(2)(B). Section 3 of PA 15-227 increased the statutory carry forward amount from \$500,000 to \$1 million.

Carry Forward Other Expenses

Other Expenses	0	429,818	0	0	0	429,818	0	0
Total - Carry Forward Funding	0	429,818	0	0	0	429,818	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$429,818 is carried forward to support the completion of a plan to provide school readiness spaces to all eligible children, per section 84 of PA 11-39.

Carry Forward Funding for Professional Development

Child Care Quality Enhancements	0	195,268	0	0	0	195,268	0	0
Total - Carry Forward Funding	0	195,268	0	0	0	195,268	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$195,268 is carried forward for professional development per the SEIU day care union contract (Article 15, Section1).

Carry Forward Funding for Preschool Experience Study

School Readiness Quality Enhancement	0	300,000	0	0	0	300,000	0	0
Total - Carry Forward Funding	0	300,000	0	0	0	300,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$300,000 is carried forward to support the completion of the preschool experience study, per section 86 of PA 14-39.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	109	270,886,867	109	270,886,867	0	0	0	0
Current Services	0	6,375,573	0	7,693,006	0	0	0	0
Policy Revisions	7	17,771,080	7	18,773,012	0	(1,108,407)	0	(79,408)
Total Recommended - GF	116	295,033,520	116	297,352,885	0	(1,108,407)	0	(79,408)

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$26,895, a Statewide Hiring Reduction of \$135,019, a General Employee Lapse of \$18,879, and Overtime Savings of \$290. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$5,249. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	8,785,880	(180,035)	8,605,845	2.05%
Other Expenses	349,943	(6,297)	343,646	1.80%

State Library

CSL66000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	55	55	55	55	55	55

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	4,875,090	5,180,303	5,374,203	5,444,676	5,374,203	5,444,676
Other Expenses	686,379	687,069	652,716	652,716	644,128	652,716
Equipment	0	1	0	0	0	0
Other Current Expenses						
State-Wide Digital Library	1,989,855	1,989,860	1,890,367	1,890,367	1,865,494	1,890,367
Interlibrary Loan Delivery Service	268,108	267,029	282,393	286,621	282,393	286,621
Legal/Legislative Library Materials	786,573	786,592	747,263	747,263	737,431	747,263
Computer Access	180,500	180,500	0	0	169,219	171,475
Other Than Payments to Local Governments						
Support Cooperating Library Service Units	332,500	332,500	0	0	185,844	190,000
Other Than Payments to Local Governments						
Grants To Public Libraries	202,365	203,569	0	0	190,846	193,391
Connecticard Payments	984,783	1,000,000	0	0	900,000	900,000
Connecticut Humanities Council	2,049,752	2,049,752	0	0	1,921,643	1,947,265
Nonfunctional - Change to Accruals	62,774	28,877	0	0	0	0
Agency Total - General Fund	12,418,679	12,706,052	8,946,942	9,021,643	12,271,201	12,423,774
Additional Funds Available						
Federal Funds	2,141,923	2,184,760	2,228,454	2,273,024	2,228,454	2,273,024
Private Contributions & Other Restricted	1,113,456	1,135,722	1,158,437	1,181,604	1,158,437	1,181,604
Agency Grand Total	15,674,058	16,026,534	12,333,833	12,476,271	15,658,092	15,878,402

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	293,221	0	363,694	0	0	0	0
Interlibrary Loan Delivery Service	0	15,364	0	19,592	0	0	0	0
Total - General Fund	0	308,585	0	383,286	0	0	0	0

Governor

Provide funding of \$308,585 in FY 16 and \$383,286 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	15,829	0	36,147	0	0	0	0
Total - General Fund	0	15,829	0	36,147	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts Other Expenses by \$15,829 in FY 16 and an additional \$20,318 in FY 17 (for a cumulative total of \$36,147 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce Various Programs

Computer Access	0	0	0	0	0	171,475	0	171,475
Support Cooperating Library Service Units	0	(125,875)	0	(125,875)	0	190,000	0	190,000
Grants To Public Libraries	0	0	0	0	0	193,391	0	193,391
Connecticard Payments	0	(100,000)	0	(100,000)	0	900,000	0	900,000
Connecticut Humanities Council	0	0	0	0	0	1,947,265	0	1,947,265
Total - General Fund	0	(225,875)	0	(225,875)	0	3,402,131	0	3,402,131

Background

- The Connecticut Humanities Council, is a 501(c)3 state affiliate of the National Endowment for the Humanities that provides grants to historical societies for exhibitions and programs.
- Connecticard is a cooperative program among public libraries in Connecticut that allows a resident of any town in the state who holds a valid borrower card issued by their home library to use that card to borrow materials from any of the 192 public libraries participating in the program.
- The Cooperating Library Service Units are an organization of different types of libraries situated in a stipulated area of the state whose purpose is to improve library service through coordinated planning, resource sharing, and the development of programs too costly or impractical for a single library to maintain.
- The Grants to Public Libraries program provides grants to each of Connecticut's public libraries in an amount of approximately \$1,200 for operational purposes.
- The Computer Access program provides funds for the refurbishing of computers which are provided to low-income families.

Governor

Reduce funding by \$3,628,006 in both FY 16 and FY 17 to reflect the elimination of the following programs: Computer Access, Support Cooperating Library Service Units, Grants to Public Libraries, Connecticard Payments and the Connecticut Humanities Council.

Legislative

Reduce funding by \$225,875 in both FY 16 and FY 17 to reflect the reduction and/or elimination of the following programs: Support Cooperating Library Service Units and Connecticard Payments. Providing at least a total of \$1,190,000 in the Grants to Public Libraries, Connecticard Payments and the Support to Cooperating Library Service Units accounts enables the State Library to meet maintenance of effort requirements for federal aid which totals \$540,000.

Rollout of FY 15 Rescissions

Personal Services	0	(99,321)	0	(99,321)	0	0	0	0
Other Expenses	0	(42,941)	0	(34,353)	0	(8,588)	0	0
State-Wide Digital Library	0	(124,366)	0	(99,493)	0	(24,873)	0	0
Legal/Legislative Library Materials	0	(49,161)	0	(39,329)	0	(9,832)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Computer Access	0	(11,281)	0	(9,025)	0	(2,256)	0	0
Support Cooperating Library Service Units	0	(20,781)	0	(16,625)	0	(4,156)	0	0
Grants To Public Libraries	0	(12,723)	0	(10,178)	0	(2,545)	0	0
Connecticut Humanities Council	0	(128,109)	0	(102,487)	0	(25,622)	0	0
Total - General Fund	0	(488,683)	0	(410,811)	0	(77,872)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$410,811 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$410,811 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$77,872 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(15,829)	0	(36,147)	0	0	0	0
Total - General Fund	0	(15,829)	0	(36,147)	0	0	0	0

Governor

Reduce various accounts by \$15,829 in FY 16 and \$36,147 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(28,877)	0	(28,877)	0	0	0	0
Total - General Fund	0	(28,877)	0	(28,877)	0	0	0	0

Governor

Reduce funding by \$28,877 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	55	12,706,052	55	12,706,052	0	0	0	0
Current Services	0	324,414	0	419,433	0	0	0	0
Policy Revisions	0	(759,265)	0	(701,711)	0	3,324,259	0	3,402,131
Total Recommended - GF	55	12,271,201	55	12,423,774	0	3,324,259	0	3,402,131

Other Significant Legislation**PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development**

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$18,581, a Statewide Hiring Reduction of \$82,589, a General Employee Lapse of \$12,178, and Overtime Savings of \$1,004. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$9,661. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	5,374,203	(110,576)	5,263,627	2.06%
Other Expenses	644,128	(11,590)	632,538	1.80%
Interlibrary Loan Delivery Service	282,393	(1,847)	280,546	0.65%

Office of Higher Education

DHE66500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	21	27	27	27	27	27

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,642,006	1,712,774	1,800,433	1,800,433	1,800,433	1,800,433
Other Expenses	131,912	105,586	100,307	100,307	173,987	100,307
Equipment	0	1	0	0	0	0
Other Current Expenses						
Minority Advancement Program	1,294,979	2,181,737	2,188,526	2,188,526	2,188,526	2,188,526
Alternate Route to Certification	85,721	92,840	0	0	97,720	97,720
National Service Act	191,431	325,210	315,756	315,756	295,904	299,969
International Initiatives	66,453	66,500	0	0	0	0
Minority Teacher Incentive Program	401,779	447,806	447,806	447,806	447,806	447,806
English Language Learner Scholarship	0	95,000	0	0	0	0
Other Than Payments to Local Governments						
Awards to Children of Deceased/ Disabled Veterans	0	3,800	0	0	0	0
Governor's Scholarship	39,642,374	42,023,498	37,423,498	34,423,498	39,638,381	41,023,498
Nonfunctional - Change to Accruals	123,784	13,109	0	0	0	0
Agency Total - General Fund	43,580,439	47,067,861	42,276,326	39,276,326	44,642,757	45,958,259
Additional Funds Available						
Carry Forward Funding	0	0	0	0	4,346,211	0
Agency Grand Total	43,580,439	47,067,861	42,276,326	39,276,326	48,988,968	45,958,259

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	87,659	0	87,659	0	0	0	0
Minority Advancement Program	0	6,789	0	6,789	0	0	0	0
Alternate Route to Certification	0	4,880	0	4,880	0	0	0	0
National Service Act	0	6,806	0	6,806	0	0	0	0
International Initiatives	0	2,512	0	2,512	0	0	0	0
Total - General Fund	0	108,646	0	108,646	0	0	0	0

Governor

Provide funding of \$108,646 in both FY 16 and FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Apply Inflationary Increases

Other Expenses	0	2,030	0	4,622	0	0	0	0
Total - General Fund	0	2,030	0	4,622	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$2,030 in FY 16 and an additional \$2,592 in FY 17 (for a cumulative total of \$4,622 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce Funding for the Governor's Scholarship Program

Governor's Scholarship	0	(2,385,117)	0	(1,000,000)	0	2,214,883	0	6,600,000
Total - General Fund	0	(2,385,117)	0	(1,000,000)	0	2,214,883	0	6,600,000

Background

PA 13-247 established the Governor's Scholarship Program as a single, consolidated state financial aid program for Connecticut residents who are undergraduates at in-state public and private higher education institutions. The program replaced the state's previous undergraduate student aid programs: Connecticut Aid to Public College Students (CAPCS), Connecticut Independent College Student Grant (CICSG), the Capitol Scholarship, and Connecticut Aid to Charter Oak State College.

In FY 14, 11,328 eligible students received grants totaling \$25,573,155, with an average award of \$2,256 per student. An additional 21,015 eligible students did not receive funding.

Governor

Reduce funding by \$4.6 million in FY 16 and \$7.6 million in FY 17 for the Governor's Scholarship Program. The reduction will be achieved by eliminating new awards to students attending private institutions of higher education.

Legislative

Reduce funding by \$2,385,117 in FY 16 and \$1.0 million in FY 17 for the Governor's Scholarship Program.

Provide Funding for Remedial Education Study

Other Expenses	0	75,000	0	0	0	75,000	0	0
Total - General Fund	0	75,000	0	0	0	75,000	0	0

Legislative

Provide funding of \$75,000 in FY 16 to contract with an independent evaluator to study the issue of remedial education within the Community College System.

Eliminate Various Programs

International Initiatives	0	(69,012)	0	(69,012)	0	0	0	0
English Language Learner Scholarship	0	(95,000)	0	(95,000)	0	0	0	0
Awards to Children of Deceased/Disabled Veterans	0	(3,800)	0	(3,800)	0	0	0	0
Total - General Fund	0	(167,812)	0	(167,812)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

- International Initiatives is an exchange program providing opportunities for college and university students from all disciplines to receive credit for studies at institutions in the partner-state, and promotes the larger goals of economic development and cultural understanding.
- The English Language Learner program was established in Section 222 of PA 12-1, JSS the budget implementer. The program provides scholarships up to \$5,000 to students pursuing degrees as teachers who receive training in English language learners.
- The Awards to Children of Deceased/Disabled Veterans program provides assistance to any needy student between the ages of 16 and 23 who is the child of a person who served in the armed forces in the time of war, and who was killed or died as a result of accident or illness sustained while performing such duty, has been rated totally and permanently disabled or is missing in action in Vietnam. Annual grant amounts are \$400 per student. Such aid is paid directly to the designated institution with vouchers provided by the Office of Higher Education.

Governor

Reduce funding of \$167,812 in both FY 16 and FY 17 to reflect the elimination of the following programs: International Initiatives, English Language Learners, and Awards to Children of Deceased/Disabled Veterans.

Legislative

Same as Governor

Adjust Funding for Alternate Route to Certification

Alternate Route to Certification	0	0	0	0	0	97,720	0	97,720
Total - General Fund	0	0	0	0	0	97,720	0	97,720

Background

The Alternate Route to Certification (ARC) is a teacher preparation program that annually prepares 220 adults who are looking to change careers and enter the teaching profession.

The ARC program generates revenue from program fees and pays for instructors, facility costs, administrative support, and accreditation. The current balance of the ARC account is approximately \$690,000.

Governor

Reduce funding by \$97,720 in both FY 16 and FY 17, for the Alternate Route to Certification Program. The difference in funding will be paid using student tuition and fees.

Legislative

Maintain funding of \$97,720 in both FY 16 and FY 17 for the Alternate Route to Certification program..

Reduce Funding for the National Service Act

National Service Act	0	(15,787)	0	(15,787)	0	(15,787)	0	(15,787)
Total - General Fund	0	(15,787)	0	(15,787)	0	(15,787)	0	(15,787)

Background

The National Service Act account provides a federal match for administrative expenses and support of Connecticut's Commission on National and Community Service. State funds are also awarded to programs to help meet the 15% community organization share of the program participation costs. State funds underwrite implementation, administration, outreach, and evaluation of Connecticut's State Employee Mentoring and Tutoring program and additional efforts to create mentoring and tutoring initiatives.

Legislative

Reduce funding by \$15,787 in both FY 16 and FY 17 to reflect a 5% reduction.

Rollout of FY 15 Rescissions and Various Other Accounts

Other Expenses	0	(6,599)	0	(5,279)	0	(1,320)	0	0
National Service Act	0	(20,325)	0	(16,260)	0	(4,065)	0	0
Total - General Fund	0	(26,924)	0	(21,539)	0	(5,385)	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$21,539 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$21,539 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$5,385 in FY 16.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(13,109)	0	(13,109)	0	0	0	0
Total - General Fund	0	(13,109)	0	(13,109)	0	0	0	0

Governor

Reduce funding by \$13,109 in FY 16 and \$13,109 in FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(2,030)	0	(4,622)	0	0	0	0
Total - General Fund	0	(2,030)	0	(4,622)	0	0	0	0

Governor

Reduce Other Expenses by \$2,030 in FY 16 and \$4,622 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward**Carry Forward for Minority Advancement**

Minority Advancement Program	0	825,192	0	0	0	825,192	0	0
Total - Carry Forward Funding	0	825,192	0	0	0	825,192	0	0

Legislative

Pursuant to CGS 4-89(f), \$825,192 is carried forward from FY 15 into FY 16 for purposes of the program.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward for Financial Assistance

Governor's Scholarship	0	3,521,019	0	0	0	3,521,019	0	0
Total - Carry Forward Funding	0	3,521,019	0	0	0	3,521,019	0	0

Legislative

Pursuant to CGS 4-89(f) \$3,521,019 is carried forward from FY 15 into FY 16 for the Governor's Scholarship Program, for purposes of student financial assistance.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	27	47,067,861	27	47,067,861	0	0	0	0
Current Services	0	110,676	0	113,268	0	0	0	0
Policy Revisions	0	(2,535,780)	0	(1,222,870)	0	2,366,431	0	6,681,933
Total Recommended - GF	27	44,642,757	27	45,958,259	0	2,366,431	0	6,681,933

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$11,913 and a General Employee Lapse of \$10,688. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,609. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	1,800,433	(15,140)	1,785,293	0.84%
Other Expenses	173,987	(2,609)	171,378	1.50%
Minority Advancement Program	2,188,526	(7,461)	2,181,065	0.34%

University of Connecticut

UOC67000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	2,347	2,413	2,413	2,413	2,413	2,413

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Operating Expenses	201,698,929	228,271,757	211,482,283	211,482,283	220,582,283	225,082,283
Workers' Compensation Claims	0	0	0	0	3,092,062	3,092,062
CommPACT Schools	475,000	475,000	0	0	0	0
Next Generation Connecticut	0	0	7,894,737	7,894,737	19,144,737	20,394,737
Other Than Payments to Local Governments						
Kirklyn M. Kerr Grant Program	400,000	400,000	0	0	400,000	400,000
Agency Total - General Fund	202,573,929	229,146,757	219,377,020	219,377,020	243,219,082	248,969,082
Additional Funds Available						
University of Connecticut Operating Fd	774,943,246	826,143,475	886,560,783	938,887,105	886,560,783	938,887,105
UCONN Research Foundation	44,062,824	38,841,902	42,843,960	46,273,261	42,843,960	46,273,261
Federal Funds	95,306,190	94,606,121	95,723,419	96,867,100	95,723,419	96,867,100
Agency Grand Total	1,116,886,189	1,188,738,255	1,244,505,182	1,301,404,486	1,268,347,244	1,330,996,548

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wages & Compensation Related Costs

Operating Expenses	0	10,774,080	0	11,511,812	0	0	0	0
Total - General Fund	0	10,774,080	0	11,511,812	0	0	0	0

Governor

Provide funding of \$10,774,080 in FY 16 and \$11,511,812 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding for Operating Costs at New Buildings

Operating Expenses	0	106,238	0	365,508	0	0	0	0
Total - General Fund	0	106,238	0	365,508	0	0	0	0

Governor

Funds totaling \$106,238 in FY 16 and \$365,508 in FY 17 are provided for the operating costs of new buildings.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Operating Funding for Next Generation CT

Operating Expenses	48	18,785,372	107	38,989,905	0	0	0	0
Total - General Fund	48	18,785,372	107	38,989,905	0	0	0	0

Background

Next Generation Connecticut is an initiative started in 2014 that includes the following:

- Increasing total enrollment by 6,580 (30%). Of those, almost 3,300 would be STEM students, including 70% more engineering students. About 5,000 of the students would be enrolled at the Storrs campus, and about 1,500 would attend UConn in Stamford.
- Revolutionizing STEM infrastructure at the Storrs campus by building facilities to house materials science, physics, biology, engineering, cognitive science, genomics studies, labs, and related disciplines. Aging infrastructure would also be updated to accommodate new faculty and students.
- Developing a residential living community in which those students can share their experiences, innovative ideas, and camaraderie beyond the classrooms and labs in which they will study.
- Relocating the Greater Hartford campus to downtown Hartford, and increasing digital media and risk management degrees at UConn-Stamford, where student housing would also be built.
- Hiring 259 new faculty members in addition to the 290 already in the current faculty hiring plan. Of the 259 additional new faculty hires, 200 would be dedicated specifically to STEM programs. This would be on top of the 175 STEM-specific faculty members already being hired under the previously announced faculty hiring plan.

Governor

Provide funding of \$18,785,372 and a related 48 positions in FY 16 and \$38,989,905 and a related 107 position in FY 17 for the planned expansion of Next Generation CT.

Legislative

Same as Governor

Policy Revisions

Rollout of FY 15 Rescissions

CommPACT Schools	0	(23,750)	0	(23,750)	0	0	0	0
Total - General Fund	0	(23,750)	0	(23,750)	0	0	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$23,750 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Same as Governor

Transfer Funding for Next Generation CT Initiative

Operating Expenses	0	(18,785,372)	0	(38,989,905)	0	0	0	0
Next Generation Connecticut	0	18,785,372	0	38,989,905	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Funds totaling \$18,785,372 in FY 16 and \$38,989,905 in FY 17 are transferred from the University of Connecticut Operating Fund to Next Generation CT.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Block Grant and Next Generation CT Funding

Operating Expenses	0	(18,569,792)	0	(15,066,794)	0	9,100,000	0	13,600,000
Next Generation Connecticut	(48)	(890,635)	(107)	(21,095,168)	0	10,000,000	0	10,000,000
Total - General Fund	(48)	(19,460,427)	(107)	(36,161,962)	0	19,100,000	0	23,600,000

Governor

Reduce funding of \$38,560,427 and a related 48 positions in FY 16 and \$59,761,962 and a related 107 positions in FY 17 in the University of Connecticut Operating grant and Next Generation CT. The reduction totals \$27,669,792 in FY 16 and \$28,666,794 in FY 17 in the Operating grant and \$10,890,635 and a related 48 positions in FY 16 and \$31,095,168 and a related 107 positions in Next Generation CT.

Legislative

Reduce funding of \$19,460,427 and a related 48 positions in FY 16 and \$36,161,962 and a related 107 positions in FY 17 in the University of Connecticut Operating grant and Next Generation CT. The reduction totals \$18,569,792 in FY 16 and \$15,066,794 in FY 17 in the Operating grant and \$890,635 and a related 48 positions in FY 16 and \$21,095,168 and a related 107 positions in Next Generation CT.

Eliminate Funding for CommPACT Schools

CommPACT Schools	0	(451,250)	0	(451,250)	0	0	0	0
Total - General Fund	0	(451,250)	0	(451,250)	0	0	0	0

Background

The CommPACT Community Schools Collaborative provides resources, materials, technical assistance, and evaluation frameworks to guide the establishment of community schools.

Governor

Eliminate funding of \$451,250 in FY 16 and FY 17 for CommPACT Schools.

Legislative

Eliminate funding of \$451,250 in FY 16 and FY 17 for CommPACT Schools within the University of Connecticut budget and provide \$350,000 in each year of the biennium within the State Department of Education.

Adjust Funding for the Kirklyn M Kerr

Kirklyn M. Kerr Grant Program	0	0	0	0	0	400,000	0	400,000
Total - General Fund	0	0	0	0	0	400,000	0	400,000

Background

The Kirklyn M Kerr Veterinary grant program secures slots for Connecticut residents pursuing veterinary medicine at Iowa State University. The current agreement secures five slots at a rate of \$20,000 per slot. Total funding of \$400,000 provides for four cohorts.

Governor

Eliminate funding of \$400,000 in FY 16 and FY 17 for the Kirklyn M Kerr Grant Program.

Legislative

Funding for the Kirklyn M Kerr Veterinary grant program is maintained.

Provide Funding For Next Generation CT Commitments

Next Generation Connecticut	0	1,250,000	0	2,500,000	0	1,250,000	0	2,500,000
Total - General Fund	0	1,250,000	0	2,500,000	0	1,250,000	0	2,500,000

Legislative

Provide funding of \$1,250,000 in FY 16 and \$2,500,000 in FY 17 to fund hiring commitments for Next Generation CT.

Transfer Workers' Compensation Claims Account to UConn

Workers' Compensation Claims	0	3,187,693	0	3,187,693	0	3,187,693	0	3,187,693
Total - General Fund	0	3,187,693	0	3,187,693	0	3,187,693	0	3,187,693

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Department of Administrative Services manages workers' compensation claims checkbook functions for most state agencies. Currently, five departments manage their own appropriations: Children and Families, Correction, Developmental Services, Emergency Services and Public Protection, and Mental Health and Addiction Services.

Legislative

Transfer funding of \$3,187,693 in both FY 16 and FY 17 from Workers' Compensation Claims - DAS to UConn to reflect UConn assuming management of its own workers' compensation claims appropriation.

The FY 16 and FY 17 budget transfers workers' compensation claims funding from Workers' Compensation Claims - DAS to four agencies: UConn, UConn Health Center, Board of Regents for Higher Education, and the Judicial Department.

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(95,631)	0	(95,631)	0	(95,631)	0	(95,631)
Total - General Fund	0	(95,631)	0	(95,631)	0	(95,631)	0	(95,631)

Legislative

Reduce funding by \$95,631 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	2,413	229,146,757	2,413	229,146,757	0	0	0	0
Current Services	48	29,665,690	107	50,867,225	0	0	0	0
Policy Revisions	(48)	(15,593,365)	(107)	(31,044,900)	0	23,842,062	0	29,592,062
Total Recommended - GF	2,413	243,219,082	2,413	248,969,082	0	23,842,062	0	29,592,062

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$659,485 and a General Employee Lapse of \$341,710. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$46,380. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Operating Expenses	220,582,283	(1,001,195)	219,581,088	0.45%
Workers' Compensation Claims	3,092,062	(46,380)	3,045,682	1.50%

University of Connecticut Health Center

UHC72000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	1,680	1,698	1,698	1,698	1,698	1,698

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Operating Expenses	124,827,958	134,886,547	124,347,180	125,519,573	124,347,180	125,519,573
AHEC	480,422	480,422	0	0	427,576	433,581
Workers' Compensation Claims	0	0	0	0	7,016,044	7,016,044
Bioscience	0	0	13,000,000	12,500,000	12,500,000	12,000,000
Nonfunctional - Change to Accruals	2,006,781	797,270	0	0	0	0
Agency Total - General Fund	127,315,161	136,164,239	137,347,180	138,019,573	144,290,800	144,969,198
Additional Funds Available						
UConn Health Center Operating Fd	275,150,030	313,651,035	334,544,775	346,636,636	334,544,775	346,636,636
UConn Health Ctr Research Foundation	118,248,864	117,367,577	120,881,985	123,260,711	120,881,985	123,260,711
UConn Health Center Clinical Programs	300,524,666	307,404,182	311,595,307	319,105,502	311,595,307	319,105,502
Agency Grand Total	821,238,721	874,587,033	904,369,247	927,022,422	911,312,867	933,972,047

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Operating Expenses to Reflect Current Requirements

Operating Expenses	0	2,460,633	0	3,133,026	0	0	0	0
Total - General Fund	0	2,460,633	0	3,133,026	0	0	0	0

Governor

Provide funding of \$2,240,633 in FY 16 and \$3,133,026 in FY 17 in Operating Expenses to reflect FY 16 and FY 17 anticipated expenditure requirements.

Legislative

Same as Governor

Policy Revisions

Reallocate Funding for Bioscience Connecticut

Operating Expenses	0	(13,000,000)	0	(12,500,000)	0	0	0	0
Bioscience	0	13,000,000	0	12,500,000	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Background

The Bioscience Connecticut Initiative is intended to further the state's position as a leader in the field of bioscience and contribute to improving the state's economic position by transferring its research discoveries into new technologies, products and jobs.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Transfer funding of \$13,000,000 in FY 16 and \$12,500,000 in FY 16 from the Operating Expenses account to a new Bioscience account.

Legislative

Same as Governor

Rollout of FY 15 Rescissions and Reduce Various Accounts

AHEC	0	(30,026)	0	(24,021)	0	(6,005)	0	0
Total - General Fund	0	(30,026)	0	(24,021)	0	(6,005)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$24,021 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$24,021 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce AHEC by \$6,005 in FY 16.

Reduce Funding for AHEC

AHEC	0	(22,820)	0	(22,820)	0	433,581	0	433,581
Total - General Fund	0	(22,820)	0	(22,820)	0	433,581	0	433,581

Background

The Connecticut Area Health Education Center (AHEC) Network works throughout Connecticut to improve access to basic health care by linking local community groups with the resources of the University of Connecticut Health Center and other health professions training programs. The AHEC Program is based at the University of Connecticut Health Center and is implemented through four regional centers located in the Willimantic, Hartford, Waterbury, and Bridgeport areas. Regional centers enable AHEC to carry out local programs with great efficiency, and provide statewide local coverage to provide high quality, efficient, effective and flexible services that meet local need.

Governor

Eliminate funding for AHEC.

Legislative

Reduce funding for AHEC by \$22,820 in both FY 16 and FY 17. This represents a reduction of 5%.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(797,270)	0	(797,270)	0	0	0	0
Total - General Fund	0	(797,270)	0	(797,270)	0	0	0	0

Governor

Reduce funding by \$797,270 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Transfer Workers' Compensation Claims Account

Workers' Compensation Claims	0	7,233,035	0	7,233,035	0	7,233,035	0	7,233,035
Total - General Fund	0	7,233,035	0	7,233,035	0	7,233,035	0	7,233,035

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Department of Administrative Services manages workers' compensation claims checkbook functions for most state agencies. Currently, five departments manage their own appropriations: Children and Families, Correction, Developmental Services, Emergency Services and Public Protection, and Mental Health and Addiction Services.

Legislative

Transfer funding of \$7,233,035 in both FY 16 and FY 17 from Workers' Compensation Claims - DAS to UCHC to reflect UCHC assuming management of its own workers' compensation claims appropriation.

The FY 16 and FY 17 budget transfers workers' compensation claims funding from Workers' Compensation Claims - DAS to four agencies: UCONN, UCONN Health Center, Board of Regents for Higher Education, and the Judicial Department.

Reflect Workers' Compensation Savings

Workers' Compensation Claims	0	(216,991)	0	(216,991)	0	(216,991)	0	(216,991)
Total - General Fund	0	(216,991)	0	(216,991)	0	(216,991)	0	(216,991)

Legislative

Reduce funding by \$216,991 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Reduce Funding for Bioscience

Bioscience	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)
Total - General Fund	0	(500,000)	0	(500,000)	0	(500,000)	0	(500,000)

Legislative

Reduce support for the Bioscience Connecticut Initiative by \$500,000 in both FY 16 and FY 17.

Provide Funding for CICATS

Background

The Connecticut Institute for Clinical and Translational Science (CICATS) was created in 2009 to transform and improve the way clinical and translational science is conceived, conducted, and disseminated in the region, and to collaborate with similar institutes throughout the nation. CICATS is both an academic unit of the University of Connecticut and a partnership of institutions in the region dedicated to advancing clinical and translational research.

Legislative

Section 47 of PA 15-244 (the budget act) transfers \$1 million in both FY 16 and FY 17 from the Biomedical Research Trust Fund to the UConn Health Center to support CICATS. Of these funds, \$250,000 in each year must be used to conduct breast cancer research.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	1,698	136,164,239	1,698	136,164,239	0	0	0	0
Current Services	0	2,460,633	0	3,133,026	0	0	0	0
Policy Revisions	0	5,665,928	0	5,671,933	0	6,943,620	0	6,949,625
Total Recommended - GF	1,698	144,290,800	1,698	144,969,198	0	6,943,620	0	6,949,625

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$373,047 and a General Employee Lapse of \$192,630. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$105,240. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Operating Expenses	124,347,180	(564,397)	123,782,783	0.45%
AHEC	427,576	(1,280)	426,296	0.30%
Workers' Compensation Claims	7,016,044	(105,240)	6,910,804	1.50%

Teachers' Retirement Board

TRB77500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	27	27	27	27	27	27

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	1,402,831	1,695,911	1,784,268	1,801,590	1,784,268	1,801,590
Other Expenses	398,588	568,221	539,810	539,810	532,707	539,810
Equipment	0	1	0	0	0	0
Other Than Payments to Local Governments						
Retirement Contributions	948,540,000	984,110,000	975,578,000	1,012,162,000	975,578,000	1,012,162,000
Retirees Health Service Cost	11,431,255	14,714,000	14,714,000	14,714,000	14,714,000	14,714,000
Municipal Retiree Health Insurance Costs	5,198,872	5,447,370	5,447,370	5,447,370	5,447,370	5,447,370
Nonfunctional - Change to Accruals	11,799	8,996	0	0	0	0
Agency Total - General Fund	966,983,344	1,006,544,499	998,063,448	1,034,664,770	998,056,345	1,034,664,770

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	88,357	0	105,679	0	0	0	0
Total - General Fund	0	88,357	0	105,679	0	0	0	0

Governor

Provide funding of \$88,357 in FY 16 and \$105,679 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Retirees Health Service Cost	0	13,994,000	0	16,786,000	0	0	0	0
Municipal Retiree Health Insurance Costs	0	1,390,640	0	1,390,640	0	0	0	0
Total - General Fund	0	15,384,640	0	18,176,640	0	0	0	0

Governor

Provide funding of \$15,384,640 in FY 16 and \$18,176,640 in FY 17 in the TRB health accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These costs reflect healthcare costs, enrollment trends and statutory requirements.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Fully Fund Retirement Contribution

Retirement Contributions	0	(8,532,000)	0	28,052,000	0	0	0	0
Total - General Fund	0	(8,532,000)	0	28,052,000	0	0	0	0

Background

The Teachers' Retirement System (TRS) is funded on an actuarial basis and requires full funding of the actuarial required contribution. Based on the 6/30/14 valuation, the system has assets of \$15.5 billion and liabilities of \$26.3 billion resulting in an unfunded liability of \$10.8 billion and a funded ratio of 59%.

Governor

Reduce funding by \$8,532,000 in FY 16 and provide funding of \$28,052,000 in FY 17 to fully fund the state's actuarial required contribution to the TRS.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	13,176	0	29,979	0	0	0	0
Total - General Fund	0	13,176	0	29,979	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$13,176 in FY 16 and an additional \$16,803 in FY 17 (for a cumulative total of \$29,979 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce State Share of Retiree Health Service Costs

Retirees Health Service Cost	0	(13,994,000)	0	(16,786,000)	0	0	0	0
Total - General Fund	0	(13,994,000)	0	(16,786,000)	0	0	0	0

Background

The Teachers' Retirement Board (TRB) is required to offer one or more health plans to retired Teachers' Retirement System members who are participating in Medicare. The TRB health plan is funded on a self-insured basis. The statutory cost sharing arrangement requires that retirees, the state and the TRB health fund each pay one-third of the total cost for the basic plan. The TRB health fund is funded through active teachers' 1.25% contributions. CGS 10 - 183t governs TRB health insurance. For FY 13 through FY 15, the state reduced its contribution from 33% to 25% and increased the TRB health fund share from 33% to 42%.

Governor

Reduce funding by \$13,994,000 in FY 16 and \$16,786,000 in FY 17 to reflect a state contribution at the FY 15 funding level.

Legislative

Same as Governor

Reduce State Share of Municipal Health Subsidy

Municipal Retiree Health Insurance Costs	0	(1,390,640)	0	(1,390,640)	0	0	0	0
Total - General Fund	0	(1,390,640)	0	(1,390,640)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The municipal subsidy is provided to those retirees and dependents with health insurance through their last employing board of education. The amount that eligible retirees receive is statutorily set at \$110 per month for most members and \$220 per month for members who are 65 or older, not Medicare eligible and paying more than \$220 per month for health insurance. The state is required to pay one-third of the cost of the subsidy according to statute pursuant to CGS 10 - 183t. For FY 13 through FY 15, the state share of the municipal subsidy was reduced from 33% to 25%. The balance of the subsidy is paid from the TRB health fund and the fund's share increased from 66% to 75%.

Governor

Reduce funding by \$1,390,640 in both FY 16 and FY 17 to reflect a state contribution at the FY 15 funding level.

Legislative

Same as Governor

Reduce Other Expenses

Other Expenses	0	(35,514)	0	(28,411)	0	(7,103)	0	0
Total - General Fund	0	(35,514)	0	(28,411)	0	(7,103)	0	0

Governor

Reduce funding for Other Expenses by \$28,411 in both FY 16 and FY 17 to achieve savings.

Legislative

Reduce funding for Other Expenses by \$35,514 in FY 16 and by \$28,411 in FY 17 to achieve savings.

Eliminate Inflationary Increases

Other Expenses	0	(13,176)	0	(29,979)	0	0	0	0
Total - General Fund	0	(13,176)	0	(29,979)	0	0	0	0

Governor

Reduce the Other Expenses account by \$13,176 in FY 16 and \$29,979 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(8,996)	0	(8,996)	0	0	0	0
Total - General Fund	0	(8,996)	0	(8,996)	0	0	0	0

Governor

Reduce funding by \$8,996 in both FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	27	1,006,544,499	27	1,006,544,499	0	0	0	0
Current Services	0	6,954,173	0	46,364,298	0	0	0	0
Policy Revisions	0	(15,442,327)	0	(18,244,027)	0	(7,103)	0	0
Total Recommended - GF	27	998,056,345	27	1,034,664,770	0	(7,103)	0	0

Other Significant Legislation

PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services

Sections 97-98 remove the annual cap of \$150,000 on the amount the Teachers' Retirement Board (TRB) may spend for outside professional fees from the Teachers' Health Insurance Premium account (also referred to as the Retiree Health Fund – a non-appropriated, non-lapsing account). This change allows the TRB greater flexibility to engage necessary healthcare consulting services. The TRB utilizes a healthcare consultant to maintain benefits and control plan costs. It is anticipated that the TRB will spend approximately \$350,000 in FY 16 for outside professional fees from the account. This includes \$150,000 for a health plan consultant and \$200,000 for a prescription plan claims audit. This is an increase of \$200,000 over the amount allowed under current law. In FY 17, the agency will only have the annual health plan consultant expense which may exceed the current \$150,000 cap. The cost for healthcare consulting has been paid from the Retiree Health Fund since 2010. The FY 14 year-end fund balance was approximately \$109 million.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$6,926, a General Employee Lapse of \$3,510, and Overtime Savings of \$520. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$7,990. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	1,784,268	(9,361)	1,774,907	0.52%
Other Expenses	532,707	(9,585)	523,122	1.80%

Board of Regents for Higher Education

BOR77700

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	4,599	4,617	4,625	4,633	4,625	4,633

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Workers' Compensation Claims	0	0	0	0	3,877,440	3,877,440
Charter Oak State College	2,367,543	2,588,604	2,733,385	2,769,156	2,733,385	2,769,156
Community Tech College System	149,605,934	155,605,363	163,171,028	164,460,874	163,191,028	164,480,874
Connecticut State University	149,504,572	155,564,671	159,309,488	157,983,871	163,728,122	164,206,317
Board of Regents	660,242	666,038	666,038	666,038	566,038	566,038
Transform CSCU	0	23,000,000	10,894,737	10,894,737	19,406,103	22,102,291
Nonfunctional - Change to Accruals	1,027,815	908,635	0	0	0	0
Agency Total - General Fund	303,166,106	338,333,311	336,774,676	336,774,676	353,502,116	358,002,116
Additional Funds Available						
Carry Forward Funding	0	0	0	0	2,831,617	0
St University Operating and Tuition Fund	520,658,325	551,622,036	558,910,166	573,717,196	558,910,166	573,717,196
Reg Comm-Tech College Operat and Tuition	194,583,803	206,766,083	194,661,916	194,987,259	194,661,916	194,987,259
Federal Funds	144,921,005	132,832,252	132,058,964	134,003,609	132,058,964	134,003,609
Private Contributions & Other Restricted	14,456,081	18,375,000	18,462,000	18,831,240	18,462,000	18,831,240
Private Contributions	4,135,829	3,752,005	3,838,301	3,949,611	3,838,301	3,949,611
Agency Grand Total	1,181,921,150	1,251,680,687	1,244,706,023	1,262,263,591	1,264,265,080	1,283,491,031

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Cost

Charter Oak State College	0	144,781	0	180,552	0	0	0	0
Community Tech College System	0	7,524,657	0	8,492,070	0	0	0	0
Connecticut State University	0	7,880,852	0	8,281,454	0	0	0	0
Board of Regents	0	36,632	0	38,647	0	0	0	0
Total - General Fund	0	15,586,922	0	16,992,723	0	0	0	0

Governor

Provide funding of \$15,586,922 in FY 16 and \$16,992,723 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Operation at New Buildings

Community Tech College System	1	41,008	6	363,441	0	0	0	0
Connecticut State University	7	502,599	10	580,192	0	0	0	0
Total - General Fund	8	543,607	16	943,633	0	0	0	0

Governor

Provide funding of \$543,607 and eight positions in FY 16 and \$943,633 and 16 positions in FY 17 to reflect the opening of new buildings within the Community - Technical College and Connecticut State University systems.

Legislative

Same as Governor

Eliminate Funding for One-time Projects

Connecticut State University	0	(240,000)	0	(240,000)	0	410,000	0	410,000
Total - General Fund	0	(240,000)	0	(240,000)	0	410,000	0	410,000

Background

Funding of \$650,000 was provided in FY 15 to the Board of Regents (Connecticut State University) for the following:

- \$300,000 for the Institute for Municipal and Regional Policy (IMRP) at Central Connecticut State University for the Racial Profiling Prohibition Project (PA 12-74);
- \$50,000 for the O'Neill Chair Oral History Program - Veterans History Project at CCSU;
- \$50,000 for IMRP for the evaluation of the Multidimensional Family Therapy Program and Juvenile Parole Services (Sections 83 and 84 of PA 14-217, the budget implementer, provide detail concerning the evaluation);
- \$150,000 for IMRP to assist with the Results First project; and
- \$100,000 for IMRP to assist with activities related to the sentencing commission.

Governor

Reduce funding by \$650,000 in FY 16 and FY 17 to reflect the completion of one-time projects.

Legislative

Reduce funding by \$240,000 in FY 16 and FY 17 to reflect the completion and phase-out of one-time projects. Funding remains for projects as follows:

- \$200,000 for the Institute for Municipal and Regional Policy (IMRP) at Central Connecticut State University for the Racial Profiling Prohibition Project (PA 12-74);
- \$30,000 for the O'Neill Chair Oral History Program - Veterans History Project at CCSU;
- \$100,000 for IMRP to assist with the Results First project; and
- \$80,000 for IMRP to assist with activities related to the sentencing commission.

Policy Revisions

Reduce Block Grant and Transform CSCU Funding

Connecticut State University	0	0	0	0	0	3,988,634	0	5,792,446
Board of Regents	0	(136,632)	0	(138,647)	0	(100,000)	0	(100,000)
Transform CSCU	0	(3,593,897)	0	(897,709)	0	8,511,366	0	11,207,554
Total - General Fund	0	(3,730,529)	0	(1,036,356)	0	12,400,000	0	16,900,000

Background

Transform CSCU 2020 is a multi-phase plan within the Board of Regents, intended to increase enrollments and provide for workforce development. The initiative includes tuition and operating support, Go Back to Get Ahead, the Early College Program and developmental education. In FY 15 the Transform CSCU program also utilized a transfer of \$19 million from the Connecticut Student Loan Foundation in addition to funds provided through the General Fund.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$16,130,529 in FY 16 and \$17,936,356 in FY 17 in the block grants for the Connecticut State University System (CSUS), the central office of the Board of Regents for Higher Education (BOR) and in the Transform CSCU program. The reduction in CSU totals \$3,988,634 in FY 16 and \$5,792,446 in FY 17; the reduction for the BOR totals \$36,632 in FY 16 and \$38,647 in FY 17 and the reduction in Transform CSCU totals \$12,105,263 in FY 16 and \$12,105,263 in FY 17.

Legislative

Reduce funding by \$3,730,529 in FY 16 and \$1,036,356 FY 17 in the central office of the Board of Regents for Higher Education (BOR) and in the Transform CSCU program. The reduction for the BOR totals \$136,632 in FY 16 and \$138,647 in FY 17 and the reduction in Transform CSCU totals \$3,593,897 in FY 16 and \$897,709 in FY 17. Funding for developmental and adult education is to continue as described on page 297 of the "Connecticut State Budget FY 15 Revisions" which specifies that \$27.3 million be expended on such programs. The Board of Regents may utilize such funds for counselors. Additionally two dedicated positions for the Transfer and Articulation (TAP) program are to continue.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(908,635)	0	(908,635)	0	0	0	0
Total - General Fund	0	(908,635)	0	(908,635)	0	0	0	0

Governor

Reduce funding by \$908,635 in FY 16 and \$908,635 in FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Provide Funding for Veteran's OASIS

Community Tech College System	0	20,000	0	20,000	0	20,000	0	20,000
Connecticut State University	0	20,000	0	20,000	0	20,000	0	20,000
Total - General Fund	0	40,000	0	40,000	0	40,000	0	40,000

Background

Operation Academic Support for Incoming Service Members centers (OASIS) provide support at higher education institutions for military veterans.

Legislative

Provide funding of \$40,000 in FY 16 and FY 17 for the Operation Academic Support for Incoming Service Members centers at Tunxis Community College and Central Connecticut State University. Each campus is to receive \$20,000 in each fiscal year.

Transfer Workers' Compensation Claims Account to BOR

Workers' Compensation Claims	0	3,997,361	0	3,997,361	0	3,997,361	0	3,997,361
Total - General Fund	0	3,997,361	0	3,997,361	0	3,997,361	0	3,997,361

Background

The Department of Administrative Services manages workers' compensation claims checkbook functions for most state agencies. Currently, five departments manage their own appropriations: Children and Families, Correction, Developmental Services, Emergency Services and Public Protection, and Mental Health and Addiction Services.

Legislative

Transfer funding of \$3,997,361 in both FY 16 and FY 17 from Workers' Compensation Claims - DAS to the BOR to reflect the BOR assuming management of its own workers' compensation claims appropriation.

The FY 16 and FY 17 budget transfers workers' compensation claims funding from Workers' Compensation Claims - DAS to four agencies: UConn, UConn Health Center, Board of Regents for Higher Education, and the Judicial Department.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(119,921)	0	(119,921)	0	(119,921)	0	(119,921)
Total - General Fund	0	(119,921)	0	(119,921)	0	(119,921)	0	(119,921)

Legislative

Reduce funding by \$119,921 in both FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Carry Forward

Carry Forward Funding for Go Back to Get Ahead

Transform CSCU	0	2,831,617	0	0	0	2,831,617	0	0
Total - Carry Forward Funding	0	2,831,617	0	0	0	2,831,617	0	0

Background

Section 4-89(c) CGS allows the unexpended balances of special appropriations for special programs whose work has not been completed to be continued during the following fiscal year as ordered by the Secretary of the Office of Policy and Management.

Legislative

Pursuant to CGS 4-89(c), the unexpended balance of \$2,831,617 in the Transform CSCU account is carried forward from FY 15 to FY 16 for students currently enrolled in the "Go Back to Get Ahead" program who will receive free classes in future semesters.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4,617	338,333,311	4,617	338,333,311	0	0	0	0
Current Services	8	15,890,529	16	17,696,356	0	410,000	0	410,000
Policy Revisions	0	(721,724)	0	1,972,449	0	16,317,440	0	20,817,440
Total Recommended - GF	4,625	353,502,116	4,633	358,002,116	0	16,727,440	0	21,227,440

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$988,785 and a General Employee Lapse of \$5,621. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$58,161. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Workers' Compensation Claims	3,877,440	(58,161)	3,819,279	1.50%
Charter Oak State College	2,733,385	(8,185)	2,725,200	0.30%
Community Tech College System	163,191,028	(488,657)	162,702,371	0.30%
Connecticut State University	163,728,122	(490,265)	163,237,857	0.30%
Board of Regents	566,038	(7,299)	558,739	1.29%

Department of Correction

DOC88000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	6,352	6,352	6,894	6,894	6,216	6,141

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	440,353,293	439,548,356	443,070,649	445,690,859	448,395,804	445,690,859
Other Expenses	74,695,098	76,313,127	76,583,227	76,033,227	77,736,830	76,433,227
Equipment	0	1	0	0	0	0
Other Current Expenses						
Stress Management	9,673	0	0	0	0	0
Workers' Compensation Claims	25,588,167	26,136,219	26,136,219	26,136,219	25,704,971	25,704,971
Inmate Medical Services	88,513,923	87,767,101	92,083,307	93,377,416	91,742,350	92,877,416
Board of Pardons and Paroles	5,298,165	6,464,739	7,123,925	7,204,143	7,123,925	7,204,143
Distance Learning	54,209	0	0	0	0	0
Program Evaluation	0	330,000	0	0	289,781	297,825
Probation and Alt to Incarceration	0	0	123,233,532	127,377,207	0	0
Other Than Payments to Local Governments						
Aid to Paroled and Discharged Inmates	1,050	9,026	8,575	8,575	8,462	8,575
Legal Services To Prisoners	827,065	827,065	827,065	827,065	827,065	827,065
Volunteer Services	127,500	162,221	0	0	154,410	154,410
Community Support Services	41,275,777	41,275,777	41,435,777	41,435,777	41,440,777	41,440,777
Nonfunctional - Change to Accruals	2,538,185	2,239,331	0	0	0	0
Agency Total - General Fund	679,282,106	681,072,963	810,502,276	818,090,488	693,424,375	690,639,268
Additional Funds Available						
Carry Forward Funding	0	0	0	0	386,905	0
Capital Improvements & Other	35,560	300,000	300,000	300,000	300,000	300,000
Capital Improvements & Other	608,951	750,000	750,000	750,000	750,000	750,000
Capital Improvements&Purposes	1,058,687	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Correction Industries	24,837,450	24,750,000	24,750,000	24,750,000	24,750,000	24,750,000
Federal Funds	3,466,308	2,419,937	1,596,461	1,460,182	1,596,461	1,460,182
Private Contributions & Other Restricted	322,754	674,475	319,500	319,500	319,500	319,500
Agency Grand Total	709,611,816	711,467,375	839,718,237	847,170,170	723,027,241	719,718,950

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect the FY 15 Deficiency

Other Expenses	0	1,750,660	0	1,200,660	0	0	0	0
Total - General Fund	0	1,750,660	0	1,200,660	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

HB 6825, An Act Making Deficiency Appropriations and Addressing the Deficit for the Fiscal Year Ending June 30, 2015 (the Governor's Deficiency bill), results in a net reduction (deappropriation) to the General Fund of \$21 million and a net increase (appropriation) to the Transportation Fund of \$20 million. General Fund increases of \$118.1 million are offset by General Fund reductions of \$139.1 million. The Transportation Fund has an increase of \$20 million and no offsetting reductions. The bill includes \$3.0 million in deficiency funding in FY 15 for this agency in the Other Expenses account. This funding is required due to rising maintenance costs related to the agency's aging physical plant. In FY 15, the Finance Advisory Committee transferred funds from the Personal Services account to the Other Expenses account to address the deficiency in that account.

Governor

Provide funding of \$1,750,660 in FY 16 and \$1,200,660 in FY 17 to reflect the annualization of the agency's FY 15 deficiency.

Legislative

Same as Governor

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	23,530,072	0	26,310,037	0	0	0	0
Board of Pardons and Paroles	0	346,702	0	404,720	0	0	0	0
Total - General Fund	0	23,876,774	0	26,714,757	0	0	0	0

Governor

Provide funding of \$23,876,774 in FY 16 and \$26,714,757 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Provide Funding for Inmate Medical Services

Inmate Medical Services	0	10,404,936	0	11,827,156	0	0	0	0
Total - General Fund	0	10,404,936	0	11,827,156	0	0	0	0

Background

The FY 15 Revised Budget included use of one time surpluses from FY 13 and FY 14 in the Inmate Medical Services account to offset FY 15 expenses.

Governor

Increase funding in the Inmate Medical Services account by \$10,404,936 in FY 16 and \$11,827,156 in FY 17.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	1,803,033	0	3,950,021	0	0	0	0
Workers' Compensation Claims	0	1,138,954	0	2,341,750	0	0	0	0
Total - General Fund	0	2,941,987	0	6,291,771	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$2,941,987 in FY 16 and an additional \$3,349,784 in FY 17 (for a cumulative total of \$6,291,771 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for PREA Audits

Community Support Services	0	160,000	0	160,000	0	0	0	0
Total - General Fund	0	160,000	0	160,000	0	0	0	0

Background

All facilities that are covered by the national Prison Rape Elimination Act (PREA) must be audited for compliance with the act's measures at least once every three years, with some audits occurring every year. These audits must be completed by a Department of Justice approved contractor.

Governor

Provide funding of \$160,000 in FY 16 and FY 17 for PREA auditing.

Legislative

Same as Governor

Transfer Funding for Research Positions to OPM

Personal Services	0	(122,746)	0	(122,746)	0	0	0	0
Total - General Fund	0	(122,746)	0	(122,746)	0	0	0	0

Background

Two positions in the Office of Policy and Management's Criminal Justice Research, Analysis and Evaluation Division are currently funded by the Department of Correction through a Memorandum of Understanding.

Governor

Transfer funding of \$122,746 in both FY 16 and FY 17 to the Office of Policy and Management.

Legislative

Same as Governor

Policy Revisions

Reduce Overtime

Personal Services	0	(5,304,099)	0	(5,304,099)	0	0	0	0
Total - General Fund	0	(5,304,099)	0	(5,304,099)	0	0	0	0

Background

The FY 13 Budget Revisions contained a reduction of \$5.0 million related to overtime savings due to changes in how time off scheduling was managed. The FY 14 and FY 15 Budget contained a reduction of \$2.75 million in overtime through enforcement of sick time policies. Over that time period, actual overtime expenditures increased by \$20.7 million, or 30% due to a variety of factors including increased Correction Officer retirements.

Governor

Reduce funding of \$5,304,099 in both FY 16 and FY 17 to reflect overtime savings.

Legislative

Same as Governor.

Reduce Funding For Second Chance Society Initiatives

Personal Services	(140)	(10,650,311)	(215)	(16,135,221)	75	5,325,155	0	0
Other Expenses	0	(1,507,207)	0	(2,260,810)	0	753,603	0	0
Inmate Medical Services	0	(318,085)	0	(477,128)	0	159,043	0	0
Total - General Fund	(140)	(12,475,603)	(215)	(18,873,159)	75	6,237,801	0	0

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Reduce funding in various accounts by \$18,713,404 in FY 16 and \$18,873,159 in FY 17. Additionally, eliminate 215 positions in both fiscal years. These reductions represent savings based on closing a prison facility.

Legislative

Reduce funding in various accounts by \$12,475,603 in FY 16 and \$18,873,159 in FY 17. Additionally, eliminate 140 positions in FY 16 and 215 positions in FY 17. These savings are based on current population trends and changes made to certain criminal statutes in PA 15-2 JSS, the criminal justice implementer. The reductions assume closing of three facility annexes in FY 16, and additional annexes or an entire facility in FY 17.

Provide Funding For Second Chance Society Initiatives

Personal Services	0	1,394,532	0	1,394,532	0	0	0	0
Other Expenses	0	1,600,000	0	1,600,000	0	400,000	0	400,000
Community Support Services	0	5,000	0	5,000	0	5,000	0	5,000
Total - General Fund	0	2,999,532	0	2,999,532	0	405,000	0	405,000

Background

The Governor's proposed Second Chance Society initiatives include reducing or eliminating mandatory minimum sentences for nonviolent drug offenses, creating an expedited parole process, and reducing possession of narcotics to a misdemeanor. Funding is provided in the Department of Correction, State Department of Education, Department of Housing, Department of Mental Health and Addiction Services, Department of Labor and the Office of Policy and Management related to providing or managing additional services related to these initiatives. Additionally, funding is reduced in the Department of Correction related to a reduction in the prison population.

Governor

Provide funding of \$2,594,532 in both FY 16 and FY 17 to reflect funding an additional 18 parole officer positions and electronic monitoring for community based supervision for 1,000 additional inmates.

Legislative

Provide funding of \$2,999,532 in both FY 16 and FY 17 for the following:

- \$1,394,532 in both FY 16 and FY 17 to reflect funding an additional 18 parole officer positions,
- \$1,200,000 in both FY 16 and FY 17 for electronic monitoring for community based supervision for 1,000 additional inmates,
- \$400,000 in both FY 16 and FY 17 for Fresh Start to Easter Seals Goodwill Industries
- \$5,000 in both FY 16 and FY 17 for Good Works

Expand the Board of Pardons and Parole

Board of Pardons and Paroles	4	312,484	4	334,684	0	0	0	0
Total - General Fund	4	312,484	4	334,684	0	0	0	0

Background

The Board of Pardons and Parole is currently comprised of 20 members, with a full time chair and five full time members.

Governor

Provide funding of \$312,484 in FY 16 and \$334,684 in FY 17 to add four full time members the Board of Pardons and Parole.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Inmate Medical Services

Inmate Medical Services	0	(6,111,602)	0	(6,239,713)	0	(500,000)	0	(500,000)
Total - General Fund	0	(6,111,602)	0	(6,239,713)	0	(500,000)	0	(500,000)

Governor

Reduce Inmate Medical Services by \$5,611,602 in FY 16 and \$5,739,713 in FY 17 to reflect decreasing inmate and community supervision populations, changing nursing scheduling at Garner, and increased turnover.

Legislative

Reduce Inmate Medical Services by \$6,111,602 in FY 16 and \$6,239,713 in FY 17 to reflect decreasing inmate and community supervision populations, changing nursing scheduling at Garner, and increased turnover.

Transfer CSSD Adult Probation Functions to DOC

Probation and Alt to Incarceration	0	0	0	0	(753)	(133,949,491)	(753)	(138,453,486)
Total - General Fund	0	0	0	0	(753)	(133,949,491)	(753)	(138,453,486)

Background

The Judicial Department Court Support Services Division (CSSD) oversees probation supervision for adult and juveniles. Adult Probation works provides community supervision services to clients referred by the Courts. Juvenile Probation provides services to court-involved children and their families to increase the chances of successful rehabilitation. The Superior Court for Juvenile Probation handles the cases of children who, at the time of the alleged offense, were 17 years old or younger.

Governor

Transfer the adult probation functions of the Judicial Department's Court Support Services Division (CSSD) to DOC. The reallocation from CSSD to DOC of the following reflects this transfer: (1) \$133,949,491 in FY 16, (2) \$138,453,486 in FY 17, and (3) 753 authorized full-time positions in both fiscal years.

Legislative

Do not transfer adult probation functions of the Judicial Department's Court Support Services Division (CSSD) to DOC.

Reduce Funding for Adult Probation Services

Probation and Alt to Incarceration	0	0	0	0	0	10,715,959	0	11,076,279
Total - General Fund	0	0	0	0	0	10,715,959	0	11,076,279

Governor

Reduce funding transferred from CSSD to DOC by 8% in each fiscal year (\$10,715,959 in FY 16 and \$11,076,279 in FY 17) to reflect anticipated efficiencies.

Legislative

The budget does not transfer probation functions from CSSD to DOC.

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(431,248)	0	(431,248)	0	(431,248)	0	(431,248)
Total - General Fund	0	(431,248)	0	(431,248)	0	(431,248)	0	(431,248)

Legislative

Reduce funding by \$431,248 in FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,239,331)	0	(2,239,331)	0	0	0	0
Total - General Fund	0	(2,239,331)	0	(2,239,331)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Reduce funding by \$2,239,331 in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Eliminate Funding for Program Evaluation

Program Evaluation	0	(19,594)	0	(15,675)	0	293,906	0	297,825
Total - General Fund	0	(19,594)	0	(15,675)	0	293,906	0	297,825

Background

Funding of \$330,000 was provided in the final FY 15 Budget Revisions for evaluation of services provided through the Community Support Services account using the Results First methodology. The Governor's November FY 15 Rescissions reduced funding for the account by \$16,500, and the Governor's FY 16 and FY 17 Recommended Budget rolled out the rescission.

Governor

Reduce funding by \$313,500 in both FY 16 and FY 17 to eliminate Program Evaluation.

Legislative

Reduce funding of \$19,594 in FY 16 and \$15,675 in FY 17 to reflect a reduction to the program evaluation grant.

Eliminate Funding for Volunteer Services

Volunteer Services	0	(7,811)	0	(7,811)	0	154,410	0	154,410
Total - General Fund	0	(7,811)	0	(7,811)	0	154,410	0	154,410

Background

Funding for Volunteer Services is provided to coordinate and expand the use of volunteers in throughout the Correction system to provide services to inmates and their families.

Governor

Reduce funding by \$162,221 in both FY 16 and FY 17 to eliminate Volunteer Services.

Legislative

Reduce funding by \$7,811 to reflect a 5% reduction to the Volunteer Services account. Maintain full funding of \$6,000 for Thresholds.

Rollout of FY 15 Rescissions and Reduce Various Accounts

Program Evaluation	0	(20,625)	0	(16,500)	0	(4,125)	0	0
Aid to Paroled and Discharged Inmates	0	(564)	0	(451)	0	(113)	0	0
Total - General Fund	0	(21,189)	0	(16,951)	0	(4,238)	0	0

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$16,951 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding of \$16,951 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions and additionally reduce various accounts by \$4,238 in FY 16.

Eliminate Inflationary Increases

Other Expenses	0	(1,803,033)	0	(3,950,021)	0	0	0	0
Workers' Compensation Claims	0	(1,138,954)	0	(2,341,750)	0	0	0	0
Total - General Fund	0	(2,941,987)	0	(6,291,771)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce various accounts by \$2,941,987 in FY 16 and \$6,291,771 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Reduce Funds Due to Contract Savings

Other Expenses	0	(419,750)	0	(419,750)	0	0	0	0
Total - General Fund	0	(419,750)	0	(419,750)	0	0	0	0

Governor

Reduce funding in the Other Expenses Account by \$419,750 to reflect an approximately 60% reduction in the per inmate cost of electronic monitoring services.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Carry Forward**Carry Forward for Stress Management**

Stress Management	0	45,682	0	0	0	45,682	0	0
Total - Carry Forward Funding	0	45,682	0	0	0	45,682	0	0

Background

The Stress Management account is contractually mandated and provides funding for programs and services for correction officers.

Legislative

Pursuant to CGS 5-278(e), an estimated \$45,682 is carried forward into FY 16 in the Stress Management account.

Carry Forward for Unsettled Contracts

Other Expenses	0	50,739	0	0	0	50,739	0	0
Total - Carry Forward Funding	0	50,739	0	0	0	50,739	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$50,737 is carried forward from FY 15 into FY 16 in the Other Expenses account to fund an unsettled bill from Frontier Communication.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward for Program Evaluation

Program Evaluation	0	290,484	0	0	0	290,484	0	0
Total - Carry Forward Funding	0	290,484	0	0	0	290,484	0	0

Background

The Program Evaluation account provides funding for the evaluation of Department of Correction programs using the Results First methodology.

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$290,484 is carried forward from FY 15 into FY 16 in the Program Evaluation account to provide for additional evaluation of agency activities.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	6,352	681,072,963	6,352	681,072,963	0	0	0	0
Current Services	0	39,011,611	0	46,071,598	0	0	0	0
Policy Revisions	(136)	(26,660,199)	(211)	(36,505,293)	(678)	(117,077,901)	(753)	(127,451,220)
Total Recommended - GF	6,216	693,424,375	6,141	690,639,268	(678)	(117,077,901)	(753)	(127,451,220)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$254,052, a Statewide Hiring Reduction of \$6,890,827, a General Employee Lapse of \$375,816, and Overtime Savings of \$3,613,201. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$2,927,761. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	448,395,804	(10,862,268)	437,533,536	2.42%
Other Expenses	77,736,830	(1,398,825)	76,338,005	1.80%
Workers' Compensation Claims	25,704,971	(385,574)	25,319,397	1.50%
Inmate Medical Services	91,742,350	(1,376,135)	90,366,215	1.50%
Board of Pardons and Paroles	7,123,925	(38,855)	7,085,070	0.55%

Department of Children and Families

DCF91000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	3,208	3,240	3,995	3,995	3,240	3,240

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	256,746,438	278,712,107	291,047,234	293,905,124	291,047,234	293,905,124
Other Expenses	39,801,470	34,261,197	35,361,354	34,219,151	35,383,854	34,241,651
Equipment	0	1	0	0	0	0
Other Current Expenses						
Workers' Compensation Claims	9,884,016	10,716,873	10,716,873	10,716,873	10,540,045	10,540,045
Family Support Services	928,321	986,402	984,582	984,582	974,752	987,082
Homeless Youth	0	2,515,707	2,515,707	2,515,707	2,515,707	2,515,707
Differential Response System	7,879,514	8,346,386	8,286,191	8,286,191	8,286,191	8,286,191
Regional Behavioral Health Consultation	1,055,495	1,810,000	1,719,500	1,719,500	1,696,875	1,719,500
Pre-Adjudicated Juvenile and Family Svcs	0	0	114,340,682	118,168,678	0	0
Other Than Payments to Local Governments						
Health Assessment and Consultation	957,850	1,015,002	1,015,002	1,015,002	1,015,002	1,015,002
Grants for Psychiatric Clinics for Children	13,765,849	15,483,393	15,483,393	15,483,393	15,865,893	15,993,393
Day Treatment Centers for Children	6,643,218	6,783,292	6,783,292	6,783,292	6,995,792	7,208,292
Juvenile Justice Outreach Services	9,726,340	12,841,081	12,199,027	12,199,027	12,464,608	13,476,217
Child Abuse and Neglect Intervention	8,514,194	9,102,501	8,599,177	8,599,177	9,426,096	9,837,377
Community Based Prevention Programs	7,951,300	8,300,790	7,858,769	7,858,769	7,996,992	8,100,752
Family Violence Outreach and Counseling	1,062,962	1,892,201	1,797,591	1,797,591	2,113,938	2,477,591
Supportive Housing	15,264,348	13,980,158	13,908,020	13,908,020	16,955,158	19,930,158
No Nexus Special Education	2,344,572	3,768,279	2,233,340	2,316,642	1,933,340	2,016,642
Family Preservation Services	5,689,151	5,735,278	5,735,278	5,735,278	6,052,611	6,211,278
Substance Abuse Treatment	8,504,865	9,817,303	9,817,303	9,817,303	10,092,881	10,368,460
Child Welfare Support Services	2,474,870	2,501,872	1,591,373	1,591,373	2,501,872	2,501,872
Board and Care for Children - Adoption	91,011,781	94,088,769	94,356,756	95,666,397	94,611,756	95,921,397
Board and Care for Children - Foster	114,359,583	117,244,693	124,643,643	125,568,483	125,158,543	128,098,283
Board and Care for Children - Short-term and Residential	125,565,263	125,373,630	109,037,361	108,900,959	107,830,694	107,090,959
Individualized Family Supports	9,402,526	10,079,100	9,413,324	9,413,324	9,413,324	9,413,324
Community Kidcare	32,409,897	37,716,720	37,716,720	37,716,720	40,126,470	41,261,220
Covenant to Care	159,814	159,814	0	0	159,814	159,814
Neighborhood Center	250,414	250,414	0	0	250,414	250,414
Other Than Payments to Local Governments						
Youth Service Bureaus	0	0	2,300,000	2,300,000	0	0
Nonfunctional - Change to Accruals	2,805,733	1,574,776	0	0	0	0
Agency Total - General Fund	775,159,785	815,057,739	929,461,492	937,186,556	821,409,856	833,527,745
Additional Funds Available						
Federal Funds	14,761,499	13,112,073	14,537,737	13,811,652	14,537,737	13,811,652
Private Contributions & Other Restricted	2,632,888	2,659,079	736,500	736,500	736,500	736,500
Agency Grand Total	792,554,172	830,828,891	944,735,729	951,734,708	836,684,093	848,075,897

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	12,335,127	0	15,193,017	0	0	0	0
Total - General Fund	0	12,335,127	0	15,193,017	0	0	0	0

Governor

Provide funding of \$12,335,127 in FY 16 and \$15,193,017 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Adjust Funding to Reflect Anticipated Caseloads

Board and Care for Children - Adoption	0	23,415	0	1,577,628	0	0	0	0
Board and Care for Children - Foster	0	9,209,734	0	10,335,790	0	0	0	0
Board and Care for Children - Short-term and Residential	0	(12,921,567)	0	(12,921,566)	0	0	0	0
Total - General Fund	0	(3,688,418)	0	(1,008,148)	0	0	0	0

Governor

Reduce funding by a total of \$3,688,418 in FY 16 and \$1,008,148 in FY 17 to reflect anticipated caseloads.

Legislative

Same as Governor

Provide SCAS Residential Treatment Center Rate Increases

Board and Care for Children - Short-term and Residential	0	3,243,080	0	4,427,761	0	0	0	0
Total - General Fund	0	3,243,080	0	4,427,761	0	0	0	0

Background

Pursuant to CGS Sec. 17a-17 and agency regulations, the Single Cost Accounting System (SCAS) determines the per diem payment rates for in-state, private residential treatment centers. Under SCAS, increases in the allowable residential care components over the previous year rates are limited to the increase in the consumer price index plus 2%, or the actual increase in allowable costs, whichever is less.

Governor

Provide funding of \$3,243,080 in FY 16 and \$4,427,761 in FY 17 to reflect SCAS rate increases.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	839,033	0	1,860,251	0	0	0	0
Board and Care for Children - Adoption	0	80,580	0	191,939	0	0	0	0
Board and Care for Children - Foster	0	584,283	0	1,362,291	0	0	0	0
Board and Care for Children - Short-term and Residential	0	233,924	0	534,560	0	0	0	0
Individualized Family Supports	0	192,250	0	434,164	0	0	0	0
Total - General Fund	0	1,930,070	0	4,383,205	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for various accounts by \$1,930,070 in FY 16 and an additional \$2,453,135 in FY 17 (for a cumulative total of \$4,383,205 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Adjust Operating Expenses to Reflect Current Requirements

Other Expenses	0	990,157	0	(152,046)	0	(180,000)	0	(180,000)
No Nexus Special Education	0	(1,684,939)	0	(1,601,637)	0	(150,000)	0	(150,000)
Board and Care for Children - Adoption	0	244,572	0	0	0	0	0	0
Board and Care for Children - Foster	0	201,216	0	0	0	0	0	0
Board and Care for Children - Short-term and Residential	0	136,403	0	0	0	0	0	0
Individualized Family Supports	0	(596,345)	0	(596,345)	0	0	0	0
Total - General Fund	0	(708,936)	0	(2,350,028)	0	(330,000)	0	(330,000)

Governor

Reduce funding by \$378,936 in FY 16 and \$2,020,028 in FY 17 in various accounts to reflect FY 16 and FY 17 anticipated expenditure requirements. These changes include a funding increase to the Other Expenses account of \$1,170,157 in FY 16 and \$27,954 in FY 17, a funding decrease to the No Nexus Special Education account of \$1,534,939 in FY 16 and \$1,451,637 in FY 17, and one-time increases in the Board and Care accounts totaling \$582,191 in FY 16 to reflect an extra day of per diem payments due to the leap year.

Legislative

Reduce funding by \$708,936 in FY 16 and \$2,350,028 in FY 17 in various accounts to reflect anticipated expenditure requirements. These changes include a funding increase to the Other Expenses account of \$990,157 in FY 16 and a decrease of \$152,046 in FY 17, a funding decrease to the No Nexus Special Education account of \$1,684,939 in FY 16 and \$1,601,637 in FY 17, and one-time increases in the Board and Care accounts totaling \$582,191 in FY 16 to reflect an extra day of per diem payments due to the leap year.

Policy Revisions**Provide Funding for Juan F. Compliance**

Grants for Psychiatric Clinics for Children	0	382,500	0	510,000	0	382,500	0	510,000
Day Treatment Centers for Children	0	212,500	0	425,000	0	212,500	0	425,000
Juvenile Justice Outreach Services	0	426,095	0	1,277,190	0	426,095	0	1,277,190
Child Abuse and Neglect Intervention	0	892,500	0	1,190,000	0	892,500	0	1,190,000
Community Based Prevention Programs	0	170,000	0	170,000	0	170,000	0	170,000
Family Violence Outreach and Counseling	0	340,000	0	680,000	0	340,000	0	680,000
Supportive Housing	0	2,975,000	0	5,950,000	0	2,975,000	0	5,950,000
Family Preservation Services	0	317,333	0	476,000	0	317,333	0	476,000
Substance Abuse Treatment	0	275,578	0	551,157	0	275,578	0	551,157
Board and Care for Children - Adoption	0	255,000	0	255,000	0	255,000	0	255,000
Board and Care for Children - Foster	0	514,900	0	2,529,800	0	514,900	0	2,529,800
Board and Care for Children - Short-term and Residential	0	793,333	0	1,190,000	0	793,333	0	1,190,000
Community Kidcare	0	2,409,750	0	3,544,500	0	2,409,750	0	3,544,500
Total - General Fund	0	9,964,489	0	18,748,647	0	9,964,489	0	18,748,647

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Department of Children and Families operates under a consent decree resulting from a lawsuit (Juan F.) brought in 1989. The agency has 22 outcome measures that it must meet in order to terminate supervision by the court appointed monitor. To date, DCF has several unmet outcome measures, including those related to meeting children's needs and adoption rates. Other measures not met include the timely completion of investigations, in-home visitation rates, completion of treatment plans, and caseload of DCF workers.

Legislative

Provide funding of \$9,964,489 in FY 16 and \$18,748,647 in FY 17 for the following:

- \$382,500 in FY 16 and \$510,000 in FY 17 for Cognitive Behavioral Intervention for Trauma in Schools (CBITS),
- \$212,500 in FY 16 and \$425,000 in FY 17 for additional Extended Day Treatment Centers,
- \$426,095 in FY 16 and \$852,190 in FY 17 for Multi-Systemic Therapy for Transitioning Youth,
- \$425,000 in FY 17 for a Juvenile Justice reading program,
- \$892,500 in FY 16 and \$1,190,000 in FY 17 for Therapeutic Child Care,
- \$170,000 in both FY 16 and FY 17 for Circle of Security, a relationship based early intervention program,
- \$340,000 in FY 16 and \$680,000 in FY 17 for Partner Violence to address waitlists,
- \$2,975,000 in FY 16 and \$5,950,000 in FY 17 for additional supportive housing funding for 210 families in FY 16 and an additional 210 in FY 17,
- \$317,333 in FY 16 and \$476,000 in FY 17 for Intensive Family Preservation for 28 families in FY 16 and an additional 14 families in FY 17,
- \$275,578 in FY 16 and \$551,157 in FY 17 for Family Based Recovery Teams in Region 1 and 5,
- \$255,000 in FY 16 and FY 17 to expand the Adoption Assistance Program,
- \$51,738 in FY 16 and \$171,783 in FY 17 to expand Wendy's Wonderful Kids,
- \$1,359,685 in FY 16 and \$4,514,452 in FY 17 for a Therapeutic Foster Care rate increase,
- \$103,477 in FY 16 and \$343,565 in FY 17 for prevention, training, education and intervention for Domestic Minor Sex Trafficking,
- \$793,333 in FY 16 and \$1,190,000 in FY 17 to fund an 8 bed substance abuse program,
- \$369,750 in FY 16 and \$739,500 in FY 17 for Multi-Systemic Therapy Building Stronger Families program,
- \$127,500 in FY 16 and \$255,000 in FY 17 for Multi-Systemic Therapy Functional Family Therapy, and
- \$1,912,500 in FY 16 and \$2,550,000 in FY 17 for Emergency Mobile Psychiatric Services.

Reduce funding by \$1.0 million in FY 16 and \$2.5 million in FY 17 in the Board and Care - Foster account to reflect lower utilization rates anticipated due to increased funding for additional Supportive Housing vouchers.

Star Home Savings

Board and Care for Children - Short-term and Residential	0	(2,000,000)	0	(3,000,000)	0	(2,000,000)	0	(3,000,000)
Total - General Fund	0	(2,000,000)	0	(3,000,000)	0	(2,000,000)	0	(3,000,000)

Background

Short Term Assessment and Respite (STAR) Homes are temporary congregate care settings that provide assessment, nursing, and clinical services to children removed from their homes due to abuse or neglect.

Legislative

Reduce funding for STAR homes by \$2.0 million in FY 16 and \$3.0 million in FY 17.

Transfer CSSD Juvenile Probation Functions to DCF

Pre-Adjudicated Juvenile and Family Svcs	0	0	0	0	(755)	(124,283,350)	(755)	(128,444,215)
Total - General Fund	0	0	0	0	(755)	(124,283,350)	(755)	(128,444,215)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Background

The Superior Court for Juvenile Probation handles the cases of children who, at the time of the alleged offense, were 17 years old or younger, with certain exceptions. The Judicial Department's Court Support Services Division (CSSD) Juvenile Probation Services oversees probation supervision of juveniles, and provides supports to court-involved children and their families to increase the chances of successful rehabilitation.

The Governor's Recommended FY 16 and FY 17 Budget transfers CSSD Juvenile Probation Services to DCF, and the adult probation functions of CSSD to the Department of Correction. In total, \$258.2 million is transferred from the Judicial Department in FY 16 along with 1,508 positions, and \$266.9 million is transferred in FY 17 with 1,508 positions.

Governor

Transfer CSSD Juvenile Probation Services to DCF. The reallocation from CSSD to DCF of the following reflects this transfer: (1) \$124,283,350 in FY 16, (2) \$128,444,215 in FY 17, and (3) 755 authorized full-time positions in both fiscal years.

Legislative

Do not transfer CSSD Juvenile Probation Services to DCF.

Adjust Funding for Pre-Adjudicated Juvenile & Family Svcs

Pre-Adjudicated Juvenile and Family Svcs	0	0	0	0	0	9,942,668	0	10,275,537
Total - General Fund	0	0	0	0	0	9,942,668	0	10,275,537

Governor

Reduce funding transferred from CSSD to DCF by 8% in each fiscal year (\$9,942,668 in FY 16 and \$10,275,537 in FY 17) to reflect anticipated efficiencies.

Legislative

The budget does not transfer CSSD Juvenile Probation Services to DCF.

Transfer Funding to SDE for Surrogate Parents

No Nexus Special Education	0	(150,000)	0	(150,000)	0	(150,000)	0	(150,000)
Total - General Fund	0	(150,000)	0	(150,000)	0	(150,000)	0	(150,000)

Background

A surrogate parent is a person appointed by the Commissioner of the State Department of Education (SDE) to serve as a child's advocate in the educational decision-making process or early intervention decision-making process in place of a child's parent or guardian. A child is eligible to have a surrogate parent appointed if the child requires, or may require, special education or early intervention services and at least one of the following is applicable: (1) The Commissioner of DCF has been appointed as the child's guardian or statutory parent, or (2) the child's parent or guardian (a) cannot be identified, (b) cannot be located, or (c) is unavailable to represent the child regarding special education or early intervention services and agrees, or fails to object to, the appointment of a surrogate parent.

Legislative

Transfer funding of \$150,000 in both FY 16 and FY 17 from the No Nexus Special Education account to SDE to support a new surrogate parent program included under Section 243 of PA 15-5 JSS, a budget implementer. The Commissioner of Children and Families shall select any foster child who resides in Region 3 for participation in the program, and SDE shall appoint a surrogate parent for such child. The surrogate parent shall represent the foster child in the educational decision-making process, provided the parent, or guardian of the foster child: (1) agrees, or fails to object to, the appointment of a surrogate parent; (2) receives identical notices as the surrogate parent, and (3) may revoke the appointment of a surrogate parent at any time. Pursuant to Section 243, SDE shall administer this program within available appropriations and in consultation with DCF.

Provide Funding for Youth Suicide Prevention Training

Other Expenses	0	30,000	0	30,000	0	30,000	0	30,000
Total - General Fund	0	30,000	0	30,000	0	30,000	0	30,000

Legislative

Provide funding of \$30,000 in both FY 16 and FY 17 for the provision of quarterly youth suicide prevention trainings to be made available to participants free of cost.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Children's Community Program of CT, Inc.

Community Based Prevention Programs	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	0	25,000	0	25,000	0	25,000	0	25,000

Background

The Children's Community Programs of CT, Inc.'s Youth Mentoring Program began in October 1996. The program recruits, screens, trains, and supervises adult mentors who are matched with DCF youth from the aged of 14 to 23 years old. The goal of the program is to provide DCF youths with capable, caring, adult (over 21) mentors. Funding of \$25,000 was provided for this program in the FY 15 Revised Budget.

Legislative

Provide additional funding of \$25,000 in each fiscal year to expand support for the Children's Community Programs of CT, Inc.'s Youth Mentoring Program. When combined with the funding provided within the FY 15 Revised Budget, a total of \$50,000 is provided for the Children's Community Programs of CT, Inc. annually.

Provide Funding for St. Joseph Parenting Center

Other Expenses	0	30,000	0	30,000	0	30,000	0	30,000
Total - General Fund	0	30,000	0	30,000	0	30,000	0	30,000

Background

The Saint Joseph Parenting Center of Stamford provides free parent education and training to parents at risk of abusing or neglecting their children. Funding for the Saint Joseph Parenting Center of \$20,000 annually was provided in the FY 14 and FY 15 Biennial Budget.

Legislative

Provide additional funding of \$30,000 in FY 16 and FY 17, for a total of \$50,000 annually, to support the Saint Joseph Parenting Center.

Provide Funding for VETTS

Other Expenses	0	142,500	0	142,500	0	142,500	0	142,500
Total - General Fund	0	142,500	0	142,500	0	142,500	0	142,500

Background

Veterans Empowering Teens Through Support (VETTS) matches juvenile justice involved youth under the age of 18 with U.S. veterans who act as life coaches. During FY 15, Integrated Wellness Group operated the VETTS program.

Legislative

Provide funding of \$142,500 in both FY 16 and FY 17 to support the VETTS program.

Reduce Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	(176,828)	0	(176,828)	0	(176,828)	0	(176,828)
Total - General Fund	0	(176,828)	0	(176,828)	0	(176,828)	0	(176,828)

Legislative

Reduce funding by \$176,828 in FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Suspend SCAS Residential Treatment Center Rate Increases

Board and Care for Children - Short-term and Residential	0	(3,243,080)	0	(4,427,761)	0	0	0	0
Total - General Fund	0	(3,243,080)	0	(4,427,761)	0	0	0	0

Governor

Eliminate funding of \$3,243,080 in FY 16 and \$4,427,761 in FY 17 to reflect the suspension of Single Cost Accounting System (SCAS) per diem rate increases for in-state, private residential treatment centers. Section 16 of the Governor's revenue bill, HB 6824, authorizes this change. (See the Current Services write-up titled, "Provide SCAS Residential Treatment Center Rate Increases" for background information on SCAS).

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Eliminate funding of \$3,243,080 in FY 16 and \$4,427,761 in FY 17 to reflect the suspension of Single Cost Accounting System (SCAS) per diem rate increases for in-state, private residential treatment centers. Section 378 of PA 15-5 JSS, a budget implementer authorizes this change. (See the Current Services write-up titled, "Provide SCAS Residential Treatment Center Rate Increases" for background information on SCAS).

Eliminate Funding for Underutilized Congregate Care Beds

Board and Care for Children - Short-term and Residential	0	(2,621,233)	0	(2,621,233)	0	0	0	0
Total - General Fund	0	(2,621,233)	0	(2,621,233)	0	0	0	0

Governor

Reduce funding by \$2,621,233 in both FY 16 and FY 17 to reflect the elimination of contracts supporting underutilized congregate care (also known as residential care) beds.

Legislative

Same as Governor

Transfer the Youth Service Bureaus Program from SDE to DCF

Youth Service Bureaus	0	0	0	0	0	(2,300,000)	0	(2,300,000)
Total - General Fund	0	0	0	0	0	(2,300,000)	0	(2,300,000)

Background

The purpose of the Youth Service Bureaus Program, funded under the State Department of Education (SDE), is to assist municipalities, and private youth serving agencies designated to act as agents for such municipalities, in establishing, maintaining, or expanding Youth Service Bureaus. Direct services provided by Youth Service Bureaus may include, among others:

- Individual and group counseling,
- Parent training and family therapy,
- Work placement and employment counseling,
- Alternative and special educational opportunities,
- Diversion from juvenile justice services, and
- Preventive programs including youth pregnancy, youth suicide, violence, alcohol and drug prevention.

There are 99 Youth Service Bureaus, serving 126 towns, participating in the SDE Youth Service Bureaus Program.

Governor

Transfer Youth Service Bureaus Program funding of \$2.3 million in each of FY 16 and FY 17 from SDE to DCF.

Legislative

Do not transfer Youth Service Bureaus, and associated funding, from SDE to DCF.

Rollout of FY 15 Rescissions

Family Support Services	0	(61,650)	0	(49,320)	0	(12,330)	0	0
Differential Response System	0	(60,195)	0	(60,195)	0	0	0	0
Regional Behavioral Health Consultation	0	(113,125)	0	(90,500)	0	(22,625)	0	0
Juvenile Justice Outreach Services	0	(802,568)	0	(642,054)	0	(160,514)	0	0
Child Abuse and Neglect Intervention	0	(568,905)	0	(455,124)	0	(113,781)	0	0
Community Based Prevention Programs	0	(518,798)	0	(415,038)	0	(103,760)	0	0
Family Violence Outreach and Counseling	0	(118,263)	0	(94,610)	0	(23,653)	0	0
Covenant to Care	0	0	0	0	0	7,990	0	7,990

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Neighborhood Center	0	0	0	0	0	12,520	0	12,520
Total - General Fund	0	(2,243,504)	0	(1,806,841)	0	(416,153)	0	20,510

Background

The Governor implemented General Fund rescissions totaling \$86.3 million across state agencies. The Governor's FY 16 and FY 17 budget includes the rollout of \$31.7 million of FY 15 rescissions across various agencies.

Governor

Reduce funding of \$1,827,351 in both FY 16 and FY 17 to reflect the rollout of the Governor's FY 15 rescissions.

Legislative

Reduce funding by \$2,243,504 in FY 16 (an additional reduction of \$436,663 from the Governor's proposed budget), and \$1,806,841 in FY 17, to reflect the rollout of certain Governor's FY 15 rescissions. Funding for the Covenant to Care and Neighborhood Center accounts (a total of \$20,510 in both FY 16 and FY 17) is maintained.

Eliminate Inflationary Increases

Other Expenses	0	(839,033)	0	(1,860,251)	0	0	0	0
Board and Care for Children - Adoption	0	(80,580)	0	(191,939)	0	0	0	0
Board and Care for Children - Foster	0	(584,283)	0	(1,362,291)	0	0	0	0
Board and Care for Children - Short-term and Residential	0	(233,924)	0	(534,560)	0	0	0	0
Individualized Family Supports	0	(192,250)	0	(434,164)	0	0	0	0
Total - General Fund	0	(1,930,070)	0	(4,383,205)	0	0	0	0

Governor

Reduce various accounts by \$1,930,070 in FY 16 and \$4,383,205 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Achieve Court-Ordered Evaluation Savings

Board and Care for Children - Foster	0	(1,552,000)	0	(1,552,000)	0	0	0	0
Total - General Fund	0	(1,552,000)	0	(1,552,000)	0	0	0	0

Background

Prior to FY 09, court-ordered psychological evaluations were managed (or "credentialed") by a third party quality assurance provider, with a total annual cost for evaluations of approximately \$325,000. During FY 09, the contract with the quality assurance provider was ended and the cost for evaluations increased to over \$500,000. Between FY 12 and FY 14, the annual cost for evaluations has been over \$2 million.

Governor

Reduce funding by \$1,552,000 in both FY 16 and FY 17, which reflects an increase in funding of \$48,000 for a quality assurance provider and anticipated savings of \$1.6 million from the credentialing of court-ordered psychological evaluations.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Funding for Various Contracted Services

Child Abuse and Neglect Intervention	0	0	0	0	0	48,200	0	48,200
Community Based Prevention Programs	0	0	0	0	0	46,983	0	46,983
Supportive Housing	0	0	0	0	0	72,138	0	72,138
Child Welfare Support Services	0	0	0	0	0	23,296	0	23,296
Board and Care for Children - Short-term and Residential	0	(649,872)	0	(649,872)	0	0	0	0
Covenant to Care	0	0	0	0	0	151,824	0	151,824
Neighborhood Center	0	0	0	0	0	237,894	0	237,894
Total - General Fund	0	(649,872)	0	(649,872)	0	580,335	0	580,335

Governor

Reduce funding by a total of \$1,230,207 in both FY 16 and FY 17 to reflect the elimination of various contracted services as follows:

1. Therapeutic Group Homes Performance Improvement Center under the Board and Care for Children - Residential account (\$646,342),
2. Neighborhood Center funding (\$237,894),
3. Covenant to Care funding (\$151,824),
4. Services for Pregnant Incarcerated Women under the Supportive Housing account (\$72,138),
5. A Fatherhood Initiative under the Child Abuse and Neglect Intervention account (\$48,200),
6. A Family Support Services program under the Community Based Prevention Programs account (\$46,983),
7. Consultation to the Safe Harbors Task Force under the Child Welfare Support Services account (\$23,296), and
8. Support for the Restraint and Seclusion Panel under the Board and Care for Children - Residential account (\$3,530).

Legislative

Reduce funding by a total of \$649,872 in both FY 16 and FY 17 to reflect the elimination of various contracted services as follows:

1. Therapeutic Group Homes Performance Improvement Center under the Board and Care for Children - Residential account (\$646,342), and
2. Support for the Restraint and Seclusion Panel under the Board and Care for Children - Residential account (\$3,530).

Reduce Funding for Various Contracted Services

Family Support Services	0	0	0	0	0	2,500	0	2,500
Child Welfare Support Services	0	0	0	0	0	887,203	0	887,203
Individualized Family Supports	0	(69,431)	0	(69,431)	0	0	0	0
Total - General Fund	0	(69,431)	0	(69,431)	0	889,703	0	889,703

Governor

Reduce contracted services in various accounts by \$959,134 in both FY 16 and FY 17. This amount reflects reductions of: (1) approximately 50% to Work/Learn Youth Program contracted funding under the Child Welfare Support Services account, (2) approximately 5% to a contract with Advanced Behavioral Health, Inc. under the Individualized Family Supports account to administer wrap funds for family community supports, and (3) approximately 5% to a grant to the African Caribbean American Parents of Children with Disabilities in Hartford.

Legislative

Reduce contracted services by \$69,431 in both FY 16 and FY 17. This amount reflects reductions of approximately 5% to a contract with Advanced Behavioral Health, Inc. under the Individualized Family Supports account to administer wrap funds for family community supports. Funding for Family Support Services and Child Welfare Support Services is maintained.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Funding for Unimplemented Expanded Services

Board and Care for Children - Foster	0	(200,000)	0	(200,000)	0	0	0	0
Total - General Fund	0	(200,000)	0	(200,000)	0	0	0	0

Background

The FY 14 and FY 15 Biennial Budget included \$200,000 annually to support services for foster care young adults, 18 years of age up to 21 years of age, that are in the military service, or that have participated in military service. Funding was not expended for this purpose by DCF in either FY 14 or FY 15.

Governor

Eliminate funding of \$200,000 for post-majority foster care young adults that are in the military service, or that have participated in military service.

Legislative

Same as Governor

Achieve Savings in VSP Due to Increased Insured Population

Board and Care for Children - Foster	0	(220,000)	0	(220,000)	0	0	0	0
Board and Care for Children - Short-term and Residential	0	(280,000)	0	(280,000)	0	0	0	0
Total - General Fund	0	(500,000)	0	(500,000)	0	0	0	0

Governor

Reduce funding by a total of \$500,000 in both FY 16 and FY 17 to reflect Voluntary Services Program (VSP) savings anticipated due to the increase in the insured population.

Legislative

Same as Governor

Achieve Savings by Agency Foster Parent Licensure

Board and Care for Children - Foster	0	(40,000)	0	(40,000)	0	0	0	0
Total - General Fund	0	(40,000)	0	(40,000)	0	0	0	0

Governor

Reduce funding by \$40,000 in both FY 16 and FY 17 to reflect savings from the licensure of DCF employees as foster parents by the agency directly, instead of through private providers.

Legislative

Same as Governor

Obtain Equipment through the CEPF

Equipment	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Capital Equipment Purchase Fund (CEPF) is used by most executive branch agencies to purchase or lease equipment with a life span of at least five years. The state funds these purchases by issuing GO bonds with maturities of up to five years. The CEPF is authorized by CGS Sec. 4a-9 and administered by the Office of Policy and Management.

Governor

Remove funding for the purchase of various equipment items for the agency from the General Fund and provide funding for these items through the CEPF (Bond Funds).

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Funding Between Accounts

Other Expenses	0	(70,000)	0	(70,000)	0	0	0	0
Family Support Services	0	50,000	0	50,000	0	0	0	0
Community Based Prevention Programs	0	20,000	0	20,000	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Transfer funding of \$50,000 from the Other Expenses account, for the African Caribbean Parents of Children with Disabilities in Hartford, to the Family Support Services account. Transfer funding of \$20,000 from the Other Expenses account, for the Saint Joseph Parenting Center in Stamford, to the Community Based Prevention Programs account. These transfers are made to better reflect the purpose of the funding.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(1,574,776)	0	(1,574,776)	0	0	0	0
Total - General Fund	0	(1,574,776)	0	(1,574,776)	0	0	0	0

Governor

Reduce funding by \$1,574,776 in each of FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	3,240	815,057,739	3,240	815,057,739	0	0	0	0
Current Services	0	13,110,923	0	20,645,807	0	(330,000)	0	(330,000)
Policy Revisions	0	(6,758,806)	0	(2,175,801)	(755)	(107,721,636)	(755)	(103,328,811)
Total Recommended - GF	3,240	821,409,856	3,240	833,527,745	(755)	(108,051,636)	(755)	(103,658,811)

Other Significant Legislation

PA 15-5 JSS, An Act Implementing Provisions Of The State Budget For The Biennium Ending June 30, 2017 Concerning General Government, Education And Health And Human Services

Sections 243 and 244 establish a surrogate parent program, to be administered by the Department of Education (SDE) in consultation with the Department of Children and Families (DCF). Under the program SDE must appoint a surrogate parent for certain foster children residing in Region 3 of DCF. Each surrogate parent results in a cost of \$1,015 per child, per year. Additionally, the new surrogates would require training. It is estimated that SDE would be able to facilitate the necessary training for \$10,000 in FY 16. Lastly, SDE would require staff to administer the program. Actual staff cost would be dependent upon the actual number of children served. Serving all 340 potential children would require a full-time staffer at a cost of approximately \$109,000 including fringe benefits. All of these cost components, except for the training, would occur in both FY 16 and FY 17. PA 15-244 appropriates \$150,000 in FY 16 and FY 17 for this purpose.

Sections 418 and 419 transfer from DCF to the Department of Housing (DOH) the responsibility for administering the state's homeless youth program in FY 18. DOH must run the program within available appropriations, just as DCF must do under existing law. The bill expands program eligibility to include homeless youth age 23 or younger, instead of only those under age 21. By law, the program may provide public outreach, respite housing, or transitional living services to youth who are homeless or at risk of homelessness.

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$1,117,447, a Statewide Hiring Reduction of \$4,472,737, a General Employee Lapse of \$438,132, and Overtime Savings of \$929,218. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$717,857. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	291,047,234	(6,694,103)	284,353,131	2.30%
Other Expenses	35,383,854	(636,710)	34,747,144	1.80%
Workers' Compensation Claims	10,540,045	(158,100)	10,381,945	1.50%
Juvenile Justice Outreach Services	12,464,608	(37,324)	12,427,284	0.30%
No Nexus Special Education	1,933,340	(29,000)	1,904,340	1.50%
Community Kidcare	40,126,470	(120,154)	40,006,316	0.30%

Judicial Department

JUD95000

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	4,316	4,329	4,329	4,329	4,329	4,329
Permanent Full-Time - BF	51	51	51	51	51	51

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	318,524,117	341,775,107	376,144,123	397,514,672	364,955,535	385,338,480
Other Expenses	63,758,822	66,785,224	71,112,718	75,849,011	67,291,910	68,813,731
Equipment	2,000	0	0	0	0	0
Other Current Expenses						
Forensic Sex Evidence Exams	1,305,165	1,441,460	1,441,460	1,441,460	1,441,460	1,441,460
Alternative Incarceration Program	55,721,203	56,504,295	56,504,295	56,504,295	56,504,295	56,504,295
Justice Education Center, Inc.	545,828	545,828	545,828	545,828	511,714	518,537
Juvenile Alternative Incarceration	27,935,693	28,442,478	28,442,478	28,442,478	28,442,478	28,442,478
Juvenile Justice Centers	3,136,361	3,136,361	3,136,361	3,136,361	2,940,338	2,979,543
Probate Court	9,350,000	10,750,000	14,819,000	17,415,000	0	0
Workers' Compensation Claims	0	0	0	0	6,559,361	6,559,361
Youthful Offender Services	18,137,782	18,177,084	18,177,084	18,177,084	18,177,084	18,177,084
Victim Security Account	3,504	9,402	9,402	9,402	9,402	9,402
Children of Incarcerated Parents	582,250	582,250	582,250	582,250	582,250	582,250
Legal Aid	1,500,000	1,660,000	1,660,000	1,660,000	1,660,000	1,660,000
Youth Violence Initiative	1,500,000	2,250,000	2,250,000	2,250,000	2,109,375	2,137,500
Youth Services Prevention	0	0	0	0	3,600,000	3,600,000
Judge's Increases	1,745,255	3,688,736	0	0	0	0
Children's Law Center	109,838	109,838	109,838	109,838	109,838	109,838
Juvenile Planning	0	150,000	150,000	150,000	250,000	250,000
Nonfunctional - Change to Accruals	0	2,305,031	0	0	0	0
Agency Total - General Fund	503,857,818	538,313,094	575,084,837	603,787,679	555,145,040	577,123,959
Foreclosure Mediation Program	5,430,558	5,902,565	6,278,724	6,664,325	5,964,788	6,350,389
Nonfunctional - Change to Accruals	8,482	43,695	0	0	0	0
Agency Total - Banking Fund	5,439,040	5,946,260	6,278,724	6,664,325	5,964,788	6,350,389
Criminal Injuries Compensation	3,380,216	2,787,016	2,851,675	2,934,088	2,851,675	2,934,088
Agency Total - Criminal Injuries Compensation Fund	3,380,216	2,787,016	2,851,675	2,934,088	2,851,675	2,934,088
Total - Appropriated Funds	512,677,074	547,046,370	584,215,236	613,386,092	563,961,503	586,408,436
Additional Funds Available						
Carry Forward Funding	0	0	0	0	396,501	0
Capital Improvements & Other	32,800	148,856	74,428	74,428	74,428	74,428
Cap Imprvmnts & Other Purposes	0	274,238	0	0	0	0
Capital Improvements & Other	89,943	0	0	0	0	0
Capital Improvements & Other	167,973	180,642	180,642	0	180,642	0
Capital Improvements&Purposes	2,484,906	794,464	794,464	0	794,464	0
Federal Funds	9,751,843	8,178,797	5,021,880	3,477,946	5,021,880	3,477,946
Private Contributions & Other Restricted	7,508,389	6,768,000	6,276,000	6,106,000	6,276,000	6,106,000
Agency Grand Total	532,712,927	563,391,367	596,562,650	623,044,466	576,705,418	596,066,810

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	18,466,732	0	36,438,647	0	(1,275,588)	0	(2,576,375)
Total - General Fund	0	18,466,732	0	36,438,647	0	(1,275,588)	0	(2,576,375)
Foreclosure Mediation Program	0	208,548	0	0	0	0	0	0
Total - Banking Fund	0	208,548	0	0	0	0	0	0

Governor

Provide funding of \$19,742,320 in FY 16 and \$39,015,022 in FY 17 in the General Fund and \$208,548 in FY 16 in the Banking Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse that included \$1,275,588 in FY 16 and \$2,576,375 in FY 17 to this account.

Legislative

Provide funding of \$18,466,732 in FY 16 and \$37,438,647 in FY 17 in the General Fund and \$208,548 in FY 16 in the Banking Fund to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Apply Inflationary Increases

Other Expenses	0	1,478,376	0	3,355,435	0	0	0	0
Total - General Fund	0	1,478,376	0	3,355,435	0	0	0	0
Criminal Injuries Compensation	0	64,659	0	147,072	0	0	0	0
Total - Criminal Injuries Compensation Fund	0	64,659	0	147,072	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding in Other Expenses by \$1,478,376 in FY 16 and an additional \$1,877,059 in FY 17 (for a cumulative total of \$3,355,435 in the second year) in the General Funding and \$64,659 in FY 16 and an additional \$82,413 in FY 17 (for a cumulative total of \$147,072 in the second year) in the Criminal Injuries Compensation Fund to reflect inflationary increases.

Legislative

Same as Governor

Provide Funding for Lease Adjustments

Other Expenses	0	481,686	0	503,507	0	(160,247)	0	(1,144,335)
Total - General Fund	0	481,686	0	503,507	0	(160,247)	0	(1,144,335)

Governor

Provide funding of \$641,933 in FY 16 and \$1,647,842 in FY 17 for lease increases at various locations.

Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$160,247 in FY 16 and \$1,144,335 in FY 17 to this account.

Legislative

Provide funding of \$481,686 in FY 16 and \$503,507 in FY 17 for lease increases at various locations.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Contracted Security

Other Expenses	0	0	0	0	0	(291,145)	0	(291,145)
Total - General Fund	0	0	0	0	0	(291,145)	0	(291,145)

Governor

Provide funding of \$291,145 in FY 16 and FY 17 to fund contracted services to provide coverage at probation offices to screen clients through metal detectors on reporting days at a probation office. Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$291,145 in FY 16 and FY 17 to this account.

Legislative

Funding of \$291,145 in FY 16 and FY 17 for contracted security services is not provided.

Provide Funding for IT Technology Costs

Other Expenses	0	0	0	0	0	(1,922,280)	0	(3,783,560)
Total - General Fund	0	0	0	0	0	(1,922,280)	0	(3,783,560)

Governor

Provide funding of \$1,922,280 in FY 16 and \$3,783,560 in FY 17 for increase in IT costs. These include \$558,480 in FY 16 and \$1,116,960 in FY 17 for increases in data services and \$1,363,800 in FY 16 and \$2,666,600 in FY 17 for increase to IT software licenses.

Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$1,922,280 in FY 16 and \$3,783,560 in FY 17 to this account.

Legislative

Funding of \$1,922,280 in FY 16 and \$3,783,560 in FY 17 for IT technology costs is not provided.

Provide Funding for Probate Court Cost Increases

Probate Court	0	0	0	0	0	(4,069,000)	0	(6,665,000)
Total - General Fund	0	0	0	0	0	(4,069,000)	0	(6,665,000)

Background

The Probate Court Administration Fund (PCAF) funds all the costs to the probate courts (salaries, fringe benefits, retirement benefits, operating costs, etc.) and for the probate court administration staff. The fund is primarily funded through probate court fees but also receives a General Fund subsidy. In FY 15, the probate court fees are anticipated to bring in approximately \$31 million but the total PCAF budget is approximately \$42 million. The remaining balance is achieved through a General Fund subsidy of \$10,750,000.

Governor

Provide funding of \$4,069,000 in FY 16 and \$6,665,000 in FY 17 for increase costs to the Probate Court Administration Fund. Some of the increases include \$1.3 million for salary increases (COLA and merit), \$0.8 million for implementation of a compensation study for probate court staff, \$0.5 million for increased indigent expenses, and \$0.4 million of health insurance cost increases. Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$4,069,000 in FY 16 and \$6,665,000 in FY 17 to this account.

Legislative

Funding of \$4,069,000 in FY 16 and \$6,665,000 in FY 17 is not provided.

Adjust Funding for Fringe Benefits

Foreclosure Mediation Program	0	167,611	0	0	0	0	0	0
Total - Banking Fund	0	167,611	0	0	0	0	0	0

Background

Non-General Fund agencies are budgeted directly for employee fringe benefits and indirect overhead.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Provide funding of \$167,611 in FY 16 to ensure sufficient funds for fringe benefits and indirect overhead.

Legislative

Same as Governor

Eliminate Funding for the Foreclosure Mediation Program

Foreclosure Mediation Program	0	0	0	(5,902,565)	0	0	0	0
Total - Banking Fund	0	0	0	(5,902,565)	0	0	0	0

Background

Under current law, the Foreclosure Mediation Program is scheduled to be eliminated after June 30, 2016. PA 15-124, An Act Extending the Foreclosure Mediation Program, extends the program to June 30, 2019.

Governor

Eliminate funding of \$5,902,565 in FY 17 to reflect the sunset of the Foreclosure Mediation Program. Funding for FY 17 is restored in a policy revision, which reflects an extension of the Foreclosure Mediation Program.

Legislative

Same as Governor

Policy Revisions**Eliminate Probate Court Subsidy**

Probate Court	0	(10,750,000)	0	(10,750,000)	0	(10,750,000)	0	(10,750,000)
Total - General Fund	0	(10,750,000)	0	(10,750,000)	0	(10,750,000)	0	(10,750,000)

Background

The Probate Court Administration Fund (PCAF) funds all the costs to the probate courts (salaries, fringe benefits, retirement benefits, operating costs, etc.) and for the probate court administration staff. The fund is primarily funded through probate court fees but also receives a general fund subsidy. In FY 15, the probate court fees are anticipated to bring in approximately \$31 million but the total PCAF budget is approximately \$42 million. The remaining balance is achieved through a General Fund subsidy of \$10,750,000.

Governor

Pursuant to CGS 4-85, the governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$14,819,000 in FY 16 and \$17,415,000 in FY 17.

Legislative

Eliminate the General Fund Probate Court subsidy. Section 447-458 of PA 15-5 JSS, a budget implementer, increases the Probate Court estate fee by eliminating the estate fee cap of \$12,500 and increasing the fee of 0.25% to 0.5% for the value of estates over \$2 million. This change is anticipated to generate approximately \$5 million in FY 16 and \$11 million in FY 17 to the Probate Court Administration Fund.

The Kinship Fund will be maintained at its current level of \$675,000 and the Respite Fund maintained at its current level of \$1,375,000.

Provide Funding for Youth Services Prevention

Youth Services Prevention	0	3,600,000	0	3,600,000	0	3,600,000	0	3,600,000
Total - General Fund	0	3,600,000	0	3,600,000	0	3,600,000	0	3,600,000

Background

The Youth Services Prevention account provides grants to nonprofit organizations around the state to operate youth programs.

Legislative

Provide funding of \$3.6 million in FY 16 and FY 17 for youth services prevention grants. Funding for the 68 grants is allocated in Section 94 of PA 15-5 JSS, a budget implementer.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Judges' Salary to Personal Services

Personal Services	0	3,688,736	0	3,688,736	0	0	0	0
Judge's Increases	0	(3,688,736)	0	(3,688,736)	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor

Transfer the FY 15 funding amount of \$3,688,736 for judges' salary increases from the Judge's Increases account to Personal Services.

Legislative

Same as Governor

Provide Funding FY 16 and FY 17 Judges Salary Increase

Personal Services	0	1,024,960	0	2,435,990	0	(967,297)	0	(1,654,114)
Total - General Fund	0	1,024,960	0	2,435,990	0	(967,297)	0	(1,654,114)

Background

PA 12-93 established the Commission on Judicial Compensation and tasked the Commission with creating a recommendation regarding all judges salaries. The Commission recommended a 5.3% increase each year from FY 14 to FY 17, subject to legislative approval. Costs for the raises were provided in FY 14 and FY 15 pursuant to PA 13-184. The salary of a Superior Court judges would increase from \$146,780 in FY 13 to \$180,460 in FY 17 under the recommended plan.

Governor

Provide funding of \$1,992,257 in FY 16 and \$4,090,104 in FY 17, which represents a 5.3% salary increase each year.

Legislative

Provide funding of \$1,024,960 in FY 16 and \$2,435,990 in FY 17 to reflect a reduction of the judges' raises from 5.3% to 3%. The 3% salary increase is reflected in Section 460-463 of PA 15-5 JSS, a budget implementer.

Reduce Various Accounts

Justice Education Center, Inc.	0	(34,114)	0	(27,291)	0	(34,114)	0	(27,291)
Juvenile Justice Centers	0	(196,023)	0	(156,818)	0	(196,023)	0	(156,818)
Youth Violence Initiative	0	(140,625)	0	(112,500)	0	(140,625)	0	(112,500)
Total - General Fund	0	(370,762)	0	(296,609)	0	(370,762)	0	(296,609)
Foreclosure Mediation Program	0	(313,936)	0	(313,936)	0	(313,936)	0	(313,936)
Total - Banking Fund	0	(313,936)	0	(313,936)	0	(313,936)	0	(313,936)

Legislative

Reduce funding by a cumulative \$296,609 in FY 16 and FY 17 in the General Fund and \$313,936 in FY 16 and FY 17 in the Banking Fund to reflect a 5% savings in various accounts.

Provide Funding for Vacant Positions

Personal Services	0	0	0	0	0	(8,945,703)	0	(8,945,703)
Total - General Fund	0	0	0	0	0	(8,945,703)	0	(8,945,703)

Governor

Provide funding of \$8,945,703 in FY 16 and FY 17 for half year hiring costs for 140 vacant positions. Pursuant to CGS 4-85, the Governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$8,945,703 in FY 16 and FY 17 to this account.

Legislative

Funding of \$8,945,703 in FY 16 and FY 17 for vacant positions is not provided.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for Personal Services and Other Expenses

Personal Services	0	0	0	1,000,000	0	0	0	1,000,000
Other Expenses	0	0	0	1,500,000	0	0	0	1,500,000
Total - General Fund	0	0	0	2,500,000	0	0	0	2,500,000

Legislative

Provide funding of \$1 million in Personal Services in FY 17 and \$1.5 million in FY 17 in Other Expenses.

Provide Funding for JJPOC

Juvenile Planning	0	100,000	0	100,000	0	100,000	0	100,000
Total - General Fund	0	100,000	0	100,000	0	100,000	0	100,000

Legislative

Provide funding of \$100,000 in FY 16 and FY 17 in the Judicial Department budget to the University of New Haven for staffing of the Juvenile Justice Policy and Oversight Committee (JJPOC) to support planning, training, research, and evaluation of the juvenile justice system.

Provide Funding for Hispanic Health Council

Other Expenses	0	25,000	0	25,000	0	25,000	0	25,000
Total - General Fund	0	25,000	0	25,000	0	25,000	0	25,000

Background

The mission of the Hispanic Health Council is to improve the health and social well-being of Latinos and other diverse communities.

Legislative

Provide funding of \$25,000 in FY 16 and FY 17 to the Other Expenses account for the Hispanic Health Council to provide funding for domestic violence programs.

Transfer Funding for Workers' Compensation Claims

Workers' Compensation Claims	0	6,762,228	0	6,762,228	0	6,762,228	0	6,762,228
Total - General Fund	0	6,762,228	0	6,762,228	0	6,762,228	0	6,762,228

Background

The Department of Administrative Services manages workers' compensation claims checkbook functions for most state agencies. Currently, five departments manage their own appropriations: Children and Families, Correction, Developmental Services, Emergency Services and Public Protection, and Mental Health and Addiction Services.

Legislative

Transfer funding of \$6,762,228 in both FY 16 and FY 17 from Workers' Compensation Claims - DAS to the Judicial Department to reflect the Judicial Department assuming management of its own workers' compensation claims appropriation.

The FY 16 and FY 17 budget transfers workers' compensation claims funding from Workers' Compensation Claims - DAS to four agencies: UCONN, UCONN Health Center, Board of Regents for Higher Education, and the Judicial Department.

Reflect Workers' Compensation Savings

Workers' Compensation Claims	0	(202,867)	0	(202,867)	0	(202,867)	0	(202,867)
Total - General Fund	0	(202,867)	0	(202,867)	0	(202,867)	0	(202,867)

Legislative

Reduce funding by \$202,867 in FY 16 and FY 17 to reflect a workers' compensation savings initiative.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Funding for the Foreclosure Mediation Program

Foreclosure Mediation Program	0	0	0	6,664,325	0	0	0	0
Total - Banking Fund	0	0	0	6,664,325	0	0	0	0

Background

Under current law, the Foreclosure Mediation Program is scheduled to be eliminated after June 30, 2016. PA 15-124, An Act Extending the Foreclosure Mediation Program, extends the program to June 30, 2019.

Governor

Provide funding of \$6,664,325 in FY 17 to continue the Foreclosure Mediation Program.

Legislative

Same as Governor

Eliminate Inflationary Increases

Other Expenses	0	(1,478,376)	0	(3,355,435)	0	(1,472,136)	0	(3,341,240)
Total - General Fund	0	(1,478,376)	0	(3,355,435)	0	(1,472,136)	0	(3,341,240)

Governor

Reduce Other Expenses by \$6,240 in FY 16 and \$14,195 in FY 17 to reflect the elimination of inflationary increases.

Legislative

Reduce Other Expenses by \$1,478,376 in FY 16 and \$3,355,435 in FY 17 to reflect the elimination of inflationary increases.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(2,305,031)	0	(2,305,031)	0	0	0	0
Total - General Fund	0	(2,305,031)	0	(2,305,031)	0	0	0	0
Nonfunctional - Change to Accruals	0	(43,695)	0	(43,695)	0	0	0	0
Total - Banking Fund	0	(43,695)	0	(43,695)	0	0	0	0

Governor

Reduce funding by \$2,305,031 in FY 16 and FY 17 in the General Fund and \$43,695 in FY 16 and FY 17 in the Banking Fund to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Assign Hartford Funding for Youth Violence Prevention

Background

The Youth Violence Initiative is a program to reduce gun violence among young people in Hartford, Bridgeport, and New Haven. The program will utilize youth development programs, the settlement house models, and other evidence based models to reduce gang affiliation and youth violence. Funding will be provided to the municipalities with a 25% match requirement. In-kind match of up to 10% match will be accepted.

Legislative

Funding of \$703,125 in FY 16 will be allocated to the following: Wilson-Gray YMCA for \$328,125 the Legacy Foundation for \$140,625, Blue Hills Civic Association for \$140,625, Compass for \$46,875, the Amistad Center for Art and Culture at the Wadsworth for \$23,438, and the Hartford Knights for \$23,438.

Funding of \$712,500 in FY 17 will be allocated to the following: Wilson-Gray YMCA for \$332,500 the Legacy Foundation for \$142,500, Blue Hills Civic Association for \$142,500, Compass for \$47,500, the Amistad Center for Art and Culture at the Wadsworth for \$23,750, and the Hartford Knights for \$23,750.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward

Carry Forward for COMPASS

Juvenile Alternative Incarceration	0	250,000	0	0	0	250,000	0	0
Total - Carry Forward Funding	0	250,000	0	0	0	250,000	0	0

Background

COMPASS Youth Collaborative, Inc. Peacebuilders program (COMPASS) partners with area community schools and businesses as well as other community-based organizations to enhance peace, provide leadership opportunities and inspire youth to be the best they can be. COMPASS offers positive youth development programs five days a week throughout the year to support, extend and enhance students' academic success in a seamless transition. The program serves more than 600 youth between the ages of 10 and 17, and provides educational, cultural community service learning and recreational programming at each site.

Legislative

Pursuant to Section 91 of PA 15-5 JSS, a budget implementer, funding of \$250,000 is provided through carry forward funds to COMPASS.

Carry Forward Funds for Other Expenses

Other Expenses	0	146,501	0	0	0	146,501	0	0
Total - Carry Forward Funding	0	146,501	0	0	0	146,501	0	0

Legislative

Pursuant to CGS 4-89(c) funding of \$146,501 is carried forward in Other Expenses for a Frontier Telecom November bill, which has not yet been settled.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	4,329	538,313,094	4,329	538,313,094	0	0	0	0
Current Services	0	20,426,794	0	40,297,589	0	(7,718,260)	0	(14,460,415)
Policy Revisions	0	(3,594,848)	0	(1,486,724)	0	(12,221,537)	0	(12,203,305)
Total Recommended - GF	4,329	555,145,040	4,329	577,123,959	0	(19,939,797)	0	(26,663,720)
Governor Estimated - BF	51	5,946,260	51	5,946,260	0	0	0	0
Current Services	0	376,159	0	(5,902,565)	0	0	0	0
Policy Revisions	0	(357,631)	0	6,306,694	0	(313,936)	0	(313,936)
Total Recommended - BF	51	5,964,788	51	6,350,389	0	(313,936)	0	(313,936)
Governor Estimated - CF	0	2,787,016	0	2,787,016	0	0	0	0
Current Services	0	64,659	0	147,072	0	0	0	0
Total Recommended - CF	0	2,851,675	0	2,934,088	0	0	0	0

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$250,485, a Statewide Hiring Reduction of \$2,938,085, and a General Employee Lapse of \$1,506,881. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$1,107,768. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	364,955,535	(4,444,966)	360,510,569	1.22%
Other Expenses	67,291,910	(1,259,863)	66,032,047	1.87%
Workers' Compensation Claims	6,559,361	(98,390)	6,460,971	1.50%

Public Defender Services Commission

PDS98500

Position Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Permanent Full-Time - GF	447	447	460	460	447	447

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Personal Services	39,372,932	41,789,717	44,709,273	45,009,344	43,612,188	43,912,259
Other Expenses	1,491,908	1,491,837	1,926,663	1,970,558	1,491,837	1,491,837
Other Current Expenses						
Assigned Counsel - Criminal	13,351,895	21,797,900	24,848,601	24,848,601	21,891,500	21,891,500
Expert Witnesses	4,022,247	2,982,252	3,022,090	3,022,090	3,022,090	3,022,090
Training And Education	114,923	130,000	165,000	165,000	130,000	130,000
Assigned Counsel - Child Protection	8,695,995	0	0	0	0	0
Contracted Attorneys Related Expenses	74,971	125,000	125,000	125,000	125,000	125,000
Family Contracted Attorneys/ AMC	574,573	0	0	0	0	0
Nonfunctional - Change to Accruals	(973,018)	0	0	0	0	0
Agency Total - General Fund	66,726,425	68,316,706	74,796,627	75,140,593	70,272,615	70,572,686

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding to Reflect the FY 15 Deficiency

Assigned Counsel - Criminal	0	93,600	0	93,600	0	0	0	0
Expert Witnesses	0	39,838	0	39,838	0	0	0	0
Total - General Fund	0	133,438	0	133,438	0	0	0	0

Background

Section 52 of PA 15-244, the FY 16 and FY 17 budget, provides General Fund FY 15 deficiency appropriations of \$121.7 million. In Section 53, these deficiency appropriations are offset by appropriation reductions of \$121.7 million; resulting in no net impact to the General Fund. Section 54 provides Transportation Fund FY 15 deficiency appropriations of \$20 million. PA 15-244 includes \$4.6 million in deficiency funding in FY 15 for this agency in the Personal Services account. This funding is required due to an influx of habeas corpus cases.

Governor

Provide funding of \$133,438 in FY 16 and FY 17 to reflect the annualization of the agency's FY 15 deficiency.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Funding to Reflect Wage & Compensation Related Costs

Personal Services	0	2,022,471	0	2,322,542	0	0	0	0
Total - General Fund	0	2,022,471	0	2,322,542	0	0	0	0

Governor

Provide funding of \$2,022,471 in FY 16 and \$2,322,542 in FY 17 to reflect current services wage-related adjustments such as annual increments, general wage increases, overtime, annualization, turnover, and other compensation-related adjustments.

Legislative

Same as Governor

Apply Inflationary Increases

Other Expenses	0	34,833	0	78,728	0	0	0	0
Total - General Fund	0	34,833	0	78,728	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase funding for Other Expenses by \$34,833 in FY 16 and an additional \$43,895 in FY 17 (for a cumulative total of \$78,728 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reduce Personal Services Based on Trends

Personal Services	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)
Total - General Fund	0	(200,000)	0	(200,000)	0	(200,000)	0	(200,000)

Legislative

Reduce funding by \$200,000 in FY 16 and FY 17 to reflect the anticipated needs of the agency.

Eliminate Inflationary Increases

Other Expenses	0	(34,833)	0	(78,728)	0	(34,833)	0	(78,728)
Total - General Fund	0	(34,833)	0	(78,728)	0	(34,833)	0	(78,728)

Legislative

Reduce Other Expenses by \$34,833 in FY 16 and \$78,728 in FY 17 to reflect the elimination of inflationary increases.

Provide Funding for Additional Staff

Personal Services	0	0	0	0	(13)	(897,085)	(13)	(897,085)
Other Expenses	0	0	0	0	0	(264,993)	0	(264,993)
Training And Education	0	0	0	0	0	(35,000)	0	(35,000)
Total - General Fund	0	0	0	0	(13)	(1,197,078)	(13)	(1,197,078)

Governor

Provide funding of \$1,197,078 in FY 16 and FY 17 for 13 positions. These positions include two assistant public defenders in the juvenile/child protection division, two deputy assistant public defenders for family support matters, two investigators for GA 10 (New London) and GA 22 (Ansonia Milford), two domestic violence social workers, two assistant public defenders in the forensic trial services unit, one social worker from the Fairfield JD, one assistant public defender for the legal services division, and one deputy assistant public defenders who would provide caseload relief in various GAs. Pursuant to CGS 4-85, the governor is prohibited from

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$1,197,078 in FY 16 and FY 17 to this account.

Legislative

Funding of \$1,197,078 in FY 16 and FY 17 for 13 positions is not provided.

Provide Funding for Rate Increases

Assigned Counsel - Criminal	0	0	0	0	0	(2,957,101)	0	(2,957,101)
Total - General Fund	0	0	0	0	0	(2,957,101)	0	(2,957,101)

Governor

Provide \$2,957,101 in FY 16 and FY 17 to increase the Assigned Counsel in the GA courts from \$350 to \$500 per case. Pursuant to CGS Sec. 4-85, the governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$2,957,101 in FY 16 and FY 17 to this account.

Legislative

Funding of \$2,957,101 in FY 16 and FY 17 for Assigned Counsel rate increases is not provided.

Provide Laptop Broadband Cards

Other Expenses	0	0	0	0	0	(135,000)	0	(135,000)
Total - General Fund	0	0	0	0	0	(135,000)	0	(135,000)

Governor

Provide funding of \$135,000 in FY 16 and FY 17 to provide broadband cards for laptops. Each card (250 in total) is leased at \$45 per month. These cards would allow attorneys, investigators, and social workers access to information, including the new case management system, while away from their offices. Pursuant to CGS 4-85, the governor is prohibited from modifying the requested budget of judicial branch agencies and instead must make changes through a lapse adjustment. The Governor's budget included a targeted lapse of \$135,000 in FY 16 and FY 17 to this account.

Legislative

Funding of \$135,000 in FY 16 and FY 17 for broadband cards is not provided.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	447	68,316,706	447	68,316,706	0	0	0	0
Current Services	0	2,190,742	0	2,534,708	0	0	0	0
Policy Revisions	0	(234,833)	0	(278,728)	(13)	(4,524,012)	(13)	(4,567,907)
Total Recommended - GF	447	70,272,615	447	70,572,686	(13)	(4,524,012)	(13)	(4,567,907)

Other Significant Legislation

PA 15-244, An Act Concerning the State Budget for the Biennium Ending June 30, 2017, and Making Appropriations Therefor, and Other Provisions Related to Revenue, Deficiency Appropriations and Tax Fairness and Economic Development

Sections 10, 11, 12, 38 and 41 contain provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a General Lapse of \$31,707, a Statewide Hiring Reduction of \$371,915, and a General Employee Lapse of \$190,747. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$24,327. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Personal Services	43,612,188	(562,662)	43,049,526	1.29%
Other Expenses	1,491,837	(54,084)	1,437,753	3.63%
Training And Education	130,000	(1,950)	128,050	1.50%

Miscellaneous Appropriation to the Governor

GOV12100

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Governor's Contingency Account	0	1	0	0	0	0
Agency Total - General Fund	0	1	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Eliminate Funding for Governors Contingency Account

Governor's Contingency Account	0	(1)	0	(1)	0	0	0	0
Total - General Fund	0	(1)	0	(1)	0	0	0	0

Background

The Governors contingency account was established by CGS 4-84 to provide for emergency expenditures, up to \$100,000, as the Governor deems necessary and for the best interest of the public.

Governor

Reduce funding by \$1 in FY 16 and FY 17 to reflect elimination of the Governors Contingency Account.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	1	0	1	0	0	0	0
Policy Revisions	0	(1)	0	(1)	0	0	0	0
Total Recommended - GF	0	0	0	0	0	0	0	0

Debt Service - State Treasurer

OTT14100

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Debt Service	1,376,554,365	1,507,940,589	1,650,954,823	1,765,932,976	1,650,954,823	1,765,932,976
UConn 2000 - Debt Service	120,234,493	136,820,121	143,382,944	157,057,219	148,382,944	162,057,219
CHEFA Day Care Security	4,284,134	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Pension Obligation Bonds - TRB	145,076,576	133,922,226	132,732,646	119,597,971	132,732,646	119,597,971
Nonfunctional - Change to Accruals	(6,000)	402	0	0	0	0
Agency Total - General Fund	1,646,143,568	1,784,183,338	1,932,570,413	2,048,088,166	1,937,570,413	2,053,088,166
Debt Service	449,913,761	476,884,116	501,950,536	562,993,251	501,950,536	562,993,251
Agency Total - Special Transportation Fund	449,913,761	476,884,116	501,950,536	562,993,251	501,950,536	562,993,251
Total - Appropriated Funds	2,096,057,330	2,261,067,454	2,434,520,949	2,611,081,417	2,439,520,949	2,616,081,417

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Reflect Revised Budget Request

Debt Service	0	(54,861,800)	0	(32,887,551)	0	0	0	0
Total - General Fund	0	(54,861,800)	0	(32,887,551)	0	0	0	0

Governor

Reduce the General Fund debt service budget by \$54,861,800 in FY 16 and \$32,887,551 in FY 17 to reflect: (1) a decrease of \$22.6 million in FY 16 and \$22.2 million in FY 17 due to the second phase of refinancing the Economic Recovery Notes (ERNs) used to fund the FY 09 General Fund deficit (see below), (2) a decrease of \$25.9 million in FY 16 and \$3.7 million in FY 17 to adjust for premiums received on the December 2014 issuance of \$240 million in General Obligation bonds, (3) a decrease of \$5.1 million in FY 16 and \$3.8 million in FY 17 due a lower than anticipated interest rates on the December 2014 GO bond issuance, and (4) an decrease of \$1.2 million in FY 16 and \$3.2 million due to savings achieved on \$256.6 million in GO bonds refunded in December 2014.

The Office of the State Treasurer refinanced the 2009 ERNs in two separate issuances in order to minimize the call premium on the original notes. The first \$306.9 million refunding was completed in October 2013 and the second was done in December 2014. The OST decided to: (1) downsize the second issuance from \$122.0 million to \$61.0 million and (2) pay off the remaining \$61.0 million with debt service savings due to premiums received on GO bonds issued in FY 15. As a consequence of this decision, savings of \$22.6 million in FY 16 and \$22.2 million in FY 17 were generated in the biennial budget.

Legislative

Same as Governor

Increase Debt Service to Reflect Current Requirements

Debt Service	0	340,637,983	0	453,343,324	0	0	0	0
UConn 2000 - Debt Service	0	16,531,573	0	30,237,098	0	0	0	0
Total - General Fund	0	357,169,556	0	483,580,422	0	0	0	0

Background

The debt service budget figures reflect: (1) adjustments for prior year activities such as bond issuance, the receipt of bond premiums, and savings due to bond refundings, and (2) the estimated amount of funding required to service General Obligations (GO) bonds that are projected to be issued in the current fiscal year.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Increase the General Fund debt service budget by \$340,637,983 in FY 16 and \$453,343,324 in FY 17 to reflect: (1) an increase of \$187.5 million in FY 16 and a decrease of \$1.5 million in FY 17 due to the first phase of refinancing the Economic Recovery Notes used to fund the FY 09 General Fund deficit, (2) an increase of \$63.3 million in FY 16 and \$103.1 million in FY 17 for debt service on GO bonds that are projected to be issued in the current fiscal year, (3) an increase of \$32.1 million in FY 16 due to the scheduled increase in debt service payments on GAAP Conversion bonds, (4) increases of \$54.4 million in FY 16 and \$11.8 million in FY 17 to adjust for bond premiums received in the FY 15, (5) an increase of \$4.0 million in FY 16 to adjust for savings on bonds refunded in FY 15 and (6) a decrease of \$0.7 million in each year for a variety of smaller adjustments.

Increase the UConn 2000 debt service budget by \$16,531,573 in FY 16 and \$30,237,098 in FY 17 to reflect an increase in planned bond issuance due to the Next Generation Connecticut infrastructure improvement program. Bond issuance in FY 15 was \$109.1 million and planned issuance for FY 16 is \$250.0 million in each of FY 16 and FY 17.

Legislative

Same as Governor

Adjust Debt Service to Reflect POB Requirements

Pension Obligation Bonds - TRB	0	(1,189,580)	0	(14,324,255)	0	0	0	0
Total - General Fund	0	(1,189,580)	0	(14,324,255)	0	0	0	0

Background

In April 2008, \$2.276 billion in taxable Pension Obligation Bonds (POBs) were issued for a term of 25 years at an average interest rate of 5.88%. The bond proceeds were invested in the Teachers Retirement Fund, which currently has an assumed long term return on assets of 8.5%. The issuance was authorized by PA 07-186.

Governor

Reduce the POB account by \$1,189,580 in FY 16 and \$14,324,255 in FY 17 to reflect the scheduled decrease in the amount of bond principal that will be paid off in FY 16 and FY 17. The amount of principal paid off in each year during the term of the bonds was established when the bonds were issued.

Legislative

Same as Governor

Adjust Special Tax Obligation Bond Debt Service

Debt Service	0	18,969,655	0	59,906,921	0	0	0	0
Total - Special Transportation Fund	0	18,969,655	0	59,906,921	0	0	0	0

Background

Special Transportation Fund debt service reflects the level of Special Tax Obligation (STO) bond issuance needed to support the transportation projects that are currently under construction.

Governor

Increase Special Transportation Fund debt service by \$18,969,655 in FY 16 and \$59,906,921 in FY 17 to reflect increased STO bonds issuance in FY 15. The original debt service budget assumed that \$600 million in STO bonds would be issued in FY 15. The actual amount issued in October 2014 was \$731.5 million.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Adjust Debt Service to Reflect Projected Lapse Savings

Debt Service	0	(142,761,949)	0	(162,463,386)	0	0	0	0
UConn 2000 - Debt Service	0	(4,968,750)	0	(5,000,000)	0	5,000,000	0	5,000,000
Total - General Fund	0	(147,730,699)	0	(167,463,386)	0	5,000,000	0	5,000,000

Background

Between FY 02 and FY 14, the General Fund debt service account lapsed between \$4 million and \$80 million per year due to savings on bond refundings, premiums*, and differences between issuance and actual assumptions.

(*Bond purchasers pay a premium to receive a higher interest rate than the one at which the bonds would otherwise have sold.)

Governor

Reduce the General Fund debt service budget by \$142,761,949 in FY 16 and \$162,463,386 in FY 17 to reflect projected lapse savings primarily due to bond premiums.

Reduce the UConn 2000 debt service budget by \$9,968,750 in FY 16 and \$10,000,000 in FY 17 to reflect projected savings due to differences between the actual interest rates at which bonds are issued and the assumed interest rates.

Legislative

Reduce the General Fund debt service budget by \$142,761,949 in FY 16 and \$162,463,386 in FY 17 to reflect projected lapse savings primarily due to bond premiums.

Reduce the UConn 2000 debt service budget by \$4,968,750 in FY 16 and \$5,000,000 in FY 17 to reflect projected savings due to differences between the actual interest rates at which bonds are issued and the assumed interest rates.

Increase Debt Service to Reflect Increased STO Bond Issuance

Debt Service	0	6,096,765	0	26,202,214	0	0	0	0
Total - Special Transportation Fund	0	6,096,765	0	26,202,214	0	0	0	0

Background

Special Tax Obligation (STO) bonds are issued to provide funding for transportation infrastructure projects and to purchase transportation-related equipment.

Governor

Increase the Special Transportation Fund debt service budget by \$6,096,765 in FY 16 and \$26,202,214 in FY 17 to support the Governor's transportation proposals, including: (1) \$2.8 billion over five years for the Let's Go CT! program, (2) \$74 million in each of FY 16 and FY 17 for the Local Transportation Capital Improvement Program, (2) \$208.1 million in each of FY 16 and FY 17 for bus and rail improvements, (3) \$70 million in each of FY 16 and FY 17 for the Fix it First State Bridge Program, (4) \$25.5 million in each of FY 16 and FY 17 for highway and bridge equipment and (5) other proposals.

Legislative

Same as Governor

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(402)	0	(402)	0	0	0	0
Total - General Fund	0	(402)	0	(402)	0	0	0	0

Governor

Reduce funding by \$402 in FY 16 and \$402 in FY 17 to reflect the consolidation of Generally Accepted Accounting Principles (GAAP) funding within the Office of the State Comptroller - Miscellaneous Accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	1,784,183,338	0	1,784,183,338	0	0	0	0
Current Services	0	301,118,176	0	436,368,616	0	0	0	0
Policy Revisions	0	(147,731,101)	0	(167,463,788)	0	5,000,000	0	5,000,000
Total Recommended - GF	0	1,937,570,413	0	2,053,088,166	0	5,000,000	0	5,000,000
Governor Estimated - TF	0	476,884,116	0	476,884,116	0	0	0	0
Current Services	0	18,969,655	0	59,906,921	0	0	0	0
Policy Revisions	0	6,096,765	0	26,202,214	0	0	0	0
Total Recommended - TF	0	501,950,536	0	562,993,251	0	0	0	0

State Comptroller - Miscellaneous

OSC15100

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Adjudicated Claims	13,288,526	6,100,000	4,100,000	4,100,000	24,800,000	8,822,000
Nonfunctional - Change to Accruals	0	0	44,784,293	22,392,147	44,784,293	22,392,147
Agency Total - General Fund	13,288,526	6,100,000	48,884,293	26,492,147	69,584,293	31,214,147
Nonfunctional - Change to Accruals	0	0	3,258,893	1,629,447	3,258,893	1,629,447
Agency Total - Special Transportation Fund	0	0	3,258,893	1,629,447	3,258,893	1,629,447
Nonfunctional - Change to Accruals	0	0	5,689	2,845	5,689	2,845
Agency Total - Regional Market Operation Fund	0	0	5,689	2,845	5,689	2,845
Nonfunctional - Change to Accruals	0	0	190,355	95,178	190,355	95,178
Agency Total - Banking Fund	0	0	190,355	95,178	190,355	95,178
Nonfunctional - Change to Accruals	0	0	233,889	116,945	233,889	116,945
Agency Total - Insurance Fund	0	0	233,889	116,945	233,889	116,945
Nonfunctional - Change to Accruals	0	0	179,317	89,658	179,317	89,658
Agency Total - Consumer Counsel and Public Utility Control Fund	0	0	179,317	89,658	179,317	89,658
Nonfunctional - Change to Accruals	0	0	144,597	72,298	144,597	72,298
Agency Total - Workers' Compensation Fund	0	0	144,597	72,298	144,597	72,298
Total - Appropriated Funds	13,288,526	6,100,000	52,897,033	28,498,518	73,597,033	33,220,518

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Operating Expenses to Reflect Current Requirements

Adjudicated Claims	0	(2,000,000)	0	(2,000,000)	0	0	0	0
Total - General Fund	0	(2,000,000)	0	(2,000,000)	0	0	0	0

Background

The Adjudicated Claims account pays claims settled with or judicially decided against the State. Prior to FY 12 awards were payable out of the resources of the General Fund.

Governor

Reduce funding by \$2.0 million in both FY 16 and FY 17 to reflect anticipated expenditure requirements.

Legislative

Same as Governor

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Policy Revisions

Provide Funding for Rowland Settlement

Adjudicated Claims	0	20,700,000	0	4,722,000	0	20,700,000	0	4,722,000
Total - General Fund	0	20,700,000	0	4,722,000	0	20,700,000	0	4,722,000

Background

In February 2003, a group of state employee unions and individual union members (plaintiffs) brought a civil action in U.S. District Court against former Governor John G. Rowland and former Secretary of the Office of Policy and Management Marc S. Ryan (defendants). The plaintiffs alleged that the defendants intentionally violated certain constitutional rights when over 3,000 unionized employees were laid off in retaliation for the unions' refusal to forego certain protected contract rights. On May 1, 2015, the Office the Attorney General and the State Employee Bargaining Coalition (SEBAC) entered into an agreement to settle the outstanding lawsuit.

Legislative

Provide funding of \$20,700,000 in FY 16 and \$4,722,000 to reflect anticipated plaintiffs' attorney fees, economic and punitive damages, and awards related to the settlement agreement.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	44,784,293	0	22,392,147	0	0	0	0
Total - General Fund	0	44,784,293	0	22,392,147	0	0	0	0
Nonfunctional - Change to Accruals	0	3,258,893	0	1,629,447	0	0	0	0
Total - Special Transportation Fund	0	3,258,893	0	1,629,447	0	0	0	0
Nonfunctional - Change to Accruals	0	5,689	0	2,845	0	0	0	0
Total - Regional Market Operation Fund	0	5,689	0	2,845	0	0	0	0
Nonfunctional - Change to Accruals	0	190,355	0	95,178	0	0	0	0
Total - Banking Fund	0	190,355	0	95,178	0	0	0	0
Nonfunctional - Change to Accruals	0	233,889	0	116,945	0	0	0	0
Total - Insurance Fund	0	233,889	0	116,945	0	0	0	0
Nonfunctional - Change to Accruals	0	179,317	0	89,658	0	0	0	0
Total - Consumer Counsel and Public Utility Control Fund	0	179,317	0	89,658	0	0	0	0
Nonfunctional - Change to Accruals	0	144,597	0	72,298	0	0	0	0
Total - Workers' Compensation Fund	0	144,597	0	72,298	0	0	0	0

Governor

Provide funding of \$48,797,033 in FY 16 and \$24,398,518 in FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous accounts.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	6,100,000	0	6,100,000	0	0	0	0
Current Services	0	(2,000,000)	0	(2,000,000)	0	0	0	0
Policy Revisions	0	65,484,293	0	27,114,147	0	20,700,000	0	4,722,000
Total Recommended - GF	0	69,584,293	0	31,214,147	0	20,700,000	0	4,722,000
Governor Estimated - TF	0	0	0	0	0	0	0	0
Policy Revisions	0	3,258,893	0	1,629,447	0	0	0	0
Total Recommended - TF	0	3,258,893	0	1,629,447	0	0	0	0
Governor Estimated - RF	0	0	0	0	0	0	0	0
Policy Revisions	0	5,689	0	2,845	0	0	0	0
Total Recommended - RF	0	5,689	0	2,845	0	0	0	0
Governor Estimated - BF	0	0	0	0	0	0	0	0
Policy Revisions	0	190,355	0	95,178	0	0	0	0
Total Recommended - BF	0	190,355	0	95,178	0	0	0	0
Governor Estimated - IF	0	0	0	0	0	0	0	0
Policy Revisions	0	233,889	0	116,945	0	0	0	0
Total Recommended - IF	0	233,889	0	116,945	0	0	0	0
Governor Estimated - PF	0	0	0	0	0	0	0	0
Policy Revisions	0	179,317	0	89,658	0	0	0	0
Total Recommended - PF	0	179,317	0	89,658	0	0	0	0
Governor Estimated - WF	0	0	0	0	0	0	0	0
Policy Revisions	0	144,597	0	72,298	0	0	0	0
Total Recommended - WF	0	144,597	0	72,298	0	0	0	0

Other Significant Legislation**PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State**

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$366,552. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Adjudicated Claims	24,800,000	(366,552)	24,433,448	1.48%

State Comptroller - Fringe Benefits

OSC15200

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Unemployment Compensation	5,814,719	8,643,507	7,474,000	6,410,300	7,330,139	6,427,401
State Employees Retirement Contributions	916,024,145	970,863,047	1,097,613,344	1,125,480,680	1,096,800,201	1,124,661,963
Higher Education Alternative Retirement System	8,739,312	18,131,328	8,359,234	8,924,234	7,159,234	7,924,234
Pensions and Retirements - Other Statutory	1,611,284	1,749,057	1,709,519	1,760,804	1,709,519	1,760,804
Judges and Compensation Commissioners Retirement	16,298,488	17,731,131	18,258,707	19,163,487	18,258,707	19,163,487
Insurance - Group Life	8,042,132	8,653,107	8,496,100	8,641,100	8,492,914	8,637,871
Employers Social Security Tax	217,432,088	228,833,314	230,093,600	238,472,555	238,994,871	250,674,466
State Employees Health Service Cost	614,328,850	639,312,580	679,787,987	729,338,587	674,388,450	722,588,803
Retired State Employees Health Service Cost	548,693,300	583,635,039	686,397,000	751,109,000	681,397,000	746,109,000
Tuition Reimbursement - Training and Travel	3,302,948	3,127,500	3,127,500	0	3,127,500	0
Nonfunctional - Change to Accruals	13,550,385	16,162,272	0	0	0	0
Agency Total - General Fund	2,353,837,651	2,496,841,882	2,741,316,991	2,889,300,747	2,737,658,535	2,887,948,029
Unemployment Compensation	251,011	248,862	280,200	308,400	509,232	305,000
State Employees Retirement Contributions	108,347,033	130,144,053	122,254,000	129,339,800	122,166,623	129,227,978
Insurance - Group Life	261,750	292,000	277,300	285,500	276,987	285,063
Employers Social Security Tax	14,516,601	16,405,141	17,295,600	17,745,400	17,656,269	18,178,987
State Employees Health Service Cost	39,610,781	41,727,011	52,018,500	57,098,700	51,843,476	56,825,438
Nonfunctional - Change to Accruals	857,495	1,879,574	0	0	0	0
Agency Total - Special Transportation Fund	163,844,671	190,696,641	192,125,600	204,777,800	192,452,587	204,822,466
Total - Appropriated Funds	2,517,682,322	2,687,538,523	2,933,442,591	3,094,078,547	2,930,111,122	3,092,770,495
Additional Funds Available						
Carry Forward Funding	0	0	0	0	6,374,345	0
Agency Grand Total	2,517,682,322	2,687,538,523	2,933,442,591	3,094,078,547	2,936,485,467	3,092,770,495

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Operating Expenses to Reflect Current Requirements

Unemployment Compensation	0	(2,537,507)	0	(2,232,507)	0	0	0	0
State Employees Retirement Contributions	0	126,968,697	0	154,841,733	0	0	0	0
Higher Education Alternative Retirement System	0	(10,972,094)	0	(10,207,094)	0	(1,200,000)	0	(1,000,000)

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Adjust Operating Expenses to Reflect Current Requirements (continued)

Pensions and Retirements - Other Statutory	0	(39,538)	0	11,747	0	0	0	0
Judges and Compensation Commissioners Retirement	0	527,576	0	1,432,356	0	0	0	0
Insurance - Group Life	0	(156,107)	0	(11,107)	0	0	0	0
Employers Social Security Tax	0	1,243,686	0	8,949,741	0	0	0	0
State Employees Health Service Cost	0	40,457,007	0	88,875,507	0	0	0	0
Retired State Employees Health Service Cost	0	102,761,961	0	167,473,961	0	0	0	0
Total - General Fund	0	258,253,681	0	409,134,337	0	(1,200,000)	0	(1,000,000)
Unemployment Compensation	0	28,138	0	56,138	0	0	0	0
State Employees Retirement Contributions	0	(8,984,053)	0	(1,942,053)	0	0	0	0
Insurance - Group Life	0	(19,000)	0	(11,000)	0	0	0	0
Employers Social Security Tax	0	448,859	0	675,859	0	0	0	0
State Employees Health Service Cost	0	8,248,989	0	11,845,989	0	0	0	0
Total - Special Transportation Fund	0	(277,067)	0	10,624,933	0	0	0	0

Governor

Provide funding of \$259,453,681 in FY 16 and \$410,134,337 in FY 17 in various accounts within the General Fund to reflect FY 16 and FY 17 anticipated expenditure requirements.

Adjust funding by \$277,067 in FY 16 and \$10,624,933 in FY 17 in various accounts within the Special Transportation Fund to reflect FY 16 and FY 17 anticipated expenditure requirements.

Legislative

Provide funding of \$258,253,681 in FY 16 and \$409,134,337 in FY 17 in various accounts within the General Fund to reflect FY 16 and FY 17 anticipated expenditure requirements.

Adjust funding by \$277,067 in FY 16 and \$10,624,933 in FY 17 in various accounts within the Special Transportation Fund to reflect FY 16 and FY 17 anticipated expenditure requirements.

Adjust Funding to Reflect Net Position Technical Changes

Employers Social Security Tax	0	708,900	0	1,432,500	0	0	0	0
State Employees Health Service Cost	0	1,714,900	0	3,115,200	0	0	0	0
Total - General Fund	0	2,423,800	0	4,547,700	0	0	0	0
Employers Social Security Tax	0	8,700	0	9,000	0	0	0	0
State Employees Health Service Cost	0	47,900	0	53,100	0	0	0	0
Total - Special Transportation Fund	0	56,600	0	62,100	0	0	0	0

Governor

Provide funding of \$2,423,800 in FY 16 and \$4,547,701 in FY 17 to reflect technical changes impacting the General Fund. Provide funding of \$56,600 in FY 16 and \$62,100 in FY 17 to reflect technical changes impacting the Special Transportation Fund.

Legislative

Same as Governor

Eliminate Funding for Tuition Reimbursement and Training

Tuition Reimbursement - Training and Travel	0	0	0	(3,127,500)	0	0	0	0
Total - General Fund	0	0	0	(3,127,500)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Eliminate funding of \$3,127,500 in FY 17 in the Tuition Reimbursement, Training and Travel account to reflect the expiration of collective bargaining agreements at the end of FY 16. Funding for tuition reimbursement training and travel in FY 17 is provided for in the Reserve for Salary Adjustment account administered by the Office of Policy and Management.

Legislative

Same as Governor

Provide Funding for the CT Retirement Security Board

Employers Social Security Tax	0	10,000	0	0	0	0	0	0
State Employees Health Service Cost	0	28,000	0	0	0	0	0	0
Total - General Fund	0	38,000	0	0	0	0	0	0

Background

Sections 180-185 of PA 14-217, the FY 15 budget implementer, established the Connecticut Retirement Security Board and charged it with researching the feasibility and developing a plan for a statewide retirement plan.

Governor

Provide funding of \$38,000 in FY 16 (\$28,000 for the State Employees Health Service Cost account and \$10,000 in the Employers Social Security Tax account) for fringe benefits for two durational employees who support the Retirement Security Board.

Legislative

Same as Governor

Policy Revisions**Reduce Funding for Prior Authorization for Compound Drugs**

State Employees Health Service Cost	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
Retired State Employees Health Service Cost	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)	0	(5,000,000)
Total - General Fund	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)	0	(10,000,000)

Background

On May 15, 2015, the State Comptroller instituted a "prior authorization" process for compound medications. Topical compounds that are not approved by the FDA and utilize ingredients that have not been tested for safety and efficiency and compounded hormone replacement therapy (HRT) are some examples of medications that will be subject to this new requirement.

Legislative

Reduce funding of \$10 million in FY 16 and FY 17 to reflect savings to the state employee and retiree health plans as a result of instituting prior authorization for compound medications.

Adjust Fringe Benefits to Reflect the Addition of Positions

Employers Social Security Tax	0	14,869,276	0	19,714,630	0	14,734,276	0	19,579,630
State Employees Health Service Cost	0	1,905,256	0	3,242,528	0	1,540,056	0	2,853,128
Total - General Fund	0	16,774,532	0	22,957,158	0	16,274,332	0	22,432,758
Employers Social Security Tax	0	1,042,706	0	1,335,192	0	775,506	0	852,192
State Employees Health Service Cost	0	1,355,112	0	2,703,570	0	(128,688)	0	(202,630)
Total - Special Transportation Fund	0	2,397,818	0	4,038,762	0	646,818	0	649,562

Governor

Adjust funding by \$334,100 in FY 16 and \$348,100 in FY 17 in the General Fund and \$1,778,000 in FY 16 and \$1,884,500 in FY 17 in the Special Transportation Fund to reflect position transfers within state agencies which impact the General Fund and Special Transportation Fund fringe benefit accounts.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Legislative

Adjust funding by \$16,774,532 in FY 16 and \$22,957,158 in FY 17 in the General Fund and \$2,397,818 in FY 16 and \$4,038,762 in FY 17 in the Special Transportation Fund to reflect position transfers within state agencies which impact the General Fund and Special Transportation Fund fringe benefit accounts.

Adjust Fringe Benefits to Reflect the Reduction of Positions

Unemployment Compensation	0	1,439,966	0	0	0	71,366	0	0
Employers Social Security Tax	0	(6,514,042)	0	(8,097,749)	0	(5,709,842)	0	(7,253,649)
State Employees Health Service Cost	0	(3,547,250)	0	(6,444,988)	0	(1,538,650)	0	(4,179,388)
Total - General Fund	0	(8,621,326)	0	(14,542,737)	0	(7,177,126)	0	(11,433,037)
Employers Social Security Tax	0	(401,625)	0	(401,625)	0	(401,625)	0	(401,625)
Total - Special Transportation Fund	0	(401,625)	0	(401,625)	0	(401,625)	0	(401,625)

Governor

Reduce funding by \$1,444,200 in FY 16 and \$3,109,700 in FY 17 in the General Fund to reflect the reduction of personnel in state agencies.

Legislative

Reduce funding by \$8,621,326 in FY 16 and \$14,452,737 in FY 17 in the General Fund and \$401,625 in both FY 16 and FY 17 in the Special Transportation Fund to reflect the reduction of personnel in state agencies.

Adjust Funding to Transfer of Positions

Unemployment Compensation	0	(215,827)	0	16,401	0	(215,227)	0	17,101
State Employees Retirement Contributions	0	(1,031,543)	0	(1,042,817)	0	(813,143)	0	(818,717)
Insurance - Group Life	0	(4,086)	0	(4,129)	0	(3,186)	0	(3,229)
Employers Social Security Tax	0	(156,263)	0	(157,970)	0	(123,163)	0	(124,070)
State Employees Health Service Cost	0	(482,043)	0	(512,024)	0	(400,943)	0	(423,524)
Total - General Fund	0	(1,889,762)	0	(1,700,539)	0	(1,555,662)	0	(1,352,439)
Unemployment Compensation	0	232,232	0	0	0	229,032	0	(3,400)
State Employees Retirement Contributions	0	1,006,623	0	1,025,978	0	(87,377)	0	(111,822)
Insurance - Group Life	0	3,987	0	4,063	0	(313)	0	(437)
Employers Social Security Tax	0	152,488	0	155,420	0	(13,212)	0	(16,980)
State Employees Health Service Cost	0	464,464	0	495,768	0	(46,336)	0	(70,632)
Total - Special Transportation Fund	0	1,859,794	0	1,681,229	0	81,794	0	(203,271)

Governor

Adjust funding by \$334,100 in FY 16 and \$348,100 in FY 17 in the General Fund and \$1,778,000 in FY 16 and \$1,884,500 in FY 17 in the Special Transportation Fund to reflect position transfers within state agencies which impact the General Fund and Special Transportation Fund fringe benefit accounts.

Legislative

Adjust funding by \$1,889,762 in FY 16 and \$1,700,539 in FY 17 in the General Fund and \$1,859,794 in FY 16 and \$1,681,229 in FY 17 in the Special Transportation Fund to reflect position transfers within state agencies which impact the General Fund and Special Transportation Fund fringe benefit accounts.

Consolidate Funding for GAAP

Nonfunctional - Change to Accruals	0	(16,162,272)	0	(16,162,272)	0	0	0	0
Total - General Fund	0	(16,162,272)	0	(16,162,272)	0	0	0	0
Nonfunctional - Change to Accruals	0	(1,879,574)	0	(1,879,574)	0	0	0	0
Total - Special Transportation Fund	0	(1,879,574)	0	(1,879,574)	0	0	0	0

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Governor

Reduce funding by \$16,162,272 in the General Fund and \$1,879,574 in the Special Transportation Fund in FY 16 and FY 17 to reflect the consolidation of GAAP funding within the Office of the State Comptroller - Miscellaneous accounts.

Legislative

Same as Governor

Carry Forward**Carry Forward Tuition and Training Funds**

Tuition Reimbursement - Training and Travel	0	4,274,345	0	0	0	4,274,345	0	0
Total - Carry Forward Funding	0	4,274,345	0	0	0	4,274,345	0	0

Legislative

Pursuant to individual collective bargaining unit contracts \$4,274,345 is carried forward for FY 16 in the Tuition Reimbursement - Training and Travel account.

Carry Forward Funding for Higher Education ARP

Higher Education Alternative Retirement System	0	2,100,000	0	0	0	2,100,000	0	0
Total - Carry Forward Funding	0	2,100,000	0	0	0	2,100,000	0	0

Legislative

Pursuant to CGS Sec. 4-89(c), funding of \$2,100,000 is carried forward in the Higher Education Alternative Retirement Plan to fund a ARP vendor payment not made in FY 15.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	2,496,841,882	0	2,496,841,882	0	0	0	0
Current Services	0	260,715,481	0	410,554,537	0	(1,200,000)	0	(1,000,000)
Policy Revisions	0	(19,898,828)	0	(19,448,390)	0	(2,458,456)	0	(352,718)
Total Recommended - GF	0	2,737,658,535	0	2,887,948,029	0	(3,658,456)	0	(1,352,718)
Governor Estimated - TF	0	190,696,641	0	190,696,641	0	0	0	0
Current Services	0	(220,467)	0	10,687,033	0	0	0	0
Policy Revisions	0	1,976,413	0	3,438,792	0	326,987	0	44,666
Total Recommended - TF	0	192,452,587	0	204,822,466	0	326,987	0	44,666

Reserve for Salary Adjustments

OPM20100

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Reserve For Salary Adjustments	0	30,273,043	22,940,302	130,524,913	22,240,302	86,024,913
Agency Total - General Fund	0	30,273,043	22,940,302	130,524,913	22,240,302	86,024,913
Reserve For Salary Adjustments	0	2,661,897	1,896,280	13,301,186	1,896,280	13,301,186
Agency Total - Special Transportation Fund	0	2,661,897	1,896,280	13,301,186	1,896,280	13,301,186
Total - Appropriated Funds	0	32,934,940	24,836,582	143,826,099	24,136,582	99,326,099
Additional Funds Available						
Carry Forward Funding	0	0	0	0	8,153,104	0
Carry Forward Transportation Fund	0	0	0	0	2,470,127	0
Agency Grand Total	0	32,934,940	24,836,582	143,826,099	34,759,813	99,326,099

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Adjust Funding for Unsettled Contracts

Reserve For Salary Adjustments	0	(8,032,741)	0	68,751,870	0	(700,000)	0	(31,500,000)
Total - General Fund	0	(8,032,741)	0	68,751,870	0	(700,000)	0	(31,500,000)
Reserve For Salary Adjustments	0	(765,617)	0	10,639,289	0	0	0	0
Total - Special Transportation Fund	0	(765,617)	0	10,639,289	0	0	0	0

Governor

Reduce funding by \$7,332,741 in the General Fund (GF) and \$765,617 in the Special Transportation Fund (STF) in FY 16.

Provide funding of \$100,251,870 in the GF and \$10,639,289 in the STF in FY 17 for unsettled contracts and related collective bargaining costs.

Legislative

Reduce funding by \$8,032,741 in the General Fund (GF) and \$765,617 in the Special Transportation Fund (STF) in FY 16.

Provide funding of \$85,251,870 in the GF and \$10,639,289 in the STF in FY 17 for unsettled contracts and related collective bargaining costs.

Policy Revisions

Adjust Funding for Wage Increases

Reserve For Salary Adjustments	0	0	0	(13,000,000)	0	0	0	(13,000,000)
Total - General Fund	0	0	0	(13,000,000)	0	0	0	(13,000,000)

Legislative

Reduce funding for employee raises in FY 17 by \$13 million, to reflect a 0.5% decrease in union and non-union state employee wage increases.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Carry Forward

Carry Forward Funding for RSA

Reserve For Salary Adjustments	0	8,153,104	0	0	0	8,153,104	0	0
Total - Carry Forward Funding	0	8,153,104	0	0	0	8,153,104	0	0
Reserve For Salary Adjustments	0	2,470,127	0	0	0	2,470,127	0	0
Total - Carry Forward Transportation Fund	0	2,470,127	0	0	0	2,470,127	0	0

Legislative

Section 18 of PA 15-244, the FY 16 and FY 17 budget, carries forward funding of \$10,623,231 (\$8,153,104 in the GF and \$2,470,127 in STF) for collective bargaining costs.

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	30,273,043	0	30,273,043	0	0	0	0
Current Services	0	(8,032,741)	0	68,751,870	0	(700,000)	0	(31,500,000)
Policy Revisions	0	0	0	(13,000,000)	0	0	0	(13,000,000)
Total Recommended - GF	0	22,240,302	0	86,024,913	0	(700,000)	0	(44,500,000)
Governor Estimated - TF	0	2,661,897	0	2,661,897	0	0	0	0
Current Services	0	(765,617)	0	10,639,289	0	0	0	0
Total Recommended - TF	0	1,896,280	0	13,301,186	0	0	0	0

Workers' Compensation Claims - Administrative Services

DAS23100

Budget Summary

Account	Actual FY 14	Governor Estimated FY 15	Governor Recommended		Legislative	
			FY 16	FY 17	FY 16	FY 17
Other Current Expenses						
Workers' Compensation Claims	29,192,367	29,987,707	29,987,707	29,987,707	8,662,068	8,662,068
Agency Total - General Fund	29,192,367	29,987,707	29,987,707	29,987,707	8,662,068	8,662,068
Workers' Compensation Claims	7,133,420	7,344,481	7,344,481	7,344,481	7,223,297	7,223,297
Agency Total - Special Transportation Fund	7,133,420	7,344,481	7,344,481	7,344,481	7,223,297	7,223,297
Total - Appropriated Funds	36,325,787	37,332,188	37,332,188	37,332,188	15,885,365	15,885,365

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Current Services

Apply Inflationary Increases

Workers' Compensation Claims	0	1,406,477	0	2,905,272	0	0	0	0
Total - General Fund	0	1,406,477	0	2,905,272	0	0	0	0
Workers' Compensation Claims	0	328,764	0	680,581	0	0	0	0
Total - Special Transportation Fund	0	328,764	0	680,581	0	0	0	0

Background

Applying inflationary factors to current year expenditures provides an estimate of the cost of continuing services into the next year.

Governor

Increase the Workers' Compensation Claims account in the General Fund by \$1,406,477 in FY 16 and an additional \$1,498,795 in FY 17 (for a cumulative total of \$2,905,272 in the second year) to reflect inflationary increases.

Increase the Workers' Compensation Claims account in the Special Transportation Fund by \$328,764 in FY 16 and an additional \$351,817 in FY 17 (for a cumulative total of \$680,581 in the second year) to reflect inflationary increases.

Legislative

Same as Governor

Policy Revisions

Reflect Workers' Compensation Savings

Workers' Compensation Claims	0	(145,322)	0	(145,322)	0	(145,322)	0	(145,322)
Total - General Fund	0	(145,322)	0	(145,322)	0	(145,322)	0	(145,322)
Workers' Compensation Claims	0	(121,184)	0	(121,184)	0	(121,184)	0	(121,184)
Total - Special Transportation Fund	0	(121,184)	0	(121,184)	0	(121,184)	0	(121,184)

Legislative

Reduce the Workers' Compensation account in the General Fund by \$145,322 in both FY 16 and FY 17 and \$121,184 in both FY 16 and FY 17 in the Special Transportation Fund.

Account	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Transfer Workers' Compensation Claims to Agencies

Workers' Compensation Claims	0	(21,180,317)	0	(21,180,317)	0	(21,180,317)	0	(21,180,317)
Total - General Fund	0	(21,180,317)	0	(21,180,317)	0	(21,180,317)	0	(21,180,317)

Background

The Department of Administrative Services manages workers' compensation claims checkbook functions for most state agencies. Currently, five departments manage their own appropriations: Children and Families, Correction, Developmental Services, Emergency Services and Public Protection, and Mental Health and Addiction Services.

Legislative

Transfer funding of \$21,180,317 in both FY 16 and FY 17 from Workers' Compensation Claims - DAS to: Board of Regents for Higher Education (\$3,997,361); Judicial Department (\$6,762,228); UCONN Health Center (\$7,233,035); and UCONN (\$3,187,693) to reflect those agencies' assuming management of its own workers' compensation claims appropriation.

Eliminate Inflationary Increases

Workers' Compensation Claims	0	(1,406,477)	0	(2,905,272)	0	0	0	0
Total - General Fund	0	(1,406,477)	0	(2,905,272)	0	0	0	0
Workers' Compensation Claims	0	(328,764)	0	(680,581)	0	0	0	0
Total - Special Transportation Fund	0	(328,764)	0	(680,581)	0	0	0	0

Governor

Reduce funding of \$1,406,477 in FY 16 and \$2,905,272 in FY 17 in the General Fund and \$328,764 in FY 16 and \$680,581 in FY 17 in the Special Transportation Fund to reflect the elimination of inflationary increases.

Legislative

Same as Governor

Totals

Budget Components	Legislative				Difference from Governor Recommended			
	FY 16		FY 17		FY 16		FY 17	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Governor Estimated - GF	0	29,987,707	0	29,987,707	0	0	0	0
Current Services	0	1,406,477	0	2,905,272	0	0	0	0
Policy Revisions	0	(22,732,116)	0	(24,230,911)	0	(21,325,639)	0	(21,325,639)
Total Recommended - GF	0	8,662,068	0	8,662,068	0	(21,325,639)	0	(21,325,639)
Governor Estimated - TF	0	7,344,481	0	7,344,481	0	0	0	0
Current Services	0	328,764	0	680,581	0	0	0	0
Policy Revisions	0	(449,948)	0	(801,765)	0	(121,184)	0	(121,184)
Total Recommended - TF	0	7,223,297	0	7,223,297	0	(121,184)	0	(121,184)

*Other Significant Legislation***PA 15-5 JSS, An Act Implementing Provisions of the State Budget for the Biennium Ending June 30, 2017, Concerning General Government, Education, Health and Human Services and Bonds of the State**

Section 156 contains provisions that allow the Secretary of the Office of Policy and Management (OPM) to allocate specific lapses to state agencies in the three branches of government (these are typically called holdbacks). The agency's FY 16 appropriation levels will be reduced by the amounts shown in the table below to achieve the lapses included in PA 15-244 and PA 15-5 JSS. This includes a Targeted Savings of \$129,931. See the FY 16 Holdbacks schedule in Section V of this document for a further description of these statewide savings targets.

Account	Appropriation \$	Reduction Amount \$	Net Remaining \$	% Reduction
Workers' Compensation Claims	8,662,068	(129,931)	8,532,137	1.50%

VI. REVENUE

Overview

In the General Fund, the budget includes various policy changes that yield additional net revenue (taxes plus other revenue) increases of \$802.1 million in FY 16 and \$664.4 million in FY 17 identified in the table below.

General Fund Revenue Changes by Source (in millions)

Revenue Source	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Personal Income	169.3	158.9	167.3	177.5	190.1
Sales & Use	(94.3)	(293.3)	(537.4)	(557.3)	(577.4)
Corporations	258.1	222.8	200.3	162.8	147.8
Health Provider	224.8	229.8	229.9	230.0	230.1
Insurance Companies	22.7	22.7	-	-	-
Inheritance and Estate	(4.0)	(8.0)	(8.0)	(8.0)	(8.0)
Admissions and Dues	(0.4)	(0.4)	-	-	-
Alcoholic Beverages	0.5	0.5	0.5	0.5	0.5
Cigarettes	24.5	42.8	37.6	35.3	33.2
Miscellaneous Taxes	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Oil Companies	-	-	(7.1)	(41.0)	(58.1)
Earned Income Tax Credit	11.0	11.0	-	-	-
Less Refunds	39.0	75.0	75.0	75.0	75.0
Total Taxes	651.1	461.7	158.0	74.8	33.1
Licenses, Permits and Fees	2.7	2.3	2.3	2.3	2.3
Miscellaneous	2.5	2.5	2.5	2.5	2.5
Transfer Special Revenue	13.6	30.0	30.0	30.0	30.0
Total Other Revenue	18.8	34.8	34.8	34.8	34.8
Federal Grants	(40.4)	(45.9)	(48.3)	(50.9)	(46.2)
Transfer from Tobacco Settlement	17.0	17.0	6.0	6.0	6.0
Transfers From/To Other Funds	155.6	196.9	118.2	118.2	118.2
Total Other Sources	132.2	168.0	75.9	73.3	78.0
TOTAL GENERAL FUND	802.1	664.4	268.7	182.8	145.9

The budget yields an additional estimated net tax revenue gain of \$0.9 billion each year of the biennium. This revenue includes the new Municipal Revenue Sharing Account (MRSA), which provides grants to municipalities.

Over three fiscal years, the budget diverts up to 1.0% of the state's Sales and Use Tax from the General Fund equally to the Special Transportation Fund and MRSA.

Tax Changes by Source (in millions)

Description	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
General Fund					
Taxes					
Personal Income	169.3	158.9	167.3	177.5	190.1
Sales and Use	(94.3)	(293.3)	(537.4)	(557.3)	(577.4)
Corporations	258.1	222.8	200.3	162.8	147.8
Health Provider	224.8	229.8	229.9	230.0	230.1
Insurance Companies	22.7	22.7	-	-	-
Admissions and Dues	(0.4)	(0.4)	-	-	-
Alcoholic Beverages	0.5	0.5	0.5	0.5	0.5
Cigarettes	24.5	42.8	37.6	35.3	33.2
Inheritance and Estate	(4.0)	(8.0)	(8.0)	(8.0)	(8.0)
Miscellaneous Taxes	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Oil Companies	-	-	(7.1)	(41.0)	(58.1)
Earned Income Tax Credit	11.0	11.0	-	-	-
Less Refunds	39.0	75.0	75.0	75.0	75.0
General Fund Total	651.1	461.7	158.0	74.8	32.1
Special Transportation Fund					
Taxes					
Oil Companies	-	-	7.1	41.0	58.1
Sales and Use	158.6	260.6	361.6	375.0	388.5
Special Transportation Fund Total	158.6	260.6	368.7	416.0	446.6
MRSA					
Taxes					
Sales and Use	105.7	221.9	361.6	375.0	388.5
MRSA Total	105.7	221.9	361.6	375.0	388.5
TOTAL TAXES	915.4	944.2	888.3	865.8	868.2

Sales Tax Revenue Diversions

Municipal Revenue Sharing Account

The budget (PA 15-244, as adjusted by PA 15-5 JSS) requires a monthly transfer of a portion of the sales tax generated into the Municipal Revenue Sharing Account (MRSA), based on the rates in the table below. The general sales and use tax rate, from which the diversion occurs, remains at 6.35%.

MRSA Diversion Rate Schedule

Months Commencing On or After	Percentage Point
January 1, 2016 - April 30, 2017	0.30%
May 1, 2017 - June 30, 2017	0.40%
July 1, 2017 and thereafter	0.50%

The following table provides the estimated diversions by month.

Estimated Sales Tax Diversions to MRSA (in millions)

MRSA Diversion	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
<i>Budgeted Target</i>	105.7	221.9			
July	-	17.5	30.1	31.2	32.4
August	-	17.5	30.1	31.2	32.4
September	-	17.5	30.1	31.2	32.4
October	-	17.5	30.1	31.2	32.4
November	-	17.5	30.1	31.2	32.4
December	-	17.5	30.1	31.2	32.4
January	17.6	17.5	30.1	31.2	32.4
February	17.6	17.5	30.1	31.2	32.4
March	17.6	17.5	30.1	31.2	32.4
April	17.6	17.5	30.1	31.2	32.4
May	17.6	23.3	30.1	31.2	32.4
June	17.6	23.3	30.1	31.2	32.4
Total by Year	105.7	221.9	361.6	375.0	388.5
Total Cumulative Transfer	105.7	327.6	689.2	1,064.2	1,452.7
Transfer Rates	0.3%	0.4%	0.5%		

Special Transportation Fund

The budget (PA 15-244, as adjusted by PA 15-5 JSS) requires a monthly transfer of a portion of the sales tax generated into the Special Transportation Fund (STF) based on the rates in the table below. The general sales and use tax rate, from which the diversions occur, remains at 6.35%.

STF Diversion Rate Schedule

Months Commencing On or After	Percentage Point
October 1, 2015 - September 30, 2016	0.30%
October 1, 2016 - June 30, 2017	0.40%
July 1, 2017 and thereafter	0.50%

The following table provides the estimated diversions by month.

Estimated Sales Tax Diversions to STF (in millions)

STF Diversion	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Budgeted Target	158.6	260.6			
July	-	17.4	30.1	31.2	32.4
August	-	17.4	30.1	31.2	32.4
September	-	17.4	30.1	31.2	32.4
October	17.6	23.1	30.1	31.2	32.4
November	17.6	23.1	30.1	31.2	32.4
December	17.6	23.1	30.1	31.2	32.4
January	17.6	23.1	30.1	31.2	32.4
February	17.6	23.1	30.1	31.2	32.4
March	17.6	23.1	30.1	31.2	32.4
April	17.6	23.1	30.1	31.2	32.4
May	17.6	23.1	30.1	31.2	32.4
June	17.6	23.2	30.1	31.2	32.4
Total	158.6	260.6	361.6	375.0	388.5
Total Cumulative Transfer	158.6	419.2	780.8	1,155.8	1,544.3
Transfer Rates	0.3%	0.4%	0.5%		

FY 16 and FY 17 All Appropriated Funds Revenue Summary
(in thousands)

Item	FY 16 \$	FY 17 \$
Taxes		
Personal Income Tax	9,834,400	10,357,200
Sales & Use Tax	4,121,065	4,084,665
Corporation Tax	902,200	910,700
Public Service Tax	308,000	316,500
Inheritance & Estate Tax	173,400	174,700
Insurance Companies Tax	243,800	246,000
Cigarettes Tax	361,200	363,300
Real Estate Conveyance Tax	194,700	200,800
Oil Companies Tax	-	-
Alcoholic Beverages Tax	61,700	62,100
Admissions & Dues Tax	38,300	39,600
Health Provider Tax	676,900	683,900
Miscellaneous Tax	20,800	21,300
Subtotal - Taxes	16,936,465	17,460,765
Less Refunds	(1,090,400)	(1,103,100)
Less Earned Income Tax Credit	(127,400)	(133,900)
Less R&D Credit Exchange	(7,100)	(7,400)
Net - Taxes	15,711,565	16,216,365
Other Revenue		
Transfers - Special Revenue	343,400	369,300
Indian Gaming Payments	258,800	252,400
Licenses, Permits and Fees	308,513	290,775
Sales of Commodities	38,000	39,100
Rents, Fines and Escheats	126,000	128,000
Investment Income	2,500	5,600
Miscellaneous	171,300	173,400
Less Refunds of Payments	(74,200)	(75,100)
Net - Other Revenue	1,174,313	1,183,475
Other Sources		
Federal Grants	1,265,230	1,252,687
Transfer From Tobacco Settlement	106,600	104,500
Transfers (To)/From Other Funds	(95,350)	(43,400)
Transfers to the Resources of the Special Transportation Fund	-	-
Net - Other Sources	1,276,480	1,313,787
GF TOTAL	18,162,357	18,713,627
Special Transportation Fund (STF)		
Taxes		
Motor Fuels Tax	499,000	502,300
Oil Companies Tax	339,100	359,700
Sales & Use Tax	158,600	260,600
Sales Tax- DMV	84,000	85,000

Item	FY 16 \$	FY 17 \$
Less Refunds	(7,300)	(7,500)
Net - Taxes	1,073,400	1,200,100
Other Sources		
Motor Vehicle Receipts	245,800	246,600
Licenses, Permits and Fees	139,300	139,900
Interest Income	7,700	8,500
Federal Grants	12,100	12,100
Transfers From/(To) Other Funds	(6,500)	(6,500)
Transfers from the Resources of the Special Transportation Fund	-	-
Refunds of Payments	(3,700)	(3,800)
Net - Other Sources	394,700	396,800
STF TOTAL	1,468,100	1,596,900
Mashantucket Pequot and Mohegan Fund (MP&MF)		
Transfers from General Fund	61,800	61,800
MP&MF TOTAL	61,800	61,800
Regional Market Operating Fund (RMOF)		
Rentals and Investment Income	1,100	1,100
RMOF TOTAL	1,100	1,100
Banking Fund		
Fees and Assessments	30,000	30,200
BANKING FUND TOTAL	30,000	30,200
Insurance Fund		
Fees and Assessments	79,950	81,400
INSURANCE FUND TOTAL	79,950	81,400
Consumer Counsel and Public Utility Control Fund (CC&PUCF)		
Fees and Assessments	27,000	27,300
CC&PUCF TOTAL	27,000	27,300
Workers' Compensation Fund (WCF)		
Fees and Assessments	24,867	28,122
Use of Fund Balance from Prior Years	14,960	12,516
WCF TOTAL	39,827	40,638
Criminal Injuries Compensation Fund (CICF)		
Restitutions	2,900	3,000
CICF TOTAL	2,900	3,000
ALL APPROPRIATED FUNDS	19,873,034	20,555,965

Revenue Impact of Policy Changes (in millions)

General Fund

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Personal Income Tax						
Sec. 65 of PA 15-244	Increase, from 50% to 100%, the Income Tax exemption for military retirement pay.	(6.0)	(4.0)	(4.0)	(4.0)	(4.0)
Sec. 66 of PA 15-244	Establish a new top marginal rate of 6.99% in the Income Tax for those tax filers with CT Adjusted Gross Incomes over certain thresholds. Increase the current Top Marginal Rate to 6.9%.	151.5	137.9	146.3	156.5	169.1
Secs. 67-68 of PA 15-244	Delay the scheduled increase in the personal exemption for Single Filers of the Income Tax from \$14,500 to \$15,000.	10.8	-	-	-	-
Sec. 70 of PA 15-244	Reduce Property Tax Credit phase out thresholds for the credit in FY 16. Reduce the credit from \$300 to \$200 in FY 17.	13.0	25.0	25.0	25.0	25.0
Subtotal		169.3	158.9	167.3	177.5	190.1
Sales and Use Tax						
Sec. 71 of PA 15-244	Reduce, from \$300 to \$100, the per-item exemption from the Sales and Use Tax during the "Sales Tax Free Week."	1.0	1.0	1.0	1.1	1.1
Secs. 72-73 of PA 15-244	Increase the Luxury Sales and Use Tax from 7.0% to 7.75%.	6.2	6.4	6.6	6.9	7.1
Sec. 74 of PA 15-244, as amended by Sec. 132 of PA 15-5 JSS	Establish a municipal share of the Sales and Use Tax. The rate is 0.3% effective 1/1/16, 0.4% effective 5/1/17, and 0.5% effective 7/1/17 and thereafter.	(105.7)	(221.9)	(361.6)	(375.0)	(388.5)
Sec. 74 of PA 15-244, as amended by Sec. 132 of PA 15-5 JSS	Establish a transportation share of the Sales and Use Tax. The rate is 0.3% effective 10/1/15, 0.4% effective 10/1/16, and 0.5% effective 7/1/17 and thereafter.	(158.6)	(260.6)	(361.6)	(375.0)	(388.5)
Sec. 74 of PA 15-244	Eliminate FY 17 Regional Performance Incentive Account Diversion.	-	10.0	-	-	-
Secs. 74-76 of PA 15-244, as amended by Secs. 132-134 of PA 15-5 JSS	Extend Sales and Use Tax to World Wide Web Services at 1.0% effective 10/1/15.	7.8	10.8	11.2	11.6	12.0

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Sec. 75 of PA 15-244, as amended by Sec. 136 of PA 15-5 JSS	Extend the Sales and Use Tax to car wash services.	6.8	6.8	7.1	7.3	7.6
Secs. 75 and 77 of PA 15-244, as amended by Sec. 135 of PA 15-5 JSS	Repeal Sales Tax Exemption for certain Motor Vehicle Parking, except maintain the exemption for employer-owned lots.	5.1	5.1	5.3	5.6	5.8
Secs. 78-80 of PA 15-244	Authorize certain entities to sell sealed containers of draught beer i.e. "growlers", which is anticipated to increase the volume of beer consumed.	1.6	1.6	1.7	1.8	1.8
Secs. 81-82 of PA 15-244	Increase the number of allowable alcoholic beverage retail permits. Extend permissible sale hours for alcoholic beverage retail permittees.	0.5	0.5	0.5	0.5	0.5
Secs. 176-180 of PA 15-244	Increase the tax on cigarettes by \$0.25 in FY 16 and \$0.50 in FY 17. Implement a floor tax on cigarettes.	0.2	0.4	0.4	0.4	0.4
Sec. 219 of PA 15-244	Eliminate the Sales and Use Tax exemption for clothing and footwear costing less than \$50.	136.8	142.6	148.0	153.5	159.2
Sec. 219 of PA 15-244	Repeal the Sales Tax Exemption for Water Companies.	4.0	4.0	4.0	4.0	4.0
Subtotal		(94.3)	(293.3)	(537.4)	(557.3)	(577.4)
Corporation Tax						
Secs. 83-84 of PA 15-244	Extend the 20% surcharge on the Corporate Income Tax for certain filers and phase out the surcharge after the FY 16 and FY 17 Biennium.	44.4	75.0	52.5	15.0	0.0
Sec. 87 of PA 15-244, as amended by Sec. 482 of PA 15-5 JSS	Limit the use of loss carryforwards against the Corporate Income Tax to 50% of net income in any income year when computing the amount of tax due.	156.3	90.1	90.1	90.1	90.1
Sec. 88 of PA 15-244	Lower, from 70% to 50.01%, the maximum percentage to which tax credits may be used against the total liability under the Corporate Income Tax.	42.5	34.0	34.0	34.0	34.0
Secs. 138-163 of PA 15-244, as amended by Secs. 139-153 of PA 15-5 JSS	Establish Mandatory Combined Reporting effective 1/1/16.	14.9	23.7	23.7	23.7	23.7
Subtotal		258.1	222.8	200.3	162.8	147.8

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Inheritance and Estate Tax						
Sec. 174-175 of PA 15-244	Create a \$20 million lifetime cap on total Unified Gift and Estate tax liability.	(4.0)	(8.0)	(8.0)	(8.0)	(8.0)
Subtotal		(4.0)	(8.0)	(8.0)	(8.0)	(8.0)
Insurance Companies Tax						
Sec. 85 of PA 15-244	Delay the scheduled expiration of the two lower tiers of caps on credit utilization against the Insurance Premiums Tax.	18.7	18.7	-	-	-
Sec. 86 of PA 15-244	Delay the scheduled expiration of the moratorium on the issuance of new film tax credits, which may be applied to the Insurance Premiums Tax.	4.0	4.0	-	-	-
Subtotal		22.7	22.7	0.0	0.0	0.0
Cigarettes Tax						
Secs. 176-180 of PA 15-244	Increase the tax on cigarettes by \$0.25 in FY 16 and \$0.50 in FY 17.	21.7	40.0	37.6	35.3	33.2
Secs. 176-180 of PA 15-244	Implement a floor tax on cigarettes.	2.8	2.8	-	-	-
Subtotal		24.5	42.8	37.6	35.3	33.2
Oil Companies Tax						
Sec. 91 of PA 15-244	Transfer all Oil Companies Tax to the Special Transportation Fund.	-	-	(7.1)	(41.0)	(58.1)
Subtotal		-	-	(7.1)	(41.0)	(58.1)
Alcoholic Beverages Tax						
Secs. 78-80 of PA 15-244	Authorize certain entities to sell sealed containers of draught beer i.e. "growlers", which is anticipated to increase the volume of beer consumed.	0.2	0.2	0.2	0.2	0.2
Secs. 81-82 of PA 15-244	Increase the number of allowable alcoholic beverage retail permits. Extends permissible sale hours for alcoholic beverage retail permittees.	0.3	0.3	0.3	0.3	0.3
Subtotal		0.5	0.5	0.5	0.5	0.5
Admissions and Dues Tax						
Sec. 216 of PA 15-244	Exempt Harbor Yard in Bridgeport from the Admissions and Dues tax.	(0.4)	(0.4)	-	-	-
Subtotal		(0.4)	(0.4)	-	-	-
Health Provider Tax						
No legislation required	Increase Hospital Tax Rate to 6% and update the base to FFY 13 Revenues.	207.0	207.0	207.0	207.0	207.0

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Sec. 89 of PA 15-244	Limit the use of tax credits against a liability under the Hospital Tax to 50.01% of the total.	2.8	2.8	2.8	2.8	2.8
Sec. 172 of PA 15-244, as amended by Sec. 130 of PA 15-5 JSS	Establish a provider tax of 6% on ambulatory surgical centers.	15.0	20.0	20.1	20.2	20.3
Subtotal		224.8	229.8	229.9	230.0	230.1
Miscellaneous Tax						
Sec. 107 of PA 15-244	Limit the applicability of the rental surcharge to people or businesses generating at least 51% of their total annual revenue from rentals.	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Subtotal		(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Earned Income Tax Credit						
Sec. 69 of PA 15-244	Delay the scheduled restoration of the state's Earned Income Tax Credit (EITC) rate to 30% from 27.5% of the federal EITC.	11.0	11.0	-	-	-
Subtotal		11.0	11.0	0.0	0.0	0.0
Refund of Taxes						
Sec. 70 of PA 15-244	Reduce Property Tax Credit phase out thresholds for the credit in FY 16. Reduce the credit from \$300 to \$200 in FY 17.	39.0	75.0	75.0	75.0	75.0
Subtotal		39.0	75.0	75.0	75.0	75.0
Total Taxes		651.1	461.7	158.0	74.8	33.1
Transfers - Special Revenue						
Secs. 103-106 of PA 15-244, as amended by Sec. 138 of PA 15-5 JSS	Authorize Keno Gaming.	13.6	30.0	30.0	30.0	30.0
Subtotal		13.6	30.0	30.0	30.0	30.0
Licenses, Permits, and Fees						
Secs. 99-102 and 221 of PA 15-244	Transfer Palliative Use of Marijuana to General Fund.	0.6	0.6	0.6	0.6	0.6
Secs. 108-11 of PA 15-244	Require manufacturers and sellers of electronic nicotine delivery devices to register with the Department of Consumer Protection and annually renew their registration accompanied by fees.	2.0	1.6	1.6	1.6	1.6
Sec. 217 of PA 15-244	Create a swimming pool installer license.	0.1	0.1	0.1	0.1	0.1
Subtotal		2.7	2.3	2.3	2.3	2.3

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Miscellaneous Revenue						
Sec. 170 of PA 15-244	Adjust reimbursement rates for resident state trooper costs to 85%.	2.5	2.5	2.5	2.5	2.5
Subtotal		2.5	2.5	2.5	2.5	2.5
Total Other Revenue		18.8	34.8	34.8	34.8	34.8
Federal Grants						
Sec. 1 of PA 15-244	Revenue loss resulting from expenditure changes.	(13.2)	(14.0)	(14.5)	(15.0)	(15.5)
Sec. 1 of PA 15-244	Net Appropriate HUSKY B.	(27.1)	(31.9)	(33.8)	(35.9)	(30.6)
Subtotal		(40.4)	(45.9)	(48.3)	(50.9)	(46.2)
Transfer from Tobacco Settlement						
Sec. 90 of PA 15-244	Divert Tobacco Settlement Funds from Preschool Expansion.	5.0	5.0	-	-	-
Sec. 90 of PA 15-244	Divert the scheduled transfers from the Tobacco Settlement Fund to the Tobacco Health Trust Fund during the FY 16 and FY 17 Biennium.	12.0	12.0	6.0	6.0	6.0
Subtotal		17.0	17.0	6.0	6.0	6.0
Transfers From/To Other Funds						
No legislation required	Amortize the Accumulative GAAP Deficit.	(47.6)	(47.6)	(47.6)	(47.6)	(47.6)
Sec. 92 of PA 15-244	Eliminate Scheduled Transfer from the General Fund to the Special Transportation Fund.	152.8	162.8	162.8	162.8	162.8
Sec. 93 of PA 15-244	Divert Revenue from the Community Investment Act Account.	6.8	13.5	-	-	-
Secs. 94-95 of PA 15-244	Divert revenue from the Connecticut Health and Educational Facilities Authority.	3.5	3.5	-	-	-
Secs. 96-97 of PA 15-244	Transfer funds from the Public, Educational and Governmental Programming and Education Technology Investment Account (PEGPETIA).	4.2	4.3	-	-	-
Sec. 98 of PA 15-244	Permanently diverts 3/5 revenue from the Municipal Video Competition Account.	3.0	3.0	3.0	3.0	3.0
Sec. 173 of PA 15-244	Credit Resources of the General Fund from FY 16 to FY 17.	(25.0)	25.0	-	-	-
Secs. 181-182 of PA 15-244	Transfer funds from the resources of the Banking Fund.	7.0	7.0	-	-	-
Secs. 220 and 223 of PA 15-244	Eliminate the scheduled one-time transfer from the Resources of the General Fund to Municipal Revenue Sharing Account.	12.7	-	-	-	-

Enacting Authority	Policy Change	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Sec. 131 of PA 15-5 JSS	Transfer funds from the Citizens' Election Fund.	-	7.8	-	-	-
Subtotal		117.4	179.3	118.2	118.2	118.2
Transfers to the Resources of the Special Transportation Fund						
Sec. 91 of PA 15-244	Eliminate the provision to hold the Special Transportation Fund harmless with respect to the Oil Companies Tax revenue as of July 1, 2015.	38.2	17.6	-	-	-
Subtotal		38.2	17.6	0.0	0.0	0.0
Total Other Sources		132.2	168.0	75.9	73.3	78.0
GRAND TOTAL		802.1	664.4	268.7	182.8	145.9

Special Transportation Fund

Enacting Policy	Policy	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Oil Companies						
Sec. 91 of PA 15-244	Transfer all Oil Companies Tax to the Special Transportation Fund.	-	-	7.1	41.0	58.1
Subtotal		-	-	7.1	41.0	58.1
Sales and Use Tax						
Sec. 74 of PA 15-244, as amended by Sec. 132 of PA 15-5 JSS	Establish a transportation share of the Sales and Use Tax. The rate is 0.3% effective 10/1/15, 0.4% effective 10/1/16, and 0.5% effective 7/1/17 and thereafter.	158.6	260.6	361.6	375.0	388.5
Subtotal		158.6	260.6	361.6	375.0	388.5
Transfers From/To Other Funds						
Sec. 92 of PA 15-244	Eliminate Scheduled Transfer from the General Fund to the Special Transportation Fund.	(152.8)	(162.8)	(162.8)	(162.8)	(162.8)
Subtotal		(152.8)	(162.8)	(162.8)	(162.8)	(162.8)
Transfers from the Resources of the Special Transportation Fund						
Sec. 91 of PA 15-244	Eliminate the provision to hold the Special Transportation Fund harmless with respect to the Oil Companies Tax revenue as of July 1, 2015.	(38.2)	(17.6)	-	-	-
Subtotal		(38.2)	(17.6)	-	-	-
GRAND TOTAL		(32.4)	80.2	205.9	253.2	283.8

Banking Fund

Enacting Policy	Policy	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Secs. 181-182 of PA 15-244	Transfer funds from the resources of the Banking Fund.	(7.0)	(7.0)	-	-	-
GRAND TOTAL		(7.0)	(7.0)	-	-	-

Other Significant Revenue Policies – Non-appropriated Funds

Municipal Revenue Sharing Account

Enacting Policy	Policy	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Sec. 74 of PA 15-244, as amended by Sec. 132 of PA 15-5 JSS	Establish a municipal share of the Sales and Use Tax. The rate is 0.3% effective 1/1/16, 0.4% effective 5/1/17, and 0.5% effective 7/1/17 and thereafter.	158.6	260.6	361.6	375.0	388.5
GRAND TOTAL		158.6	260.6	361.6	375.0	388.5

Probate Court Administration Fund

Enacting Policy	Policy	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Secs. 447-458 and 522 of PA 15-5 JSS	Increases the probate estate fees and establish various new fees.	9.0	11.0	11.0	11.0	11.0
GRAND TOTAL		9.0	11.0	11.0	11.0	11.0

Professional Assistance Program Account - Department of Public Health

Enacting Policy	Policy	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$
Secs. 112-137 of PA 15-244, as amended by Secs. 474-479 of PA 15-5 JSS	Increase by \$5 license renewal fees to fund the professional assistance program for DPH-regulated professionals.	0.6	0.7	0.7	0.7	0.7
GRAND TOTAL		0.6	0.7	0.7	0.7	0.7

FY 16 and FY 17 General Fund Revenue Schedule (in thousands)

	January Consensus FY 16	Governor Policies	Governor FY 16 Revenue	Consensus Update (April)	April Consensus FY 16	Legislative Policies	Legislative FY 16 Revenue
Taxes							
Personal Income	9,748,600	12,700	9,761,300	(83,500)	9,665,100	169,300	9,834,400
Sales and Use	4,251,000	70,400	4,321,400	(35,600)	4,215,400	(94,335)	4,121,065
Corporations	627,300	273,200	900,500	16,800	644,100	258,100	902,200
Public Service Corporations	308,000	700	308,700	-	308,000	-	308,000
Inheritance and Estate	177,400	-	177,400	-	177,400	(4,000)	173,400
Insurance Companies	237,200	22,700	259,900	(16,100)	221,100	22,700	243,800
Cigarettes	336,700	-	336,700	-	336,700	24,500	361,200
Real Estate Conveyance	194,700	-	194,700	-	194,700	-	194,700
Oil Companies	-	-	-	-	-	-	-
Alcoholic Beverages	61,200	1,800	63,000	-	61,200	500	61,700
Admissions and Dues	38,700	-	38,700	-	38,700	(400)	38,300
Health Provider	479,900	170,200	650,100	(27,800)	452,100	224,800	676,900
Miscellaneous Taxes	20,900	-	20,900	-	20,900	(100)	20,800
Total Taxes	16,481,600	551,700	17,033,300	(146,200)	16,335,400	601,065	16,936,465
Refunds of Taxes	(1,165,200)	-	(1,165,200)	35,800	(1,129,400)	39,000	(1,090,400)
Earned Income Tax Credit	(138,400)	11,000	(127,400)	-	(138,400)	11,000	(127,400)
R & D Credit Exchange	(7,100)	-	(7,100)	-	(7,100)	-	(7,100)
Taxes Less Refunds	15,170,900	562,700	15,733,600	(110,400)	15,060,500	651,065	15,711,565
Other Revenue							
Transfer Special Revenue	329,800	-	329,800	-	329,800	13,600	343,400
Indian Gaming Payments	260,700	-	260,700	(1,900)	258,800	-	258,800
Licenses, Permits and Fees	295,900	15,900	311,800	9,900	305,800	2,713	308,513
Sales of Commodities	44,600	-	44,600	(6,600)	38,000	-	38,000
Rentals, Fines and Escheats	119,900	-	119,900	6,100	126,000	-	126,000
Investment Income	2,800	-	2,800	(300)	2,500	-	2,500
Miscellaneous	163,700	4,600	168,300	5,100	168,800	2,500	171,300
Refunds of Payments	(74,200)	-	(74,200)	-	(74,200)	-	(74,200)
Total Other Revenue	1,143,200	20,500	1,163,700	12,300	1,155,500	18,813	1,174,313
Other Sources							
Federal Grants	1,298,100	(25,663)	1,272,437	7,500	1,305,600	(40,370)	1,265,230
Transfer from Tobacco Settlement	86,100	21,000	107,100	3,500	89,600	17,000	106,600
Transfers From/To Other Funds	(212,700)	(20,900)	(233,600)	-	(212,700)	117,350	(95,350)
Transfer to the Resources of the Special Transportation Fund	(38,200)	-	(38,200)	-	(38,200)	38,200	-
Total Other Sources	1,133,300	(25,563)	1,107,737	11,000	1,144,300	132,180	1,276,480
Total General Fund	17,447,400	557,637	18,005,037	(87,100)	17,360,300	802,057	18,162,357

	January Consensus FY 17	Governor Policies	Governor FY 17 Revenue	Consensus Update (April)	April Consensus FY 17	Legislative Policies	Legislative FY 17 Revenue
Taxes							
Personal Income	10,304,700	8,500	10,313,200	(106,400)	10,198,300	158,900	10,357,200
Sales and Use	4,431,100	(10,200)	4,420,900	(53,100)	4,378,000	(293,335)	4,084,665
Corporations	669,800	154,400	824,200	18,100	687,900	222,800	910,700
Public Service Corporations	316,500	700	317,200	-	316,500	-	316,500
Inheritance and Estate	182,700	-	182,700	-	182,700	(8,000)	174,700
Insurance Companies	239,700	22,700	262,400	(16,400)	223,300	22,700	246,000
Cigarettes	320,500	-	320,500	-	320,500	42,800	363,300
Real Estate Conveyance	200,800	-	200,800	-	200,800	-	200,800
Oil Companies	-	-	-	-	-	-	-
Alcoholic Beverages	61,600	1,800	63,400	-	61,600	500	62,100
Admissions and Dues	40,000	-	40,000	-	40,000	(400)	39,600
Health Provider	482,000	169,000	651,000	(27,900)	454,100	229,800	683,900
Miscellaneous Taxes	21,400	-	21,400	-	21,400	(100)	21,300
Total Taxes	17,270,800	346,900	17,617,700	(185,700)	17,085,100	375,665	17,460,765
Refunds of Taxes	(1,214,900)	-	(1,214,900)	36,800	(1,178,100)	75,000	(1,103,100)
Earned Income Tax Credit	(144,900)	11,000	(133,900)	-	(144,900)	11,000	(133,900)
R & D Credit Exchange	(7,400)	-	(7,400)	-	(7,400)	-	(7,400)
Taxes Less Refunds	15,903,600	357,900	16,261,500	(148,900)	15,754,700	461,665	16,216,365
Other Revenue							
Transfer Special Revenue	339,300	-	339,300	-	339,300	30,000	369,300
Indian Gaming Payments	254,300	-	254,300	(1,900)	252,400	-	252,400
Licenses, Permits and Fees	273,300	18,700	292,000	15,200	288,500	2,275	290,775
Sales of Commodities	45,800	-	45,800	(6,700)	39,100	-	39,100
Rentals, Fines and Escheats	121,800	-	121,800	6,200	128,000	-	128,000
Investment Income	5,900	-	5,900	(300)	5,600	-	5,600
Miscellaneous	165,800	4,600	170,400	5,100	170,900	2,500	173,400
Refunds of Payments	(75,100)	-	(75,100)	-	(75,100)	-	(75,100)
Total Other Revenue	1,131,100	23,300	1,154,400	17,600	1,148,700	34,775	1,183,475
Other Sources							
Federal Grants	1,322,000	(30,645)	1,291,355	(23,400)	1,298,600	(45,913)	1,252,687
Transfer from Tobacco Settlement	83,000	16,000	99,000	4,500	87,500	17,000	104,500
Transfers From/To Other Funds	(222,700)	(9,800)	(232,500)	-	(222,700)	179,300	(43,400)
Transfer to the Resources of the Special Transportation Fund	(17,600)	-	(17,600)	-	(17,600)	17,600	-
Total Other Sources	1,164,700	(24,445)	1,140,255	(18,900)	1,145,800	167,987	1,313,787
Total General Fund	18,199,400	356,755	18,556,155	(150,200)	18,049,200	664,427	18,713,627

Orientation to Policies Details Report

Policies Details

1	→	Sales and Use Tax	3	4	5	6	7	8
1	→	General Fund						
		Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
2	→	January Consensus	4,500,000,000	5,000,000,000	4,500,000,000	5,000,000,000	-	-
		April Consensus Update	-	-	25,000,000	25,000,000	25,000,000	25,000,000
		Policy Estimates and Updates	10,000,000	15,000,000	(15,000,000)	(10,000,000)	(25,000,000)	(25,000,000)
		Total	4,510,000,000	5,015,000,000	4,510,000,000	5,015,000,000	-	-

9 → April Consensus Update

9a	→	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
		-	-	25,000,000	25,000,000	25,000,000	25,000,000

9b → The April Consensus estimates are higher than the January Consensus by \$25 million in FY 16 and \$25 million in FY 17 due to a more optimistic outlook on the slow growth forecast as reported by various government agencies and economists.

10 → Policy Revisions

10a → Establish Sales Tax Exemption for Lobster Bibs

10b	→	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
		(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	-	-

10c → **Background:** Lobster bibs, which provide protection when consuming all parts of lobster, are currently taxable. Additionally, the bibs are taxable during the annual sales tax free week (held the third week of August), as they do not qualify as clothing for the purposes of the tax holiday.

10d → **Governor:** Exempt the sale of lobster bibs from the Sales and Use Tax. Section 1 of SB 946 implements this provision.

10e → **Legislative:** Same as Governor. Section 51 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Explanation

(1) This section indicates: (1a) the name of the revenue source and (1b) the fund that the revenue source belongs to. Together the GF and STF comprise over 98% of total state revenue collections.

(2) This summarizes the components of the revenue estimate process, including: (a) the January Consensus (technical) Estimate, which is the starting point that the governor must use for his budget; (b) the April Consensus Estimate, which is a technical update to the January Consensus Estimate that is the basis for the adopted budget; and (c) Policy Estimates and Updates, which indicates the impact of policy changes proposed by the governor or in the adopted budget. (See below for a further explanation of Consensus Revenue Estimates.)

(3) & (4) These columns indicate the values of the components of the revenue estimate in the governor's budget proposal, including: (a) the January Consensus Estimate baseline figure and (b) the revenue impact of the governor's proposed policy changes.

(5) & (6) These columns gives the values of the components of the revenue estimate for the adopted budget, including: (a) the January Consensus Estimate baseline figure, (b) the April Consensus Estimate adjustment to the January figure, and (c) the revenue impact of the adopted budget's policy changes.

(7) & (8) These columns provide the difference between the governor's budget and the final enacted budget, specifically the updated changes in consensus from January to April and the aggregated policy differences.

(9) This section provides information about the difference between the April Consensus adjustment and the January baseline estimate. (9a) shows the value of the difference and (9b) is OFA's textual explanation of the difference. The April adjustment is a technical update that does not reflect any policy changes. The legislature must use this adjustment when formulating the adopted budget.

(10) This section provides information on all policy changes proposed by either the governor or in the adopted budget: (10a) indicates the title of a policy change; (10b) shows the estimated revenue impact of the policy change; (10c) provides a textual explanation of relevant background information; (10d) is a textual description of the governor's proposed policy change, including legislative, statutory or other references; and (10e) is a textual description of the adopted change, including legislative, statutory or other references.

Consensus Revenue Estimates

The Office of Fiscal Analysis (OFA) and Office of Policy and Management (OPM) jointly issue consensus revenue estimates in November, January and April of each year⁴. The estimates cover a total of five years, including the current biennium and the following three fiscal years. If there is no agreement between OFA and OPM, the Office of the State Comptroller is required to issue consensus estimates that are: (1) equal to the estimates provided by either OFA or OPM or (2) between the OFA and OPM estimates.

The governor and the legislature are required to use the most recent GF and STF consensus estimates to prepare their respective budgets. The governor⁵ uses the January consensus estimates for the GF and STF budget proposals presented in February and the legislature uses the April consensus forecast to formulate the enacted budget.⁶

Although OFA and OPM are required to agree on the same bottom line consensus estimate for each source of GF and TF revenue, the offices may use different assumptions about growth and the impact of policy changes to reach those bottom line figures. The consensus revenue estimate information in this book for the GF show only the assumptions used by OFA to reach its bottom line consensus estimate for each revenue source.

⁴CGS 2-36c governs the process of Consensus revenue estimates.

⁵CGS 4-74 requires the governor to base his budget document, which is issued in February of each year, upon the most recent consensus revenue estimate available at the time.

⁶CGS 2-35 governs the adoption of revenue estimates by the Finance, Revenue and Bonding Committee in support of the state budget act.

Policies Details - General Fund

Personal Income

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	9,748,600,000	10,304,700,000	9,748,600,000	10,304,700,000	-	-
April Consensus Update	-	-	(83,500,000)	(106,400,000)	(83,500,000)	(106,400,000)
Policy Estimates and Updates	12,700,000	8,500,000	169,300,000	158,900,000	156,600,000	150,400,000
Total	9,761,300,000	10,313,200,000	9,834,400,000	10,357,200,000	73,100,000	44,000,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(83,500,000)	(106,400,000)	(83,500,000)	(106,400,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$83.5 million and \$106.4 million, respectively, due to the following: A) a net \$65.5 million decrease in the FY 15 estimate which effectively lowered the FY 16 revenue base, B) an increase in the aggregate FY 16 growth rate from 5.3% to 5.4% (consisting of a reduction in the withholding growth rate from 4.4% to 4.2%, and an increase in the estimated and final payments growth rate from 6.6% to 7.2%), and C) a decrease in the aggregate FY 17 growth rate from 5.7% to 5.5% (consisting of a reduction in the withholding growth rate from 5.3% to 4.8%, and an increase in the estimated and final payments growth rate from 6.2% to 6.6%).

It should be noted that both the January and April Consensus estimates include the revenue impact of the partial teachers' pension exemption included in PA 14-47, the FY 15 Revised Budget, which lowered revenue by \$11.8 million and \$20.8 million in FY 16 and FY 17, respectively.

Policy Revisions

Delay Increase in the Personal Exemption for Single Filers

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
12,700,000	8,500,000	10,800,000	-	(1,900,000)	(8,500,000)

Background: Pursuant to CGS Sec. 12-702(a), the personal exemption for certain unmarried (single) filers of the Personal Income Tax is due to increase incrementally from the basis of \$12,250 in 2000 culminating in a personal exemption of \$15,000 in 2015 and thereafter. The 2014 personal exemption is \$14,500. In accordance with the statute, these personal exemptions may be reduced or eliminated entirely based upon how much a single filer's adjusted gross income exceeds the personal exemption amount.

Governor: Delay until 2018 the final scheduled incremental increase of \$500, which increases the current personal exemption from \$14,500 to \$15,000. Sections 1-3 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement these changes. The estimated revenue gain of \$12.7 million in FY 16 is 50% greater than the revenue gain of \$8.5 million in FY 17 because the FY 16 impact includes 18 months of activity, retroactive to January 1, 2015, through June 30, 2016. The FY 17 estimated revenue gain reflects 12 months of activity.

Legislative: Delay until 2016 the final scheduled incremental increase of \$500, which increases the current personal exemption from \$14,500 to \$15,000. Sections 67-68 of PA 15-244, the FY 16 and FY 17 budget, implement this provision.

Adjust Marginal Rates

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	151,500,000	137,900,000	151,500,000	137,900,000

Background: Pursuant to CGS Sec. 12-700, the Personal Income Tax is composed of six marginal tax rates ranging from 3.0% to 6.7% depending on income level and filing status.

Legislative: Adjust the marginal tax rates under the Personal Income Tax by: 1) increasing, from 6.7% to 6.9%, the marginal rate for filers with income above \$250,000 (single/separate), \$400,000 (head of household), or \$500,000 (joint), 2) establishing a new marginal tax rate of 6.99% for filers with income above \$500,000 (single/separate), \$800,000 (head of household), or \$1.0 million (joint), and 3) updating the Tax Recapture table to conform to the marginal tax rate adjustments. Section 66 of PA 15-244, the FY 16 and FY 17 budget, enacts these provisions. The estimated revenue gain of \$151.5 million in FY 16 is greater than the revenue gain of \$137.9 million in FY 17 because the FY 16 impact includes 18 months of activity, retroactive to January 1, 2015 through June 30, 2016. The FY 17 estimated revenue gain reflects 12 months of activity.

Increase Exemption for Military Retirement Pay

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(6,000,000)	(4,000,000)	(6,000,000)	(4,000,000)

Background: Pursuant to CGS Sec. 12-701(20)(B)(xvii), 50% of military retirement pay is exempt from the Personal Income Tax.

Legislative: Increase, from 50% to 100%, the military retirement pay exemption under the Personal Income Tax. Section 65 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision. The estimated revenue loss of \$6.0 million in FY 16 is greater than the revenue loss of \$4.0 million in FY 17 because the FY 16 impact includes 18 months of activity, retroactive to January 1, 2015 through June 30, 2016. The FY 17 estimated revenue loss reflects 12 months of activity.

Reduce Property Tax Credit

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	13,000,000	25,000,000	13,000,000	25,000,000

Background: Pursuant to CGS Sec. 12-704c, income tax filers are entitled to a credit of up to \$300 for property taxes paid on real property and motor vehicles.

Legislative: Reduce the Property Tax Credit against the Personal Income Tax by: 1) lowering the adjusted gross income threshold at which the maximum credit amount starts to phase out beginning with the 2015 income year (FY 16), and 2) lowering, from \$300 to \$200, the maximum credit amount beginning with the 2016 income year (FY 17). The overall associated revenue gain of \$52.0 million in FY 16 and \$100.0 million in FY 17 is reflected under both the Personal Income Tax and Refunds of Taxes line items as it is anticipated that a majority of the revenue will be realized in the form of lower income tax refunds in each fiscal year, with the remainder realized through higher income tax collections. Section 70 of PA 15-244, the FY 16 and FY 17 budget, enacts these provisions.

Sales and Use

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	4,251,000,000	4,431,100,000	4,251,000,000	4,431,100,000	-	-
April Consensus Update	-	-	(35,600,000)	(53,100,000)	(35,600,000)	(53,100,000)
Policy Estimates and Updates	70,400,000	(10,200,000)	(94,335,000)	(293,335,000)	(164,735,000)	(283,135,000)
Total	4,321,400,000	4,420,900,000	4,121,065,000	4,084,665,000	(200,335,000)	(336,235,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(35,600,000)	(53,100,000)	(35,600,000)	(53,100,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$35.6 million and \$53.1 million respectively due primarily to the lowering of the growth rates from FY 15-18 from the January to April Consensus. This is due to a technical recalculation of the FY 15 base, and not a negative reforecast of out-year growth from January.

The FY 15 estimate was also lowered by \$5 million due to year-to-date collections at that time, which effectively lowered the FY 15 revenue base for FY 16.

Policy Revisions

Adjust General Sales and Use Tax Rate

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(70,100,000)	(154,900,000)	-	-	70,100,000	154,900,000

Background: As of July 1, 2011, the general sales and use tax rate in the state is 6.35%.

Governor: Reduce the sales and use tax rate from 6.35% to 6.20% effective November 1, 2015 and 5.95% effective April 1, 2017. Sections 9 - 16 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement this change.

Legislative: Maintain the General Sales and Use Tax Rate at 6.35%. A portion of the revenue generated by the 6.35% tax is to be dedicated to (1) the Special Transportation Fund and (2) the Municipal Revenue Sharing Account. (See below for more details).

Eliminate Exemption on Clothing and Footwear

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
138,000,000	142,100,000	136,800,000	142,600,000	(1,200,000)	500,000

Background: An exemption for children's clothing has been in effect since the enactment of the sales and use tax in 1947. PA 85-3 expanded the exemption to other clothing and footwear under \$50. Various public acts afterward changed the threshold amount for exemption. PA 03-2 placed the threshold for exemption at \$50 which remained until PA 11-6, the FY 12-13 biennial budget, eliminated the exemption all together.

PA 13-184 restored the exemption on or after June 1, 2015. PA 14-47 delayed the restoration of the exemption to July 1, 2015, which resulted in a revenue gain of \$11.5 million for FY 15.

Governor: Eliminate sales tax exemption for clothing and footwear under \$50 due to take effect July 1, 2015. Section 45 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change.

Legislative: Same as Governor. Section 219 of PA 15-244, the FY 16 and FY 17 budget, enacts this policy. The adopted estimates are based on OFA's analysis of the policy.

Limit Sales Tax Free Week Items \$100 or Less

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
1,000,000	1,100,000	1,000,000	1,000,000	-	(100,000)

Background: CGS Sec. 12-407e provides a sales tax 'free week' on items of clothing and footwear costing less than \$300 beginning on the third Sunday in August until the next succeeding Saturday. PA 00-170 originally established the exemption.

Governor: Limit the sales tax 'free week' to clothing and footwear under \$100. Section 17 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change.

Legislative: Same as Governor. Section 71 of PA 15-244, the FY 16 and FY 17 budget, enacts this policy. The adopted estimates are based on OFA's analysis of the policy.

Amend Various Alcohol Policies

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
1,500,000	1,500,000	2,065,000	2,065,000	565,000	565,000

Background: CGS Sec. 30-68m defines a minimum bottle price of alcoholic liquor at which retailers may sell.

CGS Sec. 30-48a allows three alcoholic beverage retail permits to be acquired by a person or backer prior to July 1, 2015.

CGS Sec. 30-91 defines the times during which alcoholic beverage sales are permitted. Sales by places with package store permits, and other various retail permits, were allowable from 10am to 5pm on Sundays and from 8am to 9pm on all other days prior to July 1, 2015.

Governor: Implement the following changes regarding alcoholic beverage sales: (1) Eliminate the minimum bottle price requirement; (2) increase the number of allowable alcoholic beverage retail permits from three to six; (3) increase permissible sale hours to 10am to 8pm on Sundays and from 8am to 10pm on all other days. Sections 41-43 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement these changes.

Legislative: Implement the following changes: (1) increase the number of allowable alcoholic beverage retail permits from three to four permits; (2) extend permissible sale hours for alcoholic beverage retail permittees; (3) authorize certain entities to sell sealed containers of draught beer i.e. "growlers." Sections 78-82 of PA 15-244, the FY 16 and FY 17 budget, enact these policies.

Maintain the minimum bottle price of alcoholic liquor, as defined under CGS 30-68m, with no enacted policy changes.

Repeal Exemption for World Wide Web Services

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	7,800,000	10,800,000	7,800,000	10,800,000

Background: Prior to October 1, 2015, CGS Sec. 12-407(a)(37)(A) exempted from the sales and use tax services rendered in connection with the creation, development hosting or maintenance of all or part of a web site which is part of the graphical, hypertext portion of the Internet, commonly referred to as the World-Wide Web. PA 97-316 created the exemption.

Legislative: Eliminate exemption on the sales of world wide web services and tax at the 1% rate for computer and data processing services, effective October 1, 2015. Sections 74-76 of PA 15-244, the FY 16 and FY 17 budget, as amended by Sections 132-134 of PA 15-5 JSS, a budget implementer, enact this policy.

Increase the Cigarette Tax Rate

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	200,000	400,000	200,000	400,000

Background: Pursuant to CGS Sec. 12-296, cigarettes are taxed at a rate of 170 mills per cigarette, or \$3.40 per pack.

Legislative: Increase the Cigarette Tax rate to 182.5 mills per cigarette (\$3.65 per pack) in FY 16 and 195 mills per cigarette (\$3.90 per pack) in FY 17, resulting in a Cigarette Tax revenue gain of \$21.7 million in FY 16 and \$40.0 million in FY 17 (the revenue gain is lower in FY 16 because the rate increase is implemented on 10/1/15, resulting in partial-year revenue). This also results in increased Sales Tax revenue of \$0.2 million in FY 16 and \$0.4 million in FY 17 due to a higher base price for cigarettes.

Additionally, implement a "floor tax" on all existing inventory of cigarettes on 10/1/15 and 7/1/16 to ensure all cigarettes are sold at the same tax rate. This results in a Cigarette Tax revenue gain of \$2.8 million in each of FY 16 and FY 17.

Sections 176-180 of PA 15-244, the FY 16 and FY 17 budget, enact these policies.

Increase the Luxury Sales Tax Rate

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	6,200,000	6,400,000	6,200,000	6,400,000

Background: PA 11-6 established the luxury tax at 7.0% for the sales of various merchandise (motor vehicles, jewelry, clothing) costing above a set price.

Legislative: Increase the luxury tax rate from 7.0% to 7.75%, effective July 1, 2015. Sections 72-73 of PA 15-244, the FY 16 and FY 17 budget, enact this policy.

Divert Sales Tax Revenue to the Special Transportation Fund

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(158,600,000)	(260,600,000)	(158,600,000)	(260,600,000)

Legislative: Divert a portion of the revenue associated with the 6.35% sales tax rate into the Special Transportation Fund based on the following schedule.

- October 1, 2015, but prior to October 1, 2016 - 0.3 percentage points;
- October 1, 2016, but prior to July 1, 2017 - 0.4 percentage points;
- July 1, 2017 and forward - 0.5 percentage points.

PA 15-244, the FY 16 and FY 17 budget act, as amended by PA 15-5 JSS, a budget implementer, enact this policy.

Repeal Exemption for Water Companies

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	4,000,000	4,000,000	4,000,000	4,000,000

Background: CGS Sec. 12-412(90) exempted from the sales and use tax the sales of and the storage, use or other consumption of any personal property or any services to a water company employed for the purpose of supplying water to fifty or more customers. PA 94-4 MSS created the exemption.

Legislative: Eliminate the exemption on the sales of and the storage use or other consumption to a water company as provided through CGS Sec. 12-412(90). Section 219 of PA 15-244, the FY 16 and FY 17 budget, enacts this policy.

Extend the Sales and Use Tax to Car Wash Services

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	6,800,000	6,800,000	6,800,000	6,800,000

Background: PA 89-251 made car-wash services taxable. Coin-operated car-washes were excluded by PA 91-3 of the June Special session and all other car-washes were excluded by PA 93-74.

Legislative: Extend the sales and use tax to car washing services, including coin-operated car washes. PA 15-244, the FY 16 and FY 17 budget act, as amended by PA 15-5 JSS, a budget implementer, enact this policy.

Eliminate Regional Planning Incentive Account Diversion

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	10,000,000	-	10,000,000

Background: PA 11-6 established the Regional Performance Incentive Account (later renamed the Regional Planning Incentive Account by PA 13-247). The law requires 1 percentage point of the 15% hotel tax rate and 1 percentage point of the 9.35% rental car tax to be deposited into the account.

By law, the OPM secretary uses the account to fund (1) annual grants to regional councils of government and (2) grants awarded under the regional performance incentive program.

Legislative: Eliminate the transfer of funding from the hotel tax and the rental car tax to the Regional Planning Incentive Account in FY 17. Section 74 of PA 15-244, the FY 16 and FY 17 budget, enacts this policy.

Repeal Exemption for Certain Motor Vehicle Parking

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	5,100,000	5,100,000	5,100,000	5,100,000

Background: Under current law, motor vehicle parking services are taxable with some exemptions. PA 89-251 originally enacted the sales and use tax to motor vehicle parking services. CGS 12-407(a)(37)(N) exempts the following services from the sales tax:

- Lots with less than 30 spaces;
- Metered spaces;
- Space in a parking lot owned or leased under the terms of a lease of not less than ten years' duration and operated by an employer for the exclusive use of its employees;
- Space in municipally-operated railroad parking facilities in municipalities located within an area of the state designated as a severe nonattainment area for ozone under the federal Clean Air Act.

Legislative: Eliminate the sales tax exemption for certain motor vehicle parking services, listed below.

Non-metered parking in seasonal lots with 30 or more spaces provided by a:

- nonprofit charitable hospital, nursing home, rest home, residential care home, certain acute-care for-profit hospitals. For example, hospital garages;
- nonprofit organization exempt from federal income taxes;
- Political subdivision (i.e. U.S., state, municipality). Such examples include parking at town beaches and state parks.

Sections 75 and 77 of PA 15-244, the FY 16 and FY 17 budget, as amended by Section 135 of PA 15-5 JSS, a budget implementer enact this policy.

Divert Sales Tax Revenue to Municipal Revenue Sharing Acct

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(105,700,000)	(221,900,000)	(105,700,000)	(221,900,000)

Background: PA 11-6 increased the general sales tax rate from 6.0% to 6.35%, and transferred 0.1% of the 6.35% to the Municipal Revenue Sharing Account (MRSA). The remaining revenue associated with 6.25% of the 6.35% rate was deposited into the General Fund. PA 13-184 eliminated the transfer of the 0.1% of the 6.35% into MRSA effective July 1, 2013 and required all revenue generated by the 6.35% rate to be deposited into the General Fund.

Legislative: Divert a portion of the revenue associated with the 6.35% sales tax rate into the Municipal Revenue Sharing Account based on the following schedule.

- January 1, 2016, but prior to May 1, 2017 - 0.3 percentage points;
- May 1, 2017, but prior to July 1, 2017 - 0.4 percentage points;
- July 1, 2017 and forward - 0.5 percentage points.

PA 15-244, the FY 16 and FY 17 budget act, as amended by PA 15-5 JSS, a budget implementer, enact this policy.

Corporations

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	627,300,000	669,800,000	627,300,000	669,800,000	-	-
April Consensus Update	-	-	16,800,000	18,100,000	16,800,000	18,100,000
Policy Estimates and Updates	273,200,000	154,400,000	258,100,000	222,800,000	(15,100,000)	68,400,000
Total	900,500,000	824,200,000	902,200,000	910,700,000	1,700,000	86,500,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	16,800,000	18,100,000	16,800,000	18,100,000

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$16.8 million and \$18.1 million, respectively, due to the following: A) a \$21.7 million increase in the FY 15 estimate related to above-target collections for the most significant quarterly payment of the fiscal year which effectively increased the FY 16 revenue base, B) a reduction in the FY 16 growth rate from 4.5% to 3.6%, and C) a slight increase in the FY 17 growth rate from 2.9% to 3.0%.

It should be noted that both the January and April Consensus estimates include an adjustment related to one-time revenue of \$28.0 million received in FY 15 from a Tax Gap Initiative by the Department of Revenue Services that would not be received in the FY 16-FY 17 biennium.

Policy Revisions

Adjust Business Entity Tax

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	(40,000,000)	-	-	-	40,000,000

Background: CGS Sec. 12-284b(b) requires any business registered as one of the following: 1) limited liability company; 2) limited liability partnership; 3) limited partnership; or 4) S corporation to pay a tax of \$250 every other year. PA 11-1 of the October Special Session made the tax payable every other year. (Previously it had been payable every year.)

Governor: Repeal the tax. Section 21 of SB 946, "AAC Revenue Items to Implement the Budget," implements this change.

Legislative: Maintain current law. Do not repeal the Business Entity Tax.

Adjust the Surcharge on the Corporate Income Tax

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
44,400,000	75,000,000	44,400,000	75,000,000	-	-

Background: CGS Sec. 12-214(b) imposes a temporary surcharge equal to 20% of the tax paid at a rate of 7.5% on net income derived from in-state sources, which results in a tax rate of 9.0% for corporations paying under the net income base method. PA 11-6, the FY 12 and FY 13 Budget, established a temporary 20% corporate income tax surcharge for income years 2012 and 2013. PA 13-184, the FY 14 and FY 15 Budget, continues the policy on a temporary basis with it due to expire in FY 16.

Governor: Make the 20% corporate income tax surcharge permanent. Sections 5-6 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement this change.

Legislative: Extend the 20% corporate income tax surcharge for two additional years to the 2016 and 2017 income years, and impose a temporary 10% surcharge for the 2018 income year. As under current law, companies paying the \$250 minimum tax and companies that have less than \$100 million in gross income are exempt from the surcharges. Sections 83-84 of PA 15-244, the FY 16 and FY 17 budget, enact these provisions.

Restrict Net Operating Loss Carryforward Use by Corporations

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
156,300,000	90,100,000	156,300,000	90,100,000	-	-

Background: Federal and state law, pursuant to CGS Sec. 12-217(a)(4)(A), allow a corporation to apply a prior year loss against net income to the maximum extent possible and in a consecutive fashion when computing the amount of tax due.

Governor: Permanently limit the applicability of loss carryforwards to 50% of net income in any income year. Section 18 of SB 946, "AAC Revenue Items to Implement the Budget," implements this change.

Legislative: Same as Governor. Section 87 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Reduce the Use of Credits against Certain Taxes

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
72,500,000	29,300,000	42,500,000	34,000,000	(30,000,000)	4,700,000

Background: Current law permits entities paying certain taxes to offset a portion or the entirety of their respective tax liabilities through the application of credits. CGS Sec. 12-217zz(a) limits the application of tax or other credits against the Corporation Business Tax to 70% of the total amount of the tax due otherwise. However, CGS Sec. 12-263b(a) permits an entity paying the Hospital Net Patient Revenue Tax to offset the entirety of its tax liability through the application of tax or other credits.

Governor: Lower the cap on the usage of credits against the Corporation Business Tax from 70% to the following: 1) 35% of the tax due in Income Year 2015; 2) 45% of the tax due in Income Year 2016; and 3) 60% of the tax due in Income Years 2017 and permanently thereafter. Establish a cap on the usage of credits against the Hospital Net Patient Revenue Tax as follows: 1) 35% of the tax due in 2015; 2) 45% of the tax due in 2016; and 3) 60% of the tax due in 2017 and permanently thereafter. Sections 19-20 of SB 946, "AAC Revenue Items to Implement the Budget," implement these changes.

Legislative: Lower the cap on the usage of credits against the Corporation Business Tax from 70% to 50.01% of the tax due beginning with the 2015 income year. Establish a cap on the usage of credits against the Hospital Net Patient Revenue Tax at 50.01% of the tax due beginning with any payments due on or after July 1, 2015. Sections 88-89 of PA 15-244, the FY 16 and FY 17 budget, enact these provisions.

Establish Mandatory Combined Reporting

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	14,900,000	23,700,000	14,900,000	23,700,000

Background: Pursuant to CGS Sec. 12-223a, certain Corporation Business Tax filers may elect to file combined state tax returns.

Legislative: Require any company that is: (1) a member of a corporate group of related companies meeting certain criteria and (2) subject to the state Corporation Business Tax to file a combined return. Sections 138-163 of PA 15-244, the FY 16 and FY 17 budget, as amended by sections 139-153 of PA 15-5 JSS, a budget implementer, enact this provision.

Public Service Corporations

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	308,000,000	316,500,000	308,000,000	316,500,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	700,000	700,000	-	-	(700,000)	(700,000)
Total	308,700,000	317,200,000	308,000,000	316,500,000	(700,000)	(700,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Adjust Revenue Diversion to CT-N

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
700,000	700,000	-	-	(700,000)	(700,000)

Background: CGS Sec. 2-71x was amended in the Revised FY 15 budget to divert \$3.2 million annually, up from \$2.5 million, from the Public Service Corporations Tax to provide television coverage of state government deliberations and public policy events. This supports the operations of the Connecticut Television Network (CT-N).

Governor: Permanently reduce the annual revenue diversion from the Public Service Corporations Tax by \$700,000 to \$2.5 million. Section 33 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change.

Legislative: Maintain current law. Do not reduce the annual revenue diversion from the Public Service Corporations Tax.

Inheritance and Estate

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	177,400,000	182,700,000	177,400,000	182,700,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	(4,000,000)	(8,000,000)	(4,000,000)	(8,000,000)
Total	177,400,000	182,700,000	173,400,000	174,700,000	(4,000,000)	(8,000,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Establish a Cap on Total Gift & Estate Tax Liability

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(4,000,000)	(8,000,000)	(4,000,000)	(8,000,000)

Background: The state Gift and Estate Tax applies to the aggregate amount of all taxable gifts and estates over \$2.0 million.

Legislative: Establish a \$20.0 million cap as the maximum amount of (1) estate tax imposed on estates of residents and nonresidents who die on or after January 1, 2016 and (2) gift tax imposed on taxable gifts donors make on or after January 1, 2016. Sections 174-175 of PA 15-244, the FY 16 and FY 17 budget, enact this provision.

Insurance Companies

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	237,200,000	239,700,000	237,200,000	239,700,000	-	-
April Consensus Update	-	-	(16,100,000)	(16,400,000)	(16,100,000)	(16,400,000)
Policy Estimates and Updates	22,700,000	22,700,000	22,700,000	22,700,000	-	-
Total	259,900,000	262,400,000	243,800,000	246,000,000	(16,100,000)	(16,400,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(16,100,000)	(16,400,000)	(16,100,000)	(16,400,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$16.1 million and \$16.4 million, respectively, due the following: A) a \$30.8 million decrease in the FY 15 estimate (assumed to be due to the use of tax credits as a result

of the 1/1/15 expiration of the statutory cap on credit usage) which effectively lowered the FY 16 revenue base, and B) a slight increase in the FY 16 growth rate from 2.2% to 2.3%.

Policy Revisions

Maintain the 3-Tier Credit System against the Ins. Prem. Tax

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
18,700,000	18,700,000	18,700,000	18,700,000	-	-

Background: CGS Sec. 12-211a(a) limits tax or other credits allowable against the Insurance Premiums Tax to 70% of the amount due prior to the application of any credit. In addition, CGS Sec. 12-211a(a)(5) temporarily establishes two lower caps (a three-tiered cap system) at 55% and 30% depending upon the mix of credits to be applied. The two lower tiers are due to expire in 2015.

The applicable tiers are governed by the mix of different types of credits, as defined in CGS Sec. 12-211a(a)(4), including the following: 1) Insurance Reinvestment Fund Tax Credit; 2) Digital Animation Production Tax Credit; 3) Film Production Tax Credit; 4) Film Production Infrastructure Tax Credit; and 5) any other credits.

Governor: Delay until 2017 the scheduled expiration of the two lower tiers of caps on credit utilization against the Insurance Premiums Tax. Section 7 of SB 946, "AAC Revenue Items to Implement the Budget," implements this change.

Legislative: Same as Governor. Section 85 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Maintain the Moratorium on New Film Tax Credits

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
4,000,000	4,000,000	4,000,000	4,000,000	-	-

Background: CGS Sec. 12-217jj allows a credit for qualified production expenses or eligible costs incurred during the production of a film in Connecticut. The credit percentage ranges from 10% to 30% depending on total eligible expenses. Credits may be claimed in the year the costs were incurred or the three succeeding years after the year the costs were incurred, and may be sold, assigned, or transferred in whole or in part no more than three times.

PA 13-184, the FY 14 and FY 15 Budget, established a two-year moratorium on film production tax credits for motion pictures through FY 14 and FY 15. This policy (1) bars the issuance of tax credit vouchers for motion pictures; and (2) excludes motion pictures from the types of qualified productions that are eligible for the credits for those years, with certain exceptions.

Governor: Delay until FY 18 the scheduled expiration of the moratorium on the issuance of new film tax credits. Section 8 of SB 946, "AAC Revenue Items to Implement the Budget," implements this change. Maintain the exceptions to the moratorium.

Legislative: Same as Governor. Section 86 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Cigarettes

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	336,700,000	320,500,000	336,700,000	320,500,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	24,500,000	42,800,000	24,500,000	42,800,000
Total	336,700,000	320,500,000	361,200,000	363,300,000	24,500,000	42,800,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Increase the Cigarette Tax Rate

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	24,500,000	42,800,000	24,500,000	42,800,000

Background: Pursuant to CGS Sec. 12-296, cigarettes are taxed at a rate of 170 mills per cigarette, or \$3.40 per pack.

Legislative: Increase the Cigarette Tax rate to 182.5 mills per cigarette (\$3.65 per pack) in FY 16 and 195 mills per cigarette (\$3.90 per pack) in FY 17, resulting in a Cigarette Tax revenue gain of \$21.7 million in FY 16 and \$40.0 million in FY 17 (the revenue gain is lower in FY 16 because the rate increase is implemented on 10/1/15, resulting in partial-year revenue). This also results in increased Sales Tax revenue of \$0.2 million in FY 16 and \$0.4 million in FY 17 due to a higher base price for cigarettes.

Additionally, implement a "floor tax" on all existing inventory of cigarettes on 10/1/15 and 7/1/16 to ensure all cigarettes are sold at the same tax rate. This results in a Cigarette Tax revenue gain of \$2.8 million in each of FY 16 and FY 17.

Sections 176-180 of PA 15-244, the FY 16 and FY 17 budget, enact these policies.

Real Estate Conveyance

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	194,700,000	200,800,000	194,700,000	200,800,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	194,700,000	200,800,000	194,700,000	200,800,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Oil Companies

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	-	-	-	-	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	-	-	-	-	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Alcoholic Beverages

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	61,200,000	61,600,000	61,200,000	61,600,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	1,800,000	1,800,000	500,000	500,000	(1,300,000)	(1,300,000)
Total	63,000,000	63,400,000	61,700,000	62,100,000	(1,300,000)	(1,300,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Amend Various Alcohol Policies

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
1,800,000	1,800,000	500,000	500,000	(1,300,000)	(1,300,000)

Background: CGS Sec. 30-68m defines a minimum bottle price of alcoholic liquor at which retailers may sell.

CGS Sec. 30-48a allows three alcoholic beverage retail permits to be acquired by a person or backer prior to July 1, 2015.

CGS Sec. 30-91 defines the times during which alcoholic beverage sales are permitted. Sales by places with package store permits, and other various retail permits, were allowable from 10am to 5pm on Sundays and from 8am to 9pm on all other days prior to July 1, 2015.

Governor: Implement the following changes regarding alcoholic beverage sales: (1) Eliminate the minimum bottle price requirement; (2) increase the number of allowable alcoholic beverage retail permits from three to six; (3) increase permissible sale hours to 10am to 8pm on Sundays and from 8am to 10pm on all other days. Sections 41-43 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement these changes.

Legislative: Implement the following changes: (1) increase the number of allowable alcoholic beverage retail permits from three to four permits. (2) extend permissible sale hours for alcoholic beverage retail permittees. (3) authorize certain entities to sell sealed containers of draught beer i.e. "growlers." Sections 78-82 of PA 15-244, the FY 16 and FY 17 budget, enact these policies.

Maintain the minimum bottle price of alcoholic liquor, as defined under CGS 30-68m, with no enacted policy changes.

Admissions and Dues

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	38,700,000	40,000,000	38,700,000	40,000,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	(400,000)	(400,000)	(400,000)	(400,000)
Total	38,700,000	40,000,000	38,300,000	39,600,000	(400,000)	(400,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Exempt Bridgeport's Harbor Yard Ballpark

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(400,000)	(400,000)	(400,000)	(400,000)

Background: CGS Sec. 12-541 imposes a 10% Admissions Tax on admissions charges to various events at certain venues.

Legislative: Exempt Atlantic League professional baseball games played at Bridgeport's Harbor Yard Ballpark from the Admissions Tax. Section 216 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Health Provider

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	479,900,000	482,000,000	479,900,000	482,000,000	-	-
April Consensus Update	-	-	(27,800,000)	(27,900,000)	(27,800,000)	(27,900,000)
Policy Estimates and Updates	170,200,000	169,000,000	224,800,000	229,800,000	54,600,000	60,800,000
Total	650,100,000	651,000,000	676,900,000	683,900,000	26,800,000	32,900,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(27,800,000)	(27,900,000)	(27,800,000)	(27,900,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$27.8 million and \$27.9 million, respectively, due to anticipated increase in the utilization of tax credits against the net patient revenue hospital tax. This forecast is based upon year to date collections available at the time of the April Consensus which showed a greater year-over-year decrease in collections than previously shown in data available at the time of the January Consensus.

Policy Revisions

Reduce the Use of Credits against Certain Taxes

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
5,000,000	3,800,000	2,800,000	2,800,000	(2,200,000)	(1,000,000)

Background: Current law permits entities paying certain taxes to offset a portion or the entirety of their respective tax liabilities through the application of credits. CGS Sec. 12-217zz(a) limits the application of tax or other credits against the Corporation Business Tax to 70% of the total amount of the tax due otherwise. However, CGS Sec. 12-263b(a) permits an entity paying the Hospital Net Patient Revenue Tax to offset the entirety of its tax liability through the application of tax or other credits.

Governor: Lower the cap on the usage of credits against the Corporation Business Tax from 70% to the following: 1) 35% of the tax due in Income Year 2015; 2) 45% of the tax due in Income Year 2016; and 3) 60% of the tax due in Income Years 2017 and permanently thereafter. Establish a cap on the usage of credits against the Hospital Net Patient Revenue Tax as follows: 1) 35% of the tax due in 2015; 2) 45% of the tax due in 2016; and 3) 60% of the tax due in 2017 and permanently thereafter. Sections 19-20 of SB 946, "AAC Revenue Items to Implement the Budget," implement these changes.

Legislative: Lower the cap on the usage of credits against the Corporation Business Tax from 70% to 50.01% of the tax due beginning with the 2015 income year. Establish a cap on the usage of credits against the Hospital Net Patient Revenue Tax at 50.01% of the tax due beginning with any payments due on or after July 1, 2015. Sections 88-89 of PA 15-244, the FY 16 and FY 17 budget, enact these provisions.

Update the Hospital Net Revenue Tax

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
165,200,000	165,200,000	160,500,000	160,500,000	(4,700,000)	(4,700,000)

Background: PA 11-6, as amended by PA 11-44 and PA 11-61, implemented a tax on hospital net patient revenue effective July 1, 2011. The fee, determined by the Department of Social Services, has not changed since instituted. It is based on Federal Fiscal Year 2009 total net patient revenues as reported to the Department of Public Health's Office of Health Care Access (OHCA). Prior to July 1, 2015 the fee was 5.5% of inpatient revenues and 3.83% of outpatient revenues. The fee may not exceed the maximum rate allowed by the federal government, currently 6% of net patient revenues.

Hospitals deemed to be financially distressed were exempted from the fee on outpatient revenues. The user fee totals approximately \$349 million annually and is paid on a quarterly basis.

Governor: Update the base for the Hospital Net Revenue Tax from Federal Fiscal Year 2009 to 2013 total net patient revenues. Equalize the tax rates on inpatient and outpatient services. No legislation is required for this change.

In conjunction to the tax update, increase supplemental Medicaid payments to hospitals to \$165.3, the amount of tax to be collected. From this payment \$55.3 million will be paid by the state with the remaining \$110 million by the federal government. In total, the tax revenue of \$165.3 million and supplemental state payments of \$55.3 million result in a net gain to the state of \$110 million.

Legislative: Same as Governor with technical adjustments that more accurately reflect the policy impact. In total, the tax revenue of \$160.5 and increased supplemental Medicaid state payments of \$50.8 million result in a net gain to the state of \$109.7 million.

Increase Hospital Tax Rate to 6%

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	46,500,000	46,500,000	46,500,000	46,500,000

Legislative: In addition to the changes to the hospital tax listed above, increase the hospital tax rate from 5.5% to 6.0% for both inpatient and outpatient services. This incremental change from the policy adopted above generates an additional \$46.5 million.

In total, all changes to the hospital tax included in this budget, (1) updating the base from FFY 09 to FFY 13 and (2) increasing the rate to 6% for both inpatient and outpatient services generates an additional \$207 million in tax revenue. The total anticipated gross revenue attributable to hospital tax is \$556 million.

Establish Ambulatory Surgical Centers Tax at 6%

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	15,000,000	20,000,000	15,000,000	20,000,000

Background: Ambulatory surgical centers perform surgery and related services on patients that take less than a day and do not require hospitalization. In general, these centers are for-profit institutions that are unaffiliated with hospitals. Those that are unaffiliated with hospitals were not subject to the hospital tax prior to October 1, 2015.

Legislative: Enact a 6% gross receipts tax on Department of Public Health-licensed and Medicare-certified ambulatory surgical centers, excluding: (1) any portion of a center's gross receipts that are subject to the hospital tax; and (2) the first one million dollars in gross receipts. Sections 172 of PA 15-244, the FY 16 and FY 17 budget, as amended by Section 130 of PA 15-5 JSS, a budget implementer, enacts this policy.

Miscellaneous Taxes

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	20,900,000	21,400,000	20,900,000	21,400,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	(100,000)	(100,000)	(100,000)	(100,000)
Total	20,900,000	21,400,000	20,800,000	21,300,000	(100,000)	(100,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Limit Rental Surcharge

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(100,000)	(100,000)	(100,000)	(100,000)

Background: CGS Sec. 12-692 imposes a surcharge on short-term car, truck, and machinery rentals (i.e., 30 days or less). The surcharge is (1) 3% for car and truck rentals and (2) 1.5% for machinery rentals.

Legislative: Limit the rental companies subject to the surcharge to people or businesses generating at least 51% of their total annual revenue from rentals, excluding retail or wholesale rental equipment sales. Section 107 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Refunds of Taxes

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(1,165,200,000)	(1,214,900,000)	(1,165,200,000)	(1,214,900,000)	-	-
April Consensus Update	-	-	35,800,000	36,800,000	35,800,000	36,800,000
Policy Estimates and Updates	-	-	39,000,000	75,000,000	39,000,000	75,000,000
Total	(1,165,200,000)	(1,214,900,000)	(1,090,400,000)	(1,103,100,000)	74,800,000	111,800,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	35,800,000	36,800,000	35,800,000	36,800,000

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$35.8 million and \$36.8 million, respectively, due to the following: A) a \$35.0 million decrease in the FY 15 estimate (due primarily to positive trends in non-Income Tax refunds) which effectively decreased the FY 16 revenue base, and B) a slight increase in the FY 16 growth rate from 4.5% to 4.6%.

Policy Revisions

Reduce Property Tax Credit

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	39,000,000	75,000,000	39,000,000	75,000,000

Background: Pursuant to CGS Sec. 12-704c, income tax filers are entitled to a credit of up to \$300 for property taxes paid on real property and motor vehicles.

Legislative: Reduce the Property Tax Credit against the Personal Income Tax by: 1) lowering the adjusted gross income threshold at which the maximum credit amount starts to phase out beginning with the 2015 income year (FY 16), and 2) lowering, from \$300 to \$200, the maximum credit amount beginning with the 2016 income year (FY 17). The overall associated revenue gain of \$52.0 million in FY 16 and \$100.0 million in FY 17 is reflected under both the Personal Income Tax and Refunds of Taxes line items as it is anticipated that a majority of the revenue will be realized in the form of lower income tax refunds in each fiscal year, with the remainder realized through higher income tax collections. Section 70 of PA 15-244, the FY 16 and FY 17 budget, enacts these provisions.

Earned Income Tax Credit

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(138,400,000)	(144,900,000)	(138,400,000)	(144,900,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	11,000,000	11,000,000	11,000,000	11,000,000	-	-
Total	(127,400,000)	(133,900,000)	(127,400,000)	(133,900,000)	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as actual data regarding the utilization of the Earned Income Tax Credit is unavailable until after the Consensus estimates have been finalized.

Policy Revisions

Delay Increase in the Earned Income Tax Credit

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
11,000,000	11,000,000	11,000,000	11,000,000	-	-

Background: CGS Sec. 12-214e provides a refundable state earned income tax credit against the Personal Income Tax that is equal to a percentage of the federal credit.

PA 11-6, the FY 12 and FY 13 Budget, as amended by PA 11-1 JSS, established a refundable, state Earned Income Tax Credit (EITC) against the Personal Income Tax that is equal to 30.0% of the federal EITC. This policy was effective upon passage and applicable to tax years starting on or after January 1, 2011. In 2011, 181,620 households claimed the state EITC for a total credit amount of \$109,194,583. The average credit amount was \$601.

PA 13-184, the FY 14 and FY 15 Budget, temporarily reduced the state's EITC to 25.0% of the federal EITC in 2013 and 27.5% of the federal EITC in 2014. According to PA 13-184, the EITC rate is scheduled to revert to the original 30.0% of the federal EITC in 2015.

Governor: Delay until 2017 the scheduled restoration of the rate to 30.0% from 27.5%. Section 4 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change.

Legislative: Same as Governor. Section 69 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

R & D Credit Exchange

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(7,100,000)	(7,400,000)	(7,100,000)	(7,400,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	(7,100,000)	(7,400,000)	(7,100,000)	(7,400,000)	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Transfer Special Revenue

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	329,800,000	339,300,000	329,800,000	339,300,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	13,600,000	30,000,000	13,600,000	30,000,000
Total	329,800,000	339,300,000	343,400,000	369,300,000	13,600,000	30,000,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Policy Revisions

Authorize Keno Gaming

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	13,600,000	30,000,000	13,600,000	30,000,000

Background: PA 13-184, the FY 14-FY 15 biennial budget, authorized the Connecticut Lottery Corporation to operate keno, and allowed the Secretary of the Office of Policy and Management to enter into agreements with the Mashantucket Pequot Tribe and the Mohegan Tribe of Indians of Connecticut to distribute to each Tribe up to 12.5% of the gross state operating revenue from the operation of keno. PA 14-47, the FY 15 Revised Budget, subsequently repealed the authorization for the state to operate keno as a lottery game.

Legislative: Authorize the Connecticut Lottery Corporation to operate keno gaming, contingent upon the Secretary of the Office of Policy and Management entering into agreements with the Mashantucket Pequot Tribe and the Mohegan Tribe of Indians of Connecticut to distribute to each Tribe up to 12.5% of gross operating revenue from keno. Sections 103-106 of PA 15-244, the FY 16 and FY 17 budget, as amended by section 138 of PA 15-5 JSS, a budget implementer, enact this provision.

Indian Gaming Payments

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	260,700,000	254,300,000	260,700,000	254,300,000	-	-
April Consensus Update	-	-	(1,900,000)	(1,900,000)	(1,900,000)	(1,900,000)
Policy Estimates and Updates	-	-	-	-	-	-
Total	260,700,000	254,300,000	258,800,000	252,400,000	(1,900,000)	(1,900,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(1,900,000)	(1,900,000)	(1,900,000)	(1,900,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$1.9 million in each year due to a decrease in the FY 15 estimate which effectively lowered the FY 16 and FY 17 revenue base.

Licenses, Permits and Fees

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	295,900,000	273,300,000	295,900,000	273,300,000	-	-
April Consensus Update	-	-	9,900,000	15,200,000	9,900,000	15,200,000
Policy Estimates and Updates	15,900,000	18,700,000	2,712,500	2,275,000	(13,187,500)	(16,425,000)
Total	311,800,000	292,000,000	308,512,500	290,775,000	(3,287,500)	(1,225,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	9,900,000	15,200,000	9,900,000	15,200,000

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$9.9 million and \$15.2 million, respectively, due to the following: A) a \$17.4 million increase in the FY 15 estimate which effectively increased the FY 16 revenue base, B) a decrease in the FY 16 growth rate from 0.6% to negative 2.5%, and C) an increase in the FY 17 growth rate from 2.8% to 4.6%.

It should be noted that both the January and April Consensus estimates include adjustments to control for the biennial receipt of revenue related to Insurance Agent Licenses, which results in a revenue gain of approximately \$30.0 million in even numbered fiscal years (including FY 16).

Policy Revisions

Adjust Filing Fees for Pass-Through Entities

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
10,000,000	12,800,000	-	-	(10,000,000)	(12,800,000)

Background: The Secretary of the State's Commercial Recording Division is responsible for maintaining certain records concerning the formation and changes to business entities in the state.

Governor: Sections 22-24 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," increase the fee for filing an annual report for certain entities from \$20 to \$100 per year.

Legislative: Maintain current law. Do not increase the filing fee for certain annual reports.

Adjust Assessment for Solid Waste Processing

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
5,300,000	5,300,000	-	-	(5,300,000)	(5,300,000)

Background: Pursuant to CGS Sec. 22a-232, Resource Recovery Facilities currently pay \$1.50 per ton of solid waste processed at such facilities. These facilities convert solid waste received from municipalities into energy. There are currently seven in Connecticut which paid a total amount of \$3.5 million in FY 14.

Governor: Permanently increase the assessment from \$1.50 to \$2.50 per ton of solid waste processed at Resource Recovery Facilities and expand the applicability of the assessment to include construction / demolition waste landfilled in CT, the export / import of municipal solid waste and the export of construction / demolition waste to other states. Section 25 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements these changes. The increased assessment is estimated to yield an additional \$2.2 million with the expansion to yield an additional \$3.1 million.

Legislative: Maintain current law. Do not increase or expand the solid waste assessment.

Transfer Palliative Use of Marijuana Revenue to General Fund

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
600,000	600,000	600,000	600,000	-	-

Background: Pursuant to CGS, Chapter 420f, Section 21a-408, patients who are currently receiving medical treatment for a debilitating medical condition set out in the law may qualify for a registration certificate for the palliative marijuana program. To qualify, a patient must also be at least 18 years of age and a Connecticut resident. Each patient may also register one primary caregiver if the need for a caregiver is documented by the patient's physician. In FY 15 fees for growing, distribution and use of palliative marijuana are deposited into the Palliative Marijuana Administration Account.

Governor: Deposit revenue associated with the palliative use of marijuana to the General Fund rather than the Palliative Marijuana Administration Fund for FY 16 and each year thereafter. Total revenue for FY 16 and FY 17 is \$600,000. Sections 37-40 and 44 of SB 946, "An Act Concerning Revenue Items to Implement the Governor's Budget," implement this permanent change.

Legislative: Same as Governor. Sections 99-102 and 221 of PA 15-244, the FY 16 and FY 17 budget, enact this provision.

Establish Registration Fees: E-Cig Sales & Manufacturing

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	2,000,000	1,600,000	2,000,000	1,600,000

Legislative: Require manufacturers and sellers of electronic nicotine delivery devices to register with the state Department of Consumer Protection and annually renew their registration accompanied by fees. The application fee is \$75 which must be paid to register as a manufacturer or seller. In addition, there is a \$400 annual registration/registration renewal fee. Sections 108-111 of PA 15-244, the FY 16 and FY 17 budget, enact the registration process and corresponding fees.

Establish a Swimming Pool Installer License and Fee

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	112,500	75,000	112,500	75,000

Legislative: Section 217 of PA 15-244, the FY 16 and FY 17 budget, creates a swimming pool installer license fee of \$150 with an annual renewal fee of \$100.

Sales of Commodities

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	44,600,000	45,800,000	44,600,000	45,800,000	-	-
April Consensus Update	-	-	(6,600,000)	(6,700,000)	(6,600,000)	(6,700,000)
Policy Estimates and Updates	-	-	-	-	-	-
Total	44,600,000	45,800,000	38,000,000	39,100,000	(6,600,000)	(6,700,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(6,600,000)	(6,700,000)	(6,600,000)	(6,700,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$6.6 million and \$6.7 million, respectively, due to the following: A) a \$7.0 million decrease in the FY 15 estimate related to a delay in the processing of non-Medicaid inpatient claims within the Department of Mental Health and Addiction Services which effectively lowered the FY 16 revenue base, B) an increase in the FY 16 growth rate from 2.5% to 4.1%, and C) an increase in the FY 17 growth rate from 2.7% to 2.9%.

Rentals, Fines and Escheats

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	119,900,000	121,800,000	119,900,000	121,800,000	-	-
April Consensus Update	-	-	6,100,000	6,200,000	6,100,000	6,200,000
Policy Estimates and Updates	-	-	-	-	-	-
Total	119,900,000	121,800,000	126,000,000	128,000,000	6,100,000	6,200,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	6,100,000	6,200,000	6,100,000	6,200,000

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$6.1 million and \$6.2 million, respectively, due to an increase in the FY 15 estimate which effectively increased the FY 16 and FY 17 revenue base.

Investment Income

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	2,800,000	5,900,000	2,800,000	5,900,000	-	-
April Consensus Update	-	-	(300,000)	(300,000)	(300,000)	(300,000)
Policy Estimates and Updates	-	-	-	-	-	-
Total	2,800,000	5,900,000	2,500,000	5,600,000	(300,000)	(300,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(300,000)	(300,000)	(300,000)	(300,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$0.3 million in each year due to a \$0.3 million increase in the FY 15 estimate related to collections trends which effectively increased the FY 16 and FY 17 revenue base.

It should be noted that the April Consensus estimates included an offsetting adjustment to the FY 16 revenue base to account for the one-time deposit of interest related to Connecticut Health & Educational Facilities Authorities bonds that occurred in FY 15.

Miscellaneous

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	163,700,000	165,800,000	163,700,000	165,800,000	-	-
April Consensus Update	-	-	5,100,000	5,100,000	5,100,000	5,100,000
Policy Estimates and Updates	4,600,000	4,600,000	2,500,000	2,500,000	(2,100,000)	(2,100,000)
Total	168,300,000	170,400,000	171,300,000	173,400,000	3,000,000	3,000,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	5,100,000	5,100,000	5,100,000	5,100,000

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$5.1 million in each year due to an increase in the FY 15 estimate which effectively increased the FY 16 and FY 17 revenue base.

It should be noted that the April Consensus estimates include an adjustment to the FY 16 revenue base to account for the one-time impact of the receipt of revenue related to a settlement agreement with the ratings agency Standard and Poor's.

Policy Revisions

Adjust Reimbursement Rate for Resident State Troopers

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
4,600,000	4,600,000	2,500,000	2,500,000	(2,100,000)	(2,100,000)

Background: The Department of Emergency Services and Public Protection operates the Resident State Trooper program as established in CGS Sec. 29-5. Through this voluntary program, state troopers are appointed as resident state troopers in participating municipalities. Currently, 56 towns participate in the program. Such municipalities are responsible for paying seventy percent (70%) of regular time costs and one hundred percent (100%) of overtime costs, including fringe benefits.

Governor: Section 26 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," permanently requires municipalities participating in the Resident State Trooper program to pay one hundred percent (100%) of all costs for such policemen.

Legislative: Require municipalities participating in the Resident State Trooper program to pay (1) 85% of the compensation, maintenance, and other expenses of the first two troopers assigned to the town; (2) 100% of such costs for any additional troopers assigned there; and (3) 100% of the overtime costs and the portion of the fringe benefits directly associated with those costs. Section 170 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Refunds of Payments

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(74,200,000)	(75,100,000)	(74,200,000)	(75,100,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	(74,200,000)	(75,100,000)	(74,200,000)	(75,100,000)	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus estimates as the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Federal Grants

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	1,298,100,000	1,322,000,000	1,298,100,000	1,322,000,000	-	-
April Consensus Update	-	-	7,500,000	(23,400,000)	7,500,000	(23,400,000)
Policy Estimates and Updates	(25,662,705)	(30,644,608)	(40,370,030)	(45,913,278)	(14,707,325)	(15,268,670)
Total	1,272,437,295	1,291,355,392	1,265,229,970	1,252,686,722	(7,207,325)	(38,668,670)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	7,500,000	(23,400,000)	7,500,000	(23,400,000)

Federal grants are increased by \$7.5 million in FY 16 and decreased by \$23.4 million in FY 15 from January Consensus. Changes are primarily related to other agency expenditures that receive federal Medicaid reimbursement. In FY 16, the change is primarily due to notable increases in the Department of Developmental Services Comprehensive (DDS COMP) and Individual and Family Services (IFS) Waivers, which are lowered in FY 17 and offset by various other DDS adjustments.

Policy Revisions

Reflect Reimbursement Impact of Savings Initiative in MHA

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(55,253)	(111,612)	(55,253)	(111,612)	-	-

Background: Within the Department of Mental Health and Addiction Services (MHA), the Governor's proposed budget reduces certain expenditures. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Same as Governor.

Net Appropriate HUSKY B

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(27,140,000)	(31,900,000)	(27,140,000)	(31,900,000)

Background: Historically, HUSKY B has been gross appropriated, meaning both the state and federal shares of the program are included in the state budget appropriation. State expenditures are matched with a federal reimbursement rate of 65% until October 1, 2015, at which point the rate increases to 88% through September 30, 2019. Net appropriating reflects removing funding associated with the federal share of the program and appropriating only the state's share of the program, estimated at \$6,550,000 in FY 16 and \$4,350,000 in FY 17.

Legislative: Adjust revenue estimate to reflect the impact of this change in appropriations.

Reflect Reimbursement Impact of Clinical Services Savings

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(295,920)	(295,920)	(295,920)	(295,920)	-	-

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget reduces funding to reflect elimination of a contracted Medical Director at Southbury Training School and limiting the use of contracted and nursing pool services. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Same as Governor.

Reflect Reimbursement Impact of Autism Initiatives in DDS

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
375,000	375,000	-	-	(375,000)	(375,000)

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget provides funding to implement recommendations of the Autism Feasibility Study to improve services and supports for individuals with autism. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: The funding source for autism initiatives is changed from the General Fund to the Tobacco and Health Trust Fund pursuant to section 39(b) of PA 15-244, the FY 16 and FY 17 budget bill.

Reflect Reimbursement Impact of Autism Waiver Adjustment

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(500,000)	(500,000)	-	-	500,000	500,000

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget eliminates funding for the Early Childhood Autism Waiver since the treatment is now a Medicaid-covered service. Shifting funding for the program to the net-appropriated Medicaid line item from the gross-appropriated budget of DDS reduces the amount of appropriations necessary and has a corresponding impact on the General Fund revenue schedule. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Adjust revenue estimate to reflect the restoration of funding to ensure coverage in the Early Childhood Autism Waiver.

Reflect Reimbursement Impact of Comm. Placements Funding

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(2,131,250)	(6,030,166)	(676,250)	(1,456,034)	1,455,000	4,574,132

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget reduces funding for community placements, the cost of which is subject to 50% federal reimbursement, in order to support the transfer of individuals out of Southbury and other facilities. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Adjust revenue estimate to reflect the partial restoration of funding.

Reflect Reimbursement Impact of Birth to Three Transfer

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(7,250,000)	(7,250,000)	(7,250,000)	(7,250,000)	-	-

Background: The Birth-to-Three Program currently is in the Department of Developmental Services (DDS). The Governor's proposed budget transfers the portion of the program's funding associated with Medicaid eligible children from DDS to the Medicaid line item within the Department of Social Services (DSS) in order to reduce appropriations.

The program is currently budgeted entirely on a gross basis within DDS, with corresponding federal revenue reflected in the state's General Fund revenue schedule for the portion of the appropriation attributable to clients who meet the eligibility criteria for Medicaid. Since the Medicaid line item within DSS is budgeted on a net (instead of gross) basis, the transferred appropriation was reduced by 50% to reflect the federal revenue DSS will receive. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations: moving a portion of funding for the Birth-to-Three Program to the net-appropriated Medicaid line item within DSS.

Legislative: Same as Governor.

Reflect Reimbursement Impact of Rescissions Rollout in DDS

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(2,500,000)	(2,500,000)	(2,500,000)	(2,500,000)	-	-

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget continues FY 15 savings achieved through mid-year rescissions into FY 16 and FY 17. This rollout includes a reduction of \$5,000,000 in each year to the Employment and Day Services Program, which is subject to federal reimbursement. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Same as Governor.

Reflect Reimbursement Impact of Day Programs Change in DDS

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(3,100,500)	(7,137,000)	(560,050)	(838,700)	2,540,450	6,298,300

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget eliminates funding of \$6,201,000 in FY 16 and \$14,274,000 in FY 17 for day programs for new high school graduates in the Employment and Day Services Program, which is subject to federal reimbursement. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Adjust revenue estimate to reflect the partial restoration of funding for employment and day services for high school graduates.

Reflect Reimbursement Impact of VSP Reduction in DCF

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(189,500)	(189,500)	(189,500)	(189,500)	-	-

Background: Within the Department of Children and Families (DCF), the Governor's proposed budget includes a reduction of \$500,000 in each year of the FY 16 and FY 17 Biennium associated with the Voluntary Services Program (VSP). Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Same as Governor.

Reflect Reimbursement Impact of VSP Reduction in DDS

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(10,015,282)	(7,005,410)	(1,703,057)	(1,371,512)	8,312,225	5,633,898

Background: Within the Department of Developmental Services (DDS), the Governor's proposed budget includes reductions of \$20 million in FY 16 and \$14 million in FY 17 associated with the Voluntary Services Program (VSP), which is subject to federal reimbursement. Section 1 of HB 6824, "AAC the State Budget for the Biennium Ending June Thirtieth 2017, and Making Appropriations Therefor and Other Provisions Related to Revenue," includes this appropriations adjustment.

Governor: Adjust revenue estimate to reflect the impact of this change in appropriations.

Legislative: Adjust revenue estimate to reflect a partial restoration in funding.

Transfer from Tobacco Settlement

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	86,100,000	83,000,000	86,100,000	83,000,000	-	-
April Consensus Update	-	-	3,500,000	4,500,000	3,500,000	4,500,000
Policy Estimates and Updates	21,000,000	16,000,000	17,000,000	17,000,000	(4,000,000)	1,000,000
Total	107,100,000	99,000,000	106,600,000	104,500,000	(500,000)	5,500,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	3,500,000	4,500,000	3,500,000	4,500,000

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$3.5 million and \$4.5 million, respectively. This is due to an increase in the FY 16 growth rate from negative 4.4% to negative 0.5%, and an increase in the FY 17 growth rate from negative 3.6% to negative 2.3%.

Policy Revisions

Divert Tobacco Settlement Funds from the THTF

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
12,000,000	12,000,000	12,000,000	12,000,000	-	-

Background: The purpose of the Tobacco and Health Trust Fund (THTF) is to create a continuing significant source of money to (1) support and encourage programs to reduce tobacco abuse through prevention, education and cessation, (2) support and encourage program development for substance abuse reduction and (3) develop and implement programs to meet the state's unmet physical and mental health needs. A THTF Board of Trustees was established pursuant to Section 15 of PA 00-216 to select programs to receive money from the Fund. The Board's selections, per CGS Sec. 4-28f, must be submitted to the Appropriations and Public Health Committees for authorization. The THTF receives an annual, statutory disbursement from the Tobacco Settlement Fund (TSF) as well as any TSF revenue that exceeds the total statutory disbursements from the TSF.

Pursuant to CGS 4-28e(c)(2), the THTF is to receive \$12 million disbursements annually in addition to any revenue deposited into the TSF which is greater than the sum of all scheduled disbursements, including to the General Fund.

Governor: Eliminate the scheduled disbursement to the THTF in each year of the FY 16 and FY 17 Budget. Section 27 of PA 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change. In addition, Section 27 permanently reduces future scheduled disbursements to \$6 million annually beginning in FY 18.

Legislative: Same as Governor. Section 90 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Adjust Biomedical Trust Fund Transfer

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
4,000,000	4,000,000	-	-	(4,000,000)	(4,000,000)

Background: The Biomedical Research Trust Fund (BRTF) was established by PA 00-216 as a separate non-lapsing fund that can accept transfers from the Tobacco Settlement Fund and receive gifts, grants or donations from public or private sources. The Commissioner of Public Health awards grants-in-aid from the Fund to eligible institutions for the purpose of supporting biomedical research in the fields of heart disease, cancer and other tobacco-related diseases, Alzheimer's disease, stroke, and diabetes. PA 13-208 authorized not more than 2% of the total available amount held in the BRTF to be made available to the Department of Public Health for administration expenses relating to the Fund, such as determining and awarding grants-in-aid.

Governor: Eliminate the annual \$4 million transfer from the Tobacco Settlement Fund to the BRTF beginning in FY 16. Section 27 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this change.

Legislative: Maintain current law. Do not eliminate the annual transfer from the Tobacco Settlement Fund to the BRTF.

Divert Tobacco Settlement Funds from Preschool Expansion

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
5,000,000	-	5,000,000	5,000,000	-	5,000,000

Background: Section 138 of PA 14-217, codified as CGS 4-28e(c)(6), established an annual revenue diversion of \$10 million scheduled from FY 16 - FY 25 to provide grants-in-aid to towns for the purpose of establishing or expanding preschool programs.

Governor: Reduce the scheduled transfer in FY 16. Maintain the \$10 million scheduled transfer in each of the nine remaining years of the program. Section 27 of PA 946, "AAC Revenue Items to Implement the Budget," implements this change.

Legislative: Reduce the scheduled transfer by \$5.0 million in each of FY 16 and FY 17. Maintain the scheduled transfer in each of the eight remaining years of the program. Section 90 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Transfers From/To Other Funds

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(212,700,000)	(222,700,000)	(212,700,000)	(222,700,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	(20,900,000)	(9,800,000)	117,350,000	179,300,000	138,250,000	189,100,000
Total	(233,600,000)	(232,500,000)	(95,350,000)	(43,400,000)	138,250,000	189,100,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 are unchanged from the January Consensus as the underlying statutory transfer amounts did not change.

Policy Revisions

Divert Revenue to Amortize the Accumulative GAAP Deficit

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
(47,600,000)	(47,600,000)	(47,600,000)	(47,600,000)	-	-

Background: Generally Accepted Accounting Principles (GAAP) are the common set of accounting principles, standards and procedures that are used to compile financial statements. GAAP are a combination of authoritative standards and simply the commonly accepted ways of recording and reporting account information.

Historically, the state has not used GAAP standards to report budgetary information but instead has reported using a modified cash basis of accounting. This method most significantly differs from GAAP by recognizing expenditures when bills are paid rather than when expenditures are actually incurred - generally when a good or service is received. However, under Connecticut's modified cash basis, most revenue was recognized when earned, which more closely, but not completely, follows GAAP standards.

The difference in accounting methodologies between expenditures and revenues has over time generated a negative unassigned fund balance often referred to as the State's, "accumulative GAAP deficit." As of June 30, 2013, the State's accumulative GAAP deficit was \$1.2 billion. PA 11-48, as modified by PA 13-239 and PA 13-247, required: 1) elimination of the historical (accumulative) deficit; and 2) budgeting prospectively to preclude future growth in the GAAP deficit.

Section 68 of PA 13-239, "AA Authorizing and Adjusting Bonds of the State for Capital Improvements, Transportation, Elimination of the Accumulated GAAP Deficit and Other Purposes," authorize the State Treasurer to issue bonds in the amount of \$750 million to extinguish a portion of the \$1.2 billion accumulative GAAP deficit. The Treasurer has deposited bond proceeds of \$598.5 million from a bond issuance on October 4, 2013. In accordance with the law, the remaining \$618.8 million is to be amortized over thirteen years (FY 16 - FY 28). Distributed equally among 13 years, the annual amounts would be \$47.6 million.

Governor: Divert General Fund revenue to amortize the remaining accumulative GAAP deficit.

Legislative: Same as Governor.

Divert Revenue from the Community Investment Act Account

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
13,500,000	27,000,000	6,750,000	13,500,000	(6,750,000)	(13,500,000)

Background: The Community Investment Act account (CIA) provides funding for: 1) agricultural sustainability; 2) historic preservation; 3) municipal Open Space grants; and 4) various other agricultural activities, including grants to nonprofit organizations. The account is funded through land use recording fees (the account receives \$26 of every \$30 recording fee imposed with municipalities retaining \$4). The account was established by PA 05-3 and does not lapse funds. On a quarterly basis funds are distributed according to a schedule under CGS 4-66aa.

The balance of the account as of 2/27/15 was \$48.3 million. HB 6825, "AA Making Deficiency Appropriations and Addressing the Deficit for the Fiscal Year Ending June 30, 2015," transfers \$15.2 million of the account balance to help solve the projected FY 15 General Fund budget deficit. This transfer is in addition to the revenue diversions included in the Governor's proposed budget for FY 16 and FY 17.

Governor: Divert 100% of quarterly payments associated with CIA into the General Fund from January 1, 2016 to June 30, 2017. Two of the quarterly payments (approximately \$6.75 million each) impact FY 16 and the remaining four quarterly payments impact FY 17. Section 29 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," proposes this change.

Legislative: Divert 50% of the funds associated with CIA into the General Fund on a quarterly basis from January 1, 2016 to June 30, 2017. All remaining funds in the account are to be distributed according to existing law (CGS 4-66aa). Section 93 of PA 15-244, the FY 16 and FY 17 budget, enacts this policy.

Transfer Funds from CHEFA

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
3,500,000	3,500,000	3,500,000	3,500,000	-	-

Background: The Connecticut Health and Educational Facilities Authority (CHEFA) is a quasi-governmental agency created by CGS 10a-179 to help Connecticut-based non-profit organizations raise the funds needed to meet their goals of improving the health and education of the citizens of this state. Specifically, CHEFA provides Connecticut's nonprofit institutions access to low cost financing in the public municipal markets. Formed in 1965, CHEFA currently has in excess of \$8.1 billion in bonds outstanding.

In each of its last two fiscal years, CHEFA's operating revenues including loan servicing fees have exceeded its operating expenses by approximately \$4 million. A portion of these differences have been distributed as grants to CHEFA clients and non-client social service agencies. The grants (\$2.3 million in FY 13 and \$3.5 million in FY 14) were distributed to various entities following an application process, with non-client grant dollars distributed for shelter, food and healthcare-related purposes.

Governor: Transfer \$3.5 million from CHEFA to the General Fund in each year of the FY 16 and FY 17 Biennium. Sections 30-31 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implement these transfers.

Legislative: Same as Governor. Sections 94-95 of PA 15-244, the FY 16 and FY 17 budget, enact this provision.

Adjust Private Occupational Student Protection Acct. Funding

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
2,500,000	-	-	-	(2,500,000)	-

Background: CGS 10a-22u requires each private occupational school to pay 1/2 of 1% of its quarterly net tuition revenue to the state treasurer for deposit into a special account administered by the State Department of Higher Education from which students can get tuition reimbursement if a school fails. PA 14-47, the FY 15 Midterm Revision Budget, transferred \$500,000 from the account. The current balance of the account is approximately \$6 million.

Governor: Transfer funds from the account in FY 16. Section 32 of SB 946, "AAC Revenue Items to Implement the Budget," implements this transfer.

Legislative: Maintain current law. Do not transfer funds from the Private Occupational Student Protection account.

Divert Revenue from the PEGPETIA Surtax

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
4,200,000	4,300,000	4,200,000	4,300,000	-	-

Background: In accordance with CGS 16-331cc, the Public Educational and Governmental Programming and Education Technology Investment Account (PEGPETIA) provides grants to support public, educational, and governmental (i.e. community access) programming and education technology initiatives. The account is administered by the Public Utilities Regulatory Authority and is funded by a 0.25% tax on the gross earnings of cable-TV, satellite-TV, and certified competitive video (e.g., AT&T U-Verse) service providers. The 0.25% surtax designated for PEGPETIA is in addition to the 5.0% tax (per CGS 12-258) on the gross earnings of cable-TV, satellite-TV, and certified competitive video service providers which is deposited into the General Fund and not designated for any specific purpose. FY 14 revenue from the 0.25% surtax was \$4.2 million; FY 13 revenue was \$3.5 million. The FY 14 and FY 15 Budget diverted \$3.4 million from the surtax in FY 14 and \$3.5 million in FY 15. PA 14-98 provided a \$3.5 million bond authorization in FY 15 to fund grants for equipment, exclusively. (The revenue diversion supports operating expenses.)

Governor: Divert PEGPETIA revenue to the General Fund. Sections 34-35 of SB 946, "An Act Concerning Revenue Items to Implement the Governor's Budget," implements the diversion.

Legislative: Same as Governor. Sections 96-97 of PA 15-244, the FY 16 and FY 17 budget, enact this provision.

Adjust Revenue Diversion to Muni. Video Competition Acct.

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
3,000,000	3,000,000	3,000,000	3,000,000	-	-

Background: Pursuant to CGS 16-331bb, the State Comptroller must deposit into the Municipal Video Competition Trust Account up to \$5 million each fiscal year from the Gross Earning Tax established under CGS 12-256 on certified competitive video service providers (i.e., certain cable TV companies). The amount deposited is then distributed to municipalities in which such companies are located for the purpose of property tax relief. The FY 14 and FY 15 Budget eliminated the annual revenue diversion to the account entirely in each year of the 2014-2015 Biennium.

Governor: Reduce from \$5 million to \$2 million the statutory annual deposit into the Municipal Video Competition Trust Account and allow \$3 million to remain in the General Fund. Section 36 of SB 946, "AAC Revenue Items to Implement the Governor's Budget," implements this permanent change. This represents a \$2 million increase over revenue diversions in FY 14 and FY 15.

Legislative: Same as Governor. Section 98 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Reduce Transfer from GF to STF

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	152,800,000	162,800,000	152,800,000	162,800,000

Background: PA 09-3 originally created a yearly statutory transfer from the General Fund to the Special Transportation Fund.

Legislative: Eliminate the statutory transfer from the General Fund to the Special Transportation Fund. Sec. 92 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Transfer from Banking Fund

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	7,000,000	7,000,000	7,000,000	7,000,000

Background: The Banking Fund primarily supports the ongoing operation of the Department of Banking. Revenue for the Fund is derived from: (1) consumer credit and securities licensing fees; (2) securities registration fees; and (3) a fee assessed on state banks and credit unions based on asset size. The FY 14-FY 15 Biennial Budget transferred a total of \$16.4 million from the Banking Fund to the General Fund to help balance the General Fund budget. The Banking Fund had an approximate fund balance of \$15.5 million at the end of FY 15 (including a \$5.7 million transfer out to the General Fund) and ran an operating surplus of \$1.9 million the same year.

Legislative: Transfer \$7 million from the Banking Fund to the General Fund in each year of the FY 16 and FY 17 Biennial Budget. Sections 181-182 of PA 15-244, the FY 16 and FY 17 budget, enact this provision.

Eliminate Scheduled Transfer from the General Fund to MRSA

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	12,700,000	-	12,700,000	-

Background: PA 11-6 increased the general sales tax rate from 6.0% to 6.35%, and transferred 0.1% of the 6.35% to the Municipal Revenue Sharing Account (MRSA). The remaining revenue associated with 6.25% of the 6.35% rate was deposited into the General Fund.

PA 13-184 eliminated the transfer of the 0.1% of the 6.35% into MRSA effective July 1, 2013 and required all revenue generated by the 6.35% rate to be deposited into the General Fund. PA 14-47 required a one-time transfer of \$12.7 million to the MRSA to reimburse towns for the revenue they lost inadvertently when the Municipal Revenue Sharing account was terminated effective June 30, 2013 thus missing revenues deposited during the FY 13 accrual period which ended in early August.

Legislative: Eliminate the one-time transfer of \$12.7 million.

Transfer Funds from the Citizens' Election Fund

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	7,800,000	-	7,800,000

Background: Chapter 157 of the Connecticut General Statutes establishes the Citizens' Election Program, administered by the Office of Governmental Accountability, which provides grants to qualifying candidates for primary and general elections of statewide constitutional offices and the offices of state senator or representative. The Citizens' Election Fund is the associated fund used to manage the finances of the program. A portion of General Fund revenue from the sale of abandoned property escheated to the State is deposited into the Citizens' Election Fund in accordance with CGS Sec. 3-69a.

Section 55(b) of PA 15-244, the FY 16 and FY 17 Budget, transferred \$2.25 million from the Citizens Election Fund and credited this amount to the resources of the General Fund for the fiscal year ending June 30, 2015. The current available balance in the CEF at the time was approximately \$9.9 million.

Legislative: Transfer funds from the Citizens' Election Fund to the General Fund in FY 17. Section 131 of PA 05-5 (JSS), the budget implementer, enacts this transfer.

Transfer to the Resources of the Special Transportation Fund

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(38,200,000)	(17,600,000)	(38,200,000)	(17,600,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	38,200,000	17,600,000	38,200,000	17,600,000
Total	(38,200,000)	(17,600,000)	-	-	38,200,000	17,600,000

Policy Revisions

Eliminate GF Hold Harmless of STF for Oil Companies

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	38,200,000	17,600,000	38,200,000	17,600,000

Background: PA 97-309 originally created a transfer of the Oil Companies tax from the General Fund to the Special Transportation Fund (STF). Section 41 of PA 05-4 established a procedure that requires the resources of the General Fund to cover any shortfall in revenue below the STF transfer.

Legislative: Deposit the Oil Companies tax into the Special Transportation Fund and eliminate the provision that requires the resources of the General Fund to cover any shortfall in revenue below the STF transfer as of July 1, 2015. Sec. 91 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

FY 16 and FY 17 Special Transportation Fund Revenue Schedule
(in thousands)

	January Consensus FY 16	Governor Policies	Governor FY 16 Revenue	Consensus Update (April)	April Consensus FY 16	Legislative Policies	Legislative FY 16 Revenue
Taxes							
Sales Tax - STF	-	-	-	-	-	158,600	158,600
Motor Fuels	502,000	-	502,000	(3,000)	499,000	-	499,000
Oil Companies	339,100	-	339,100	-	339,100	-	339,100
Sales Tax DMV	84,000	-	84,000	-	84,000	-	84,000
Total Taxes	925,100	-	925,100	(3,000)	922,100	158,600	1,080,700
Refunds of Taxes	(7,200)	-	(7,200)	(100)	(7,300)	-	(7,300)
Taxes Less Refunds	917,900	-	917,900	(3,100)	914,800	158,600	1,073,400
Refunds and Transfers							
Transfers from the Resources of the General Fund	38,200	-	38,200	-	38,200	-	38,200
Total Refunds and Transfers	38,200	-	38,200	-	38,200	-	38,200
Other Sources							
Motor Vehicle Receipts	245,800	-	245,800	-	245,800	-	245,800
Licenses, Permits and Fees	139,200	-	139,200	100	139,300	-	139,300
Interest Income	7,800	-	7,800	(100)	7,700	-	7,700
Federal Grants	12,100	-	12,100	-	12,100	-	12,100
Total Other Sources	404,900	-	404,900	-	404,900	-	404,900
Refunds and Transfers							
Transfers From/To Other Funds	146,300	-	146,300	-	146,300	(191,000)	(44,700)
Refunds of Payments	(3,700)	-	(3,700)	-	(3,700)	-	(3,700)
Total Refunds and Transfers	142,600	-	142,600	-	142,600	(191,000)	(48,400)
Total Special Transportation Fund	1,503,600	-	1,503,600	(3,100)	1,500,500	(32,400)	1,468,100

	January Consensus FY 17	Governor Policies	Governor FY 17 Revenue	Consensus Update (April)	April Consensus FY 17	Legislative Policies	Legislative FY 17 Revenue
Taxes							
Sales Tax - STF	-	-	-	-	-	260,600	260,600
Motor Fuels	499,800	-	499,800	2,500	502,300	-	502,300
Oil Companies	359,700	-	359,700	-	359,700	-	359,700
Sales Tax DMV	85,000	-	85,000	-	85,000	-	85,000
Total Taxes	944,500	-	944,500	2,500	947,000	260,600	1,207,600
Refunds of Taxes	(7,400)	-	(7,400)	(100)	(7,500)	-	(7,500)
Taxes Less Refunds	937,100	-	937,100	2,400	939,500	260,600	1,200,100
Refunds and Transfers							
Transfers from the Resources of the General Fund	17,600	-	17,600	-	17,600	-	17,600
Total Refunds and Transfers	17,600	-	17,600	-	17,600	-	17,600
Other Sources							
Motor Vehicle Receipts	246,600	-	246,600	-	246,600	-	246,600
Licenses, Permits and Fees	139,900	-	139,900	-	139,900	-	139,900
Interest Income	8,600	-	8,600	(100)	8,500	-	8,500
Federal Grants	12,100	-	12,100	-	12,100	-	12,100
Total Other Sources	407,200	-	407,200	(100)	407,100	-	407,100
Refunds and Transfers							
Transfers From/To Other Funds	156,300	-	156,300	-	156,300	(180,400)	(24,100)
Refunds of Payments	(3,800)	-	(3,800)	-	(3,800)	-	(3,800)
Total Refunds and Transfers	152,500	-	152,500	-	152,500	(180,400)	(27,900)
Total Special Transportation Fund	1,514,400	-	1,514,400	2,300	1,516,700	80,200	1,596,900

Policies Details - Special Transportation Fund

Sales Tax - STF

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	-	-	-	-	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	158,600,000	260,600,000	158,600,000	260,600,000
Total	-	-	158,600,000	260,600,000	158,600,000	260,600,000

Policy Revisions

Divert Sales Tax Revenue to the Special Transportation Fund

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	158,600,000	260,600,000	158,600,000	260,600,000

Legislative: Divert a portion of the revenue associated with the 6.35% sales tax rate into the Special Transportation Fund based on the following schedule.

- October 1, 2015, but prior to October 1, 2016 - 0.3 percentage points;
- October 1, 2016, but prior to July 1, 2017 - 0.4 percentage points;
- July 1, 2017 and forward - 0.5 percentage points.

PA 15-244, the FY 16 and FY 17 budget act, as amended by PA 15-5 JSS, a budget implementer, enact this policy.

Motor Fuels

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	502,000,000	499,800,000	502,000,000	499,800,000	-	-
April Consensus Update	-	-	(3,000,000)	2,500,000	(3,000,000)	2,500,000
Policy Estimates and Updates	-	-	-	-	-	-
Total	502,000,000	499,800,000	499,000,000	502,300,000	(3,000,000)	2,500,000

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(3,000,000)	2,500,000	(3,000,000)	2,500,000

The April Consensus estimate for FY 16 is lower than the January Consensus by \$3.0 million due to the decrease in the excise tax for diesel fuel which was lowered from 54.5 cents to 50.3 cents under CGS 12-458h, which calculates the excise tax on diesel fuel dependent on the wholesale price from the previous 12 months.

Oil Companies

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	339,100,000	359,700,000	339,100,000	359,700,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	339,100,000	359,700,000	339,100,000	359,700,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimate is unchanged from the January Consensus estimate because the amount to be transferred is determined per CGS 13b-61a.

Sales Tax DMV

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	84,000,000	85,000,000	84,000,000	85,000,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	84,000,000	85,000,000	84,000,000	85,000,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimates for FY 16 and FY 17 did not change because the collections data utilized in the April Consensus trended consistently with the data utilized in the January Consensus.

Refunds of Taxes

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(7,200,000)	(7,400,000)	(7,200,000)	(7,400,000)	-	-
April Consensus Update	-	-	(100,000)	(100,000)	(100,000)	(100,000)
Policy Estimates and Updates	-	-	-	-	-	-
Total	(7,200,000)	(7,400,000)	(7,300,000)	(7,500,000)	(100,000)	(100,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(100,000)	(100,000)	(100,000)	(100,000)

The April Consensus estimates for FY 16 and FY 17 are higher than the January Consensus by \$100,000 due to an increase in the FY 15 estimate of \$100,000 which effectively increased the FY 15 revenue base. The change in the FY 15 may be due to higher than anticipated collections. The estimate FY 16 growth remained relatively the same in April from January with minor technical adjustments.

Transfers from the Resources of the General Fund

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	38,200,000	17,600,000	38,200,000	17,600,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	38,200,000	17,600,000	38,200,000	17,600,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimate is unchanged from the January Consensus estimate because the amount to be transferred is determined per CGS 13b-61a.

Motor Vehicle Receipts

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	245,800,000	246,600,000	245,800,000	246,600,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	245,800,000	246,600,000	245,800,000	246,600,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimate remains unchanged from the January Consensus estimate because the primary source of revenue is fees that remain constant year over year and no major changes in the number of assessments are anticipated.

Licenses, Permits and Fees

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	139,200,000	139,900,000	139,200,000	139,900,000	-	-
April Consensus Update	-	-	100,000	-	100,000	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	139,200,000	139,900,000	139,300,000	139,900,000	100,000	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	100,000	-	100,000	-

The April Consensus estimates for FY 16 are higher than the January Consensus by \$100,000 due to an increase in the FY 15 estimate of \$100,000 which effectively increased the FY 15 revenue base. The change in the FY 15 may be due to higher than anticipated collections. The estimate FY 16 growth remained relatively the same in April from January with minor technical adjustments.

Interest Income

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	7,800,000	8,600,000	7,800,000	8,600,000	-	-
April Consensus Update	-	-	(100,000)	(100,000)	(100,000)	(100,000)
Policy Estimates and Updates	-	-	-	-	-	-
Total	7,800,000	8,600,000	7,700,000	8,500,000	(100,000)	(100,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(100,000)	(100,000)	(100,000)	(100,000)

The April Consensus estimates for FY 16 and FY 17 are lower than the January Consensus by \$100,000 due to a decrease in the FY 15 estimate of \$1.0 million which effectively lowered the FY 15 revenue base. The change in the FY 15 may be due to lower than anticipated interest rates. The estimate FY 16 growth remained relatively the same in April from January with minor technical adjustments.

Federal Grants

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	12,100,000	12,100,000	12,100,000	12,100,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	12,100,000	12,100,000	12,100,000	12,100,000	-	-

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Federal Grants Tax estimate remains unchanged because the primary source of revenue is a federal grant that remain constant year over year.

Transfers From/To Other Funds

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	146,300,000	156,300,000	146,300,000	156,300,000	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	(191,000,000)	(180,400,000)	(191,000,000)	(180,400,000)
Total	146,300,000	156,300,000	(44,700,000)	(24,100,000)	(191,000,000)	(180,400,000)

April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Consensus estimate is unchanged from the January Consensus estimate because the amount to be transferred is determined per CGS 13b-61c.

Policy Revisions

Reduce Transfer from GF to STF

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(152,800,000)	(162,800,000)	(152,800,000)	(162,800,000)

Background: PA 09-3 originally created a yearly statutory transfer from the General Fund to the Special Transportation Fund.

Legislative: Eliminate the statutory transfer from the General Fund to the Special Transportation Fund. Sec. 92 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Eliminate GF Hold Harmless of STF for Oil Companies

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	(38,200,000)	(17,600,000)	(38,200,000)	(17,600,000)

Background: PA 97-309 originally created a transfer of the Oil Companies tax from the General Fund to the Special Transportation Fund (STF). Section 41 of PA 05-4 established a procedure that requires the resources of the General Fund to cover any shortfall in revenue below the STF transfer.

Legislative: Deposit the Oil Companies tax into the Special Transportation Fund and eliminate the provision that requires the resources of the General Fund to cover any shortfall in revenue below the STF transfer as of July 1, 2015. Sec. 91 of PA 15-244, the FY 16 and FY 17 budget, enacts this provision.

Refunds of Payments

Revenue Components	Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
January Consensus	(3,700,000)	(3,800,000)	(3,700,000)	(3,800,000)	-	-
April Consensus Update	-	-	-	-	-	-
Policy Estimates and Updates	-	-	-	-	-	-
Total	(3,700,000)	(3,800,000)	(3,700,000)	(3,800,000)	-	-

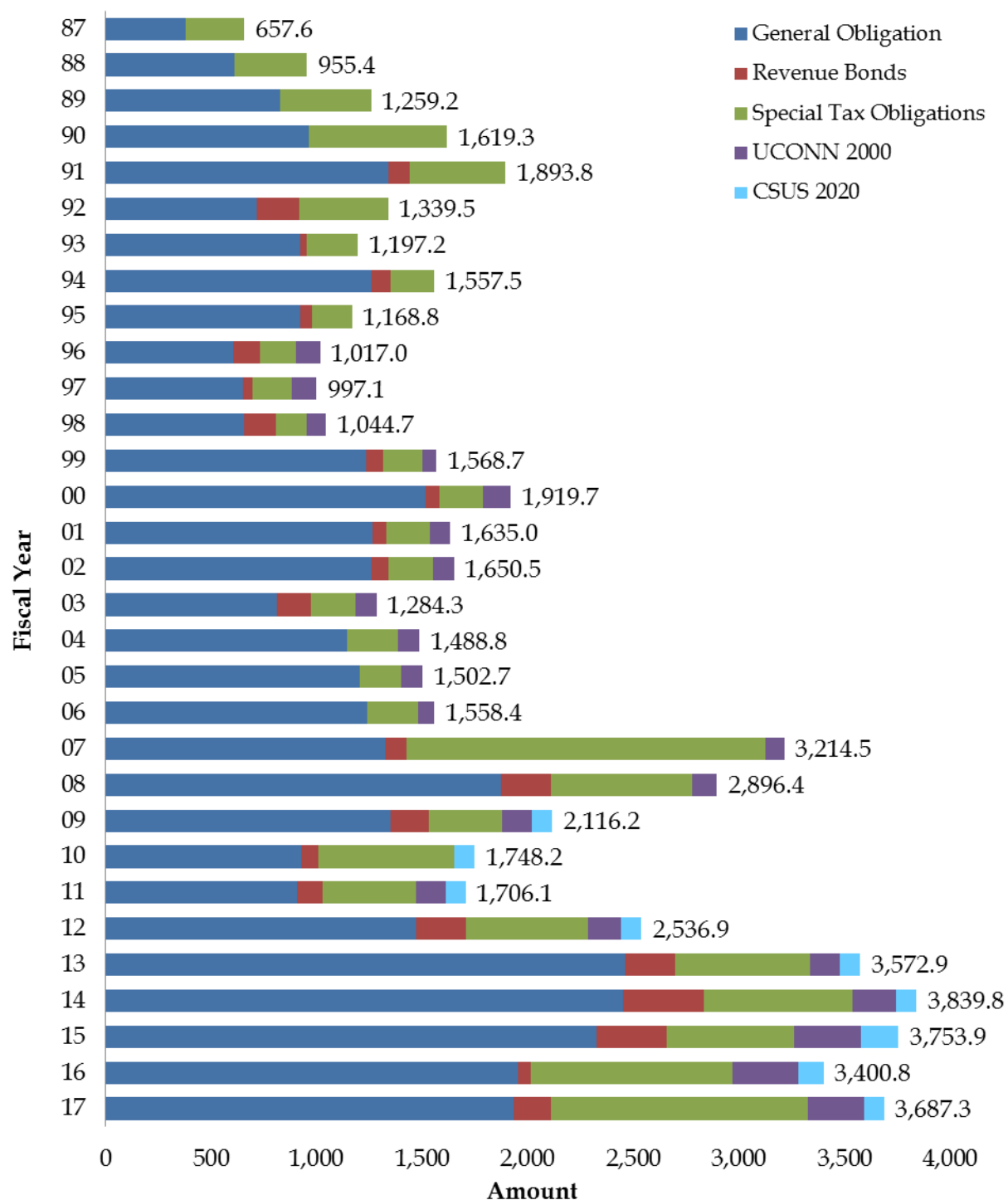
April Consensus Update

Governor FY 16	Governor FY 17	Legislative FY 16	Legislative FY 17	Difference FY 16	Difference FY 17
-	-	-	-	-	-

The April Refund of Payments tax estimate remains unchanged because the refunds remain constant year over year and no major changes in the number of assessments are anticipated.

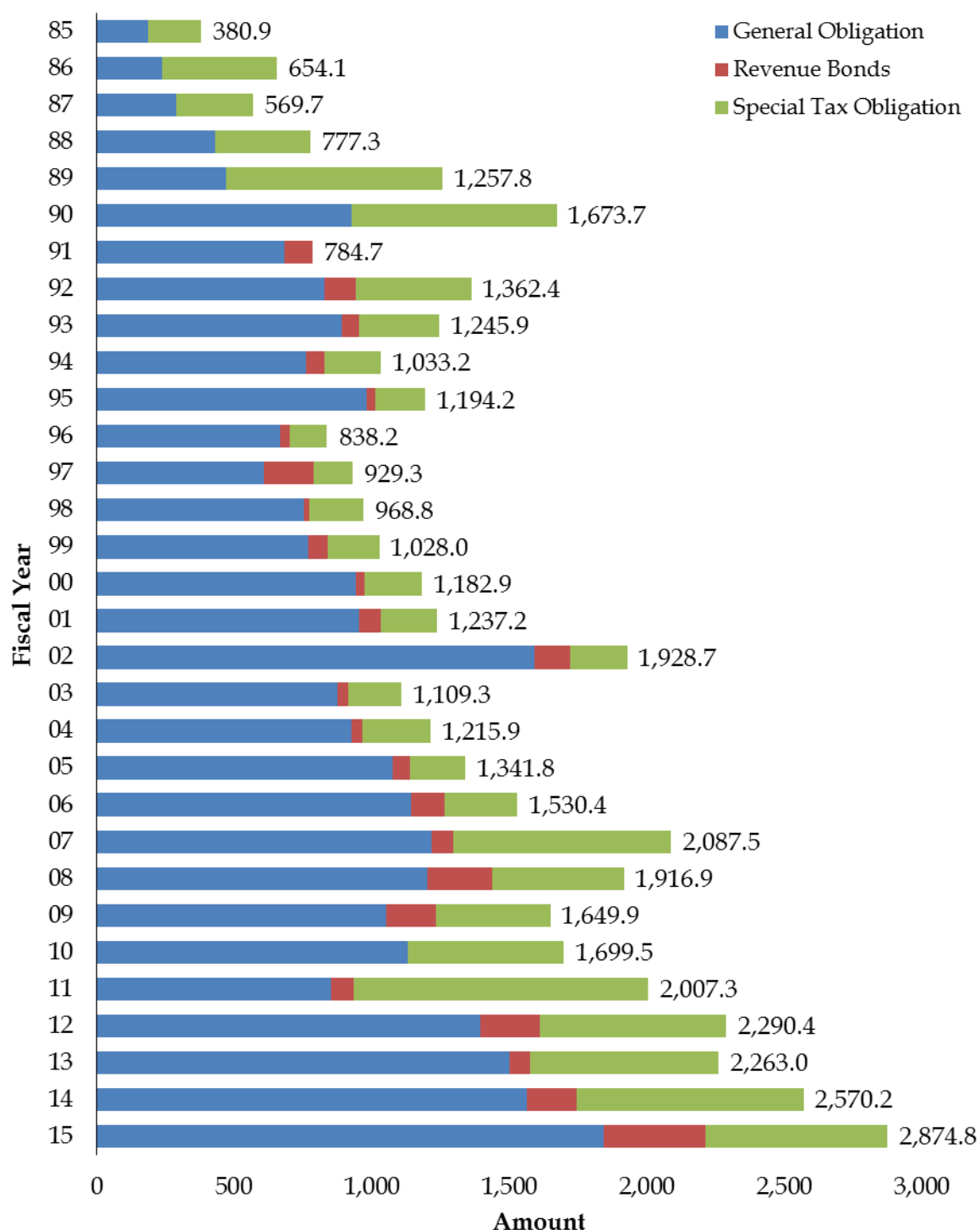
VII. CAPITAL BUDGET

FY 87 - FY 17 Legislative Bond Authorizations (in millions)¹



¹Does not include cancellations of prior bond authorizations. The FY 94 total includes \$252.1 million authorized in September 1993 for the construction of a stadium in Hartford. This authorization was subsequently repealed by Section 101 of PA 94-2. The FY 99 total includes \$274.4 million authorized in December 1999 for the construction of the Patriots stadium in Hartford. The authorization was subsequently repealed by Section 65 of PA 99-241.

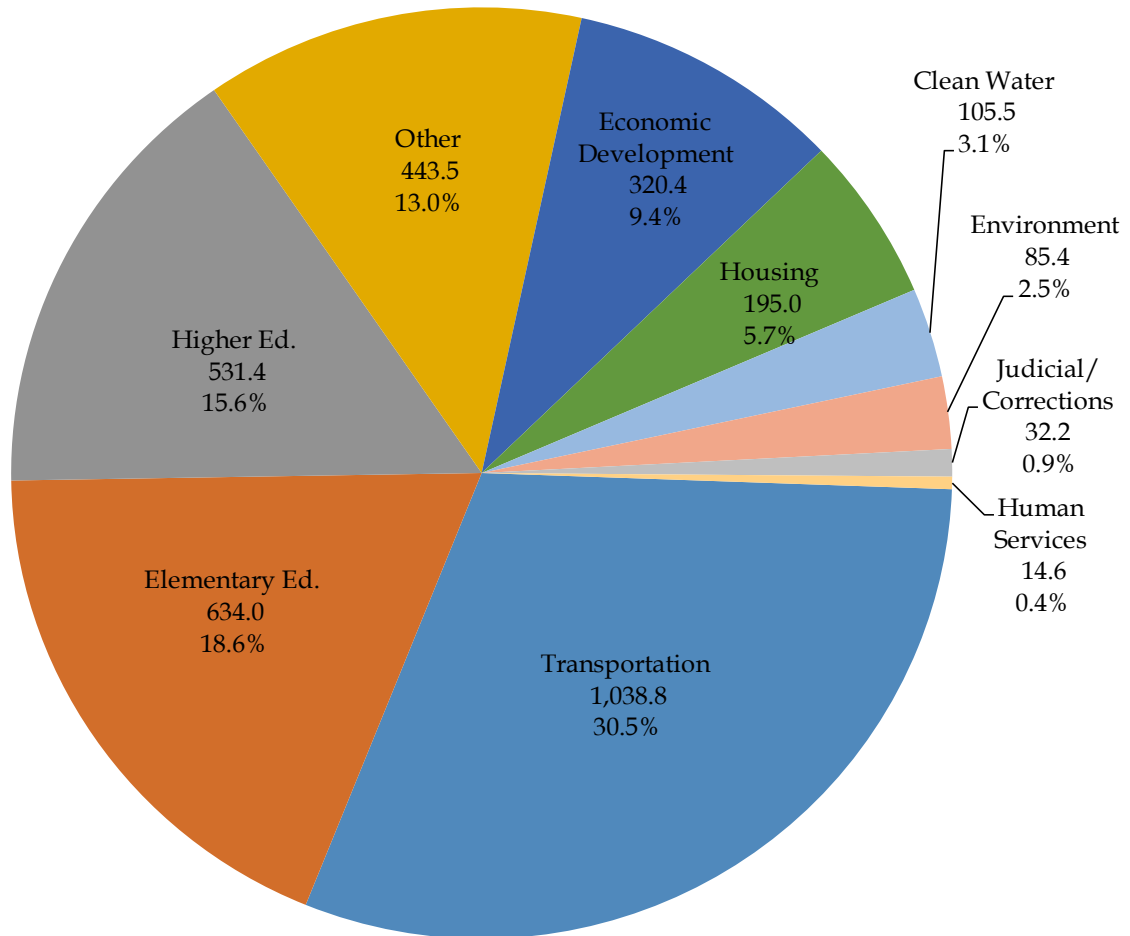
FY 85 - FY 15 Bond Commission Allocations (in millions)¹



¹Bonds authorized for UConn 2000 and CSCU 2020 infrastructure improvement programs are only included in first year that the State Bond Commission allocated the funds because legislation only requires that the Commission approve the master resolution. The UConn 2000 program began in FY 96 and the CSCU 2020 program began in 2009.

**General, Revenue, and Special Tax Obligation
Bond Authorizations (in millions)**

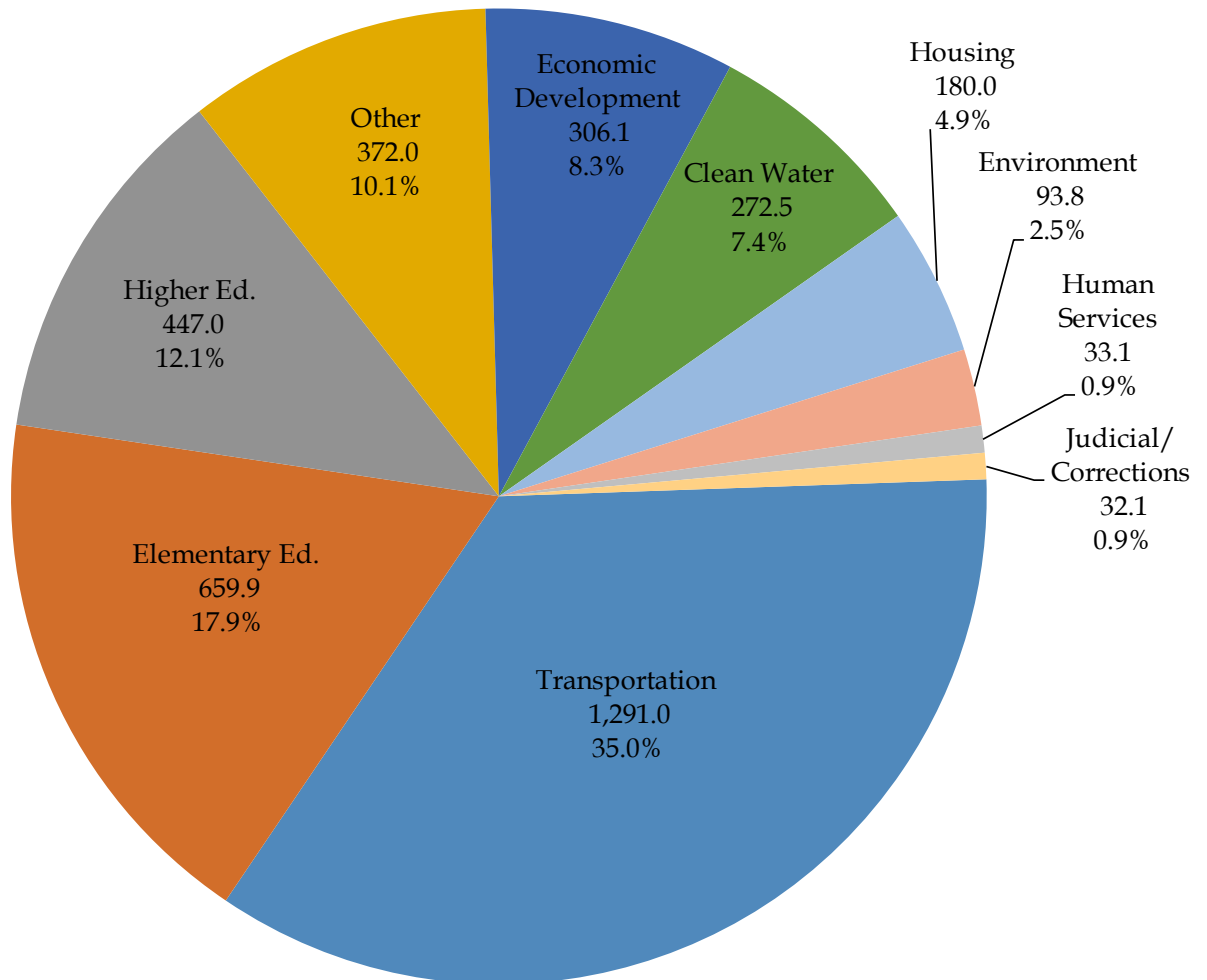
FY 16 Increases: \$3,400.8¹



¹Does not include cancellation of prior bond authorizations.

**General, Revenue, and Special Tax Obligation
Bond Authorizations (in millions)**

FY 17 Increases: \$3,687.3¹



¹Does not include cancellation of prior bond authorizations.

Newly Effective Bond Authorizations (in millions)

Item	FY 16 \$	FY 17 \$
General Obligation Bonds (General Fund)		
Increases	1,865.5	1,866.3
Reductions	(267.5)	-
Net General Obligation Bonds (General Fund)	1,598.0	1,866.3
General Obligation Bonds Authorized in Prior Legislative Sessions		
DEEP: Underground Storage Tank (UST) Program	9.0	-
CII: Recapitalize Connecticut Innovations, Inc.	25.0	-
CII: Regenerative Medicine Research Fund	10.0	10.0
OEC: Smart Start Competitive Grant Program	10.0	10.0
Total General Obligation Bonds Authorized in Prior Sessions	54.0	20.0
General Obligation Bonds Automatically Authorized/Allocated		
UConn 21 st Century infrastructure projects	312.1	266.4
Board of Regents - Connecticut State University System: CSCU 2020 (formerly CSUS 2020)	118.5	95.0
CII: Connecticut Bioscience Collaboration Program (Jackson Lab)	21.4	21.1
CII: Bioscience Innovation Fund	15.0	25.0
Total General Obligation Bonds Automatically Authorized/Allocated	467.0	407.5
Revenue Bonds		
Clean Water Fund Revenue Bonds	58.0	180.0
Special Tax Obligation Bonds (Transportation Fund)		
Increases	956.3	1,213.5
Reductions	(3.0)	-
Net Special Tax Obligation Bonds	953.3	1,213.5
NET TOTAL	3,130.3	3,687.3

Statutory Debt Limit

The state's level of General Fund indebtedness for FY 16⁷ was \$21.5 billion as of July 1, 2015, which is approximately 85.6% of the statutory limit. As the table on the following page shows, the range between 1996 and 2017 has been from 70.2% (FY 12) to 90% (FY 03). The FY 16 level of 85.6% is 4.4 percentage points or \$1.1 billion away from the 90% level. If the 90% level is reached, a trigger provision in CGS Sec. 2-27b would require the Governor to review each bond authorization for which no obligations have yet been incurred and recommend priorities for repealing them to the Finance, Revenue and Bonding Committee. The committee would consider the recommendations and propose whatever legislation it concluded was necessary to repeal any of the authorizations.

Description of the Debt Limit

CGS Sec. 3-21 imposes a ceiling⁸ on the total amount of General Obligation bonds the General Assembly may authorize. The limit is 1.6 times total General Fund tax receipts (net of refunds) projected by the Finance, Revenue and Bonding Committee for the fiscal year in which the bonds are authorized (see calculation below). The statute prohibits the General Assembly from authorizing any additional General Fund-supported debt, except what is required to meet cash flow needs or emergencies resulting from natural disasters, when the aggregate amount of outstanding debt and authorized but unissued debt exceed this amount. Certain types of debt are excluded from the statutory debt limit calculation, including: (1) debts incurred for federally reimbursable public works projects, (2) assets in debt retirement funds, (3) debt incurred in anticipation of revenue, (4) debt incurred to fund General Fund budget deficits and (5) some other purposes. (Examples of excluded debt are tax incremental financing bonds, Special Transportation General Obligation bonds, Bradley Airport revenue bonds, Clean Water Fund revenue bonds, Connecticut Unemployment revenue bonds, Economic Recovery Notes and Teachers' Retirement Fund Pension Obligation Bonds).

The statute requires the Office of the State Treasurer to certify that any bill authorizing bonds does not violate the debt limit, before the General Assembly may vote on the bill. A similar certification is required before the state Bond Commission can authorize any new bonds to be issued. Under the provisions of CGS Sec. 2-27b, the State Treasurer is also required to compute the state's aggregate bonded indebtedness each January 1 and July 1.

⁷The estimate is based on the revised FY 15 revenue estimates adopted by the Finance, Revenue and Bonding Committee on May 23, 2014.

⁸Between FY 75 and FY 92, the state debt limit was 4.5 times the net General Fund tax receipts received during the previous fiscal year that ended not less than three, or more than 15 calendar months prior to such issuance. The current calculation method has been used since FY 93.

The Certificate of State Indebtedness issued by the Office of the State Treasurer on July 1, 2015 indicated the following:

Certificate of State Indebtedness	Amount \$
FY 16 limit on General Obligation bonds (1.6 x FY 16 revenue estimates)	25,138,504,000
FY 16 net General Obligation bond indebtedness	21,520,229,964
Debt incurring margin	3,618,274,036
FY 16 net indebtedness as a percent of debt limit	85.6%
Capacity remaining before 90% limit	1,104,423,636

The table below shows the level of state indebtedness between FY 93 and FY 15.

State Debt Limitation from FY 96 to FY 17 (in thousands)

Fiscal Year	Statutory Debt Limitation \$	Aggregate Indebtedness (Adjusted) \$	Debt Incurring Margin \$	Indebtedness as % of Debt Limitation
1996	10,496,160	8,596,566	1,899,594	81.9%
1997	10,534,880	8,928,457	1,606,423	84.8%
1998	10,905,280	9,069,716	1,835,564	83.2%
1999 ¹	11,578,400	9,446,584	2,131,816	81.6%
2000	12,521,280	10,547,655	1,973,625	84.2%
2001	12,967,840	11,189,658	1,778,182	86.3%
2002	14,006,720	11,599,614	2,407,106	82.8%
2003 ¹	13,116,000	11,805,771	1,310,229	90.0%
2004 ¹	13,116,000	11,796,826	1,319,174	89.9%
2005 ²	15,105,760	12,868,871	2,236,889	85.2%
2006	16,728,640	13,230,649	3,497,991	79.1%
2007	17,411,520	13,919,490	3,492,030	79.9%
2008	19,925,120	14,702,079	5,223,041	73.8%
2009	20,753,760	15,384,452	5,369,308	74.1%
2010	17,484,160	15,574,796	1,909,364	89.1%
2011	17,477,440	15,108,155	2,369,285	86.4%
2012	22,430,560	15,736,430	6,694,130	70.2%
2013	23,408,800	17,314,059	6,094,741	74.0%
2014 ²	22,934,400	18,969,159	3,965,241	82.7%
2015	23,865,440	20,692,332	3,173,108	86.7%
2016	25,138,504	21,520,230	3,618,274	85.6%
2017	25,946,184	22,624,944	3,321,240	87.2%

¹The revenue estimates used in FY 03 and FY 04 were provided by the Office of Policy and Management because the legislature did not adopt revised FY 03 estimates.

²The FY 05 figure is based on revised revenue estimates adopted by the Finance, Revenue and Bonding Committee on June 28, 2004. The FY 14 figure is based on revised revenue estimates adopted on June 21, 2013.

FY 16 and FY 17 General Obligation Bond Authorizations by Agency

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
Office of Legislative Management				
PA 15-1, JSS, Sec. 2(a)	PA 15-1, JSS, Sec. 21(a)	Information technology updates, replacements and improvements, renovations and repairs and minor capital improvements at the Capitol complex and the Old State House	1,798,500	344,500
Office of the State Comptroller				
PA 15-1, JSS, Sec. 2(c)	-	Enhancements and upgrades to the CORE financial system	20,000,000	-
Office of the Government Accountability				
PA 15-1, JSS, Sec. 2(b)	PA 15-1, JSS, Sec. 21(b)	Information technology improvements	100,000	500,000
Office of Policy and Management				
PA 15-1, JSS, Sec. 2(d)(1)	PA 15-1, JSS, Sec. 21(c)(1)	Development and implementation of databases in the CORE financial system associated with results-based accountability	3,000,000	3,500,000
PA 15-1, JSS, Sec. 2(d)(3)	-	Transit-oriented development and predevelopment activities	8,000,000	-
PA 15-1, JSS, Sec. 13(a)(2)	PA 15-1, JSS, Sec. 32(a)(2)	Responsible Growth Incentive Fund	5,000,000	5,000,000
PA 15-1, JSS, Sec. 2(d)(4)	PA 15-1, JSS, Sec. 21(c)(3)	Development of a comprehensive statewide water plan	500,000	500,000
PA 15-1, JSS, Sec. 55		Grants-in-aid to municipalities for municipal purposes and projects	60,000,000	60,000,000
PA 15-1, JSS, Sec. 54		Local Capital Improvement Program (LoCIP)	30,000,000	30,000,000
PA 15-1, JSS, Sec. 13(a)(1)	PA 15-1, JSS, Sec. 32(a)(1)	Grants-in-aid to private, nonprofit health and human service organizations that are exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, and that receive funds from the state to provide direct health or human services to state agency clients, for alterations, renovations, improvements, additions and new construction, including health, safety, compliance with the Americans with Disabilities Act and energy conservation improvements, information technology systems, technology for independence, purchase of vehicles and acquisition of property	10,000,000	10,000,000
PA 15-1, JSS, Sec. 51		Urban Act - Grants-in-aid for urban development projects including economic and community development, transportation, environmental protection, public safety, children and families and social services	70,000,000	50,000,000
PA 15-1, JSS, Sec. 52		Small Town Economic Assistance Act (STEAP)	20,000,000	20,000,000
PA 15-1, JSS, Sec. 53		Capital Equipment Purchase Fund	30,000,000	30,000,000
PA 15-1, JSS, Sec. 2(d)(5)	PA 15-1, JSS, Sec. 21(c)(4)	Information technology capital investment program, provided that \$15 million shall be used for development and maintenance of a state-wide health information exchange, including the purchase of software and related equipment in FY 16 and FY 17; UConn Health Center shall receive \$25 million in FY 16 and \$16 million in FY 17 for the purchase and implementation of an integrated electronic medical record system.	80,000,000	76,000,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
PA 15-1, JSS, Sec. 2(d)(2)	PA 15-1, JSS, Sec. 21(c)(2)	Design and implementation of the Criminal Justice Information System	17,100,000	10,000,000
PA 15-1, JSS, Sec. 13(a)(3)	-	Grants-in-aid to municipalities for the purchase of on-body cameras for local law enforcement officers, provided \$2 million shall be for state police and \$13 million for local law enforcement officers	15,000,000	-
-	PA 15-1, JSS, Sec. 224	Regional dog pound grant program (50% local match)	-	20,000,000
-	PA 15-1, JSS, Sec. 223	Regional dog pound language	-	-
Subtotal			348,600,000	315,000,000
Department of Veterans' Affairs				
PA 15-1, JSS, Sec. 2(e)(2)	-	State matching funds for federal grants-in-aid for renovations and code required improvements to existing facilities	1,445,300	-
PA 15-1, JSS, Sec. 2(e)(1)	PA 15-1, JSS, Sec. 21(d)	Alterations, renovations and improvements to buildings and grounds	700,000	550,000
Department of Administrative Services				
PA 15-1, JSS, Sec. 60		School construction grants-in-aid - progress payments. CGS Sec. 10-287d	530,000,000	560,000,000
PA 15-1, JSS, Sec. 61		School construction grants-in-aid - interest payments. CGS Sec. 10-292k	3,000,000	2,100,000
PA 15-1, JSS, Sec. 13(b)	PA 15-1, JSS, Sec. 32(b)	Grants-in-aid to Alliance districts to assist in paying for general improvements to school buildings	50,000,000	50,000,000
PA 15-1, JSS, Sec. 59		Alliance school district language	-	-
PA 15-1, JSS, Sec. 2(f)(2)	-	Development of a supplier diversity data management system	400,000	-
PA 15-1, JSS, Sec. 2(f)(3)	PA 15-1, JSS, Sec. 21(e)(2)	Infrastructure repairs and improvements, including fire, safety and compliance with the Americans with Disabilities Act improvements, improvements to state-owned buildings and grounds, including energy conservation and off-site improvements, and preservation of unoccupied buildings and grounds, including office development, acquisition, renovations for additional parking and security improvements at state-occupied buildings	25,000,000	25,000,000
PA 15-1, JSS, Sec. 2(f)(4)	PA 15-1, JSS, Sec. 21(e)(3)	Statewide Asbestos Abatement Program	10,000,000	10,000,000
PA 15-1, JSS, Sec. 2(f)(1)	PA 15-1, JSS, Sec. 21(e)(1)	Alterations and improvements in compliance with the Americans with Disabilities Act	1,000,000	1,000,000
PA 15-1, JSS, Sec. 2(f)(5)	PA 15-1, JSS, Sec. 21(e)(4)	Land acquisition, construction, improvements, repairs and renovations at fire training schools	10,000,000	3,000,000
PA 15-1, JSS, Sec. 2(f)(6)	-	Acquisition and renovation of a building for the offices of the Probate Court	4,100,000	-
Subtotal			633,500,000	651,100,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
Department of Emergency Services and Public Protection				
PA 15-1, JSS, Sec. 2(g)(2)	-	Alterations, renovations and improvements to the Forensic Science Laboratory in Meriden	2,500,000	-
PA 15-1, JSS, Sec. 2(g)(1)	PA 15-1, JSS, Sec. 21(f)	Alterations and improvements to the State Emergency Operations Center in Hartford	500,000	2,000,000
Subtotal			3,000,000	2,000,000
Department of Motor Vehicles				
PA 15-1, JSS, Sec. 2(h)	-	Alterations, renovations and improvements to buildings and grounds	1,420,000	-
Military Department				
PA 15-1, JSS, Sec. 2(i)(3)	-	Construction of a non-motion based simulation center	750,000	-
PA 15-1, JSS, Sec. 2(i)(2)	PA 15-1, JSS, Sec. 21(g)(2)	State matching funds for anticipated federal reimbursable projects	3,271,500	2,883,000
PA 15-1, JSS, Sec. 2(i)(1)	PA 15-1, JSS, Sec. 21(g)(1)	Alterations and improvements to buildings and grounds, including utilities, mechanical systems and energy conservation	450,000	225,000
Subtotal			4,471,500	3,108,000
Labor Department				
PA 15-1, JSS, Sec. 13(c)	PA 15-1, JSS, Sec. 32(c)	Subsidized Training and Employment Program (STEP)	5,000,000	5,000,000
Agricultural Experiment Station				
-	PA 15-1, JSS, Sec. 21(i)(1)	Construction and equipment for additions and renovation to the Valley Laboratory in Windsor	-	11,500,000
-	PA 15-1, JSS, Sec. 21(i)(2)	Renovations and improvements to greenhouses at the Jenkins Laboratory	-	200,000
Department of Agriculture				
-	PA 15-1, JSS, Sec. 32(d)	Farm Reinvestment Program	-	1,000,000
Department of Energy and Environmental Protection				
PA 15-1, JSS, Sec. 13(d)(3)	PA 15-1, JSS, Sec. 32(e)(2)	Grants-in-aid to municipalities for open space land acquisition and development for conservation or recreational purposes	8,000,000	8,000,000
PA 15-1, JSS, Sec. 2(j)(3)	PA 15-1, JSS, Sec. 21(h)(4)	Recreation and Natural Heritage Trust Program for recreation, open space, resource protection and resource management	10,000,000	10,000,000
PA 15-1, JSS, Sec. 65		Connecticut bikeway, pedestrian walkway, recreational trail and greenway grant program for grants-in-aid to municipalities and private nonprofit organizations	5,000,000	5,000,000
-	PA 15-1, JSS, Sec. 21(h)(1)	Alterations, renovations and new construction at state parks and other recreation facilities including Americans with Disabilities Act improvements	-	25,000,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
PA 15-1, JSS, Sec. 2(j)(2)	PA 15-1, JSS, Sec. 21(h)(3)	Various flood control improvements, flood repair, erosion damage repairs and municipal dam repairs	5,000,000	5,000,000
PA 15-1, JSS, Sec. 2(j)(1)	PA 15-1, JSS, Sec. 21(h)(2)	Dam repairs, including state-owned dams.	8,350,000	8,075,000
-	PA 15-1, JSS, Sec. 32(e)(1)	Grants-in-aid to establish energy microgrids to support critical municipal infrastructure.	-	15,000,000
-	PA 15-1, JSS, Sec. 32(e)(3)	Grants-in-aid for identification, investigation, containment, removal or mitigation of contaminated industrial sites in urban areas	-	5,000,000
PA 15-1, JSS, Sec. 13(d)(1)	-	For a Long Island Sound Stewardship and resiliency program for protection of coastal marshes and other natural buffer areas and for grants-in-aid to increase the resilience of wastewater treatment facilities	20,000,000	-
PA 15-1, JSS, Sec. 13(d)(2)	-	Grants-in-aid to municipalities to encourage low impact design of green municipal infrastructure to reduce non-point source pollution	20,000,000	-
PA 15-1, JSS, Sec. 142	-	Language change: ~~Grants-in-aid for the Lakes Restoration Program~~ Grant-in-aid for improvements to facilities and property located at latitude 41.5720414 and longitude -73.0401073. PA 07-7, (JSS), Sec. 13(d)(5) (This is the location of Fulton Park in Waterbury.)	-	-
PA 15-1, JSS, Sec. 63		Clean Water Fund grants-in-aid – General Obligation Bonds. CGS Sec. 22a-483(a)	47,500,000	92,500,000
PA 15-1, JSS, Sec. 64		Clean Water Fund loans - Revenue Bonds. CGS Sec. 22a-483(d)	58,000,000	180,000,000
Subtotal General Obligation Bonds			123,850,000	173,575,000
Subtotal Revenue Bonds			58,000,000	180,000,000
Green Bank (formerly CEFIA)				
PA 15-1, JSS, Sec. 228	-	Increase special capital reserve fund amount from \$50 million to \$100 million (CGS Sec. 16-245mm(g))	-	-
Department of Economic and Community Development				
-	PA 15-1, JSS, Sec. 32(f)(4)	Grants-in-aid to nonprofit organizations sponsoring cultural and historic sites	-	5,000,000
PA 15-1, JSS, Sec. 66		Manufacturing Assistance Act	100,000,000	100,000,000
PA 15-1, JSS, Sec. 13(e)(2)	PA 15-1, JSS, Sec. 32(f)(2)	Small Business Express Program	50,000,000	50,000,000
PA 15-1, JSS, Sec. 13(e)(1)	PA 15-1, JSS, Sec. 32(f)(1)	Connecticut Manufacturing Innovation Fund, in FY 16: provided that not more than \$3,500,000 shall be used as a grant-in-aid to the Connecticut Center for Advanced Technology for research and development of high rate laser engineered additive manufacturing.	20,000,000	20,000,000
PA 15-1, JSS, Sec. 13(e)(4)	-	Minority business enterprise assistance program to assist such businesses in obtaining surety bonds, including bid, performance and payment bonds, for capital construction projects, which program may be run by a nonprofit entity with which said department shall contract	2,000,000	-
PA 15-1, JSS, Sec. 13(e)(3)	PA 15-1, JSS, Sec. 32(f)(3)	Brownfield remediation and revitalization program projects	20,000,000	20,000,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
PA 15-1, JSS, Sec. 227	-	Language change: Grant-in-aid to the city of Meriden for the West Main Street streetscape project <u>from Cook Avenue to the Amtrak railroad tracks.</u> PA 07-7, (JSS), Sec. 13(f)(22)	-	-
Subtotal			192,000,000	195,000,000
Department of Housing				
PA 15-1, JSS, Sec. 56		Housing Trust Fund	40,000,000	25,000,000
PA 15-1, JSS, Sec. 9	PA 15-1, JSS, Sec. 28	Housing development and rehabilitation programs (Flexible Housing Program)	135,000,000	135,000,000
PA 15-1, JSS, Sec. 13(f)	PA 15-1, JSS, Sec. 32(g)	Main Street Investment Fund	5,000,000	5,000,000
PA 15-1, JSS, Sec. 57		For a homelessness prevention and response fund	15,000,000	15,000,000
Subtotal			195,000,000	180,000,000
Capital Region Development Authority				
PA 15-1, JSS, Sec. 13(g)(1)	PA 15-1, JSS, Sec. 32(h)(1)	For the purposes and uses provided in section 32-602 of the general statutes, not exceeding \$50,000,000, provided up to \$20,000,000 may be used to finance projects in Hartford outside of the boundaries of the Authority, including in the federally designated Promise Zone	50,000,000	50,000,000
PA 15-1, JSS, Sec. 2(k)	PA 15-1, JSS, Sec. 21(j)	Alterations, renovations and improvements at the Connecticut Convention Center and Rentschler Field	5,500,000	3,500,000
PA 15-1, JSS, Sec. 13(g)(2)	PA 15-1, JSS, Sec. 32(h)(2)	Grant-in-aid to the Tennis Foundation of Connecticut for capital improvements	1,500,000	1,500,000
Subtotal			57,000,000	55,000,000
Department of Public Health				
PA 15-1, JSS, Sec. 230 PA 15-5, (JSS), Sec. 510	-	Language change: Grants-in-aid to community health centers and primary care organizations for the purchase of equipment, renovations, improvements, and expansion of facilities, including acquisition of land and/or buildings provided that up to \$15,000,000 shall be made available to member centers affiliated with the Community Health Center Association of Connecticut, <u>and up to \$13,000,000 shall be made available to Community Health Center, Incorporated, and up to \$2,000,000 shall be made available to either Community Health Center Association of Connecticut or Community Health Center, Incorporated, on the basis of competitive bids submitted by such association or center. Nothing in this subsection shall be construed to affect any project made available to member centers affiliated with the Community Health Center Association of Connecticut.</u> PA 12-189, Sec. 9(d)	-	-
Department of Developmental Services				
PA 15-1, JSS, Sec. 2(l)	PA 15-1, JSS, Sec. 21(i)	Fire, safety and environmental improvements to regional facilities and intermediate care facilities for client and staff needs, including improvements in compliance with current codes, site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities	7,500,000	7,500,000
-	PA 15-1, JSS, Sec. 32(i)	Grants-in-aid to private nonprofit organizations for supportive housing	-	20,000,000
Department of Mental Health and Addiction Services				
PA 15-1,	PA 15-1,	Fire, safety and environmental improvements to regional facilities for client	5,000,000	5,000,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
JSS, Sec. 2(m)	JSS, Sec. 21(l)	and staff needs, including improvements in compliance with current codes, including intermediate care facilities and site improvements, handicapped access improvements, utilities, repair or replacement of roofs, air conditioning and other interior and exterior building renovations and additions at all state-owned facilities		
Department of Rehabilitation Services				
PA 15-1, JSS, Sec. 229	-	Language change: Grants-in-aid to provide home modifications and assistive technology devices related to aging in place, <u>which program may be run by a nonprofit entity with which said department shall contract.</u> PA 14-98, Sec. 9(i)	-	-
Department of Education				
-	PA 15-1, JSS, Sec. 58	Grants-in-aid to assist charter schools with capital expenses	-	5,000,000
PA 15-1, JSS, Sec. 13(i)(2)	PA 15-1, JSS, Sec. 32(j)(2)	Grant-in-aid to assist targeted local and regional school districts for alterations, repairs, improvements, technology and equipment in low-performing schools	6,000,000	10,000,000
PA 15-1, JSS, Sec. 67	-	School Security Infrastructure Competitive Grant Program	10,000,000	-
-	PA 15-1, JSS, Sec. 21(m)	For the regional vocational-technical school system: Alterations and improvements to buildings and grounds, including new and replacement equipment, tools and supplies necessary to update curricula, vehicles and technology upgrades at all regional vocational-technical schools	-	12,000,000
PA 15-1, JSS, Sec. 13(i)(1)	PA 15-1, JSS, Sec. 32(j)(1)	Grants-in-aid for the purpose of capital start-up costs related to the development of new interdistrict magnet school programs to assist the state in meeting the goals of the 2008 stipulation and order for Milo Sheff, et al. v. William A. O'Neill, et al., for the purpose of purchasing a building or portable classrooms, subject to the reversion provisions in subdivision (1) of subsection (c) of section 10-264h of the general statutes, leasing space, and purchasing equipment, including, but not limited to, computers and classroom furniture	20,000,000	5,750,000
-	PA 15-1, JSS, Sec. 32(j)(3)	Grants-in-aid for alterations, repairs, improvements, technology, equipment and capital start-up costs, including acquisition costs, to expand the availability of high-quality school models and assist in the implementation of common core state standards and assessments, in accordance with procedures established by the Commissioner of Education	-	5,000,000
PA 15-1, JSS, Sec. 13(i)(3)	-	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	5,000,000	-
Subtotal			41,000,000	37,750,000
State Library				
PA 15-1, JSS, Sec. 13(j)(1)	PA 15-1, JSS, Sec. 32(k)	Grants-in-aid to public libraries for construction, renovations, expansions, energy conservation and handicapped accessibility	5,000,000	7,000,000
PA 15-1, JSS, Sec. 13(j)(2)	-	Grants-in-aid to public libraries for communication infrastructure to connect to the Connecticut Education Network	3,600,000	-
University of Connecticut				
PA 15-1, JSS, Sec. 231	-	Amend UCONN 2000 language so funds can be used for an integrated electronic medical record system at the UConn Health Center	-	-

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
Board of Regents for Higher Education				
PA 15-1, JSS, Sec. 2(n)(1)(B)	PA 15-1, JSS, Sec. 21(n)(1)(B)	Consolidation and upgrade of system student and financial information technology systems	20,000,000	40,000,000
PA 15-1, JSS, Sec. 2(n)(2)	PA 15-1, JSS, Sec. 21(n)(2)	All Community Colleges: Deferred maintenance, code compliance and infrastructure improvements	15,500,000	10,000,000
PA 15-1, JSS, Sec. 2(n)(3)	PA 15-1, JSS, Sec. 21(n)(3)	All State Universities: Deferred maintenance, code compliance and infrastructure improvements	10,000,000	12,000,000
-	PA 15-1, JSS, Sec. 21(n)(5)	Asnuntuck Community College: Alterations and improvements for expansion of library and student services	-	3,800,000
-	PA 15-1, JSS, Sec. 21(n)(4)	Norwalk Community College: Alterations, renovations and improvements to the B wing building	-	5,190,000
PA 15-1, JSS, Sec. 2(n)(4)	-	Norwalk Community College: Implementation of Phase III of the master plan	28,800,000	-
PA 15-1, JSS, Sec. 2(n)(1)(C)	PA 15-1, JSS, Sec. 21(n)(1)(C)	Advanced manufacturing and emerging technology programs	2,500,000	2,625,000
PA 15-1, JSS, Sec. 2(n)(1)(A)	PA 15-1, JSS, Sec. 21(n)(1)(A)	All state colleges and universities: New and replacement of instruction, research and laboratory equipment.	16,000,000	12,000,000
PA 15-1, JSS, Sec. 2(n)(5)	-	Capital Community College: Alterations, renovations and improvements to optimize space utilization	5,000,000	-
PA 15-1, JSS, Sec. 2(n)(6)	-	Tunxis Community College: Implementation of Phase III of the master plan	3,000,000	-
PA 15-1, JSS, Sec. 62	-	Technical change to CSCU 2020 language		
Subtotal			100,800,000	85,615,000
Department of Correction				
-	PA 15-1, JSS, Sec. 21(o)	Renovations and improvements to existing state-owned buildings for inmate housing, programming and staff training space and additional inmate capacity, and for support facilities and off-site improvements	-	15,000,000
Department of Children and Families				
PA 15-1, JSS, Sec. 2(o)	PA 15-1, JSS, Sec. 21(p)	Alterations, renovations and improvements to buildings and grounds	3,828,000	2,073,000
Judicial Department				
PA 15-1, JSS, Sec. 2(p)(1)	PA 15-1, JSS, Sec. 21(q)(1)	Alterations, renovations and improvements to buildings and grounds at state-owned and maintained facilities	7,500,000	7,500,000
PA 15-1, JSS, Sec. 2(p)(3)	-	Exterior improvements at the superior courthouse in New Haven	9,000,000	-
PA 15-1, JSS, Sec. 2(p)(2)	PA 15-1, JSS, Sec. 21(q)(2)	Implementation of the Technology Strategic Plan Project	7,500,000	7,500,000

Act/Section		Agency/Description	FY 16 \$	FY 17 \$
PA 15-1, JSS, Sec. 2(p)(4)	-	New furniture, equipment and telecommunications systems for the new Litchfield Judicial District courthouse in Torrington	4,400,000	-
Subtotal			28,400,000	15,000,000
Department of Transportation				
PA 15-1, JSS, Sec. 13(h)(1)	-	Grants-in-aid for improvements to ports, harbors and marinas, including dredging and navigational improvements, provided that not less than \$5 million shall be made available to the ports, harbors and marinas in the state other than the deep water ports in the cities of Bridgeport, New Haven and New London	17,500,000	-
PA 15-1, JSS, Sec. 13(h)(2)	PA 15-1, JSS, Sec. 32(j)	Town Aid Road	60,000,000	60,000,000
Secs. 225 & 226	-	Pot Hole Assistance Program - Grant-in-aid for the excess costs incurred to repair potholes after the winter of 2014-2015, above the average cost incurred to repair potholes for the previous three years	5,000,000	-
PA 15-1, JSS, Sec. 104	-	Language change: Grant-in-aid to the town of Middlefield, for [improvements to the Mattabesock Bridge] <u>bridges, roads and infrastructure.</u> SA 05-1 13(o)	-	-
Subtotal			82,500,000	60,000,000
Connecticut Port Authority				
-	PA 15-1, JSS, Sec. 32(n)	Grants-in-aid for improvements to ports, harbors and marinas, including dredging and navigational improvements, provided that not less than \$5 million shall be made available to the ports, harbors and marinas in the state other than the deep water ports in the cities of Bridgeport, New Haven and New London	-	17,500,000
TOTAL General Obligation Bonds			1,865,513,300	1,866,315,500
TOTAL Revenue Bonds			58,000,000	180,000,000

FY 16 and FY 17 Special Tax Obligation Bond Authorizations for the Department of Transportation

Act/Section		Description	FY 16 \$	FY 17 \$
Bureau of Highways				
PA 15-1, JSS, Sec. 40(a)(1)	PA 15-1, JSS, Sec. 46(a)(1)	Interstate Highway Program	13,000,000	13,000,000
PA 15-1, JSS, Sec. 40(a)(2)	PA 15-1, JSS, Sec. 46(a)(2)	Urban Systems Projects	10,138,710	12,112,100
PA 15-1, JSS, Sec. 40(a)(3)	PA 15-1, JSS, Sec. 46(a)(3)	Intrastate Highway Program	44,000,000	44,000,000
PA 15-1, JSS, Sec. 40(a)(4)	PA 15-1, JSS, Sec. 46(a)(4)	Environmental compliance, soil and groundwater remediation, hazardous materials abatement, demolition, salt shed construction and renovation, storage tank replacement, and environmental emergency response at or in the vicinity of state-owned properties or related to Department of Transportation operations	17,556,000	18,535,000
PA 15-1, JSS, Sec. 40(a)(5)	PA 15-1, JSS, Sec. 46(a)(5)	State bridge improvement, rehabilitation and replacement projects	33,000,000	33,000,000

Act/Section		Description	FY 16 \$	FY 17 \$
PA 15-1, JSS, Sec. 40(a)(6)	PA 15-1, JSS, Sec. 46(a)(6)	Capital resurfacing and related reconstruction projects	75,000,000	75,000,000
PA 15-1, JSS, Sec. 40(a)(7)	PA 15-1, JSS, Sec. 46(a)(7)	Fix-It-First Bridge Repair	70,000,000	70,000,000
PA 15-1, JSS, Sec. 40(a)(8)	PA 15-1, JSS, Sec. 46(a)(8)	Fix-It-First Road Repair	55,000,000	55,000,000
PA 15-1, JSS, Sec. 40(a)(9)	PA 15-1, JSS, Sec. 46(a)(9)	Local Transportation Capital Program	74,000,000	74,000,000
PA 15-1, JSS, Sec. 40(a)(10)	PA 15-1, JSS, Sec. 46(a)(10)	Highway and bridge renewal equipment	20,381,280	10,381,280
PA 15-1, JSS, Sec. 40(a)(11)	PA 15-1, JSS, Sec. 46(a)(11)	Local Bridge Program	10,000,000	10,000,000
Bureau of Aviation and Ports				
PA 15-1, JSS, Sec. 40(b)(1)	PA 15-1, JSS, Sec. 46(b)(1)	Reconstruction and improvements to the warehouse and State Pier, New London, including site improvements and improvements to ferry slips	5,331,000	2,650,000
PA 15-1, JSS, Sec. 40(b)(2)	PA 15-1, JSS, Sec. 46(b)(2)	Development and improvement of general aviation airport facilities including grants-in-aid to municipal airports, excluding Bradley International Airport	2,000,000	2,000,000
Bureau of Public Transportation				
PA 15-1, JSS, Sec. 40(c)	PA 15-1, JSS, Sec. 46(c)	Bus and rail facilities and equipment, including rights-of-way, other property acquisition and related projects	205,300,000	208,100,000
Bureau of Administration				
PA 15-1, JSS, Sec. 40(d)(1)	PA 15-1, JSS, Sec. 46(d)(1)	Department facilities	20,719,775	25,510,000
PA 15-1, JSS, Sec. 40(d)(2)	PA 15-1, JSS, Sec. 46(d)(2)	Cost of issuance of Special Tax Obligation Bonds and debt service reserve	26,000,000	40,000,000
Let's Go Connecticut Program				
PA 15-1, JSS, Sec. 232		Let's GO CT funding plan	274,850,000	520,200,000
PA 15-1, JSS, Secs. 234 - 238		Let's GO CT language		
TOTAL Special Tax Obligation Bonds			681,426,765	693,288,380

Special Tax Obligation Bond Authorizations for the Let's Go CT Program

Act/Section	Description	FY 16 \$	FY 17 \$	FY 18 \$	FY 19 \$	FY 20 \$	TOTAL \$
PA 15-1, JSS, Sec. 232	Let's GO CT Program	274,850,000	520,200,000	551,700,000	749,800,000	706,000,000	2,802,550,000

General Obligation and Special Tax Obligation Bond Cancellations

Act/Section	Agency/Description	Act	Cancellation \$
General Obligation Bonds			
Office of Policy and Management			
PA 15-1, JSS, Sec. 209	Intertown capital equipment purchase incentive program	PA 11-57, Sec. 75	(10,000,000)
Department of Emergency Services and Public Protection			
PA 15-1, JSS, Sec. 106	Grant-in-aid to South Fire District, for renovations to fire stations in the city of Middletown	SA 05-1, Sec. 32(b)(2)	(475,000)
PA 15-1, JSS, Sec. 107	Grant-in-aid to the city of Stamford, for radio systems to improve police and fire department communications	SA 05-1, Sec. 32(b)(3)	(500,000)
PA 15-1, JSS, Sec. 140	Grant-in-aid to the Quinebaug Valley Emergency Communications Center for land acquisition and construction	PA 07-7, (JSS), Sec. 13(b)(2)	(2,950,000)
PA 15-1, JSS, Sec. 141	Grant-in-aid to the town of North Stonington for firehouse improvements	PA 07-7, (JSS), Sec. 13(b)(7)	(250,000)
PA 15-1, JSS, Sec. 180	Grant-in-aid to the town of Somers for two fire substations	PA 07-7, (JSS), Sec. 32(b)(1)	(439,025)
PA 15-1, JSS, Sec. 203	Programmatic study of state police troops and districts and development of a design prototype for troop facilities,	PA 11-57, Sec. 2(g)(2)	(1,000,000)
PA 15-1, JSS, Sec. 215	Design, construction and equipment for a consolidated communications center at the headquarters building in Middletown	PA 13-239, Sec. 2(d)(1)	(3,835,000)
Agricultural Experiment Station			
PA 15-1, JSS, Sec. 134	Alterations, renovations and additions to Jenkins Laboratory	PA 07-7, (JSS), Sec. 2(n)(1)	(40,000)
PA 15-1, JSS, Sec. 206	Renovations and construction at the Jenkins Building	PA 11-57, Sec. 2(q)	(128,505)
Department of Agriculture			
PA 15-1, JSS, Sec. 181	Biofuel Crops Program for grants-in-aid to farmers, agricultural nonprofit organizations and agricultural cooperatives for the cultivation and production of crops used to generate biofuels	PA 07-7, (JSS), Sec. 32(c)(3)	(1,000,000)
Department of Energy and Environmental Protection			
PA 15-1, JSS, Sec. 69	Yantic River flood control project, Norwich and Franklin	SA 92-3, Sec. 2(g)(3)	(1,200,000)
PA 15-1, JSS, Sec. 70	Special Contaminated Property Remediation and Insurance Fund	PA 96-250, Sec. 3(a)	(1,000,000)
PA 15-1, JSS, Sec. 91	Grant-in-aid to the town of East Hartford, for capping the East Hartford Landfill	SA 05-1, Sec. 13(d)(5)	(900,000)
PA 15-1, JSS, Sec. 92	Grant-in-aid to the Norwalk River Rowing Association, Incorporated, for construction of a boathouse	SA 05-1, Sec. 13(d)(14)	(250,000)
PA 15-1, JSS, Sec. 93	Grant-in-aid to the town of Putnam, for improvements to Murphy Park	SA 05-1, Sec. 13(d)(16)	(250,000)
PA 15-1, JSS, Sec. 94	Grant-in-aid to the town of Thompson, for a hydroelectric feasibility study	SA 05-1, Sec. 13(d)(18)	(250,000)
PA 15-1, JSS, Sec. 95	Grant-in-aid to the town of Rocky Hill, for improvements to Elm Ridge Park skate park	SA 05-1, Sec. 13(d)(27)	(100,000)
PA 15-1, JSS, Sec. 108	Grant-in-aid to the town of East Hartford, for capping the East Hartford landfill	SA 05-1, Sec. 32(d)(6)	(900,000)
PA 15-1, JSS, Sec. 109	Grant-in-aid to the town of Scotland, for improvements to recreational facilities	SA 05-1, Sec. 32(d)(12)	(250,000)
PA 15-1, JSS, Sec. 110	Grant-in-aid to the town of Canterbury, for improvements to recreational facilities	SA 05-1, Sec. 32(d)(13)	(250,000)

Act/Section	Agency/Description	Act	Cancellation \$
PA 15-1, JSS, Sec. 111	Grant-in-aid to the town of Thompson, for improvements to recreational facilities	SA 05-1, Sec. 32(d)(15)	(232,600)
PA 15-1, JSS, Sec. 112	<i>Reduction:</i> Grant-in-aid to the town of Wallingford, for renovations to athletic fields at the town's public schools	SA 05-1, Sec. 32(d)(25)	(275,000)
PA 15-1, JSS, Sec. 113	Grant-in-aid to the town of Chaplin, for replacement of a playscape at Garrison Park	SA 05-1, Sec. 32(d)(37)	(50,000)
PA 15-1, JSS, Sec. 114	<i>Reduction:</i> Grant-in-aid to the town of Bristol for rehabilitation and renovation of Rockwell Park	SA 05-1, Sec. 32(d)(39)	(2,500,000)
PA 15-1, JSS, Sec. 143	Grant-in-aid to the city of New Britain for replacement of the Brooklawn Street Bridge on Willow Brook	PA 07-7, (JSS), Sec. 13(d)(8)	(300,000)
PA 15-1, JSS, Sec. 144	Grant-in-aid to the Connecticut Institute of Water Resources for a study of river basins	PA 07-7, (JSS), Sec. 13(d)(9)	(500,000)
PA 15-1, JSS, Sec. 145	Grant-in-aid to the town of North Branford for development of the Swatchuk property for active and passive recreation	PA 07-7, (JSS), Sec. 13(d)(11)	(439,025)
PA 15-1, JSS, Sec. 146	Grant-in-aid to the town of Thomaston for extension of a water main in the Jackson Street area	PA 07-7, (JSS), Sec. 13(d)(12)	(1,756,100)
PA 15-1, JSS, Sec. 147	Grant-in-aid to the town of Wolcott for retirement of debt associated with installation of a water line	PA 07-7, (JSS), Sec. 13(d)(21)	(100,000)
PA 15-1, JSS, Sec. 148	Grant-in-aid to the town of Simsbury for infrastructure improvement in the Tariffville section	PA 07-7, (JSS), Sec. 13(d)(29)	(200,000)
PA 15-1, JSS, Sec. 149	Grant-in-aid to the town of Danbury for acquisition of the Terre Haute property in [Bethel] DANBURY for open space	PA 07-7, (JSS), Sec. 13(d)(30)	(2,000,000)
PA 15-1, JSS, Sec. 150	Grant-in-aid to the city of Trumbull for open space and trail development at Great Oak Park	PA 07-7, (JSS), Sec. 13(d)(35)	(20,000)
PA 15-1, JSS, Sec. 151	Grant-in-aid to the town of South Windsor for purchase or construction of a regional animal shelter	PA 07-7, (JSS), Sec. 13(d)(36)	(500,000)
PA 15-1, JSS, Sec. 152	Grant-in-aid to the town of Preston for demolition of the former Poquetanuck School	PA 07-7, (JSS), Sec. 13(d)(37)	(87,500)
PA 15-1, JSS, Sec. 182	Grant-in-aid to the town of Simsbury for open space acquisition and farmland preservation at Meadow Wood	PA 07-7, (JSS), Sec. 32(d)(9)	(450,000)
PA 15-1, JSS, Sec. 183	Grant-in-aid to the town of Guilford for preservation of the East River Preserve	PA 07-7, (JSS), Sec. 32(d)(10)	(2,000,000)
PA 15-1, JSS, Sec. 199	Energy efficiency fuel oil furnace and boiler replacement, upgrade and repair program	PA 11-1, (OSS), Sec. 49	(5,000,000)
PA 15-1, JSS, Sec. 201	Superfund - Grants-in-aid for containment, removal or mitigation of identified hazardous waste disposal sites.	PA 11-57, Sec. 32(a)(1)	(5,000,000)
PA 15-1, JSS, Sec. 216	Recreation and natural heritage trust program for recreation, open space, resource protection and resource management	PA 13-239, Sec. 2(g)(3)	(5,000,000)
PA 15-1, JSS, Sec. 219	A program to establish energy microgrids to support critical municipal infrastructure.	PA 13-239, Sec. 32(c)(5)	(10,000,000)
Green Bank (formerly CEFIA)			
PA 15-1, JSS, Sec. 191	Grants-in-aid for municipal renewable energy and efficient energy generation projects.	PA 07-242, Sec. 91	(10,000,000)
Department of Economic and Community Development			
PA 15-1, JSS, Sec. 87	Grant-in-aid to Southside Institutions Neighborhood Alliance for a community sports complex in Hartford	SA 04-2, Sec. 13(h)(2)	(1,000,000)
PA 15-1, JSS, Sec. 96	Grant-in-aid to the Samuel Huntington Trust, Incorporated, for the capital campaign to preserve the Samuel Huntington House	SA 05-1, Sec. 13(e)(7)	(70,000)
PA 15-1, JSS, Sec. 97	Grant-in-aid to the Quinebaug Shetucket Heritage Corridor, Incorporated, for planning the completion of the Airline Trail	SA 05-1, Sec. 13(e)(8)	(100,000)
PA 15-1, JSS, Sec. 99	Grant-in-aid to the Craftery Gallery, Incorporated, for the purchase of a building and necessary alterations and renovations	SA 05-1, Sec. 13(j)(18)	(50,000)
PA 15-1, JSS, Sec. 100	Grant-in-aid to the town of Portland, for renovation of property for the Sculptors Museum and Training Center	SA 05-1, Sec. 13(j)(20)	(90,000)

Act/Section	Agency/Description	Act	Cancellation \$
PA 15-1, JSS, Sec. 101	Grant-in-aid to the town of Portland, for improvements and repairs to the town green gazebo and the historic brownstone swing	SA 05-1, Sec. 13(j)(21)	(50,000)
PA 15-1, JSS, Sec. 115	Connecticut Arts Endowment Fund	SA 05-1, Sec. 32(e)(3)	(500,000)
PA 15-1, JSS, Sec. 116	Grant-in-aid to the town of Bristol, for renovation of the American Clock and Watch Museum	SA 05-1, Sec. 32(e)(4)	(1,500,000)
PA 15-1, JSS, Sec. 120	Grant-in-aid to the town of Ansonia, for downtown development	SA 05-1, Sec. 32(j)(4)	(125,000)
PA 15-1, JSS, Sec. 121	Grant-in-aid to the town of Thompson, for downtown revitalization	SA 05-1, Sec. 32(j)(6)	(1,000,000)
PA 15-1, JSS, Sec. 122	Grant-in-aid to the East Hartford Housing Authority, for renovation of an existing building into a community center at Veterans Terrace	SA 05-1, Sec. 32(j)(14)	(350,000)
PA 15-1, JSS, Sec. 123	Grant-in-aid to the town of Cromwell, for downtown revitalization	SA 05-1, Sec. 32(j)(17)	(150,000)
PA 15-1, JSS, Sec. 124	Grant-in-aid to the town of Bloomfield, for a façade improvement program	SA 05-1, Sec. 32(j)(22)	(500,000)
PA 15-1, JSS, Sec. 132	Energy Conservation Loan Fund established under section 16a-40a of the general statutes	PA 07-242, Sec. 2	(30,000,000)
PA 15-1, JSS, Sec. 153	Grant-in-aid to the town of Greenwich for renovation of existing, or construction of new, exhibition areas, teaching spaces and the science gallery at the Bruce Museum	PA 07-7, (JSS), Sec. 13(e)(2)	(250,000)
PA 15-1, JSS, Sec. 154	Grant-in-aid to the Norwich Free Academy for Americans with Disabilities Act improvements at the Slater Memorial Museum, including installation of an elevator	PA 07-7, (JSS), Sec. 13(e)(8)	(800,000)
PA 15-1, JSS, Sec. 155	Grant-in-aid to Holcomb Farm in Granby for restoration and renovation of buildings	PA 07-7, (JSS), Sec. 13(e)(14)	(50,000)
PA 15-1, JSS, Sec. 156	Grant-in-aid to the Stanley L. Richter Association for the Arts in Danbury for roof repair, expansion and Americans with Disabilities Act improvements	PA 07-7, (JSS), Sec. 13(e)(24)	(150,000)
PA 15-1, JSS, Sec. 157	Grant-in-aid to the town of East Hampton for the renovation and restoration of the Goff House	PA 07-7, (JSS), Sec. 13(e)(26)	(100,000)
PA 15-1, JSS, Sec. 158	Grant-in-aid to the New Haven Museum and Historical Society for the restoration and reconstruction of the Pardee Morris House	PA 07-7, (JSS), Sec. 13(e)(27)	(350,000)
PA 15-1, JSS, Sec. 159	Southeastern Connecticut Economic Diversification Revolving Loan Fund	PA 07-7, (JSS), Sec. 13(f)(1)	(5,000,000)
PA 15-1, JSS, Sec. 160	For the fuel diversification grant program established by section 61 of public act 07-4 of the June special session	PA 07-7, (JSS), Sec. 13(f)(5)	(1,500,000)
PA 15-1, JSS, Sec. 161	Grant-in-aid to New Britain for purchase of a new scoreboard, production equipment and related software to be used at New Britain Stadium, and for repairs and upgrades to the suites at New Britain Stadium	PA 07-7, (JSS), Sec. 13(f)(15)	(500,000)
PA 15-1, JSS, Sec. 162	Grant-in-aid to the town of Southington for renovations at the Southington Drive-In	PA 07-7, (JSS), Sec. 13(f)(17)	(250,000)
PA 15-1, JSS, Sec. 163	Grant-in-aid to the town of Hamden for Whitneyville Center streetscape improvements	PA 07-7, (JSS), Sec. 13(f)(19)	(390,000)
PA 15-1, JSS, Sec. 164	Grant-in-aid to the town of Southington for road relocation, utility upgrades, new service facilities and other improvements related to expanding Lake Compounce Water Park	PA 07-7, (JSS), Sec. 13(f)(32)	(3,300,000)
PA 15-1, JSS, Sec. 165	Grant-in-aid to the town of Farmington for completion of a portion of a trail in Rails to Trails	PA 07-7, (JSS), Sec. 13(f)(47)	(15,000)
PA 15-1, JSS, Sec. 166	Grant-in-aid to the town of Portland for sidewalk repairs	PA 07-7, (JSS), Sec. 13(f)(48)	(200,000)
PA 15-1, JSS, Sec. 167	Grant-in-aid to the town of Newington for the community center	PA 07-7, (JSS), Sec. 13(f)(49)	(750,000)

Act/Section	Agency/Description	Act	Cancellation \$
PA 15-1, JSS, Sec. 168	Grant-in-aid to the town of Stratford for streetscape improvements	PA 07-7, (JSS), Sec. 13(f)(50)	(250,000)
PA 15-1, JSS, Sec. 184	Grant-in-aid to the town of Mystic to improve transportation access at the north gate at the Museum of America and the Sea at Mystic Seaport	PA 07-7, (JSS), Sec. 32(e)(2)	(750,000)
PA 15-1, JSS, Sec. 185	Grant-in-aid to the city of Torrington for development and construction of the Warner Theater Stage House	PA 07-7, (JSS), Sec. 32(e)(5)	(750,000)
PA 15-1, JSS, Sec. 186	Grant-in-aid to the Stanley L. Richter Association for the Arts in Danbury for roof repair, expansion and ADA improvements	PA 07-7, (JSS), Sec. 32(e)(7)	(150,000)
PA 15-1, JSS, Sec. 187	Southeastern Connecticut Economic Diversification Revolving Loan Fund	PA 07-7, (JSS), Sec. 32(f)(1)	(5,000,000)
PA 15-1, JSS, Sec. 188	For the Biofuel Production Facility Incentive Program	PA 07-7, (JSS), Sec. 32(f)(4)	(3,500,000)
PA 15-1, JSS, Sec. 189	Grant-in-aid to the city of New Haven for the River Street development project	PA 07-7, (JSS), Sec. 32(f)(7)	(2,250,000)
PA 15-1, JSS, Sec. 210	Establish an electronic business portal	PA 11-1, (OSS), Sec. 28	(1,000,000)
PA 15-1, JSS, Sec. 213	Purchase of urban and industrial sites reinvestment tax credits.	PA 13-184, Sec. 95	(40,000,000)
Department of Housing			
PA 15-1, JSS, Sec. 212	Grant-in-aid to the Connecticut Housing Finance Authority for EMAP	PA 12-189, Sec. 9(c)(3)	(20,000,000)
PA 15-1, JSS, Sec. 220	Grants-in-aid to nursing homes for alterations, renovations and improvements for conversion to other uses in support of right-sizing	PA 13-239, Sec. 32(d)(1)	(10,000,000)
Connecticut Innovations, Inc.			
PA 15-1, JSS, Sec. 79	Financial aid for biotechnology and other high technology laboratories, facilities and equipment	SA 01-2, Sec. 28(e)	(2,000,000)
Department of Public Health			
PA 15-1, JSS, Sec. 169	Grant-in-aid to Community Health Center, Inc. for renovations and improvements at the Groton facility	PA 07-7, (JSS), Sec. 13(g)(4)	(500,000)
PA 15-1, JSS, Sec. 170	Grant-in-aid to KB Ambulance Corporation for a building addition and alterations in the town of Danielson	PA 07-7, (JSS), Sec. 13(g)(6)	(465,000)
Department of Developmental Services			
PA 15-1, JSS, Sec. 117	Grants-in-aid to private, nonprofit organizations for alterations and improvements to nonresidential facilities	SA 05-1, Sec. 32(f)	(1,944,600)
Department of Mental Health and Addiction Services			
PA 15-1, JSS, Sec. 72	Design and installation of sprinkler systems, including related fire safety improvements, in direct patient care buildings	PA 99-242, Sec. 21(g)(3)	(1,115,800)
PA 15-1, JSS, Sec. 76	Alterations, renovations, additions and improvements, including new construction	SA 01-2, Sec. 17(d)(2)	(113,407)
Department of Social Services			
PA 15-1, JSS, Sec. 102	Grant-in-aid to the town of Plainfield, for the conversion of the Plainfield High School Annex Building into a municipal community center	SA 05-1, Sec. 13(m)(18)	(180,000)
PA 15-1, JSS, Sec. 103	Grant-in-aid to the town of West Hartford, for improvements to the senior center	SA 05-1, Sec. 13(m)(20)	(500,000)
PA 15-1, JSS, Sec. 125	Grants-in-aid to municipalities and organizations exempt from taxation under Section 501(c)(3) of the Internal Revenue Code for facility improvements and minor capital repairs to licensed school readiness programs and	SA 05-1, Sec. 32(m)(2)	(2,000,000)
PA 15-1, JSS, Sec. 126	Grant-in-aid to the town of Newington, for improvements to the Mortensen Community Center gymnasium	SA 05-1, Sec. 32(m)(3)	(220,000)

Act/Section	Agency/Description	Act	Cancellation \$
PA 15-1, JSS, Sec. 127	Grant-in-aid to the town of Stratford, for planning and construction of the South End Community Center	SA 05-1, Sec. 32(m)(4)	(750,000)
PA 15-1, JSS, Sec. 128	Grant-in-aid to United Services of Dayville, for alteration and expansion of facilities	SA 05-1, Sec. 32(m)(5)	(750,000)
PA 15-1, JSS, Sec. 129	Grant-in-aid to the East Hartford YMCA, for capital building improvements	SA 05-1, Sec. 32(m)(12)	(300,000)
PA 15-1, JSS, Sec. 130	Grant-in-aid to the Mystic Area Shelter and Hospitality, Incorporated, for renovations and improvements	SA 05-1, Sec. 32(m)(13)	(50,000)
PA 15-1, JSS, Sec. 171	Grant-in-aid to the town of Brookfield for expansion of the senior center, including computer equipment	PA 07-7, (JSS), Sec. 13(i)(2)	(439,025)
PA 15-1, JSS, Sec. 172	Grant-in-aid to Action for Bridgeport Community, Inc. for acquisition and renovation of property for an early learning center	PA 07-7, (JSS), Sec. 13(i)(10)	(1,000,000)
PA 15-1, JSS, Sec. 173	Grant-in-aid to Mi Casa in Hartford for renovations and acquisition of equipment for a wellness center	PA 07-7, (JSS), Sec. 13(i)(15)	(300,000)
PA 15-1, JSS, Sec. 174	Grant-in-aid to Casa Bienvenida for property acquisition in Waterbury	PA 07-7, (JSS), Sec. 13(i)(18)	(2,500,000)
PA 15-1, JSS, Sec. 175	Grant-in-aid to the Jewish Community Center of Eastern Fairfield County for facility upgrades, including asbestos removal and HVAC system replacement	PA 07-7, (JSS), Sec. 13(i)(20)	(1,000,000)
Department of Education			
PA 15-1, JSS, Sec. 74	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	SA 01-2, Sec. 2(h)(2)	(594,291)
PA 15-1, JSS, Sec. 77	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	SA 01-2, Sec. 17(e)(2)	(800,000)
PA 15-1, JSS, Sec. 81	American School for the Deaf, alterations, renovations and improvements to buildings and grounds	SA 02-1, (M9 SS), Sec. 17(d)	(5,000,000)
PA 15-1, JSS, Sec. 84	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	SA 04-2, Sec. 2(h)(1)	(1,000,000)
PA 15-1, JSS, Sec. 89	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	SA 05-1, Sec. 2(m)(1)	(5,000,000)
PA 15-1, JSS, Sec. 135	American School for the Deaf: Alterations, renovations and improvements to buildings and grounds	PA 07-7, (JSS), Sec. 2(r)(1)	(1,300,000)
PA 15-1, JSS, Sec. 190	Grants-in-aid to municipalities, regional school districts and regional education service centers for the costs of wiring school buildings	PA 07-7, (JSS), Sec. 32(h)(1)	(217,307)
PA 15-1, JSS, Sec. 198	Grants-in-aid to department-accredited providers of alternative education programs for students age fourteen to twenty-one for property acquisition, design, planning, construction or renovation of facilities	PA 09-2, (SSS), Sec. 34(e)	(2,000,000)
State Library			
PA 15-1, JSS, Sec. 118	Grants-in-aid to public libraries	SA 05-1, Sec. 32(h)(1)	(7,604)
PA 15-1, JSS, Sec. 119	Grant-in-aid to the town of Madison, for expansion of Scranton Memorial Library	SA 05-1, Sec. 32(h)(3)	(500,000)
PA 15-1, JSS, Sec. 176	Grant-in-aid to the town of Somers for expansion of the Somers Library	PA 07-7, (JSS), Sec. 13(k)(4)	(439,025)
Board of Regents for Higher Education			
PA 15-1, JSS, Sec. 85	Capital Community Technical College: Campus expansion	SA 04-2, Sec. 2(j)(5)	(500,000)
PA 15-1, JSS, Sec. 136	Central: East Campus infrastructure improvements, including road, site and utility improvements	PA 07-7, (JSS), Sec. 2(t)(2)(B)	(1,800,000)
PA 15-1, JSS, Sec. 193	Community Colleges: Alterations, renovations and improvements	PA 09-2, (SSS), Sec. 42(e)(1)(A)	(108,705)

Act/Section	Agency/Description	Act	Cancellation \$
PA 15-1, JSS, Sec. 194	Capital: Acquisition of property	PA 09-2, (SSS), Sec. 42(e)(8)	(4,595,756)
PA 15-1, JSS, Sec. 204	Community Colleges: Alterations, renovations and improvements	PA 11-57, Sec. 2(m)(1)(A)	(42,660)
PA 15-1, JSS, Sec. 217	Community Colleges: Alterations, renovations and improvements	PA 13-239, Sec. 2(l)(1)(C)	(17,009)
Department of Children and Families			
PA 15-1, JSS, Sec. 98	Grants-in-aid to private, nonprofit organizations, including the Boys and Girls Clubs of America, YMCAs, YWCAs and community centers	SA 05-1, Sec. 13(i)(3)	(87,800)
PA 15-1, JSS, Sec. 137	Development and construction of a self-contained secure treatment facility for juvenile girls	PA 07-7, (JSS), Sec. 2(v)(2)	(4,357,000)
PA 15-1, JSS, Sec. 178	Development and construction of a self-contained secure treatment facility for juvenile girls	PA 07-7, (JSS), Sec. 21(o)(3)	(6,000,000)
PA 15-1, JSS, Sec. 196	Construction of a secure facility for females age fourteen to eighteen, who have been convicted as delinquent for commission of a delinquent act or serious juvenile offense	PA 09-2, (SSS), Sec. 27(f)(2)	(4,700,000)
Judicial Department			
PA 15-1, JSS, Sec. 138	Study of current and future space needs at the geographical area courthouse in Manchester	PA 07-7, (JSS), Sec. 2(w)(8)	(50,000)
PA 15-1, JSS, Sec. 82	Development of Criminal/Juvenile courthouse in New Haven	SA 02-1, (M9 SS), Sec. 17(h)(1)	(10,000,000)
PA 15-1, JSS, Sec. 205	Security improvements at various state-owned and maintained facilities.	PA 11-57, Sec., Sec. 2(o)(2)	(1,000,000)
PA 15-1, JSS, Sec. 208	Security improvements at various state-owned and maintained facilities.	PA 11-57, Sec. 21(n)(2)	(1,000,000)
Department of Transportation			
PA 15-1, JSS, Sec. 131	Grant-in-aid to the town of Southington for the reconstruction of the intersection of Marion Avenue and Mount Vernon Road	SA 05-1, Sec. 32(n)(2)	(150,000)
TOTAL			(272,512,744)
Special Tax Obligation Bonds			
Department of Transportation			
PA 15-1, JSS, Sec. 222	Reconfiguration of an existing ramp off of the Merritt Parkway in Westport.	PA 14-98, Sec. 17(d)	(3,000,000)

VIII. MUNICIPAL

Major Appropriated Grants and Other Municipal Aid

The FY 16 and FY 17 budget includes overall net increases in municipal aid, over FY 15 actuals, of \$30.7 million in FY 16 and \$295.0 million in FY 17. The increase in aid in FY 17 is mostly due to major property tax policy changes which are summarized below.

Property Taxes, and Property Tax-related State Aid

Beginning in FY 17, PA 15-244: (1) changes the reimbursement rates, and the basis for determining those rates, for the State Property PILOT and College & Hospital PILOT grant programs; (2) caps the motor vehicle mill rate at 32 mills in FY 17 and 29.36 mills in FY 18 and annually thereafter; (3) distributes a portion of sales tax revenue to municipalities; and (4) establishes an optional commercial property tax revenue sharing system for regional councils of government.

The budget establishes a new Municipal Revenue Sharing Account, and uses sales tax revenue diverted into the account to fund these initiatives. In FY 17, approximately \$215.9 million in MRSA funding will be used to provide: (1) \$46.1 million in additional funding to municipalities with high levels of tax-exempt property; (2) an estimated \$60.6 million to reimburse municipalities that lose revenue as a result of the motor vehicle mill rate cap; and (3) \$109.3 million in additional funding to municipalities for general government aid. Additionally, \$10 million in MRSA funding will be used in each of FY 16 and FY 17 to provide supplemental Education Cost Sharing (ECS) grants to towns.

Other Significant Changes

The budget also:

- Provides additional funding for Education Cost Sharing grants of \$17.1 million in FY 16 and \$24.6 million in FY 17 (not including the above mentioned ECS funding provided from the revenue contained in the MRSA account).
- Provides additional funding for Magnet Schools of \$17.8 million in FY 16 and \$14.3 million in FY 17.
- Provides additional funding for Grants for Municipal Projects of \$3.6 million in each of FY 16 and FY 17.
- Increases the MORE Commission Lapse from \$10 million to \$20 million in each of FY 16 and FY 17. This requires the Office of Policy and Management to make recommendations for reductions in state aid of \$20 million in each year.

- Eliminates the Department of Housing's PILOT grant program, resulting in a revenue loss to municipalities (and a corresponding savings to the state) of \$1.8 million in each of FY 16 and FY 17.
- Eliminates a FY 15 payment to municipalities from the Municipal Revenue Sharing Account. This funding was intended to offset revenue municipalities lost inadvertently when the account closed on June 30, 2013.

Municipal Aid Synopsis FY 15 to FY 17

Agency/Grant Name	FY 15 Actual ¹ \$	FY 16 \$	FY 17 \$	FY 16 - FY 15 Est. \$	FY 17. - FY 15 Est. \$
Appropriated Funds					
Connecticut State Library					
Grants To Public Libraries	193,391	190,846	193,391	(2,545)	-
Connecticard Payments	965,217	900,000	900,000	(65,217)	(65,217)
Department of Housing					
Tax Abatement	1,372,414	1,118,580	1,153,793	(253,834)	(218,621)
Payment In Lieu Of Taxes	1,779,730	-	-	(1,779,730)	(1,779,730)
Housing/Homeless Services-Municipality	640,398	640,398	640,398	-	-
Department of Public Health					
Local and District Departments of Health	4,685,778	4,458,648	4,692,648	(227,130)	6,870
Venereal Disease Control	187,313	197,171	197,171	9,858	9,858
School Based Health Clinics	11,446,280	11,747,498	11,898,107	301,218	451,827
Department of Social Services					
Human Resource Development-Hispanic Programs - Municipality	5,364	5,029	5,096	(335)	(268)
Teen Pregnancy Prevention - Municipality	130,935	120,598	124,044	(10,337)	(6,891)
Community Services - Municipality	79,573	78,526	79,573	(1,047)	-
Office of Early Childhood					
Early Childhood Program	9,759,569	10,840,145	10,840,145	1,080,576	1,080,576
Child Care Services	17,304,300	18,701,942	19,081,942	1,397,642	1,777,642
School Readiness Quality Enhancement	4,733,178	4,111,135	4,676,081	(622,043)	(57,097)
School Readiness	77,958,419	83,399,834	83,399,834	5,441,415	5,441,415
Office of Policy and Management					
State Property PILOT	83,641,646	83,641,646	83,641,646	-	-
College & Hospital PILOT	125,431,737	125,431,737	125,431,737	-	-
Reimbursement Property Tax - Disability Exemption	400,000	400,000	400,000	-	-
Distressed Municipalities	5,800,000	5,800,000	5,800,000	-	-

Agency/Grant Name	FY 15 Actual ¹ \$	FY 16 \$	FY 17 \$	FY 16 – FY 15 Est. \$	FY 17. – FY 15 Est. \$
Property Tax Relief Elderly Circuit Breaker	20,505,900	20,505,900	20,505,900	-	-
Property Tax Relief Elderly Freeze Program	120,870	120,000	120,000	(870)	(870)
Property Tax Relief for Veterans	2,970,098	2,970,098	2,970,098	-	-
Property Tax Relief	1,126,814	-	-	(1,126,814)	(1,126,814)
Municipal Aid Adjustment	3,608,728	-	-	(3,608,728)	(3,608,728)
Pequot Grants	61,779,907	61,779,907	61,779,907	-	-
Department of Education					
Vocational Agriculture	11,017,600	11,017,600	11,017,600	-	-
Transportation of School Children	24,884,748	23,329,451	23,329,451	(1,555,297)	(1,555,297)
Adult Education	20,003,812	21,035,200	21,037,392	1,031,388	1,033,580
Health and Welfare Services Pupils Private Schools	4,297,500	3,809,734	3,809,734	(487,766)	(487,766)
Education Equalization Grants ²	2,035,130,702	2,052,299,985	2,059,689,238	17,169,283	24,558,536
Bilingual Education	1,882,794	2,991,130	3,491,130	1,108,336	1,608,336
Priority School Districts	46,947,022	43,747,208	44,837,171	(3,199,814)	(2,109,851)
Young Parents Program	229,330	229,330	229,330	-	-
Interdistrict Cooperation	9,112,199	7,164,885	7,164,966	(1,947,314)	(1,947,233)
School Breakfast Program	2,379,884	2,379,962	2,379,962	78	78
Excess Cost - Student Based	139,830,460	139,805,731	139,805,731	(24,729)	(24,729)
Non-Public School Transportation	3,595,500	3,451,500	3,451,500	(144,000)	(144,000)
School To Work Opportunities	213,750	-	-	(213,750)	(213,750)
Youth Service Bureaus	2,929,483	2,839,805	2,839,805	(89,678)	(89,678)
Open Choice Program	32,989,873	38,296,250	43,214,700	5,306,377	10,224,827
Magnet Schools	310,660,393	328,419,980	324,950,485	17,759,587	14,290,092
After School Program	6,180,086	5,363,286	5,363,286	(816,800)	(816,800)
Teachers' Retirement Board					
Retirement Contributions	984,110,000	975,578,000	1,012,162,000	(8,532,000)	28,052,000
Retirees Health Service Cost	13,675,316	14,714,000	14,714,000	1,038,684	1,038,684
Municipal Retiree Health Insurance Costs	5,154,045	5,447,370	5,447,370	293,325	293,325
Total - Appropriated Funds	4,091,852,056	4,119,080,045	4,167,466,362	27,227,989	75,614,306
Bond Funds and Other Revenue Sources					
Municipal Revenue Sharing Account - Property Tax Related Aid ³	-	-	215,900,000	-	215,900,000
Municipal Revenue Sharing Account - ECS Supplement ³	-	10,000,000	10,000,000	10,000,000	10,000,000
Town Aid Road	60,000,000	60,000,000	60,000,000	-	-
LoCIP	30,000,000	30,000,000	30,000,000	-	-

Agency/Grant Name	FY 15 Actual ¹ \$	FY 16 \$	FY 17 \$	FY 16 – FY 15 Est. \$	FY 17. – FY 15 Est. \$
Grants for Municipal Projects	56,429,907	60,000,000	60,000,000	3,570,093	3,570,093
Total - Bond Funds and Other Revenue	146,429,907	160,000,000	375,900,000	13,570,093	229,470,093
GROSS TOTAL	4,238,281,963	4,279,080,045	4,543,366,362	40,798,082	305,084,399
MORE Commission Lapse	(10,000,000)	(20,000,000)	(20,000,000)	(10,000,000)	(10,000,000)
NET TOTAL	4,228,281,963	4,259,080,045	4,523,366,362	30,798,082	295,084,399

¹FY 15 actuals for appropriated grants are from the CORE Statewide Financial System, 8/19/15 Trial Balance Report. Figures include carry forward expenditures. Additionally, agencies may set aside a portion of a grant appropriation for grant administration, which they may transfer to other agency accounts. These transfers are considered appropriations adjustments and are not included in final FY 15 expenditure figures.

²These figures do not include funding for Charter Schools.

³Expenditures from the Municipal Revenue Sharing Account are based on estimated deposits into the account in FY 16 and FY 17.

PILOT Grants

Two significant sources of state aid to municipalities are the State Property PILOT and College & Hospital PILOT grants. Together with Mashantucket Pequot and Mohegan Fund grants, they comprise the largest source of appropriated, unrestricted state aid to towns.

C.G.S. 12-19 requires the state to reimburse municipalities for the tax loss on tax-exempt state property at the following rates, with some minor exceptions (as referenced in the footnote to the tables below):

- 100% for: (1) land used as a correctional facility or juvenile detention facility; (2) the portion of John Dempsey Hospital at the UConn Health Center used to provide medical care to prisoners must also be reimbursed at 100%; and (3) all state land in any town in which the state owns more than 50% of the land in the town
- 65% for Connecticut Valley Hospital in Middletown
- 45% for all other state-owned land

Similarly, C.G.S. 12-20 requires the state to reimburse municipalities for the tax loss on real private college and hospital property that is exempt from taxation. This property is required to be reimbursed at 77%, with some minor exceptions (as referenced in the footnote to the tables below).

Both State Property PILOT payments and the College & Hospital PILOT payments can be prorated in years in which the appropriations for the two grants are insufficient to make full payments.

The two tables below show, in FY 16, how much each municipality receives under three scenarios: (1) if state and private colleges and hospitals were not tax exempt and paid a full real estate tax bill to each town; (2) if the two grants were funded sufficiently to make full payments under the statutory formulas described above; and, (3) OFA's estimate of what each town receives in FY 16 as a State Property PILOT and College & Hospital PILOT grant.

Additionally, each table also shows each town's effective reimbursement rate - the portion of the full real property tax bill, for each town, that is actually received in PILOT payments.

FY 16 State Property PILOT Payments and Reimbursement Rates

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Andover	84,529	38,038	18,672	0.22
Ansonia	482,023	216,910	106,475	0.22
Ashford	26,326	11,847	5,815	0.22
Avon	478,984	215,543	105,804	0.22
Barkhamsted	80,596	36,268	17,803	0.22
Beacon Falls	215,887	97,149	47,688	0.22
Berlin	109,867	49,440	24,269	0.22
Bethany	173,065	77,879	38,229	0.22
Bethel	154,107	69,348	34,041	0.22
Bethlehem	4,436	1,996	980	0.22
Bloomfield	537,568	241,905	118,744	0.22
Bolton	150,866	67,890	33,325	0.22
Bozrah	25,989	11,695	5,741	0.22
Branford	252,138	113,462	55,695	0.22
Bridgeport	11,373,953	5,761,538	2,828,175	0.25
Bridgewater	5,881	2,646	1,299	0.22
Bristol	414,218	186,398	91,497	0.22
Brookfield	129,395	58,228	28,582	0.22
Brooklyn	311,163	296,577	145,581	0.47
Burlington	213,766	96,195	47,219	0.22
Canaan	481,854	216,834	106,438	0.22
Canterbury	44,729	20,128	9,880	0.22
Canton	143,076	64,384	31,604	0.22
Chaplin	239,363	107,714	52,874	0.22
Cheshire	4,864,128	4,330,394	2,125,667	0.44
Chester	61,367	27,615	13,555	0.22
Clinton	159,156	71,620	35,156	0.22
Colchester	565,476	254,464	124,909	0.22
Colebrook	26,179	11,780	5,782	0.22
Columbia	31,813	14,316	7,027	0.22
Cornwall	83,347	37,506	18,411	0.22
Coventry	220,346	99,156	48,673	0.22
Cromwell	84,100	37,845	18,577	0.22
Danbury	10,438,052	4,697,124	2,305,684	0.22
Darien	493,237	221,957	108,952	0.22
Deep River	48,374	21,768	10,685	0.22

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Derby	192,709	86,719	42,568	0.22
Durham	84,552	38,048	18,677	0.22
Eastford	33,534	15,090	7,407	0.22
East Granby	125,196	56,338	27,655	0.22
East Haddam	150,891	67,901	33,331	0.22
East Hampton	504,226	226,902	111,380	0.22
East Hartford	3,430,325	1,543,646	757,732	0.22
East Haven	1,678,763	755,443	370,825	0.22
East Lyme	3,370,704	2,000,226	981,854	0.29
Easton	272,697	122,714	60,237	0.22
East Windsor	503,943	226,774	111,317	0.22
Ellington	33,522	15,085	7,405	0.22
Enfield	2,927,498	2,448,779	1,202,036	0.41
Essex	46,711	21,020	10,318	0.22
Fairfield	150,828	67,873	33,317	0.22
Farmington	14,632,039	6,760,105	3,318,343	0.23
Franklin	77,581	34,912	17,137	0.22
Glastonbury	223,123	100,405	49,286	0.22
Goshen	77,996	35,098	17,229	0.22
Granby	57,292	25,782	12,656	0.22
Greenwich	111,189	50,035	24,561	0.22
Griswold	278,669	125,401	61,556	0.22
Groton	4,587,146	2,064,216	1,013,265	0.22
Guilford	90,044	40,520	19,890	0.22
Haddam	304,334	136,950	67,225	0.22
Hamden	4,371,553	1,967,199	965,642	0.22
Hampton	112,861	50,787	24,930	0.22
Hartford	62,692,783	29,111,246	14,289,882	0.23
Hartland	440,191	198,086	97,235	0.22
Harwinton	44,756	20,140	9,886	0.22
Hebron	67,325	30,296	14,871	0.22
Kent	235,579	106,011	52,038	0.22
Killingly	1,091,486	491,169	241,101	0.22
Killingworth	436,155	196,270	96,343	0.22
Lebanon	126,819	57,069	28,014	0.22
Ledyard	153,512	125,171	61,443	0.40
Lisbon	31,978	14,390	7,064	0.22
Litchfield	357,041	160,669	78,868	0.22
Lyme	74,028	33,313	16,352	0.22

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Madison	2,705,737	1,217,582	597,676	0.22
Manchester	3,661,399	1,647,630	808,775	0.22
Mansfield	32,937,025	14,821,661	7,275,531	0.22
Marlborough	85,627	38,532	18,914	0.22
Meriden	1,858,913	836,511	410,619	0.22
Middlebury	178,337	80,252	39,393	0.22
Middlefield	44,605	20,072	9,853	0.22
Middletown	10,857,326	7,037,270	3,454,395	0.32
Milford	2,418,094	1,088,142	534,138	0.22
Monroe	51,170	23,026	11,303	0.22
Montville	2,065,814	1,970,892	967,455	0.47
Morris	107,313	48,291	23,705	0.22
Naugatuck	378,821	170,469	83,678	0.22
New Britain	17,833,091	8,024,892	3,939,191	0.22
New Canaan	169,243	76,159	37,384	0.22
New Fairfield	88,007	39,603	19,440	0.22
New Hartford	84,677	38,105	18,705	0.22
New Haven	32,301,544	15,208,516	7,465,427	0.23
Newington	3,252,867	1,463,790	718,533	0.22
New London	1,963,280	883,476	433,673	0.22
New Milford	139,925	62,966	30,908	0.22
Newtown	2,030,958	1,786,936	877,156	0.43
Norfolk	343,069	154,381	75,781	0.22
North Branford	24,519	11,034	5,416	0.22
North Canaan	113,274	50,973	25,021	0.22
North Haven	501,190	225,535	110,709	0.22
North Stonington	100,563	45,254	22,214	0.22
Norwalk	2,309,600	1,039,320	510,173	0.22
Norwich	4,787,549	2,154,397	1,057,532	0.22
Old Lyme	129,814	58,416	28,675	0.22
Old Saybrook	399,828	179,922	88,319	0.22
Orange	49,967	22,485	11,037	0.22
Oxford	1,065,319	479,393	235,320	0.22
Plainfield	240,979	108,441	53,231	0.22
Plainville	74,164	33,374	16,382	0.22
Plymouth	50,407	22,683	11,134	0.22
Pomfret	204,735	92,131	45,224	0.22
Portland	117,545	52,895	25,965	0.22

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Preston	67,238	30,257	14,852	0.22
Prospect	8,906	4,008	1,967	0.22
Putnam	144,792	65,156	31,983	0.22
Redding	760,919	342,413	168,081	0.22
Ridgefield	630,528	283,737	139,278	0.22
Rocky Hill	4,305,066	1,937,280	950,956	0.22
Roxbury	18,108	8,149	4,000	0.22
Salem	308,098	138,644	68,056	0.22
Salisbury	34,976	15,739	7,726	0.22
Scotland	119,755	53,890	26,453	0.22
Seymour	96,044	43,220	21,215	0.22
Sharon	105,712	47,570	23,351	0.22
Shelton	65,783	29,603	14,531	0.22
Sherman	63	29	14	0.23
Simsbury	518,812	233,465	114,601	0.22
Somers	2,863,786	2,833,309	1,390,791	0.49
Southbury	1,311,281	590,076	289,652	0.22
Southington	116,275	52,324	25,684	0.22
South Windsor	56,479	25,415	12,476	0.22
Sprague	53,178	23,930	11,747	0.22
Stafford	230,729	103,828	50,966	0.22
Stamford	6,535,688	2,941,059	1,443,682	0.22
Sterling	24,990	11,245	5,520	0.22
Stonington	93,032	41,864	20,550	0.22
Stratford	1,730,138	778,562	382,174	0.22
Suffield	5,173,264	5,131,898	2,519,103	0.49
Thomaston	169,662	76,348	37,477	0.22
Thompson	49,425	22,241	10,917	0.22
Tolland	228,361	102,762	50,443	0.22
Torrington	1,103,753	496,689	243,810	0.22
Trumbull	418,130	188,158	92,361	0.22
Union	129,707	58,368	28,651	0.22
Vernon	1,025,779	461,601	226,587	0.22
Voluntown	161,234	161,234	79,145	0.49
Wallingford	240,028	108,013	53,021	0.22
Warren	18,102	8,146	3,999	0.22
Washington	121,101	54,495	26,750	0.22
Waterbury	19,299,025	8,684,561	4,263,004	0.22
Waterford	1,235,831	556,124	272,985	0.22

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Watertown	80,453	36,204	17,772	0.22
Westbrook	133,290	59,980	29,442	0.22
West Hartford	1,294,967	582,735	286,048	0.22
West Haven	250,149	112,567	55,256	0.22
Weston	29,070	13,082	6,422	0.22
Westport	3,451,529	1,553,188	762,416	0.22
Wethersfield	1,132,775	509,749	250,221	0.22
Willington	216,042	97,219	47,722	0.22
Wilton	412,900	185,805	91,206	0.22
Winchester	341,145	153,515	75,356	0.22
Windham	14,387,029	6,474,163	3,177,982	0.22
Windsor	245,047	110,271	54,129	0.22
Windsor Locks	291,688	131,260	64,432	0.22
Wolcott	9,402	4,231	2,077	0.22
Woodbridge	75,501	33,975	16,677	0.22
Woodbury	1,567	705	346	0.22
Woodstock	33,623	15,130	7,427	0.22
Borough of Danielson (Killingly)	60,528	27,237	13,370	0.22
Borough of City of Groton	280,380	126,171	61,934	0.22
Borough of Jewett City (Griswold)	2,357	1,061	521	0.22
Borough of Litchfield	3,095	1,393	684	0.22
Borough of Newtown	564	254	125	0.22
TOTALS	335,424,107	165,804,067	81,388,495	

¹Doesn't include payments of approximately \$2.6 million to the towns of Montville and Ledyard. Additionally, the effective reimbursement rate of 22% applies to land that is statutorily reimbursed at 45%. The effective reimbursement rate for certain types of property (such as prison property) is higher, because that land is statutorily required to be reimbursed at a higher rate. As such, towns with land statutorily reimbursed at 100% (or 65% in Middletown) have higher effective reimbursement rates.

FY 16 College & Hospital PILOT Payments and Reimbursement Rates

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Bethany	52,922	40,750	15,801	0.30
Bethel	52,266	40,245	15,605	0.30
Bloomfield	630,637	485,591	188,292	0.30
Branford	44,031	33,904	13,146	0.30
Bridgeport	25,121,012	19,343,179	7,500,503	0.30
Bristol	1,865,513	1,436,445	556,995	0.30
Canaan	6,861	5,283	2,049	0.30
Cheshire	435,919	335,657	130,154	0.30
Cromwell	188,920	145,469	56,407	0.30
Danbury	4,637,178	3,570,627	1,384,545	0.30
Derby	2,803,734	2,158,875	837,125	0.30
East Hartford	3,312,953	2,550,974	989,164	0.30
East Lyme	136,846	105,371	40,859	0.30
Enfield	83,603	64,374	24,962	0.30
Essex	51,566	39,706	15,396	0.30
Fairfield	8,384,522	6,456,082	2,503,408	0.30
Farmington	99,770	76,823	29,789	0.30
Glastonbury	5,437	4,186	1,623	0.30
Greenwich	2,874,445	2,213,322	858,237	0.30
Groton	120,999	93,170	36,127	0.30
Guilford	63,434	48,844	18,940	0.30
Hamden	9,613,900	7,402,703	2,870,469	0.30
Hartford	78,527,044	60,465,824	23,446,202	0.30
Lyme	661	509	197	0.30
Manchester	2,768,557	2,131,789	826,622	0.30
Meriden	4,016,008	3,092,326	1,199,079	0.30
Middletown	22,807,671	17,561,906	6,809,797	0.30
Milford	1,439,046	1,108,065	429,663	0.30
New Britain	9,600,109	7,392,084	2,866,351	0.30
New Haven	140,355,481	108,073,720	41,906,620	0.30
Newington	1,755,640	1,351,842	524,190	0.30
New London	13,395,107	10,314,233	3,999,442	0.30
New Milford	677,425	521,618	202,262	0.30
Norfolk	141,456	108,921	42,235	0.30
North Branford	7,752	5,969	2,315	0.30
North Haven	2,037,619	1,568,967	608,382	0.30
Norwalk	8,697,451	6,697,038	2,596,840	0.30

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
Norwich	3,597,177	2,769,826	1,074,027	0.30
Old Lyme	104,021	80,096	31,058	0.30
Orange	959,155	738,549	286,380	0.30
Plainfield	136,050	104,758	40,621	0.30
Putnam	751,835	578,913	224,479	0.30
Southington	452,703	348,581	135,166	0.30
Stafford	706,834	544,262	211,043	0.30
Stamford	6,122,035	4,713,967	1,827,886	0.30
Thompson	7,908	6,090	2,361	0.30
Torrington	880,391	677,901	262,863	0.30
Trumbull	47,746	36,765	14,256	0.30
Vernon	1,071,898	825,362	320,042	0.30
Voluntown	N/A	N/A	N/A	N/A
Wallingford	1,125,277	866,463	335,979	0.30
Waterbury	18,442,676	14,200,861	5,506,520	0.30
Waterford	524,281	403,697	156,537	0.30
Westbrook	267,138	205,697	79,761	0.30
West Hartford	3,460,114	2,664,288	1,033,103	0.30
West Haven	7,007,261	5,395,591	2,092,192	0.30
Westport	566,393	436,122	169,111	0.30
Wethersfield	63,086	48,576	18,836	0.30
Winchester	136,669	105,235	40,806	0.30
Windham	2,446,494	1,883,800	730,462	0.30
Woodbridge	311	239	93	0.30
Bloomfield: Bloomfield Center Fire District	16,291	12,544	4,864	0.30
Cromwell Fire District	12,724	9,797	3,799	0.30
Enfield: Hazardville Fire District #3	6,888	5,304	2,057	0.30
Groton: Poquonnock Bridge Fire District	35,464	27,308	10,589	0.30
Middletown: South Fire District	45,228	34,826	13,504	0.30
New Milford: New Milford Fire District	15,315	11,793	4,573	0.30
Plainfield - Plainfield Fire District	6,956	5,356	2,077	0.30
Putnam: West Putnam District	273	210	82	0.30

Municipality/ Other Political Subdivision	FY 16 Potential Value of Property Tax Bill \$	FY 16 Payment Under Statute \$	FY 16 Estimated¹ \$	Effective Reimbursement Rate %
West Haven First Center Fire District	25,721	19,805	7,680	0.30
West Haven: Allingtown Fire District	1,167,239	898,774	348,508	0.30
West Haven: West Shore Fire District	742,805	571,960	221,783	0.30
TOTALS	397,765,854	306,279,707	118,762,890	

¹Does not include approximately \$6.7 million in payments to Branford, New London, Newington, Voluntown, West Haven, and West Haven First Center Fire District. These payments are not subject to proration. These payments increase the effective reimbursement rates of these towns.

Changes to PILOT Grants in PA 15-244

PA 15-244 makes several significant adjustments to State Property and College & Hospital PILOT funding.

In FY 17, it specifies that no town will receive less PILOT funding than it received in FY 15, and then specifies additional payments to 35 towns with the highest amounts of tax exempt property, and mill rates over 25. The additional payments will be funded via the Municipal Revenue Sharing Account (MRSA).

In FY 18, and annually thereafter, the Act specifies minimum reimbursement rates to be paid to towns, based on each town's mill rate, and the amount of tax exempt property (exempt for prison property) on each town's 2012 Grand List.

The first table shows the minimum reimbursement rates established by the Act for State Property PILOT and College & Hospital PILOT property, based on which tier a town falls into. The second table shows a ranking of towns, by tier, and shows the percentage of exempt property in each of those towns, as of the 2012 Grand List.

Certain Tier III towns have a relatively high percentage of tax exempt property, but do not fall into Tier I or Tier II because their FY 15 mill rates were below 25.0.

Minimum Reimbursement Rates Set by PA 14-244¹

Town Tier	State Property PILOT Targeted Reimbursement Rate	College & Hospital PILOT Targeted Reimbursement Rate
I (Towns 1 - 10 for most tax exempt property)	32%	42%
II (Towns 11 - 35 for most tax exempt property)	28%	37%
III (Towns 36 - 169 for most tax exempt property)	24%	32%

¹These reimbursement rates do not apply to prison property, property owned by Connecticut Valley Hospital, or other property that is not subject to proration. The method of calculating payments for these types of property was not changed by the Act.

**List of Towns by PILOT Tier and % of All Real Property Exempt from Taxation
(not including prison property)**

Municipality	% of 2012 Grand List/ FY 14
Tier I	
Hartford	59
Mansfield	57
New Haven	53
New London	45
Windsor Locks	45
Windham	41
New Britain	34
Bridgeport	34
Waterbury	33
East Granby	26
Tier II	
Middletown	24
Killingly	24
Norwich	22
West Haven	21
Derby	20
Deep River	20
Meriden	19
Montville	19
Suffield	19
Danbury	18
Hamden	17
Ledyard	16
Plainfield	16
East Hartford	16
Wallingford	15
Ansonia	15
Chaplin	15
Windsor	14
Stafford	14
Stamford	14
Litchfield	14
Simsbury	13

Municipality	% of 2012 Grand List/ FY 14
Newington	13
North Canaan	13
West Hartford	13
Tier III	
Canaan	27
Pomfret	24
Groton	23
Preston	23
Farmington	23
Norfolk	22
Putnam	18
Kent	18
Waterford	15
Washington	14
Hartland	14
Salisbury	14
Griswold	12
Manchester	12
Bristol	12
Union	12
Vernon	12
Redding	12
Sprague	12
Westport	12
Ashford	11
Tolland	11
East Haven	11
Scotland	11
Winchester	11
Colebrook	11
Rocky Hill	11
Torrington	11
Hampton	11
Woodbridge	11
East Lyme	11
Sterling	11
Bloomfield	11

Municipality	% of 2012 Grand List/ FY 14
Ridgefield	11
Woodstock	11
Stratford	10
Avon	10
Bridgewater	10
Watertown	10
Thomaston	10
North Haven	10
Thompson	10
Wilton	10
Fairfield	10
East Windsor	10
Plainville	10
Voluntown	10
Cheshire	10
Eastford	10
Enfield	10
Beacon Falls	10
North Branford	10
Naugatuck	10
New Milford	9
Bethlehem	9
Norwalk	9
Middlebury	9
Wethersfield	9
Hebron	9
Colchester	9
Stonington	9
Orange	8
Milford	8
Berlin	8
Sharon	8
South Windsor	8
Newtown	8
Oxford	8
Madison	8
East Hampton	8

Municipality	% of 2012 Grand List/ FY 14
Salem	8
East Haddam	8
Greenwich	8
Killingworth	8
Branford	8
Haddam	8
Cromwell	8
Lebanon	8
Southbury	8
Seymour	8
Middlefield	8
Bozrah	8
Somers	8
Bolton	8
Lyme	8
Durham	7
Roxbury	7
Plymouth	7
Franklin	7
Trumbull	7
Canton	7
Bethany	7
Weston	7
Coventry	7
Portland	7
Westbrook	7
North Stonington	7
Cornwall	7
Lisbon	7
Easton	7
Brooklyn	7
Granby	7
Southington	7
Monroe	7
Wolcott	7
Columbia	7
Glastonbury	7

Municipality	% of 2012 Grand List/ FY 14
Darien	7
New Hartford	6
Willington	6
Ellington	6
New Canaan	6
Warren	6
Clinton	6
Old Saybrook	6
Brookfield	6
Barkhamsted	6
Bethel	6
Chester	6
Canterbury	6
Goshen	6
Shelton	6
Andover	6
Burlington	6
Woodbury	5
Old Lyme	5
Guilford	5
Marlborough	5
New Fairfield	5
Prospect	5
Essex	4
Sherman	3
Harwinton	1
Morris	1

IX. APPENDIX

The State Budget Process

Overview: The State of Connecticut uses a biennial budget process. In odd-numbered years (2015, 2017), the Governor presents a recommended budget for the next two years at the beginning of February⁹. The Governor's budget recommendations are formulated by the Office of Policy and Management (OPM). In even-numbered years (2016, 2018), the Governor reports on the status of the biennial budget and makes recommendations for revisions and adjustments if they are needed. The state's fiscal year runs from July 1 through June 30.

Budget Formulation: The process begins in July when OPM sends instructions to agencies to prepare: (1) a current services funding level and (2) a list of options for expenditure or revenue changes above or below the current services level. From September through October, OPM budget analysts review requests and prepare recommendations. The Governor and the Secretary of OPM review the recommendations and make adjustments.

In February the Governor presents to the legislature: (1) a budget message; (2) recommendations for appropriations (spending) for every agency; (3) revenue projections; (4) drafts of the appropriations, bonding and revenue bills that implement the recommendations; and (5) a report on the state's economy.

The General Assembly (legislature) has the ultimate "power of the purse," which is to appropriate (authorize to spend) public funds and raise revenue. In February, the legislature's Appropriations Committee begins its review of the Governor's recommendations by holding public hearings on each agency's budget. This is followed by work sessions¹⁰ with subcommittee members, agency heads and staff from the Office of Fiscal Analysis (OFA)¹¹. The purpose of these sessions is to produce recommendations that are presented to the Appropriations chairs, who decide what will be included in the final version that is voted on by the committee.

Similar action is taken by the Finance, Revenue and Bonding Committee, which reviews the Governor's revenue-related and capital projects (bonding) recommendations.

Passage: After the Appropriations and Finance Committees approve a budget and tax package, the House and Senate leaders, usually in consultation with the Governor's Office and OPM, work to develop final versions of the budget, revenue and bonding

⁹The Governor is responsible for: (1) recommending a balanced budget to the legislature and (2) executing the budget passed by the legislature.

¹⁰The Appropriations Committee has sub-committees that review the budgets of agencies grouped by function of government.

¹¹The Office of Fiscal Analysis is the legislature's non-partisan budget office.

bills. Both chambers vote on the final versions and if they are approved by the legislature, such bills go to the Governor to be signed.

The budget bill is called the **appropriations act** after it is signed by the Governor. The legislature also passes enabling legislation called **implementer bills** that contain the policy provisions to put the state budget into effect. There are often three implementer bills: (1) general government, (2) human services and (3) education. Sometimes more implementer bills are needed.

The revenue bill or **tax package** contains all revenue-related provisions.

The bond bill(s), also known as the **bond package**, authorizes funds for capital projects¹², financial assistance programs and transportation-related projects.

In some years, legislative leaders choose to combine the tax package, bond bills and appropriations bill into a single document.

Budget Execution: Agency appropriations are administered by OPM budget staff through quarterly allotments. The Governor may restrict allotments due to a change in circumstances, or when estimated budget resources will be insufficient to fully finance appropriations.

Bond funds are allocated or made available for expenditure by the State Bond Commission, a joint executive-legislative body. Bond authorizations continue to be available for allocation until they are canceled by the legislature.

Spending Cap: The cap on general budget expenditures, which was passed in 1991, specifies that expenditures may not exceed prior year spending by more than a given percentage¹³. The cap calculation exempts: (1) debt service expenditures, (2) grants to distressed municipalities in effect on July 1, 1991, (3) the first year of spending on court orders and federal mandates, and (3) transfers to the Budget Reserve Fund. The cap can only be exceeded if: (a) the Governor declares emergency or extraordinary circumstances and (b) a three-fifths vote of the General Assembly to do so.

¹²Capital projects include new state-owned facilities and equipment, and improvements, repairs and additions to existing state-owned facilities. Financial assistance programs are administered by state agencies and provide funds to municipal and non-government entities through grants and/or loans.

¹³The percentage is the greater of: (1) the average percentage increase in personal income over the preceding five years as determined by the U.S. Bureau of Economic Analysis or (2) the percentage increase in inflation during the preceding twelve months as determined by the U.S. Bureau of Labor Statistics.

Budget and Finance Related Legislation

Public Act	Title/Description
Budget Act	
PA 15-244 (HB 7061)	AN ACT CONCERNING THE STATE BUDGET FOR THE BIENNIUM ENDING JUNE 30, 2017, AND MAKING APPROPRIATIONS THEREFOR, AND OTHER PROVISIONS RELATED TO REVENUE, DEFICIENCY APPROPRIATIONS AND TAX FAIRNESS AND ECONOMIC DEVELOPMENT This act includes: (1) General Fund appropriations of \$18.2 billion in FY 16 and \$18.7 billion in FY 17, (2) appropriations in nine funds totaling \$19.8 billion in FY 16 and \$20.5 billion in FY 17, (3) carry forward funding totaling an estimated \$26.1 million, (4) \$121.7 million in FY 15 General Fund deficiency appropriations (offset by General Fund appropriation reductions of \$121.7 million), (5) \$20.4 million in Transportation Fund deficiency appropriations, (6) deficit mitigation measures that reduce the FY 15 deficit by \$8.5 million, (7) various policy changes that yield net revenue increases of \$821.5 million in FY 16 and \$691.0 million in FY 17, (8) revenue estimates adopted by the Finance Committee on 6/1/15, as adjusted to reflect new policies in this act, and (9) various other provisions.
Implementer Acts	
PA 15-5, JSS (SB 1502)	AN ACT IMPLEMENTING PROVISIONS OF THE STATE BUDGET FOR THE BIENNIUM ENDING JUNE 30, 2017, CONCERNING GENERAL GOVERNMENT, EDUCATION, HEALTH AND HUMAN SERVICES AND BONDS OF THE STATE This act reduces the General Fund balance from \$6.2 million to \$0.8 million in FY 16 and increases the General Fund balance from \$2.1 million to \$2.5 million in FY 17 by making numerous changes to appropriations and revenue policies authorized in PA 15-244. The act reduces net appropriations by \$14 million in FY 16 and \$27 million in FY 17 and reduces anticipated revenue by \$19.4 million in FY 16 and \$26.6 million in FY 17. The act also includes various changes that implement the two year budget as well as other provisions.
PA 15-2, JSS (HB 7104)	AN ACT IMPLEMENTING PROVISIONS OF THE STATE BUDGET FOR THE BIENNIUM ENDING JUNE 30, 2017 CONCERNING GENERAL GOVERNMENT PROVISIONS RELATING TO CRIMINAL JUSTICE This act makes various changes to statutes regarding drug possession that implement PA 15-244. The changes result in an estimated savings to the Department of Correction (DOC) of \$6.6 million in FY 16 and at least \$12.4 million in FY 17 through reduction in prison population and corresponding facility closures. In addition, the budget includes additional funding for related Second Chance policy initiatives in the Office of Policy and Management, Department of Housing, State Department of Education, and the Department of Mental Health and Addiction Services.

Public Act	Title/Description
Bond Act	
PA 15-1, JSS (SB 1501)	AN ACT AUTHORIZING AND ADJUSTING BONDS OF THE STATE FOR CAPITAL IMPROVEMENTS, TRANSPORTATION AND OTHER PURPOSES This act authorizes up to \$1.866 billion in each of FY 16 and FY 17 in state general obligation (GO) bonds for state capital projects and grant programs, including school construction, economic development, municipal aid, and housing development and rehabilitation programs. It also authorizes up to (1) \$238 million in revenue bonds over the two years for Clean Water Fund loans, (2) \$681.4 million in FY 16 and \$693.3 million in FY 17 in special tax obligation (STO) bonds for transportation infrastructure projects, and (3) \$2.803 billion in STO bonds from FY 16 to FY 20 for the Let's Go CT transportation improvement program. The act additionally reduces \$272.5 million in GO bond authorizations and \$3 million in special tax obligation (STO) bond authorizations from prior fiscal years. The act establishes new grant programs for municipalities that (1) jointly construct, maintain, or improve regional dog pounds or (2) undertake certain road repairs. It creates a homelessness prevention and response fund to provide forgivable loans and grants to eligible landlords. It also expands existing bond-funded grant programs for (1) general school building improvements that are not normally reimbursable by state school construction grants and (2) municipalities establishing bikeways, pedestrian walkways, or greenways. Lastly, the act (1) increases, from \$50 million to \$100 million, the amount of bonds the Green Bank may issue that are backed by a special capital reserve fund.
Other Budget and Finance Related Acts	
PA 15-4, JSS (HB 7103)	AN ACT CONCERNING EXCESSIVE USE OF FORCE This act: (1) requires the use of body cameras by state police forces and also those municipalities that have received funding for such cameras, (2) develops a reimbursement plan for the purchase of body cameras, (3) modifies training requirements for police officers to include training in camera use, (4) requires the development of guidelines for the recruitment and retention of minority police officers, (5) modifies investigation and tracking of the use of physical force by a police officer, and (6) requires information sharing by law enforcement units in certain circumstances.
PA 15-3, JSS (HB 7102)	AN ACT CONCERNING AUTHORIZATION OF STATE GRANT COMMITMENTS FOR SCHOOL BUILDING PROJECTS AND CONCERNING CHANGES TO THE STATUTES CONCERNING SCHOOL BUILDING PROJECTS This act approves \$782.4 million in state grant commitments for school construction projects which will result in a General Fund debt service cost of \$1,194.1 million. This includes: (1) \$181.2 million for 18 new projects, (2) \$298.2 million for nine previously-authorized projects that have changed substantially (more than 10%) in cost or scope and (3) \$303 million for various notwithstanding sections.

Public Act	Title/Description
SA 15-1, JSS (HB 7101)	AN ACT CONCERNING THE CONVEYANCE OF CERTAIN PARCELS OF STATE LAND AND AMENDING THE CHARTERS OF THE BOROUGH OF FENWICK AND THE GIANTS NECK BEACH ASSOCIATION This act: (1) authorizes the conveyance of state property in the towns of East Hartford, Farmington, New Haven, New Milford, Stamford, and the borough of Naugatuck, (2) amends prior conveyances in New Britain and Bridgeport, (3) reinstates a prior conveyance in Norwalk, (4) repeals a 2014 conveyance of a 6.95-acre parcel from the Department of Transportation (DOT) to New Haven, (5) requires the Department of Energy and Environmental Protection (DEEP) to enter into and extend certain lease agreements for properties in Cornwall and Ridgefield, (6) requires the state to release all rights to a right-of-way easement over a parcel in Stafford, and (7) amends the charters of the borough of Fenwick and Giants Neck Beach Association.

Glossary of Budget Book Terms

Account/Major Object

The Connecticut budget appropriates agency funds by account. Within each account, categories of expenditures are called major objects. For example, Other Expenses (description below) is an account and Utilities-Fuel is a major object within the Other Expenses account. An agency generally has discretion to make expenditures within a major object as it sees fit unless it has been instructed to spend funds in a specific manner.

Act (also, Public Act or Special Act)

The designation for a bill that has been passed by both chambers of the legislature and signed by the Governor. A public act (PA) amends the Connecticut General Statutes. A special act (SA) has limited application or is of limited duration and is not incorporated into the Connecticut General Statutes. Act numbers are assigned by the Legislative Commissioners' Office.

Adopted Budget

See "Budget Act"

Agency Program

A group of activities with a common element or theme that either: (1) achieve the same goal or serve the same purpose, (2) serve slightly different purposes but are performed by the same type of employees, or (3) provide similar services to the same target population. For example, the Department of Agriculture administers the Connecticut Grown Product Promotion Program, which provides funding to promote locally-grown products through several marketing venues.

Allotment

A portion of an appropriation (description below) that is made available to pay an agency's encumbrances (description below) and expenditures (description below) for a certain period or purpose. The Office of Policy and Management (description below) usually allots appropriated funds to agencies on a quarterly basis (every three months).

Annualization of Partial Year Costs/Funding

Partial year funding occurs in the first year of implementation of a program, when resources are provided for less than a 12-month period. Annualization of partial year costs or funding refers to providing the amount of resources necessary to fund a full 12-month period of the operation in the second year of the program.

Appropriation/Appropriated Fund

An authorization by the General Assembly to spend and incur liabilities for a specific purpose. The General Assembly appropriates the following funds: (1) the General Fund; (2) the Special Transportation Fund; (3) the Mashantucket Pequot and Mohegan Fund; (4) the Regional Market Fund; (5) the Banking Fund; (6) the Insurance Fund; (7)

the Consumer Counsel and Public Utility Control Fund; (8) the Workers' Compensation Fund; and (9) the Criminal Injuries Compensation Fund.

Nonappropriated Account

Expenditures from a nonappropriated account: (a) are for a specific, dedicated purpose, which may be defined in statute and (b) are not subject to the legislative appropriation process or to allotment through the Office of Policy and Management (description above). Sources of nonappropriated funds include: (1) the federal government, (2) private funds or donations, and (3) dedicated fees and fines. An example of a nonappropriated account is the federally funded Low Income Home Energy Assistance Account.

Appropriations Committee

A legislative committee that is currently composed of 57 members of either the Senate or the House of Representatives. It is responsible for: (a) reviewing all expenditure-related matters and (b) producing a final committee budget to be voted on by both chambers and ultimately submitted to the Governor for signature. It also deals with: (c) state employees' salaries, benefits and retirement, (d) teachers' retirement, (e) collective bargaining agreements and (f) arbitration awards for all state employees. The committee is divided into 13 subcommittees that review individual agency budgets and make recommendations. The Appropriations subcommittees are:

1. Legislative,
2. General Government A,
3. General Government B,
4. Regulation and Protection,
5. Conservation and Development,
6. Health,
7. Transportation,
8. Human Services,
9. Higher Education,
10. Elementary and Secondary Education,
11. Judicial and Corrections,
12. Collective Bargaining and
13. Results Based Accountability.

Biennial Budget

Connecticut changed from annual to biennial budgets in 1991. The change was enacted as part of a massive budget and tax bill that included the state income tax. The first year of the biennium is always the even-numbered year (i.e., the FY 16 and FY 17 biennium). The General Assembly is elected for a two-year term, which coincides with the two-year biennial budget cycle.

Bill

A written proposal to change existing law or enact a new law prepared by the Legislative Commissioners' Office. Bills that pass both legislative chambers and are signed by the Governor are called acts (definition above).

Bond Allocation

Bond authorizations must be allocated or approved by the State Bond Commission (description below) to actually commit funding to a specific capital project or financial assistance program. After bond funds are allocated: (1) they are available for expenditure by the agency, town or organization that received the allocation and (2) the state is prepared to issue General Obligation (GO) or Special Tax Obligation (STO) bonds (descriptions below) to support the allocation.

Bond Authorization

The amount approved by the General Assembly in an act for a specific project or program. Authorizations can be thought of as enabling legislation. A bond authorization must be allocated through the State Bond Commission before an agency can actually expend funds for a specific project or program.

Bond Act

A type of enabling legislation enacted by the General Assembly based on recommendations from the Finance, Revenue and Bonding Committee. The act contains new bond authorizations for capital projects and financial assistance programs and revisions to authorizations from prior years.

Bond Commission

See "State Bond Commission (SBC)"

Budget Act (also, Adopted Budget)

A budget act specifies appropriations for state agencies for a specific period of time, usually a biennium or a fiscal year. A budget act must be: (a) approved by both houses of the General Assembly and (b) signed by the Governor before it can be implemented by the Office of Policy and Management. Connecticut's budget act normally contains three sections: (1) the front of the budget, (2) revenue estimates for the period covered by the budget act and (3) the back of the budget language. The sections can be described as follows:

1. The front of the budget lists appropriations to finance state agency operations over a specific period of time.
2. The revenue estimates indicate the amount of financial resources that are estimated to be available to pay for the state's appropriations. By law, the legislature must pass a balanced budget in which appropriations are equal to estimated revenue. The estimates included in the budget act must first be adopted by the Finance, Revenue and Bonding Committee.
3. Back of the budget language authorizes a variety of expenditure-related provisions that are necessary to carry on state operations. These include the

redirection of previously appropriated funds, transfers between funds, carry forwards of funds from one fiscal year to another, changes to statutory language for spending programs like grant payments to towns, and any technical and conforming changes needed to enact the spending plan in the front of the budget.

Budget Options

Funding requests that increase or decrease the current services level of spending for specific programs. Agencies are required to submit budget options to the Office of Policy and Management (description below) when they submit their biennial or revised budget request, usually in the fall of each year.

Budget Request

An agency's itemized plan that shows the amount of funding required to carry out the specific functions assigned to it in state statute. The request includes: (1) a current services funding level (description below) that reflects the amount of funding needed to maintain the agency's current level of operations and (2) a list of budget options for expenditure changes above or below the current services level. The agency's biennial budget request covers a two year period and its revised budget request makes changes to the second year of the biennium.

Budget Reserve Fund (BRF – also, Rainy Day Fund)

A contingency fund capitalized with unappropriated General Fund money that is designated as surplus by the Comptroller after the close of the fiscal year. The BRF provides a reserve that can be drawn upon when the General Fund ends a fiscal year in deficit. The maximum level of the BRF is 15% of net General Fund appropriations for the fiscal year in progress. It is authorized under CGS Sec. 4-30a.

Capital Budget

The portion of the state budget that: (a) is separate from the operating budget, (b) usually uses borrowed funding, and (c) deals with proposed long-term expenditures and financial assistance programs. Long-term expenditures include: (1) the purchase of land, (2) construction of new state-owned facilities, (3) improvements, repairs and additions to existing state-owned facilities, (4) major equipment purchases and (5) transportation-related projects. Financial assistance programs are administered by state agencies and provide funds to municipal and non-government entities through grants and/or loans. Capital budget expenditures are normally covered with bond funds, which are paid off over a 20-year period.

Capital Projects Funds

Funds established to account for grants and bond-issue proceeds that are used to: (1) acquire or construct major capital facilities for state agencies, (2) fund improvements to transportation infrastructure such as highways and bridges, (3) provide grants to municipalities and nonprofit organizations, and (4) encourage economic development.

Carry Forward

Appropriated funds that: (1) were not spent by an agency during a fiscal year and (2) the agency is permitted to "carry forward" for expenditure in the following fiscal year. The amount and use of the funds may be specified in an implementer bill (description below). If not otherwise indicated, the amount and use of carry forward funding is governed by Section 4-89 of the Connecticut General Statutes.

Comptroller's Office (also, Office of the State Comptroller)

The Office of the State Comptroller is the state's official bookkeeper. The agency is responsible for: (1) operating, maintaining, updating and expanding CORE, the state's central human resources management and financial accounting system, and (2) producing and distributing monthly and annual reports on the state's revenue, expenditures and other related financial information.

Connecticut General Statutes (also, CGS or the Statutes)

The codified body of laws of the state of Connecticut that are enacted by the General Assembly.

CORE (also, CORE-CT)

CORE is the state's central human resources management and financial accounting system that is overseen by the Comptroller's Office. It was implemented in 2003 to replace the incompatible legacy systems used by many state agencies with one system and a set of standardized reporting procedures that would be used by all state agencies.

Current Services

The amount of funding required by an agency to provide the same level of services in the succeeding fiscal year as in the current fiscal year, plus any: (1) scheduled or (2) required changes. Scheduled changes include annualization of partial year costs and increases based on current law. Required changes include an adjustment for: (a) inflation and (b) caseload increases.

Debt Reserve Service Fund (also, Debt Service Fund or Sinking Fund)

A reserve fund that is used to pay debt service if pledged revenues are insufficient to satisfy the debt service requirements. It may be: (1) funded with bond proceeds or by an accumulation of pledged revenues and (2) established when the bonds are issued or upon the occurrence of a specified event. Reserve funds provide repayment security for bond purchasers and may result in a higher rating for the bond issuance and a reduction in the interest rate at which the bonds are sold.

Deficiency Appropriation

A supplemental appropriation made for an agency based on a need for increased funding during a fiscal year.

Deficiency Bill

A bill making additional appropriations to state agencies that need more funds to complete a fiscal year.

Deficit (also, Budget Deficit)

A budget deficit occurs when an entity expends more money than it takes in. A projected deficit occurs during the fiscal year and an actual deficit occurs after the close of the fiscal year. The term deficiency is used to describe a shortfall in a specific account/agency. The opposite of a budget deficit is a budget surplus.

Disbursements

Payments made in cash or by check regardless of the purpose.

Equipment

One of the three major categories of accounts (the other two are Personal Services and Other Expenses). Equipment includes machinery, tools, furniture, vehicles, apparatus, etc., with: (1) a value greater than \$1,000 and (2) a useful life of more than one year. The category also includes all books, regardless of cost, that are purchased for the State Library or an agency library that is a separate operating division or unit of the agency.

Employee Fringe Benefits

Non-salary benefits for employees, including: (1) pensions, (2) unemployment compensation, (3) health and life insurance and (4) social security. For the General Fund and the Special Transportation Fund, fringe benefits are not included in an agency's budget but instead are appropriated to the Fringe Benefit accounts, which are administered by the Comptroller. For other appropriated funds, fringe benefit costs are budgeted to the specific accounts within the agency's budget and recovered by the Comptroller based on an actual-cost basis.

Enabling Legislation

See "Implementer Bills"

Encumbrances

Funds that have been committed for payment from an account via a requisition or purchase order, but have not yet been paid.

Expenditure

Funds committed to be paid for specific goods received or services rendered.

Federal Grants

Funds made available to the state by the federal government in order to reimburse the state for specific expenditures or to encourage specific programs or projects.

Fiduciary Funds

Assets held by the state when it serves as an agent for individuals or government units. In the future, the assets within these funds will be transferred to other state funds or to sources outside the state (e.g., various retirement funds).

Finance Advisory Committee (FAC)

A joint legislative–executive body whose main task is to approve fund transfers between accounts that are within the same agency. The committee is comprised of: (1) the Governor, (2) Lieutenant Governor, (3) Treasurer, (4) Comptroller, and (5) two Senate members and three House members of the Appropriations Committee. It meets on the first Thursday of every month, or as needed during the legislative session.

Finance, Revenue and Bonding Committee

A legislative committee that is currently composed of 54 members of either the Senate or the House of Representatives. It has cognizance over matters relating to: (1) finance, (2) revenue, (3) taxation, (4) the capital budget (bonding), (5) the Department of Revenue Services and (6) the revenue aspects of the Division of Special Revenue. All bills related to these matters that are favorably reported (approved) by any other legislative committee are referred to this committee.

Fiscal Note

A brief statement of costs/savings or the revenue impact of: (1) a favorably-reported bill or (2) any amendments to a bill, which is prepared by the Office of Fiscal Analysis (description below). All bills taken up by either chamber of the General Assembly are required to have a fiscal note.

Fiscal Year (FY)

The twelve-month budget or financial year. Connecticut’s fiscal year runs from July 1st through June 30th. At the end of this period the Comptroller’s Office closes all accounts and determines: (1) the state’s financial condition and (2) the net results of its operations. The federal government’s fiscal year runs from October 1st through September 30th.

Fixed Charge or Grant

Payments to: (a) institutions, agencies or individuals or (b) for undertakings that may or may not be directly under state control. Fixed charges are divided into either: (1) Payments to Other Than Local Governments (Grants - Other) or (2) Payments to Local Governments (Grants - Local or Town Grants).

Function of Government

Categories of state government responsibilities and activities that are related to public interest. The categories are useful for budgeting purposes because they allow expenditure levels for different activities to be compared with other jurisdictions. Connecticut’s budget document is organized into eleven categories, including: (1) Legislative; (2) General Government; (3) Regulation and Protection; (4) Conservation

and Development, (5) Health; (6) Transportation; (7) Human Services; (8) Education, Museums and Libraries; (9) Corrections; (10) Judicial; and (11) Non-Functional. All state agencies or commissions are assigned to one of the ten function categories based on its mission. The Non-Functional category includes expenditures that do not easily fit into one of the other categories, such as Debt Service, the central Workers' Compensation account, and Fringe Benefits Administered by the Comptroller.

Fund

An independent financial and accounting entity. Each fund is comprised of a self-balancing set of accounts and records, cash and other financial resources. Monies within a fund share a common purpose or objective in accordance with special regulations, restrictions, or limitations. The state has nine appropriated funds.

Funded Positions

The number of full-time positions that could be filled by an agency during the fiscal year without incurring a deficit: (1) after turnover is taken into account, and (2) provided that all other personal services items were expended as budgeted.

General Assembly

The official name of the Connecticut legislature.

Generally Accepted Accounting Practice (GAAP)

GAAP is the standard framework of guidelines for financial accounting used in any given jurisdiction. The guidelines for state and local governments are set by the Government Accounting Standards Board (GASB). They include the standards, conventions, and rules that the state is required to follow in preparing its annual financial statements and its annual budget. GAAP requires the state to: (1) budget for expenses when they are incurred rather than when bills are actually paid and (2) recognize revenues when they are earned.

Accumulated GAAP Deficit

The cumulative GAAP deficit refers to the aggregate amount of liabilities that exceed assets in the General Fund. The deficit accumulated prior to July 1, 2013, when the state changed to a modified accrual basis of accounting utilized under GAAP.

General Fund (GF)

The main operating fund of the state government that is used to finance the majority of the state's operations. The fund receives income from taxes, federal aid, licenses, permits and fees. It is operated according to a budget plan adopted by the General Assembly and signed by the Governor.

General Obligation (GO) Bonds

Nontaxable or taxable bonds issued by the state that pledge the revenue stream from the state's General Fund to pay debt service. They are used to finance: (1) the construction of state buildings, (2) capital improvements to state buildings and parks,

(3) school construction grants-in-aid to towns, and (4) grants and loans for housing, economic development, community care facilities, and open space. The General Assembly has also authorized the issuance of two specialized forms of GO bonds: (a) UConn 2000 Infrastructure Improvement Bonds and (b) Tax Incremental Financing (TIF) Bonds.

Governor's Bills

Bills introduced by legislative leaders of the Governor's party at the request of the Governor. Governor's bills are generally introduced at the beginning of the legislative session.

Governor's Recommended Biennial Budget

The financial recommendations of the Governor that are contained in a budget document and presented to the General Assembly for action each February in odd-numbered years. Since Connecticut uses a biennial budget process, the Governor's budget covers a two-year period in the first year.

Governor's Recommended Budget Revisions

The Governor presents his recommendations for midterm (mid-biennial) adjustments for the second year of the biennial budget to the General Assembly for action each February in even-numbered years.

Grant Payments to Towns (also, Grant-in-aid Payments to Towns)

Payments to Connecticut's municipalities (towns and cities) for a specified purpose or to reimburse expenses already incurred. Education grants make up the largest portion of these payments. Payments in this category are made to towns, not to a town's Board of Education or other local subsidiary body.

Grant Payments Other Than to Towns

State obligations that are not part of an agency's direct operating budget (e.g., Temporary Assistance to Needy Families, Medicaid, and payments to the Teachers' Retirement Fund).

Holdback (also, Budgeted Lapse or Allotment Reduction)

The amount of an agency's General Fund appropriation that is retained (i.e., held back) by the Office of Policy and Management (description below) in order to achieve the amount of overall savings assumed in the adopted budget.

Implementer Bills (also, Enabling Legislation)

A bill or set of bills that contain the policy provisions needed to put into effect or "implement" the state budget. Implementer bills are necessary because the budget act often contains the funding necessary for a program but does not contain the statutory changes that enable the program to function as intended. Implementers are often referred to by the agency or department most affected, as in "Education Implementer."

Unlike most other bills, implementers don't go through legislative committees or public hearings.

Internal Service Funds

Funds used to finance goods or services provided by one state agency to another state agency, on a cost-reimbursed basis. The state's Internal Service Funds include: (1) the Correction Industries Fund, (2) the Technical Services Fund, (3) the General Services Fund, and (4) the Capital Equipment Data Processing Fund.

Lapse

Appropriated funds that: (1) an agency does not or cannot spend by the end of the fiscal year and (2) are not carried forward into the next fiscal year. A lapse may occur naturally because the funding available is more than is needed, or may be a budgeted lapse (holdback) that is programmed in the adopted budget to achieve savings.

Line Item

A single account listed in the budget act that provides funding for a specific type of expenditure. Examples include Personal Services, Other Expenses and Equipment.

New or Expanded Services

Changes that reflect policy decisions to expand current services or provide new services. Increases in revenue may or may not be associated with these changes.

Nonlapsing Account or Fund

An account or fund with the statutory authority to carry forward its balance from one fiscal year to the next.

Office of Fiscal Analysis (OFA)

A nonpartisan professional office of the Connecticut General Assembly established in 1973 that supports the legislature in both budget formulation and execution. Its primary function is to provide technical support to the Committee on Appropriations and the Committee on Finance, Revenue and Bonding, as well as the other committees and members of the legislature. OFA: (1) analyzes the Governor's budget recommendations, (2) determines fiscal impact of legislation by completing fiscal notes on bills and amendments and (3) responds to fiscal requests by legislators.

Office of Policy and Management (OPM)

An executive branch budget office established in 1977. The agency: (a) develops the forms and instructions that are used by state agencies in preparing their budget requests, (b) works closely with the Governor to develop the budget for presentation to

the legislature, (c) prepares all proposed enabling legislation associated with the Governor's budget, and (d) implements and monitors the budget plan once it's been adopted. It is composed of seven divisions that report to the Secretary of OPM:

1. Administration,
2. Budget and Financial Management,
3. Criminal Justice Policy and Planning Division,
4. Finance,
5. Intergovernmental Policy,
6. Labor Relations, and
7. Policy Development and Planning.

Office of the State Comptroller

See "Comptroller's Office"

Operating Budget

An itemized summary of estimated funding needs for a given period of time that will allow an agency to carry out the specific functions assigned to it in the state statutes.

Other Current Expenses (OCE)

A category of expenses that can be used for Personal Services, Other Expenses, Equipment or fixed charges directly associated with that program. Funds appropriated for a nonfunctional OCE account can be used for any category of expenditure as long as it is consistent with the purpose of the appropriation.

Other Expenses (OE)

One of the three major categories of accounts (the other two are Personal Services and Equipment). OE is comprised of: (1) Contractual Services, (2) Commodities and (3) Sundry. (1) Contractual Services are considered compensation for services secured by contract. (2) Commodities refer to all supplies, materials and equipment not normally regarded as capital items. (3) Sundry charges include all expenditures not properly assignable to other standard accounts, for example: employee fringe benefits, lottery prizes, and student grants.

Personal Services (PS)

One of the three major categories of accounts (the other two are Other Expenses and Equipment). PS is used for actual direct payroll costs. For example: full-time, part-time and temporary employee salaries, overtime, payments for vacation and sick leave, longevity and shift differential. Fringe benefits are not included in this category.

Personal Services (PS) Reduction

A reduction in Personal Services that often reflects economies and efficiencies in an agency's operations.

Policy Revision (also, Policy Change)

A shift in the direction or set of principles that determine the course of action for: (1) an agency's program or (2) adopted legislation.

Program Budget

A method of budgeting expenditures to meet programmatic objectives rather than on a line-item basis. The program budget for an agency includes the objectives for each program, a description of each program, performance measures, and an explanation of significant program changes requested and recommended.

Program and Outcome Measures

The measures that quantify the key aspects of a program's performance such as workload, output, outcome, client/employee ratios, response times, etc.

Rainy Day Fund

See "Budget Reserve Fund"

Reimbursement

Cash or other assets paid by the state to another governmental unit, fund or department to repay that entity for an expenditure made on behalf of the state.

Rescission

Cancellation of the authority to expend money previously approved by the legislature and Governor. The Governor is permitted to reduce state agency allotments by up to: (1) 3% of the total appropriation from any fund or (2) 5% of any appropriation. As part of a deficit mitigation plan to address the Comptroller's projected deficit of more than 1% of General Fund appropriations, the Governor may seek Finance Advisory Committee (description above) approval to reduce total appropriations from any fund by up to 5%. The Governor is granted rescission authority under CGS Sec. 4-85.

Results Based Accountability (RBA)

A management tool can be used: (1) to facilitate collaboration among various agencies, (2) to decentralize services or (3) as a regulatory process. The term implies that expected results (also known as goals) are clearly articulated and that data are regularly collected and reported to address questions of whether results have been achieved.

Revenue

Cash paid to or collected by the state. Connecticut receives revenue from a variety of sources, including: (1) state taxes, such as the sales tax and the income tax, (2) federal grants and payments, (3) licenses, permits and fees, and (4) Indian Gaming Payments from Foxwoods and the Mohegan Sun casinos.

Special Revenue Fund

Any fund that can only be used only in accordance with specific regulations. Special revenue funds are created by law to finance particular activities with revenue from specific taxes or other sources. Examples include the Special Transportation Fund, the Regional Market Operation Fund, and Higher Education Tuition Funds.

Special Tax Obligation (STO) Bonds

Nontaxable bonds issued by the state to finance the state's share of the cost of highway and bridge construction and maintenance projects (the federal government finances the remainder of the cost). The bonds have also been used to provide grants to towns for local road improvement under the Town Aid Road program. The debt service costs for the bonds are funded by: (1) the motor fuels tax, (2) oil companies tax, (3) sales tax collected on motor vehicle sales, and (4) motor vehicle registrations, licenses and fees.

Special Transportation Fund (STF)

The operating fund of the State Department of Transportation and the State Department of Motor Vehicles. The STF finances: (1) the ordinary, everyday operations of the two agencies, including fringe benefits for employees and (2) debt service costs for Special Tax Obligation (STO) bonds, which pay for road and bridge improvement projects.

State Bond Commission (SBC)

A joint legislative-executive body that: (1) allocates General Obligation (GO) and Special Tax Obligation (STO) bond funds for capital budget projects and programs and (2) approves the amount and timing of bond sales requested by the State Treasurer. The agenda for SBC meetings is determined by the Governor. The ten-member commission includes six executive members (the Governor, the Treasurer, the Comptroller, the Attorney General, the Secretary of the Office of Policy and Management, the Commissioner of Administrative Services) and four legislative members (the House and Senate chairs and ranking members of the Finance, Revenue and Bonding Committee). SBC meetings are scheduled in every month except November.

Surplus

A budget surplus occurs when an entity takes in more money than it expends. A projected surplus occurs during the fiscal year and an actual surplus occurs after the close of the fiscal year. The term lapse is used to describe a surplus in a specific account within an agency. The opposite of a budget surplus is a budget deficit.

Tax Expenditure

A type of "off budget" spending through the tax code that is used to implement government policies. Tax expenditures include tax credits, deductions, exclusions, exemptions, deferrals, and preferential rates. They may be used to encourage: (1) people save for retirement, buy a home, or pay for college or (2) companies to invest in

green energy technologies or build new plants. Examples include the state personal income tax deduction for contributions to the Connecticut Higher Education Trust and the sales tax exemption for residential weatherization products that meet federal Energy Star standards.

Transfers

The movement of funds from one funding category to another, including: (1) the redirection of resources within an agency by the Finance Advisory Committee (FAC), (2) transfers from one agency to another by the deficiency bill, and (3) transfers from one fund to another by the budget act.

Turnover

A net adjustment made to the Personal Services account that reflects: (1) the natural reduction made to an agency's payroll due to the loss of employees through voluntary separation, retirements, deaths and transfers, and (2) payroll increases due to the hiring of new employees.

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